

CMSC 330

Finite Automata

Administrative

Administrative

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Quiz 2 Today

Midterm next Thursday: PL Concepts, Ruby, OCaml, NFA/DFA/CFG

Project 3 Released

Finite Automata

What Are Finite Automata?

Automata are data structures that accept/reject strings

Automata can be used to implement regular expressions

That's what you'll be doing in the project

Formal Definition - What does the example look like?

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An automaton is a 5-tuple

Q - A finite set of states

Σ - A set of symbols, the alphabet

δ - A transition function

q_0 - The initial state

F - A set of final states

Example

$Q = \{0, 1, 2\}$

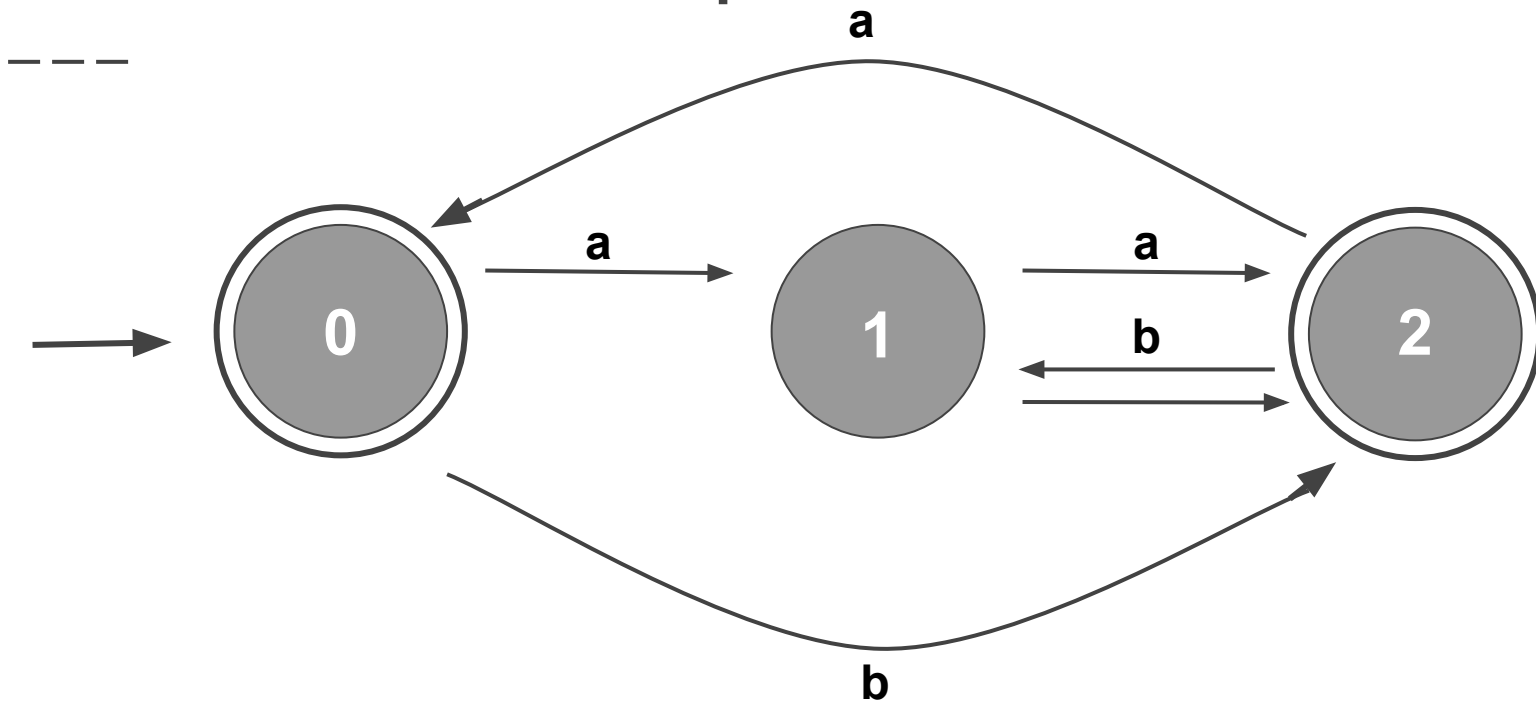
$\Sigma = \{a, b\}$

$\delta = \{(0, a, 1); (1, a, 2); (2, a, 0);$
 $(0, b, 2); (1, b, 2); (2, b, 1)\}$

$q_0 = 0$

$F = \{0, 2\}$

Formal Definition - Example



Formal Definition - Notes

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$Q = \{0, 1, 2\}$

$\Sigma = \{a, b\}$

$\delta = \{(0, a, 1); (1, a, 2); (2, a, 0);$
 $(0, b, 2); (1, b, 2); (2, b, 1)\}$

$q_0 = 0$

$F = \{0, 2\}$

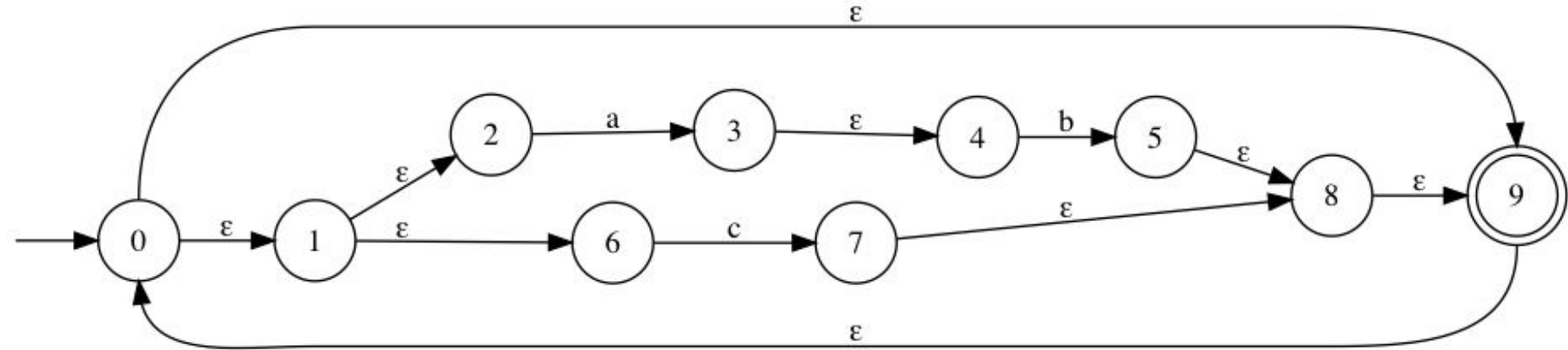
There can only be **one start state**, in this case 0, do not forget to label your start state on quizzes/exams!

