



Splice2Deep: An ensemble of deep convolutional neural networks for improved splice site prediction in genomic DNA

Somayah Albaradei^{a,b,1}, Arturo Magana-Mora^{a,c,1}, Maha Thafar^{a,d}, Mahmut Uludag^a, Vladimir B. Bajic^a, Takashi Gojobori^{a,e}, Magbubah Essack^{a,*}, Boris R. Jankovic^{a,*}

^a Computer, Electrical and Mathematical Sciences and Engineering Division (CEMSE), Computational Bioscience Research Center, Computer (CBRC), King Abdullah University of Science and Technology (KAUST), Thuwal 23955-6900, Saudi Arabia

^b Faculty of Computing and Information Technology, King Abdulaziz University, Saudi Arabia

^c Saudi Aramco, EXPEC-ARC, Drilling Technology Team, Dhahran 31311, Saudi Arabia

^d Faculty of Computers and Information Systems, Taif University, Saudi Arabia

^e Biological and Environmental Sciences and Engineering Division (BESE), King Abdullah University of Science and Technology (KAUST), Thuwal 23955-6900, Saudi Arabia

ARTICLE INFO

Keywords:

Splice sites
Splicing
Deep-learning
Prediction
Bioinformatics

ABSTRACT

Background: The accurate identification of the exon/intron boundaries is critical for the correct annotation of genes with multiple exons. Donor and acceptor splice sites (SS) demarcate these boundaries. Therefore, deriving accurate computational models to predict the SS are useful for functional annotation of genes and genomes, and for finding alternative SS associated with different diseases. Although various models have been proposed for the in silico prediction of SS, improving their accuracy is required for reliable annotation. Moreover, models are often derived and tested using the same genome, providing no evidence of broad application, i.e. to other poorly studied genomes.

Results: With this in mind, we developed the Splice2Deep models for SS detection. Each model is an ensemble of deep convolutional neural networks. We evaluated the performance of the models based on the ability to detect SS in *Homo sapiens*, *Oryza sativa japonica*, *Arabidopsis thaliana*, *Drosophila melanogaster*, and *Caenorhabditis elegans*. Results demonstrate that the models efficiently detect SS in other organisms not considered during the training of the models. Compared to the state-of-the-art tools, Splice2Deep models achieved significantly reduced average error rates of 41.97% and 28.51% for acceptor and donor SS, respectively. Moreover, the Splice2Deep cross-organism validation demonstrates that models correctly identify conserved genomic elements enabling annotation of SS in new genomes by choosing the taxonomically closest model.

Conclusions: The results of our study demonstrated that Splice2Deep both achieved a considerably reduced error rate compared to other state-of-the-art models and the ability to accurately recognize SS in other organisms for which the model was not trained, enabling annotation of poorly studied or newly sequenced genomes. Splice2Deep models are implemented in Python using Keras API; the models and the data are available at https://github.com/SomayahAlbaradei/Splice_Deep.git.

1. Background

Variability in intron-exon boundaries enables alternative splicing. Alternative splicing affords eukaryotic organisms the ability to generate various transcripts and encode multiple proteins from the same gene

locus. During the transcription process, introns are spliced out, and different combinations of exons join together to form mature RNA. When mature RNA contains information for protein synthesis, we denote it as a messenger RNA (mRNA). Thus, the accurate annotation of the exon and intron boundaries, referred to as splice sites (SS), is critical

Abbreviations: Acc, accuracy; AcSS, acceptor splice site; AUC, area under curve; CNN, convolutional neural network; CONV, convolutional layers; DNA, deoxyribonucleic acid; DL, deep learning; DoSS, donor splice site; DT, decision trees; FC, fully connected layer; ML, machine learning; NB, naive Bayes; NN, neural network; POOL, pooling layer; ReLU, rectified linear unit layer; RF, random forest; RNA, ribonucleic acid; Sn, sensitivity; SS, splice site; Sp, specificity; SVM, support vector machine

* Corresponding authors.

E-mail addresses: magbubah.essack@kaust.edu.sa (M. Essack), boris.jankovic@kaust.edu.sa (B.R. Jankovic).

¹ First author.

<https://doi.org/10.1016/j.gene.2020.100035>

Received 30 March 2020; Accepted 6 May 2020

Available online 13 May 2020

2590-1583/© 2020 Published by Elsevier B.V. This is an open access article under the CC BY-NC-ND license (<http://creativecommons.org/licenses/by-nc-nd/4.0/>).

for the correct annotation of genes contained in a genomic DNA sequence. The SS at the exon/intron boundary corresponds to the donor site (DoSS), while the SS at the intron/exon boundary is the acceptor site (AcSS). The DoSS and AcSS are characterized in most cases by canonical GT and AG dinucleotides in DNA (Burset et al., 2000), respectively. In silico detection of SS facilitates delineating the internal structure of multi-exon genes, functional annotation of new genomes, and finding alternative SS linked to aberrant organism phenotype and diseases (Leegwater et al., 2016; Roshon et al., 2003; Morrison et al., 2013; Kurmangaliyev and Gelfand, 2008; Iso-Touru et al., 2019; Buckley et al., 2009; Sahakyan and Balasubramanian, 2016).

Current computational approaches for SS detection implement different strategies for feature extraction and deploy various machine learning (ML) methods to build classifiers. Although some approaches implemented methods based on probabilistic models (Peretea et al., 2001; Zhang et al., 2010), the majority of the implemented methods are based on ML techniques. Feature extraction and feature selection are among the main challenges for deriving robust ML models for SS prediction.

Several feature extraction techniques were proposed to characterize SS. For example, as a pre-processing step, Markov models are used to extract nucleotide dependencies and sequence compositional features surrounding SS in terms of probabilistic parameters that were used as features to characterize the SS (Baten et al., 2006; Goel et al., 2015). Information related to mono/di/trinucleotide distribution surrounding the SS in DNA sequences is also used as features to characterize the sequences surrounding the SS (Wei et al., 2013; Huang et al., 2006), as well as the chemical properties of each nucleotide, and positional and density information (Bari et al., 2014). A combination of features based on dinucleotide association score, nucleotide dependency, and positions was also used to describe SS (Meher et al., 2016a).

Notably, some of the extracted features may be irrelevant or redundant in the SS prediction task and could result in an unnecessarily more complex ML model. Therefore, feature selection is a common step that follows feature extraction (Bengio et al., 2012; Bins, 2002; Magana-Mora and Bajic, 2017; Alshahrani et al., 2017). For example, feature selection based on the F-score was used to identify informative features for improving model performance (Wei et al., 2013).

Different ML techniques that use such “manually-crafted” features as inputs are then applied to perform the SS prediction task; examples are, support vector machine (SVM) (Baten et al., 2006; Goel et al., 2015; Wei et al., 2013; Huang et al., 2006; Bari et al., 2014; Chen and Lin, 2006; Dror et al., 2004; Li et al., 2017; Meher et al., 2016b; Sonnenburg et al., 2007), random forest (RF) (Meher et al., 2016a), decision trees (DT) (Lopes et al., 2007), and naïve Bayes (NB) (Kamath et al., 2014) models. Despite the great success of these ML techniques, the extraction and selection of features from raw data are not straightforward. The main problem remains in difficulty associated with extracting sufficiently discriminative information from raw data. As a consequence, recent approaches used deep learning (DL) techniques to avoid the limitations associated with the “manually-crafted” features. The use of DL models has achieved exceptional results in different genomic-related studies (Zeng et al., 2016; Nguyen et al., 2016; Leung et al., 2014; Alipanahi et al., 2015; Quang and Xie, 2016; Sønderby et al., 2015; Lee and Yoon, 2015; Albalawi et al., 2019; Kalkatawi et al., 2019; Magana-Mora et al., 2017). Concerning SS, DL models have been used for automatic feature extraction and detection of SS in RNA (Zhang et al., 2016) and DNA (Zuallaert et al., 2018; Du et al., 2018). DeepSS (Du et al., 2018) is a recent DL model trained and tested on *Homo sapiens* and *Caenorhabditis elegans* datasets to predict SS. It consists of two stacked convolution-pooling layers followed by two fully-connected layers, where the last layer performs a SoftMax function that outputs a prediction score. DeepSS outperformed different previously proposed state-of-the-art models (Goel et al., 2015; Meher et al., 2016b; Meher et al., 2014). Another recent DL model, Splicerover (Zuallaert et al., 2018), was trained and tested on *H. sapiens* and

Table 1

Statistical measures used to assess the performance of the models.

Measure	Equation
Accuracy (Acc)	$\frac{TP + TN}{TP + FN + TN + FP}$
Specificity (Sp)	$\frac{TN}{TN + FP}$
Sensitivity (Sn)	$\frac{TP}{TP + FN}$
F ₁ Score (F ₁)	$\frac{2 \times TP}{2 \times TP + FP + FN}$
Error rate	$1 - \text{Accuracy}$

Table 2

Performance metrics for the detection of donor and acceptor SS by Splice2Deep on five organisms.

	Organism	Acc	Sp	Sn	F ₁	AUC
AcSS	<i>Homo sapiens</i>	96.91	97.80	95.61	96.91	98.69
	<i>Arabidopsis thaliana</i>	95.21	94.86	95.53	95.22	98.31
	<i>Oryza sativa japonica</i>	93.89	93.62	94.16	93.92	97.52
	<i>Drosophila melanogaster</i>	94.07	95.04	94.09	94.07	98.16
	<i>Caenorhabditis elegans</i>	98.08	97.78	98.38	98.09	99.49
DoSS	<i>Homo sapiens</i>	97.38	98.83	95.93	96.38	99.10
	<i>Arabidopsis thaliana</i>	95.59	95.67	95.50	95.58	98.69
	<i>Oryza sativa japonica</i>	94.33	94.41	94.25	94.33	98.30
	<i>Drosophila melanogaster</i>	90.52	93.71	90.46	91.52	96.56
	<i>Caenorhabditis elegans</i>	97.68	97.74	97.63	97.69	99.48

Arabidopsis thaliana datasets for SS prediction. This model implements several alternating convolutional pooling layers followed by a fully-connected layer with a SoftMax function that outputs a prediction score. Splicerover also outperformed several state-of-the-art models (Bari et al., 2014; Sonnenburg et al., 2007; Lee and Yoon, 2015; Degroev et al., 2004).

Although considerable improvements have been achieved using DL models, there is a need to further improve SS characterization to increase the accuracy of the models and improve models that detect splice junctions (Jaganathan et al., 2019; Zhang et al., 2018). The DL models that predict SS were also developed based on a limited number of selected organisms. In this study, we derived independent models for five different organisms, namely, *H. sapiens*, *A. thaliana*, *Oryza sativa japonica*, *Drosophila melanogaster*, and *C. elegans*. We then performed cross-organism validation to assess the capability of the models to accurately predict SS in other organisms, not explicitly used to train the models. Therefore, the models can be used to annotate SS in new genomes by choosing the SS detection model trained on the most closely related organism. Finally, it is essential to mention that our model, Splice2-Deep, aims to recognize the SS in the primary DNA genomic sequence that would be transcribed into donor/acceptor sites in the corresponding RNA, although we may not always make that distinction explicitly in the text.

2. Results

The main contribution of this study is the development of Splice2Deep that consists of an ensemble of DL models for the improved and generic detection of SS. To evaluate the performance of Splice2Deep, we derived separate models. We computed the statistical measures shown in Table 1 for the five considered organisms, namely: *H. sapiens*, *A. thaliana*, *O. sativa japonica*, *D. melanogaster*, and *C. elegans*. Moreover, we also report the results using the area under the receiver operating characteristic curve (AUC). Table 2 shows the performance obtained for the five different considered organisms using 60%, 15%, and 25% of the data as training, validation, and testing, respectively.

Table 3

Comparing the SS prediction accuracy of Splice2Deep and state-of-the-art tools using five well-studied organisms. Results in bold represent the best performing model. N/A indicates that the tool has not and cannot be trained for that specific organism.

	Organism	Gene-Splicer	Splice-Predictor	DeepSS	Splicerover	Splice2Deep
AcSS	<i>Homo sapiens</i>	83.31	88.01	94.85	95.35	96.91
	<i>Arabidopsis thaliana</i>	87.76	92.13	N/A	94.35	95.21
	<i>Oryza sativa japonica</i>	84.21	89.42	N/A	N/A	93.89
	<i>Drosophila melanogaster</i>	88.66	88.69	N/A	N/A	94.07
	<i>Caenorhabditis elegans</i>	N/A	N/A	93.32	N/A	98.08
DoSS	<i>Homo sapiens</i>	79.48	88.2	94.76	96.18	97.38
	<i>Arabidopsis thaliana</i>	90.85	92.49	N/A	94.25	95.59
	<i>Oryza sativa japonica</i>	86.17	87.5	N/A	N/A	94.33
	<i>Drosophila melanogaster</i>	90.19	88.79	N/A	N/A	90.52
	<i>Caenorhabditis elegans</i>	N/A	N/A	94.01	N/A	97.68

Table 4

Relative error rates associated with SS detection when using Splice2Deep and the best performing SS prediction tools.

Splice site	Organism	Best performing model	Error rate of the best performing model (%)	Error rate of Deep2Splice (%)	Relative error rate reduction (%)
AcSS	<i>Homo sapiens</i>	Splicerover	4.65	3.09	33.55
	<i>Arabidopsis thaliana</i>	Splicerover	5.65	4.79	15.22
	<i>Oryza Sativa japonica</i>	SplicePredictor	10.58	6.11	42.25
	<i>Drosophila melanogaster</i>	SplicePredictor	11.31	5.93	47.57
	<i>Caenorhabditis elegans</i>	DeepSS	6.68	1.92	71.26
	Average				41.97
DoSS	<i>Homo sapiens</i>	Splicerover	3.82	2.62	45.80
	<i>Arabidopsis thaliana</i>	Splicerover	5.75	4.41	23.30
	<i>Oryza Sativa japonica</i>	SplicePredictor	12.50	5.67	54.64
	<i>Drosophila melanogaster</i>	SplicePredictor	9.81	9.48	3.36
	<i>Caenorhabditis elegans</i>	DeepSS	5.95	5.03	15.46
	Average				28.51

2.1. Comparing Splice2Deep to other SS detection models

To gain more insight into the performance and value of Splice2Deep, we compared its accuracy to that of other available tools, namely: GeneSplicer (Pertea et al., 2001), SplicePredictor (Brendel et al., 2004), DeepSS (Du et al., 2018), and Splicerover (Zuallaert et al., 2018). Table 3 shows the accuracy obtained from the tools using the same testing data used to evaluate Deep2Splice. Some of the considered organisms were not used to train the existing tools, and a method was not provided to re-train the model. These cases are indicated using N/A (see Table 3). We also provide the results for additional statistical measures, such as Sp and Sn (see Additional file 1: Table S1).