There can be **multiple final states**, do not forget to label your final state(s) on quizzes/exams!

A string is **accepted** by the automata if it **ends in a final state**.

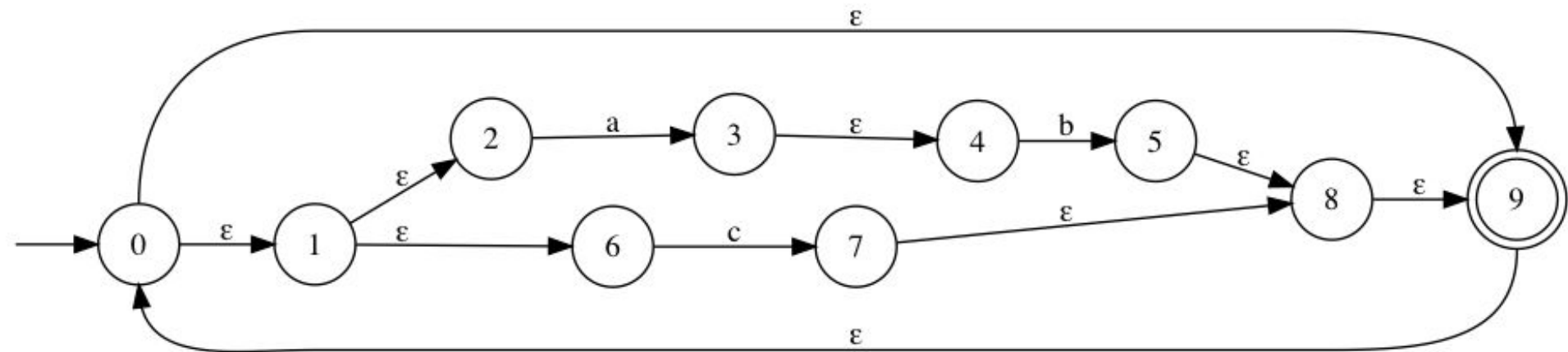
Example

Example



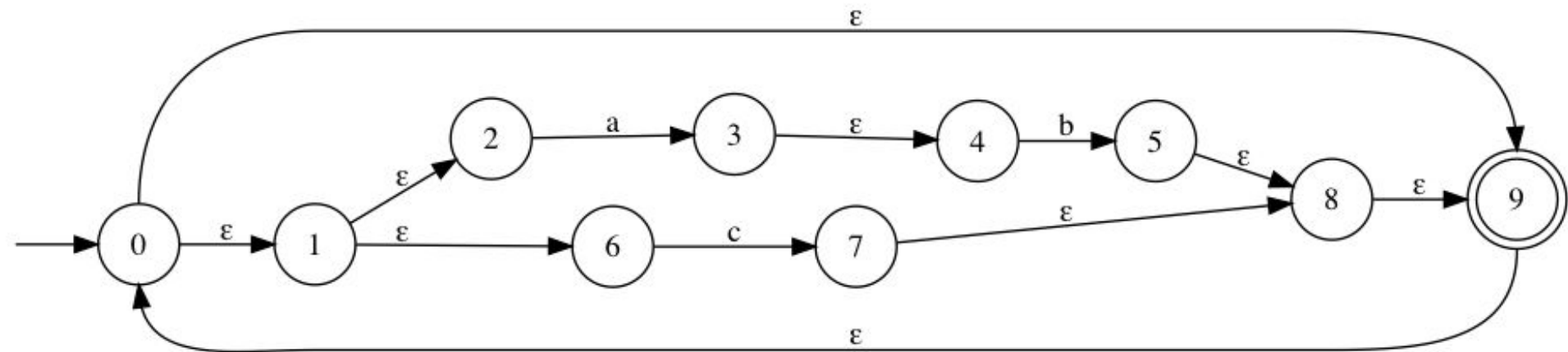
Accepted? "" (Empty String)