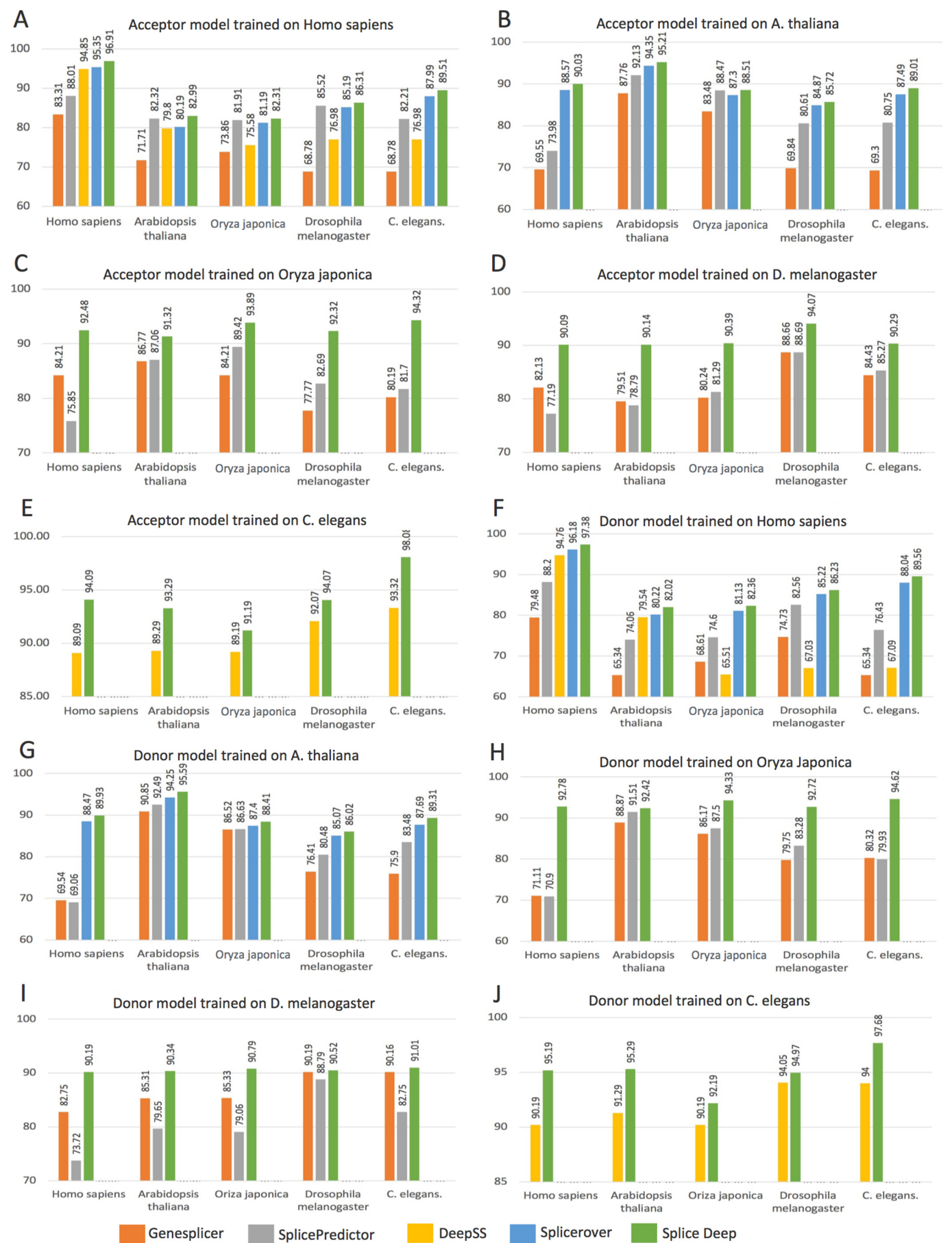
Table 4 provides a comparison of the error rate, defined as $error\ rate = 1 - Acc$, and the relative improvement of the error rate compared to the best performing tool for each organism. The Splice2Deep model consistently reduced the relative error rate compared to the other state-of-the-art models.

3. Discussion

To make Splice2Deep generic, we trained the incorporated models on five well-studied organisms, and performed cross-organism model validation. For each of the five considered organisms, we developed two independent DL models: one to predict AcSS and the other to predict DoSS. Independent DL models were used to characterize the local upstream flanking region, local downstream flanking region, and the region surrounding the SS to derive an ensemble of DL models. In other words, we extracted features from two local windows and one window surrounding the SS to improve the performance of the DL models. We also exploit the fact that exons (if they contain a portion of the protein-coding sequence) typically exhibit a periodicity of three, where this appeared upstream of DoSS and downstream of AcSS. From the results shown in Table 3, Deep2Splice consistently outperformed the state-of-the-art models for the five different considered organisms and was able to reduce the relative error rate by 41.97% and 28.51%

compared to the best performing model for AcSS and DoSS, respectively (Table 4). Thus, Deep2Splice providing more accurate SS prediction should enable better characterization of the internal structure of multi-exon genes and should be useful for finding alternative SS linked to aberrant organism phenotype and diseases. However, the real advantage of Deep2Splice is its proven ability for SS cross-organism prediction, which demonstrates it could be used to annotate organisms beyond the well-studied ones.

Evaluating the performance of the models for the annotation of poorly studied organisms is not possible as we do not have any reference to compare or compute any statistical measure of performance. On the other hand, by performing cross-organism model testing, i.e., testing the model with data from an organism for which it was not trained, we may approximately estimate the generalization capabilities of the model for annotating diverse organisms. For example, a cross-organism validation consists of testing a model derived from *A. thaliana* on the other four genomes for which it was not trained (*H. sapiens*, *C. elegans*, *O. sativa japonica*, and *D. melanogaster*) and perform the same test with other tools that are applicable (i.e., that were trained on *A. thaliana* as well). We performed this cross-organism validation for each of the five derived models for each organism. Fig. 1 shows the accuracy results for the cross-organism model validation, and Additional files 2–6: Tables S2–S6 show the results for the other considered performance measures. Interestingly, in some cases, Splice2Deep trained on organism X and tested on organism Y achieved better results than other tools trained on organism Y and tested on the same organism Y. For instance, Splice2Deep model trained on *C. elegans* achieved an accuracy of 94.07% when tested on *D. melanogaster* (Fig. 1E), compared to 88.69% achieved by SplicePredictor model trained and tested on *D. melanogaster* (Fig. 1D). Similarly, Splice2Deep model trained on *C. elegans* achieved an accuracy of 91.19% when tested on *O. sativa japonica* (Fig. 1E), compared to 89.42% achieved by SplicePredictor model trained and tested on *O. sativa japonica* (Fig. 1C). These results demonstrate that Splice2Deep is more adapt to annotate the poorly studied or newly sequenced genomes than the other models.



(caption on next page)

Fig. 1. Accuracy results obtained from the cross-organism model validation. A–E) Cross-organism validation results for the prediction of AcSS, F–J) cross-organism validation results for the prediction of DoSS.

Table 5

Annotation for each organism and the number of positive and negative SS samples.

	Organism	Number of sequences	Assembly & Genebuild reference
DoSS	<i>Homo sapiens</i>	250,400 (true)	GRCh38.p12 (Zerbino et al., 2018)
	<i>Arabidopsis thaliana</i>	250,400 (false)	
		110,299 (true)	TAIR10 (Cheng et al., 2016)
		110,299 (false)	
	<i>Oryza sativa japonica</i>	103,426 (true)	IRGSP-1.0 (Sakai et al., 2013)
		103,426 (false)	
AcSS	<i>Drosophila melanogaster</i>	30,118 (true)	BDGP6.22 (Thurmond et al., 2019)
		30,118 (false)	
	<i>Caenorhabditis elegans</i>	77,387 (true)	WBcel235 (Lee et al., 2017)
		77,387 (false)	
	<i>Homo sapiens</i>	248,150 (true)	GRCh38.p12 (Zerbino et al., 2018)
		248,150 (false)	
DoSS	<i>Arabidopsis thaliana</i>	112,318 (true)	TAIR10 (Cheng et al., 2016)
		112,318 (false)	
	<i>Oryza sativa japonica</i>	104,028 (true)	IRGSP-1.0 (Sakai et al., 2013)
		104,028 (false)	
	<i>Drosophila melanogaster</i>	28,703 (true)	BDGP6.22 (Thurmond et al., 2019)
		28,703 (false)	
AcSS	<i>Caenorhabditis elegans</i>	77,763 (true)	WBcel235 (Lee et al., 2017)
		77,763 (false)	

4. Conclusions

Interest in the prediction of genomic signals goes far beyond model organisms. Therefore, a critical problem associated with many genomic signal prediction tools is that predicting SS in genomes that were not used to train the models may not be sufficiently accurate. The lack of evidence for the broader application of such models limits its use despite the conceptual promise such models hold. In this study, we addressed this problem of practical usability by firstly deriving more accurate prediction models using DL; and secondly, by developing five different models broadly tested in a cross-organism manner. The results showed that our models were able to capture conserved splicing mechanisms. Splice2Deep exhibits an average error rate reduction of 41.97% for AcSS and 28.51% for DoSS relative to the current state-of-the-art models. Moreover, Splice2Deep allows users to select the taxonomically closest organism of interest, making our models both accurate as well as useful. One possible avenue for further improvement of the usability of Splice2Deep would be to add additional organism

models, which could be a subject of future work.

5. Methods

5.1. Datasets

In this study, we extracted genomic DNA sequences surrounding the SS from five different organisms (*H. sapiens*, *A. thaliana*, *O. sativa japonica*, *D. melanogaster*, and *C. elegans*), using their respective gene annotation available at Ensembl (Zerbino et al., 2018). Table 5 describes the used annotation for each organism and the number of positive (true) SS samples. For each annotated AcSS and DoSS, bedtools (Quinlan and Hall, 2010) were used to extract the surrounding DNA sequences of the considered SS. We extracted from both upstream and downstream flanking segments of SS 300 nucleotides. This resulted in a sequence of 602 nucleotides (300-SS-300). Sequences with false SS (i.e., those dinucleotides that are the same as SS but are not involved in the splice site machinery) were extracted. In accordance to previous studies for the detection of genomic signals (Albalawi et al., 2019; Kalkatawi et al., 2019; Magana-Mora et al., 2017; Xie et al., 2013; Ashoor et al., 2011; Magana-Mora et al., 2013; Kalkatawi et al., 2012; Kalkatawi et al., 2013), we selected the number of false SS to match the number of the true SS as determined by the available genome annotation (i.e., equal to the number of positive samples). False SS (negative) samples were mined from the chromosomes whose average GC content is most close to the average GC content of the considered genome. Therefore, false SS were thus obtained from chromosomes 21, 2, 1, 2L, and I from *H. sapiens*, *A. thaliana*, *O. sativa japonica*, *D. melanogaster*, and *C. elegans*, respectively.

5.2. The Splice2Deep method

In what follows, we explain the most important facets of developing a robust DL model. We explain the strategy of selecting the appropriate data representation, determining the configuration of the DL model, as well as search strategy within the large hyperparameter space aiming to find the optimized set of model parameters.

5.2.1. Representation of data

In order to develop a reliable model, we first represent each sequence window with appropriate embedding based on whether this window is found within an exon or intron region. Therefore, we

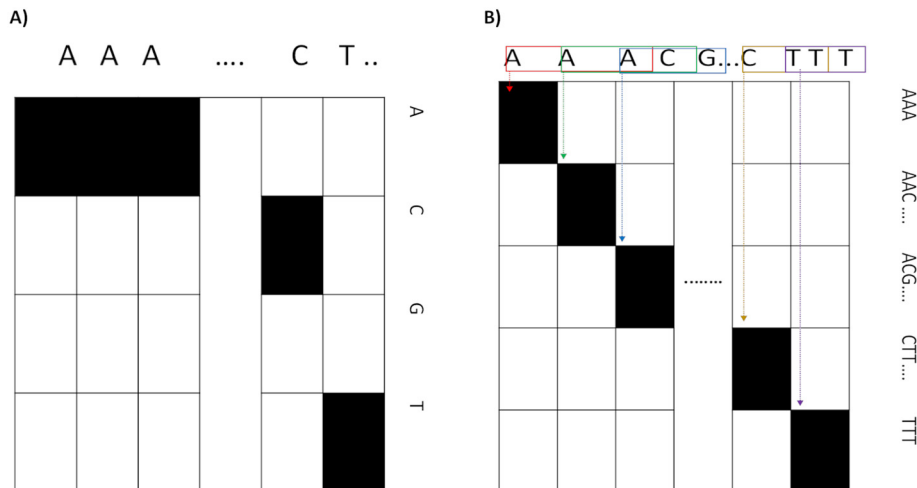


Fig. 2. Data representation. A) Mononucleotide embedding with length ($4 \times L$), and B) trinucleotide embedding with length ($64 \times L$).