Example



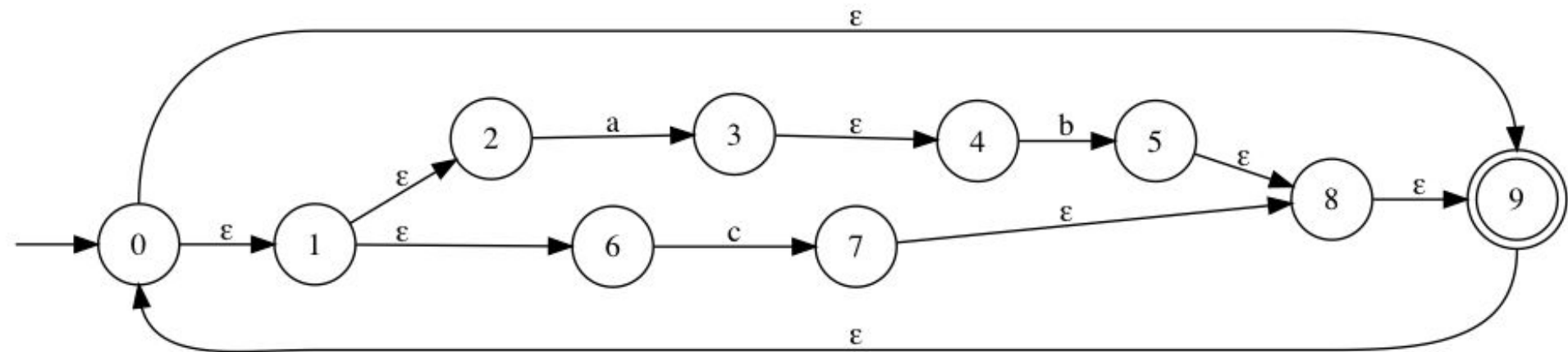
Accepted? ab

Example



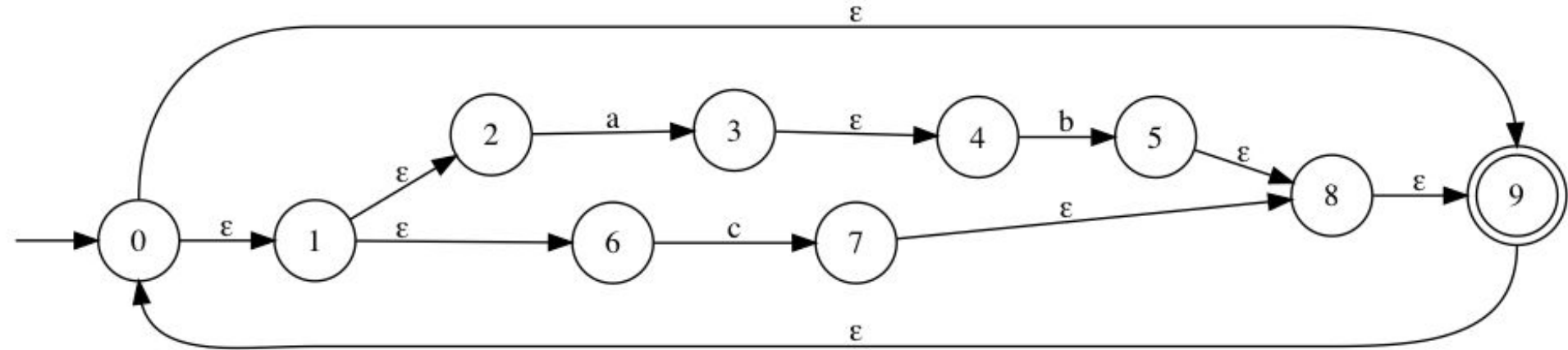
Accepted? ababab

Example



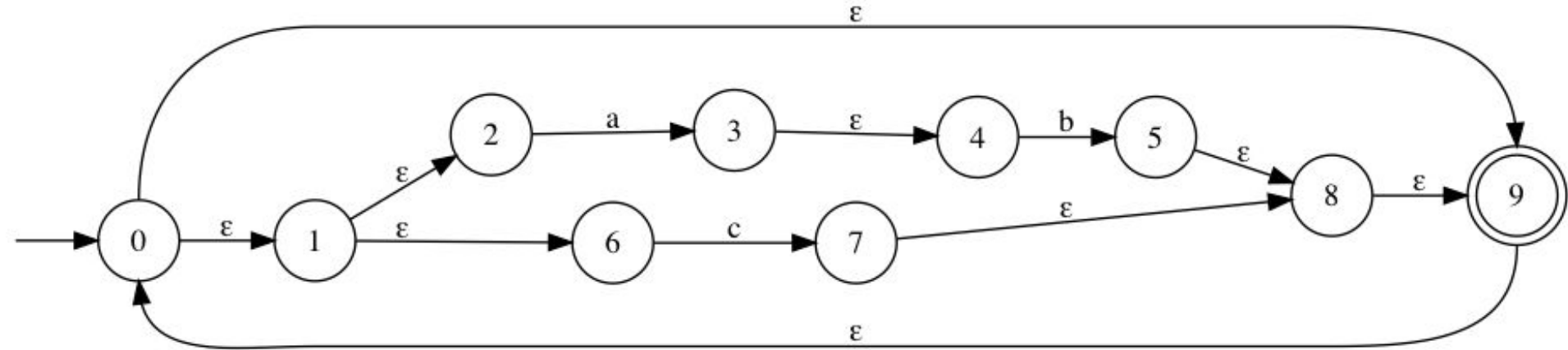
Accepted? abc

Example



What regular expression matches this automata?

Example



What regular expression matches this automata? $(ab|c)^*$

Types of Finite Automata

Types of Finite Automata

Deterministic Finite Automata (DFA)

Accepts if the string ends on a final state

A special type of NFA

Nondeterministic Finite Automata (NFA)

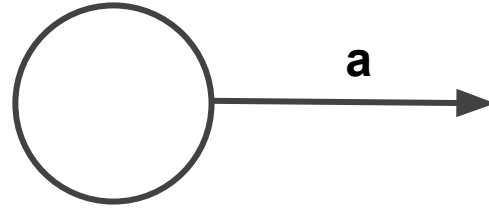
0 or more steps for each character in the string

Accepts if any valid path ends on a final state

Difference #1: Number of Transitions

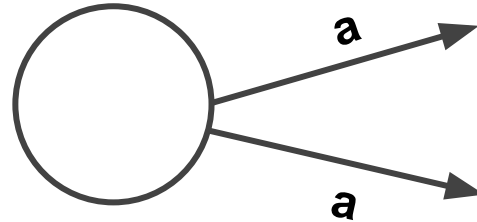
DFA

One transition per symbol



NFA

More than one transition per symbol

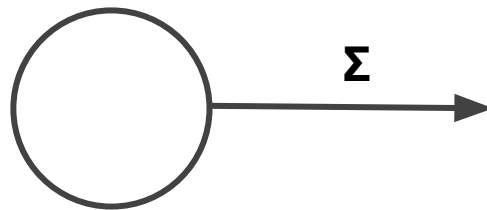


Difference #2: Types of Transitions

DFA

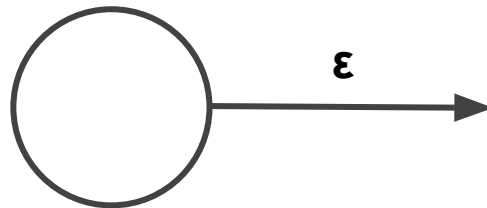
No transitions on empty string

(DFAs can match empty strings, but cannot transition on ϵ)