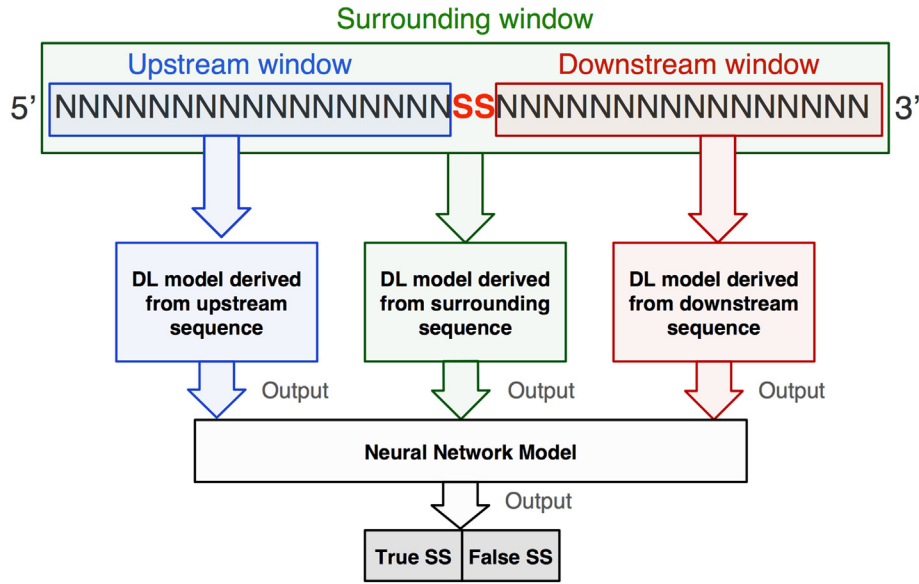


Fig. 3. Splice2Deep model overview. Local and surrounding windows. ‘SS’ refers to splice site and ‘N’ to nucleotides.

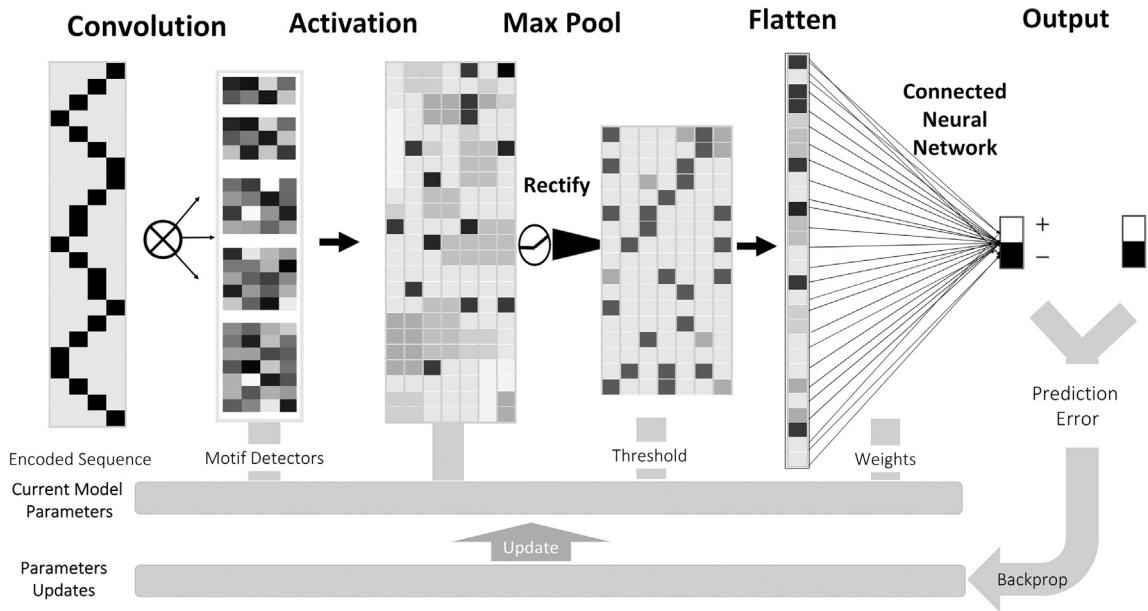


Fig. 4. Splice2Deep learning model. It takes DNA sequence as input embedded in 2D (either $4 \times L$ or $64 \times L$), apply k motif detectors (filters), max pooling, flatten, fully connected layer using SoftMax to output scores.

assumed that the upstream region of a DoSS frequently contains coding sequences, while its downstream region consists of non-coding sequences. Conversely, the upstream region of an AcSS consists of non-coding sequences, while its downstream region frequently contains coding sequences. Based on this consideration, in the case of a candidate DoSS, we embedded the upstream window sequence in a two-dimensional (2D) space with trinucleotides, while embedding the downstream window sequence (a non-coding region) in a 2D space with single nucleotides. For AcSS, we embedded the upstream window sequence (a non-coding region) in a 2D space with single nucleotides, while embedding the downstream window sequence in a 2D space with trinucleotides. Finally, we embedded the surrounding window sequence in a 2D space with single nucleotides. This embedding process is shown in Fig. 2A. The 2D space embedding for mononucleotide is a $4 \times L$ matrix, where L is the length of the window. Each nucleotide is encoded as 4×1 vector e.g., A [1,0,0,0], C [0,1,0,0], G [0,0,1,0], and T

[0,0,0,1]. The 2D space embedding for trinucleotide is a matrix with $64 \times (L - 2)$, and each trinucleotide is represented as 64×1 vector, e.g., AAA [1,0, ...,0], AAC [0,1, ...,0], ..., and TTT [0,0, ...,1]. Because we cannot be certain that reading frames are not interrupted by intron/exon boundaries (Tomita et al., 1996), the trinucleotides are formed in an overlapping manner, where we scan the whole sequence in a window and shift only one nucleotide each time until $L - k + 1$ (similar to overlapped k -mers where $k = 3$). For example, the AACGTT sequence would result in AAC, ACG, CGT, and GTT trinucleotides.

5.2.2. Deep learning model and parameter tuning

The Splice2Deep model receives as input a DNA sequence as raw data and performs feature extraction and feature selection using DL from the flanking regions described above. That is, given a sequence S , our model computes a score $f(S)$ based on a deep convolutional neural network (CNN), which consists of stacking a sequence of layers: 1)

Table 6
Grid search space for the tuning of the CNN and NN hyperparameters.

CNN model hyperparameters		Search space
Activation function		[tanh, relu]
Number of neurons on FC layer		[128, 250, 512 , 1024]
Initialization mode		[uniform, glorot_normal]
Batch size		[16, 32, 64 , 128]
Dropout rate		[0.01, 0.1, 0.2, 0.3 , 0.4, 0.5]
Optimizer		[SGD, Adam, Nadam]
4 × L embedding	Number of filters	[16, 32 , 64]
	Filter length	[1, 2 , 3, 4, 5, 6, 7, 8, 9]
	Filter width	[4]
64 × L embedding	Number of filters	[16, 32 , 64]
	Filter length	[3, 5, 10 , 21, 31, 41 , 50, 61]
	Filter width	[64]
NN model hyperparameters		Search space
Size of nodes		[1,2,3,4,5]
Activation function		[tanh, relu]
Solver		['lbfgs', 'sgd', 'adam']

sequence encoding, 2) convolutional layers (CONV), 3) rectified linear unit layer (ReLU), 4) pooling layer (POOL), 5) fully connected layer (FC), and 6) SoftMax layer. The outputs from the CNN models are then used as inputs to an artificial neural network (NN) binary classifier to predict whether the given sequence represents a true or false SS. The structure of Splice2Deep method is shown in Fig. 3.