NFA

May transition on empty string
label



Difference #3: Accepting Strings

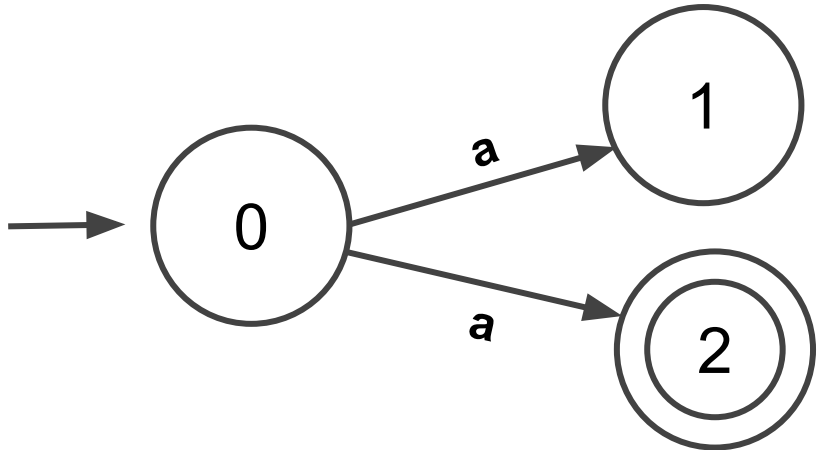
DFA

Accepts if the string ends on a
final state

NFA

Accepts if at least one path ends
on a final state

“a” would be accept because 0,2



Interlude: Project Talk

Project Talk

In your project, you will implement regular expressions

To do this, you will implement an NFA

(Note: Regex, NFA, DFA accept the same languages)

Project Talk - OCaml NFA

First you make an NFA, recall

Q - A finite set of states

Σ - A set of symbols, the alphabet

δ - A transition function

q_0 - The initial state

F - A set of final states

You will be given

q_0 - The initial state

F - A set of final states

δ - A transition function

How you define the NFA type is up to you, try to make something easy to match on!

Project Talk - E-Closure Function

Remembers that NFAs can transition on the empty string?

This is called an “ ϵ -transition”

The ϵ -closure(S_1) is the set of all states reachable from S_1 using only ϵ -transitions

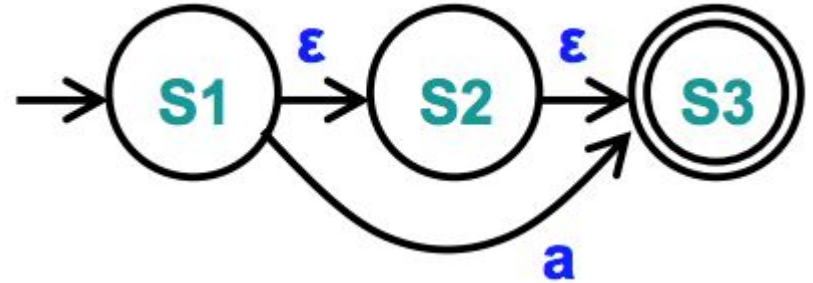
Note: ϵ -closure(S_1) always includes S_1

Project Talk - E-Closure 1

ϵ -closure(S1) =

ϵ -closure(S2) =

ϵ -closure(S3) =

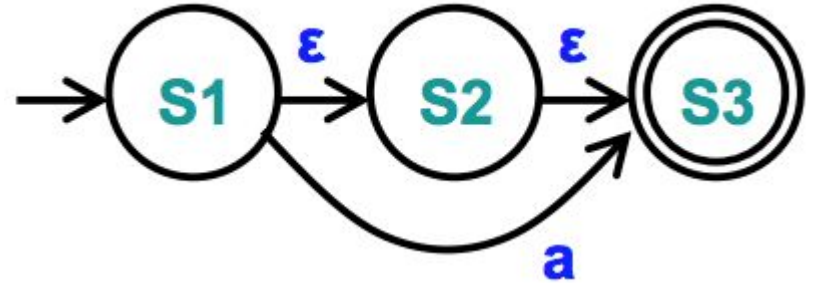


Project Talk - E-Closure 1

ϵ -closure(S1) = {S1, S2, S3}

ϵ -closure(S2) = {S2, S3}

ϵ -closure(S3) = {S3}



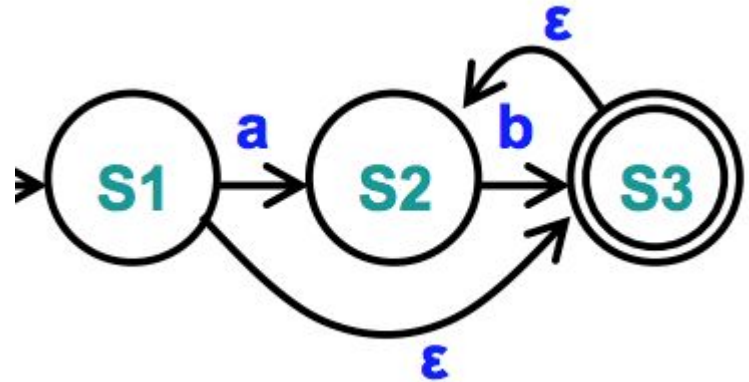
Project Talk - E-Closure 2

ϵ -closure(S1) =

ϵ -closure(S2) =

ϵ -closure(S3) =

ϵ -closure({S2,S3}) =



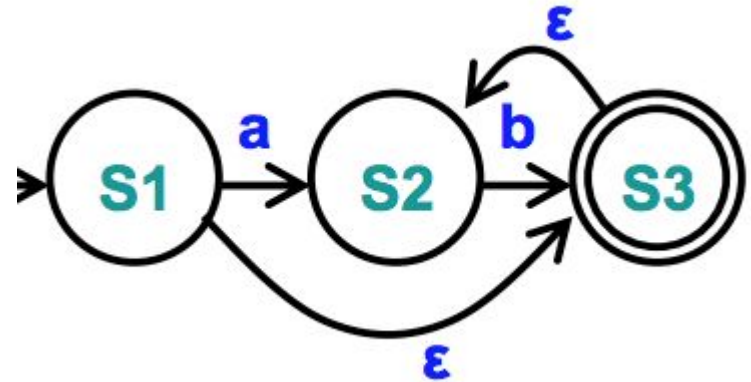
Project Talk - E-Closure 2

$$\varepsilon\text{-closure}(S1) = \{S1, S2, S3\}$$

$$\varepsilon\text{-closure}(S2) = \{S2\}$$

$$\varepsilon\text{-closure}(S3) = \{S2, S3\}$$

$$\varepsilon\text{-closure}(\{S2, S3\}) = \{S2, S3\}$$

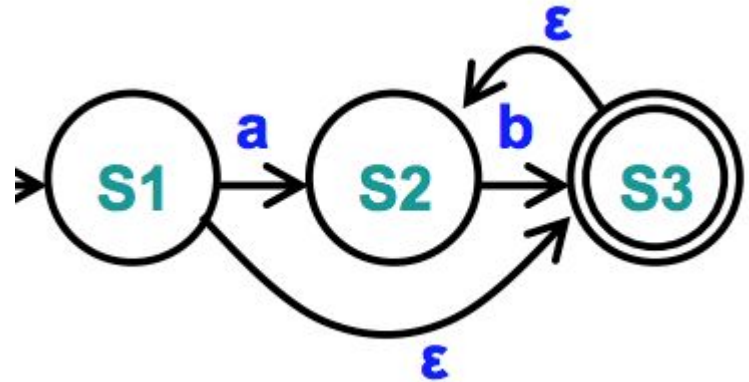


Project Talk - Move

`move(S1,symbol)`

Set of states reachable from S1 using exactly one transition on the symbol.

Note: `move(S1,a)` only includes S1 if S1 has a transition to itself on a.



Project Talk - Move

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move(S1,a) =

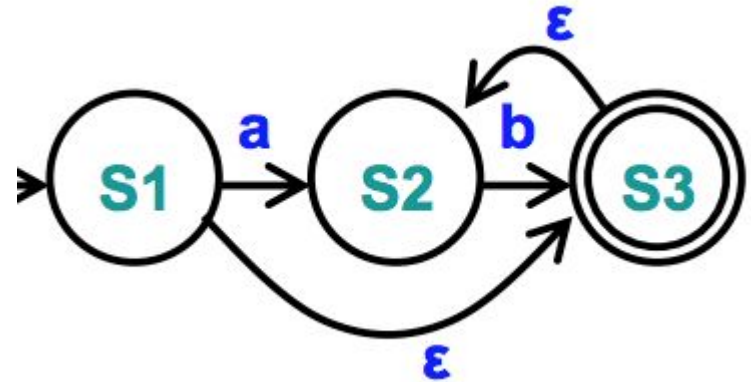
move(S1,b) =

move(S2,a) =

move(S2,b) =

move(S3,a) =

move(S3,b) =



Project Talk - Move

$\text{move}(S1, a) = \{S2\}$

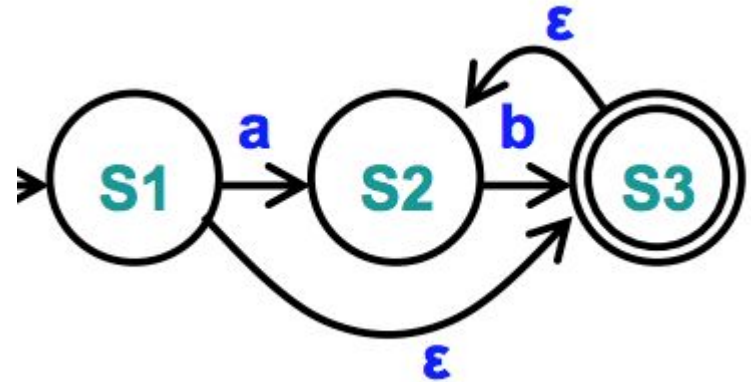
$\text{move}(S1, b) = \{\}$

$\text{move}(S2, a) = \{\}$

$\text{move}(S2, b) = \{S3\}$

$\text{move}(S3, a) = \{\}$

$\text{move}(S3, b) = \{\}$



Reductions

Introduction

There are a few operations we care about

Regex to NFA

NFA to DFA

Minimizing a DFA

Also: DFA to Regex, DFA Complement

Your project will ask you to turn a regex to an NFA

Regex to NFA

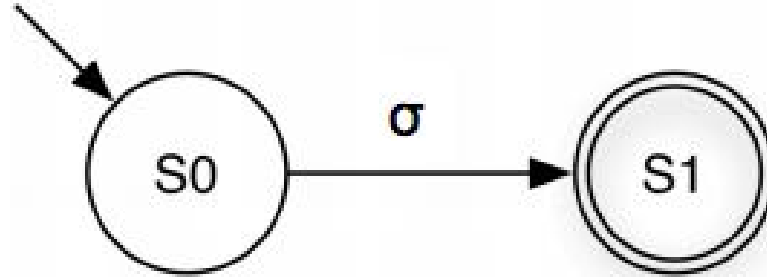
Regular Expressions to NFAs

Regular expressions are defined recursively

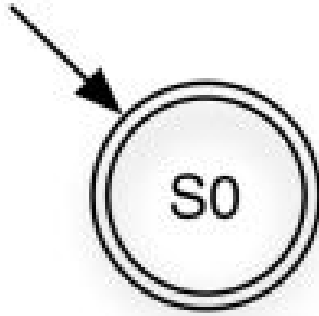
Know a number of base cases and inductive cases