The CONV layer is the core building block of a CNN. Each of the DL models consists of k CONV layers (motif detectors) that capture motifs important for SS detection. The size of each filter depends on the encoded input. In each CONV layer, we scan the embedded sequence with the assigned filter, a stride of one and zero paddings to extract features. The CONV layers provide inputs to a nonlinear layer that contains a rectified linear unit (ReLU) as activation function (Glorot et al., 2011), defined as $f(x) = \max(0, x)$, where x is the output of the CONV layer. The POOL layer is used to select the best features obtained from the previous layer. Then a dropout layer added to each POOL layer to avoid overfitting by randomly removing some neurons during the training of the DL model. The outputs from all CONV layers are concatenated and flattened as a vector to make it suitable for an FC layer that uses a conventional NN with 500 neurons. The output classification layer that has two output neurons with SoftMax activation functions, receives inputs from the FC layer.

To improve generalization performance on the testing set, we applied an early stopping technique, which monitors the validation error rate and stops the training if the error is not decreasing for five consecutive epochs. This DL model structure allows our network to learn richer features as the sequence progresses through the network. The structure of CNN is depicted in Fig. 4. One critical step in developing DL models is the hyperparameter selection. For this, we considered a grid search technique to select the best combination of different parameters. We used Keras (Chollet, 2015) library in python to construct our DL model. The best performing parameters based on the validation set were used to derive the final DL model. Table 6 shows the search space for the CNN models with the best performing parameters highlighted in bold. The outputs of each of the three CNN models (resulting from using the downstream, upstream, and surrounding regions) are used as features for the NN model that serves as the final binary classifier for candidate SS (Fig. 3). We used Python MLPclassifier from Scikit-learn (Pedregosa et al., 2011) to derive the NN model. The resulting NN has an input layer with three inputs (CNN outputs), a hidden layer with two nodes and a single output node. We used a grid search technique to select the best parameter combinations for the NN model. Table 6 shows the search space for the NN with the best performing parameters in bold.

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.gene.2020.100035>.

Ethics approval and consent to participate

Not applicable.

Consent for publication

Not applicable.

Availability of data and materials

The datasets generated and/or analyzed during the current study are publicly available online at https://github.com/SomayahAlbaradei/Splice_Deep.git.

Funding

VBB has been supported by the King Abdullah University of Science and Technology (KAUST) Base Research Fund (BAS/1/1606-01-01); ME has been supported by KAUST Office of Sponsored Research (OSR) Award no. FCC/1/1976-17-01. TG has also been supported by the King Abdullah University of Science and Technology (KAUST) Base Research Fund (BAS/1/1059-01-01).

Authors' contributions

The study is conceptualized by VBB and design made by VBB and SA. SA developed the models. SA, AMM, BJ, MT, ME, TG, and VBB analyzed the data. AMM performed data extraction and data curation. SA and AMM wrote the manuscript (with support from BRJ). SA, AMM, BRJ, MU, MT, ME, and VBB contributed to the discussion. ME and VBB reviewed/edited the manuscript. All authors read and approved the final manuscript.

Declaration of competing interest

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests.

Acknowledgements

We are grateful to Mohammad Shoaib Amini for consultation with data extraction. This research made use of the resources of the GPU clusters at King Abdullah University of Science & Technology (KAUST) in Thuwal, Saudi Arabia.

References

- Albalawi, F., Chahid, A., Guo, X., Albaradei, S., Magana-Mora, A., Jankovic, B.R., Uludag, M., Neste, C.V., Essack, M., Laleg-Kirati, T.-M., et al., 2019. Hybrid model for efficient prediction of Poly (A) signals in human genomic DNA. *Methods* 166, 31–39.
- Alipanahi, B., Delong, A., Weirauch, M.T., Frey, B.J., 2015. Predicting the sequence specificities of DNA-and RNA-binding proteins by deep learning. *Nat. Biotechnol.* 33 (8), 831.
- Alshahrani, M., Soufan, O., Magana-Mora, A., Bajic, V.B., 2017. DANNP: an efficient artificial neural network pruning tool. *PeerJ Computer Science* 3, e137.
- Ashoor, H., Magana-Mora, A., Jankovic, B.R., Kamau, A., Awara, K., Chowdary, R., Archer, J.A.C., Bajic, V.B., 2011. Recognition of translation initiation sites in *Arabidopsis thaliana*. In: Lecca, P., Tulpan, D., Rajaraman, K. (Eds.), *Systemic Approaches in Bioinformatics and Computational Systems Biology: Recent Advances*. IGI Global, pp. 105–116.
- Bari, A., Reaz, M.R., Jeong, B.-S., 2014. Effective DNA encoding for splice site prediction using SVM. *MATCH Commun Math Comput Chem* 71, 241–258.
- Baten, A.K., Chang, B.C., Halgamuge, S.K., Li, J., 2006. Splice site identification using probabilistic parameters and SVM classification. In: *BMC Bioinformatics*. 2006. BioMed Central, pp. S15.
- Bengio, Y., Courville, A.C., Vincent, P., 2012. Unsupervised feature learning and deep learning: a review and new perspectives. *CoRR*, abs/12065538 2012, 1.