This tells us how to build an NFA given a regex

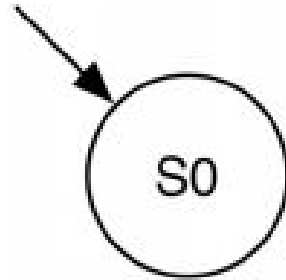
Base Case: A Symbol in the Language (σ in Σ)



Base Case: ϵ

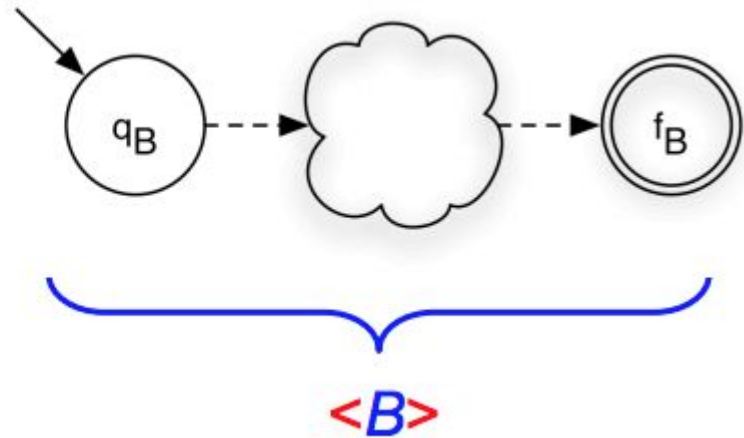
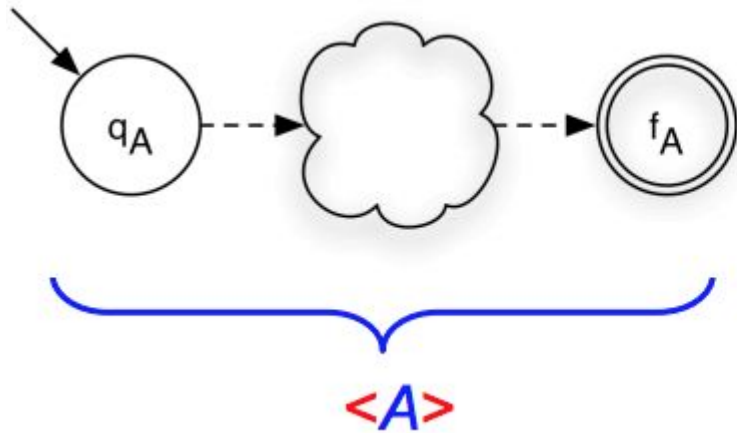


Base Case: \emptyset



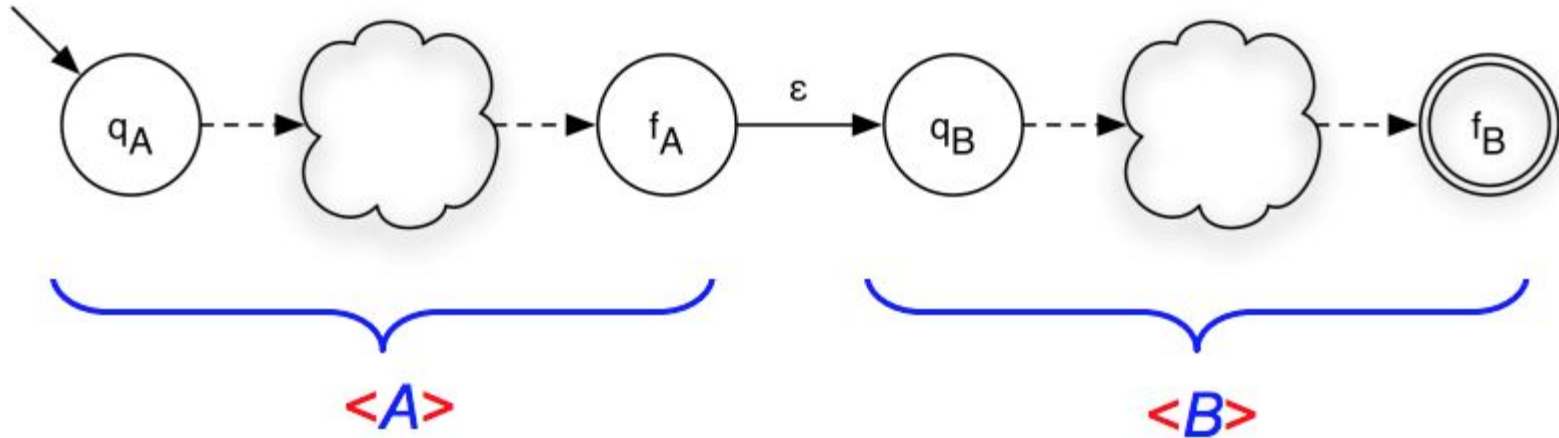
Concatenation: AB

A and B are each represented by an NFA



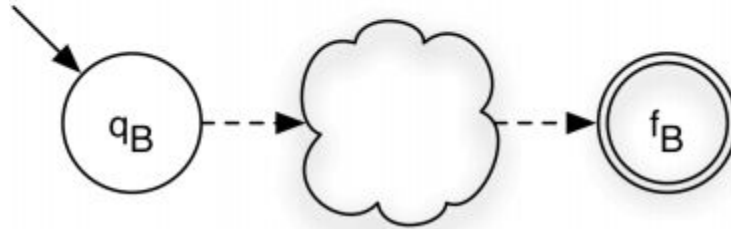
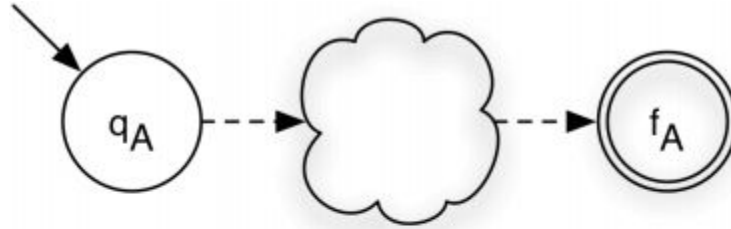
Concatenation: AB

To concatenate A and B, create a new NFA using the start of A, the final states of B, add an ϵ -transition from the final states of A to the start state of B.