- Bins, F.J., 2002. Feature Selection From Huge Feature Sets in the Context of Computer Vision.
- Brendel, V., Xing, L., Zhu, W., 2004. Gene structure prediction from consensus spliced alignment of multiple ESTs matching the same genomic locus. *Bioinformatics* 20 (7), 1157–1169.
- Buckley, K.M., Florea, L.D., Smith, L.C., 2009. A method for identifying alternative or cryptic donor splice sites within gene and mRNA sequences. Comparisons among sequences from vertebrates, echinoderms and other groups. *MC Genomics* 10, 318.
- Burset, M., Seledtsov, I., Solovyev, V., 2000. Analysis of canonical and non-canonical splice sites in mammalian genomes. *Nucleic Acids Res.* 28 (21), 4364–4375.
- Chen, Y.-W., Lin, C.-J., 2006. Combining SVMs with various feature selection strategies. In: *Feature Extraction*. Springer, pp. 315–324.
- Cheng, C.-Y., Krishnakumar, V., Chan, A., Schobel, Seth, Town, C.D., 2016. Araport11: a complete reannotation of the Arabidopsis thaliana reference genome. *Plant J.* 89 (4), 789–804.
- Chollet, F., 2015. Keras. In: *GitHub Repository*.
- Degroeve, S., Saey, Y., De Baets, B., Rouzé, P., Van De Peer, Y., 2004. SpliceMachine: predicting splice sites from high-dimensional local context representations. *Bioinformatics* 21 (8), 1332–1338.
- Dror, G., Sorek, R., Shamir, R., 2004. Accurate identification of alternatively spliced exons using support vector machine. *Bioinformatics* 21 (7), 897–901.
- Du, X., Yao, Y., Diao, Y., Zhu, H., Zhang, Y., Li, S., 2018. DeepSS: exploring splice site motif through convolutional neural network directly from DNA sequence. *IEEE Access* 6, 32958–32978.
- Glorot, X., Bordes, A., Bengio, Y., 2011. Deep sparse rectifier neural networks. In: Geoffrey, G., David, D., Miroslav, D. (Eds.), *Proceedings of the Fourteenth International Conference on Artificial Intelligence and Statistics*. 15. *Proceedings of Machine Learning Research*, PMLR, pp. 315–323.
- Goel, N., Singh, S., Aseri, T.C., 2015. An improved method for splice site prediction in DNA sequences using support vector machines. *Procedia Computer Science* 57, 358–367.
- Huang, J., Li, T., Chen, K., Wu, J., 2006. An approach of encoding for prediction of splice sites using SVM. *Biochimie* 88 (7), 923–929.
- Iso-Touru, T., Wurmser, C., Venhoranta, H., Hiltbold, M., Savolainen, T., Sironen, A., Fischer, K., Flisikowski, K., Fries, R., Vicente-Carrillo, A., et al., 2019. A splice donor variant in CCDC189 is associated with asthenospermia in Nordic Red dairy cattle. *BMC Genomics* 20 (286).
- Jaganathan, K., Panagiotopoulou, S.K., McRae, J.F., Darbandi, S.F., Knowles, D., Li, Y.I., Kosmicki, J.A., Arbelaez, J., Cui, W., Schwartz, G.B., 2019. Predicting splicing from primary sequence with deep learning. *Cell* 176 (3), 535–548 (e524).
- Kalkatawi, M., Rangkuti, F., Schramm, M., Jankovic, B.R., Kamau, A., Chowdary, R., Archer, J.A., Bajic, V., 2012. Dragon PolyA Spotter: predictor of poly(A) motifs within human genomic DNA sequences. *Bioinformatics* 28 (1), 127–129.
- Kalkatawi, M., Rangkuti, F., Schramm, M., Jankovic, B.R., Kamau, A., Chowdary, R., Archer, J.A., Bajic, V., 2013. Dragon PolyA Spotter: predictor of poly(A) motifs within human genomic DNA sequences. *Bioinformatics* 29 (11), 1484.
- Kalkatawi, M., Magana-Mora, A., Jankovic, B., Bajic, V.B., 2019. DeepGSR: an optimized deep-learning structure for the recognition of genomic signals and regions. *Bioinformatics* 35 (7), 1125–1132.
- Kamath, U., De Jong, K., Shehu, A., 2014. Effective automated feature construction and selection for classification of biological sequences. *PLoS One* 9 (7), e99982.
- Kurmangaliyev, Y.Z., Gelfand, M.S., 2008. Computational analysis of splicing errors and mutations in human transcripts. *BMC Genomics* 9, 13.
- Lee, T., Yoon, S., 2015. Boosted categorical restricted Boltzmann machine for computational prediction of splice junctions. In: *International Conference on Machine Learning*. 2015, pp. 2483–2492.
- Lee, R.Y.N., Howe, K.L., Harris, T.W., Arnaboldi, V., Cain, S., Chan, J., Chen, W.J., Davis, P., Gao, S., Grove, C., et al., 2017. WormBase 2017: molting into a new stage. *Nucleic Acids Res.* 46 (4), D869–D874.
- Leegwater, P.A., Vos-Loohuis, M., Ducro, B.J., Boegheim, L.J., van Steenbeek, F.G., Nijman, L.J., Monroe, G.R., Bastiaansen, J.W.M., Dibbitts, B.W., van de Goor, L.H., et al., 2016. Dwarfism with joint laxity in Friesian horses is associated with a splice site mutation in B4GALT7. *BMC Genomics* (839), 17.
- Leung, M.K., Xiong, H.Y., Lee, L.J., Frey, B.J., 2014. Deep learning of the tissue-regulated splicing code. *Bioinformatics* 30 (12), i121–i129.
- Li, W., Li, J., Huo, L., Li, W., Du, X., 2017. Prediction of splice site using support vector machine with feature selection. In: *Proceedings of the International Conference on Bioinformatics and Computational Intelligence*. 2017. ACM, pp. 1–5.
- Lopes, H.S., Erig Lima, C.R., Murata, N.J., 2007. A configware approach for high-speed parallel analysis of genomic data. *Journal of Circuits, Systems, and Computers* 16 (4), 527–540.
- Magana-Mora, A., Bajic, V.B., 2017. OmniGA: optimized omnivariate decision trees for generalizable classification models. *Sci. Rep.* 7 (1).
- Magana-Mora, A., Ashoor, H., Jankovic, B.R., Kamau, A., Awara, K., Chowdhary, R., Archer, J.A., Bajic, V.B., 2013. Dragon TIS Spotter: an Arabidopsis-derived predictor of translation initiation sites in plants. *Bioinformatics* 29 (1), 117–118.
- Magana-Mora, A., Kalkatawi, M., Bajic, V.B., 2017. Omni-PolyA: a method and tool for accurate recognition of Poly (A) signals in human genomic DNA. *BMC Genomics* 18 (1).
- Meher, P.K., Sahu, T.K., Rao, A.R., Wahi, S.D., 2014. A statistical approach for 5' splice site prediction using short sequence motifs and without encoding sequence data. *BMC bioinformatics* 15 (1), 362.
- Meher, P.K., Sahu, T.K., Rao, A.R., 2016a. Prediction of donor splice sites using random forest with a new sequence encoding approach. *BioData mining* 9 (1), 4.
- Meher, P.K., Sahu, T.K., Rao, A., Wahi, S., 2016b. Identification of donor splice sites using support vector machine: a computational approach based on positional, compositional and dependency features. *Algorithms for molecular biology* 11 (1), 16.
- Morrison, F.S., Locke, J.M., Wood, A.R., Tuke, M., Pasko, D., Murray, A., Frayling, T., Harries, L.W., 2013. The splice site variant rs11078928 may be associated with a genotype-dependent alteration in expression of GSDMB transcripts. *BMC Genomics* 14, 627.
- Nguyen, N.G., Tran, V.A., Ngo, D.L., Phan, D., Lumbanraja, F.R., Faisal, M.R., Abapihi, B., Kubo, M., Satou, K., 2016. DNA sequence classification by convolutional neural network. *J. Biomed. Sci. Eng.* 9 (5), 280.
- Pedregosa, F., Varoquaux, G., Gramfort, A., Michel, V., Thirion, B., Grisel, O., Blondel, M., Prettenhofer, P., Weiss, R., Dubourg, V., 2011. Scikit-learn: machine learning in Python. *The Journal of Machine Learning Research* 12, 2825–2830.
- Perrea, M., Lin, X., Salzberg, S.L., 2001. GeneSplicer: a new computational method for splice site prediction. *Nucleic Acids Res.* 29 (5), 1185–1190.
- Quang, D., Xie, X., 2016. DanQ: a hybrid convolutional and recurrent deep neural network for quantifying the function of DNA sequences. *Nucleic Acids Res.* 44 (11), e107.
- Quinlan, A.R., Hall, I.M., 2010. BEDTools: a flexible suite of utilities for comparing genomic features. *Bioinformatics* 26 (6), 841–842.
- Roshon, M., DeGregori, J.V., Ruley, H.E., 2003. Gene trap mutagenesis of hnRNP A2/B1: a cryptic 3' splice site in the neomycin resistance gene allows continued expression of the disrupted cellular gene. *BMC Genomics* 4 (2).
- Sahakyan, A.B., Balasubramanian, S., 2016. Long genes and genes with multiple splice variants are enriched in pathways linked to cancer and other multigenic diseases. *BMC Genomics* 17 (225).
- Sakai, H., Lee, S., Tanaka, T., Numa, H., Kim, J., Kawahara, Y., Wakimoto, H., Yang, C.C., Iwamoto, M., Abe, T., et al., 2013. Rice Annotation Project Database (RAP-DB): an integrative and interactive database for rice genomics. *Plant Cell Physiol* 54 (2).
- Sønderby, S.K., Sønderby, C.K., Nielsen, H., Winther, O., 2015. Convolutional LSTM networks for subcellular localization of proteins. In: *International Conference on Algorithms for Computational Biology*. 2015. Springer, pp. 68–80.
- Sonnenburg, S., Schweikert, G., Philips, P., Behr, J., Rättsch, G., 2007. Accurate splice site prediction using support vector machines. In: *BMC Bioinformatics: 2007. BioMed Central*, pp. S7.
- Thurmond, J., Goodman, J.L., Strelets, V.B., Attrill, H., Gramates, L.S., Marygold, S.J., Matthews, B.B., Millburn, M., Antonazzo, G., Trovisco, V., et al., 2019. FlyBase 2.0: the next generation. *Nucleic Acids Res.* 47 (D1), D759–D765.
- Tomita, M., Shimizu, N., Brutlag, D.L., 1996. Introns and reading frames: correlation between splicing sites and their codon positions. *Mol. Biol. Evol.* 13 (9), 1219–1223.
- Wei, D., Zhang, H., Wei, Y., Jiang, Q., 2013. A novel splice site prediction method using support vector machine. *Journal of Computational Information Systems* 9 (20), 8053–8060.
- Xie, B., Jankovic, B.R., Bajic, V.B., Song, L., Gao, X., 2013. Poly(A) motif prediction using spectral latent features from human DNA sequences. *Bioinformatics* 29 (13), i316–i325.
- Zeng, H., Edwards, M.D., Liu, G., Gifford, D.K., 2016. Convolutional neural network architectures for predicting DNA–protein binding. *Bioinformatics* 32 (12), i121–i127.
- Zerbino, D.R., Achuthan, P., Akanni, W., Amode, M.R., Barrell, D., Bhari, J., Billis, K., Cummins, C., Gall, A., Giron, C.G., et al., 2018. Ensembl 2018. *Nucleic Acids Res.* 46, D754–D761.
- Zhang, Q., Peng, Q., Zhang, Q., Yan, Y., Li, K., Li, J., 2010. Splice sites prediction of human genome using length-variable Markov model and feature selection. *Expert Syst. Appl.* 37 (4), 2771–2782.
- Zhang, Y., Liu, X., MacLeod, J.N., Liu, J., 2016. DeepSplice: deep classification of novel splice junctions revealed by RNA-seq. In: *2016 IEEE International Conference on Bioinformatics and Biomedicine (BIBM)*. 2016. IEEE, pp. 330–333.
- Zhang, Y., Liu, X., MacLeod, J., Liu, J., 2018. Discerning novel splice junctions derived from RNA-seq alignment: a deep learning approach. *BMC Genomics* 19 (1), 971.
- Zuallaert, J., Godin, F., Kim, M., Soete, A., Saey, Y., De Neve, W., Hancock, J., 2018. SpliceRover: interpretable convolutional neural networks for improved splice site prediction. *Bioinformatics* 34 (24), 4180–4188.