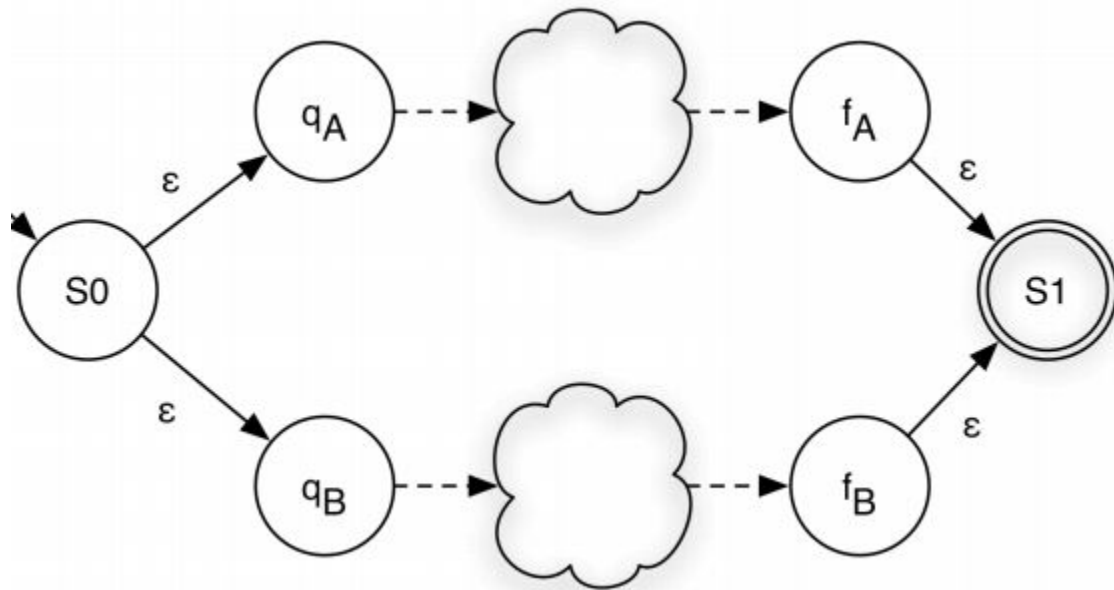
Union: $A \cup B$

A and B are each represented by an NFA



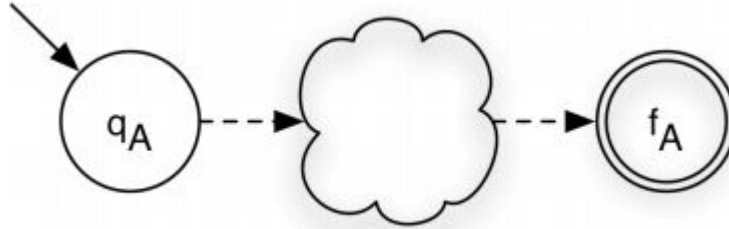
Union: $A \cup B$

Add a new start and end, add ϵ -transitions from new start to old starts, add ϵ -transitions from old finals to new finals.



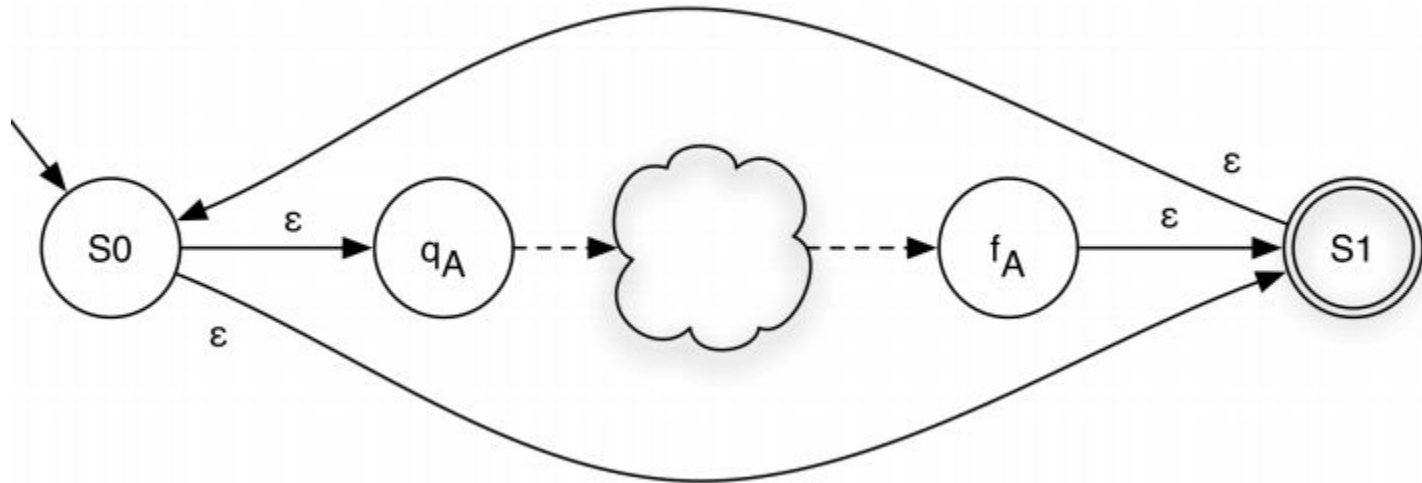
Closure: A^*

A is represented by an NFA



Closure: A^*

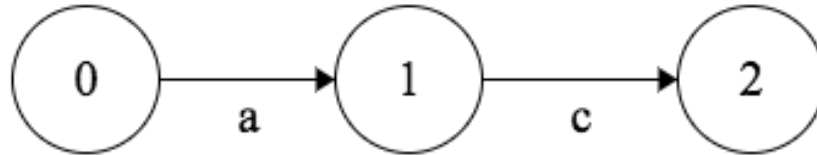
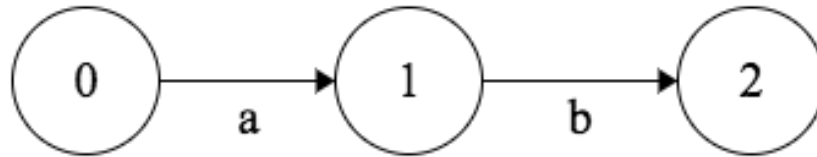
Add a new start and end state. Add ε -transition from new start to old start, old finals to new finals, and from new start to new final, and from new final to new start.