SUPPLEMENTARY MATERIAL

Splice2Deep: An Ensemble of Deep Convolutional Neural Networks for Improved Splice Site Prediction in Genomic DNA

Somayah Albaradei^{1,2*}, Arturo Magana-Mora^{1,3*}, Maha Thafar^{1,4}, Mahmut Uludag¹, Vladimir B. Bajic¹, Takashi Gojobori^{1,5}, Magbubah Essack^{1#}, Boris R. Jankovic^{1#}

¹Computer, Electrical and Mathematical Sciences and Engineering Division (CEMSE), Computational Bioscience Research Center, Computer (CBRC), King Abdullah University of Science and Technology (KAUST), Thuwal 23955-6900, Kingdom of Saudi Arabia.

²Faculty of Computing and Information Technology, King Abdulaziz University, Kingdom of Saudi Arabia.

³Saudi Aramco, EXPEC-ARC, Drilling Technology Team, Dhahran, 31311, Kingdom of Saudi Arabia.

⁴Faculty of Computers and Information Systems, Taif University, Kingdom of Saudi Arabia.

⁵Biological and Environmental Sciences and Engineering Division (BESE), King Abdullah University of Science and Technology (KAUST), Thuwal 23955-6900, Kingdom of Saudi Arabia.

*First author.

#To whom correspondence should be addressed. Tel: +966 (54) 470 0746; Email: magbubah.essack@kaust.edu.sa; Email: boris.jankovic@kaust.edu.sa

Supplementary Table S1. Sensitivity (Sn) and Specificity (Sp) comparison of Splice2Deep and the state-of-the-art tools for the prediction of SS on the five selected organisms. N/A indicates that the tool is not available for that specific organism.

<i>Splice site</i>	<i>Model</i>	<i>Homo sapiens</i>		<i>Arabidopsis thaliana</i>		<i>Oryza sativa japonica</i>		<i>Drosophila melanogaster</i>		<i>Caenorhabditis elegans</i>	
		<i>Sp.</i>	<i>Sn.</i>	<i>Sp.</i>	<i>Sn.</i>	<i>Sp.</i>	<i>Sn.</i>	<i>Sp.</i>	<i>Sn.</i>	<i>Sp.</i>	<i>Sn.</i>
AcSS	Genesplicer	97.3	69.28	97.67	77.85	97.5	70.92	93.4	83.93	N/A	N/A
	SplicePredictor	91.86	84.16	96.83	87.44	98.2	80.65	94.92	82.47	N/A	N/A
	DeepSS	92.93	90.18	N/A	N/A	N/A	N/A	N/A	N/A	90.49	90.86
	Splicerover	97.39	90.77	93.12	94.02	N/A	N/A	N/A	N/A	N/A	N/A
	Splice2Deep	97.8	95.61	94.86	95.53	93.62	94.16	95.04	94.09	97.78	98.38
DoSS	Genesplicer	98.6	60.36	97.58	84.12	97.71	74.62	93.7	86.66	N/A	N/A
	SplicePredictor	97.24	79.15	96.04	88.94	97.86	77.14	96.12	81.46	N/A	N/A
	DeepSS	93.63	91.18	N/A	N/A	N/A	N/A	N/A	N/A	91.94	91.68
	Splicerover	96.44	90.01	94.12	95.02	N/A	N/A	N/A	N/A	N/A	N/A
	Splice2Deep	98.83	95.93	95.67	95.5	94.41	94.25	93.71	90.46	97.74	97.63