Draw an NFA for $(ab|ac)^*$

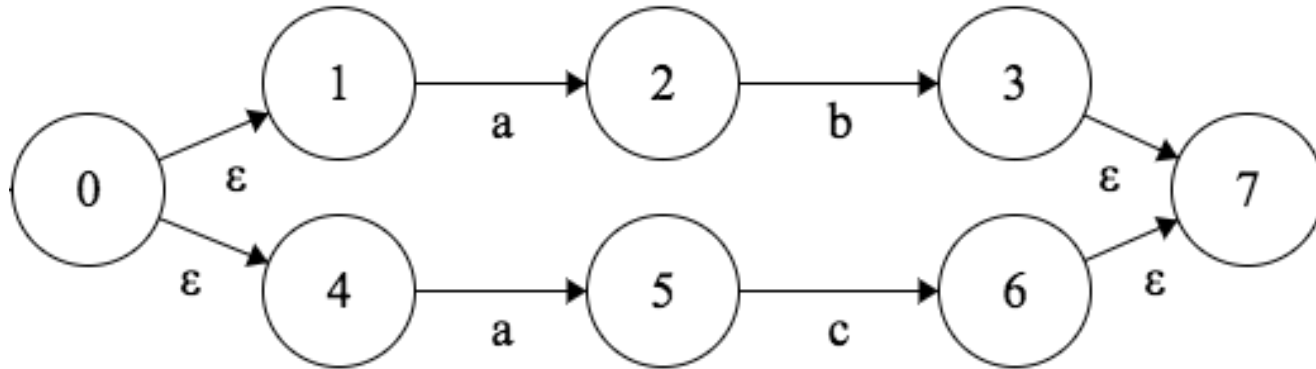
Draw an NFA for $(ab|ac)^*$

Begin by creating an NFA for “ab” and “ac”



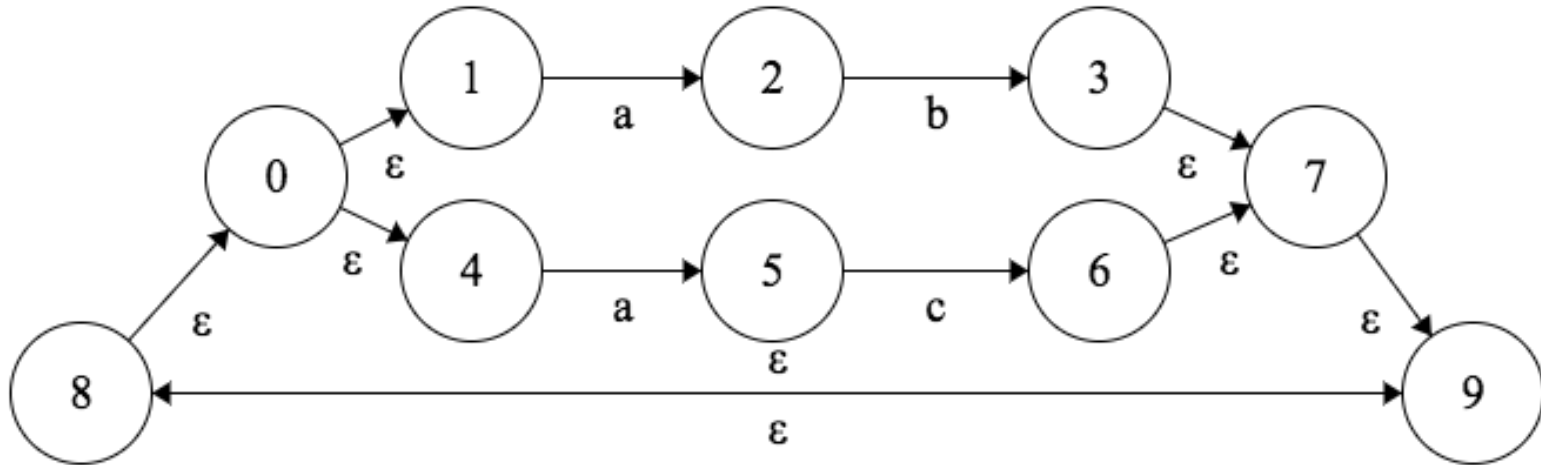
Draw an NFA for $(ab|ac)^*$

Next, find the union of “ab” and “ac”



Draw an NFA for $(ab|ac)^*$

Next, find the closure of $(ab|ac)$.



Regex to NFA Complexity?

If a regular expression “A” has size n , where...

$n = \# \text{ of symbols} + \# \text{ of operations}$

Then how many states does the NFA of “A” have?

Each union and closure operation adds just two states

$O(n)$, overall, which is pretty good!

Regex to NFA Practice

— — —

1. `a`
2. `a*`
3. `ab`
4. `(ab)*`
5. `(a|b)`
6. `(a|b)*`
7. `(a|b)*a`
8. Binary strings that start and end with 1

Bonus: Consider `e_closure` and `move` for each solution

Reducing NFA to DFA

Reducing DFA to RE

Minimizing DFA

Complement of DFA

Resources

Resources

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Finite State Machine Designer: <http://madebyevan.com/fsm/>