

TEXT: Highlighted text will be updated by Sunday at 11:59PM once we are able to finish implementation of Wheeler Graph

Abstract: Reference bias, the tendency for genetic analyses to favor sequences similar to a single linear reference genome, often leads to the misalignment or exclusion of reads, resulting in incomplete variant detection and biased genomic studies. Pangenomes address these limitations by capturing the full spectrum of genetic diversity within a species, incorporating core, accessory, and variant sequences to enable more comprehensive and equitable analyses. However, existing approaches for pangenome analysis, such as multiple sequence alignment, k-mer indexes, suffix trees, de Bruijn graphs, and variation graphs, exhibit distinct trade-offs in scalability, storage efficiency, and query performance. To facilitate greater adoption of pangenomes over traditional linear reference genomes, there is a critical need for novel data structures that can efficiently store and query the vast diversity of genetic information while maintaining computational feasibility at scale. This paper explores the application of Wheeler Graphs to pangenome storage and querying, leveraging their path coherence and compression properties. Wheeler Graphs allow for efficient pattern matching and storage by encoding repetitive sequences and their variations in a succinct, ordered structure. Using a dataset that includes 14 variants of the DMPK gene, a gene with clinically significant repeat expansions, we benchmark the Wheeler Graph implementation against custom implementations of k-mer indexes and suffix trees. **Results demonstrate that Wheeler Graphs achieve superior storage efficiency and competitive query performance while significantly reducing memory usage. These findings position Wheeler Graphs as a promising framework for scalable pangenome analysis, paving the way for more inclusive and accurate genomic studies.**

Introduction:

In genomics, accurate analysis relies heavily on the quality of reference genomes, with the reference genome serving as a benchmark for variant detection, alignment and other downstream bioinformatic applications. A major limitation of reference genomes is reference bias, where legitimate reads diverging from the reference genome - especially those from underrepresented populations - are prone to misalignment or outright exclusion¹. GRCh38, the current gold-standard human reference genome which is assembled primarily from individuals of European descent, is particularly prone to reference bias, with some studies demonstrating that up to 10% of the total human genome may be missing from the human reference genome². As a consequence, rare variants present in non-European populations are often not well characterized leading to incomplete disease models, biased population studies, reduced efficacy of precision medicine and even potential overlooking of valuable therapeutic targets³. For example, nonsense variants in the PCKS9 gene, significantly more prevalent in individuals of African ancestry (prevalence of 2.6%) are associated with substantial (88%) reductions in coronary heart disease risk. These findings, which were initially overlooked due to their rarity in European populations (prevalence of 0.006%) led to the development of evolocumab, which is a highly effective monoclonal antibody PCKS9 inhibitor¹.

In general, single linear reference genomes are fundamentally limited in their ability to represent the full array of genetic variation (i.e., single nucleotide polymorphisms, insertions, deletions, structural variants, and population-specific sequences) that naturally occur in the human species⁴. In recent years, pangenomes have emerged as promising solution to the challenges posed by reference bias. Pangenomes capture the full spectrum of genetic diversity present in a species via a core genome, highly conserved sequences present in all individuals, accessory genomes, sequences present in subsets of a population, and genetic variants⁵. By incorporating genetic variants into their structure, pangenomes mitigate the exclusion of reads that diverge

from a single reference, enabling more comprehensive variant detection. Despite their advantages, pangenomes are still not widely implemented, as scaling existing pangenome data structures (discussed below) to store hundreds of genomes is an intensive task that poses a significant computational burden⁶. We aim to address this issue by investigating the utility of Wheeler Graphs to efficiently store and query pangenomes for the purpose of variant detection.

Wheeler graphs are a special designation given to graphs that allow for a special ordering of their nodes. For a directed, edge-labeled graph to be Wheeler, the following criteria must be met⁷:

1. 0-indegree nodes come before all other nodes in the ordering
2. For all pairs of edges (u,v) and (u',v') labeled a and a' respectively:
 - a. $a < a' \rightarrow v < v'$
 - b. $a = a' \wedge u < u' \rightarrow v \leq v'$

These criteria create a consistent ordering of nodes and edges, which allows for efficient query and pattern matching using rank and select queries, similar to the search algorithm of the BWT-based FM index⁷. This specific type of alphabetical ordering also leads to path coherence. A graph is path coherent if nodes can be ordered such that: For any consecutive range of nodes $[i,j]$ and character c , the nodes reached by following edges matching c also form a consecutive range⁷. By leveraging path coherence, Wheeler Graphs can significantly improve upon the search and pattern matching performance of other graph structures, as all relevant nodes to the search will be clustered together. In addition to performing efficient querying and pattern matching, Wheeler Graphs are also good candidates for addressing the computational and memory resource burdens of storing long, repetitive sequences. This is particularly useful for mammalian organisms where approximately 25-50% of the genome consists of repetitive sequences⁸. In pangenomes, this repetition scales linearly with the number of genomes included in the pangenome⁹. The wheeler graph's path coherence property also allows for run-length encoding and compression^{10,11}. Using run length compression reduces the storage requirement of a wheeler graph to a constant factor which scales with the size of the alphabet (i.e., ACTG) instead of the number of genomes. Furthermore, bitvector representations of nodes and edges can further minimize the memory requirement of pangenomic representations.

We aim to benchmark our Wheeler Graph implementation against a custom Suffix Tree and K-mer index implementation by comparing the time it takes to perform the task of pattern matching a sequence to the pangenome.

Prior Work:

Data Structure	Storage Efficiency	Query Efficiency	Existing Implementations
Multiple Sequence Alignment	Low	Low	MAFFT ¹² , Muscle ¹³ , CLUSTALW ¹⁴ , DIALIGN ¹⁵ , PROBCONS ¹⁶ , ProDA ¹⁷ , T-COFFEE ¹⁸
K-mer Indexes	Low	Medium	PanKmer ¹⁹ , KMC ²⁰ , BFCounter ²¹ , Jellyfish ²² ,
Suffix Trees	Low	High	Mummer ²³ , GenomeTools ²⁴
De Bruijn Graphs	High	Low	mdBG ²⁵ , Bifrost ²⁶

Variation Graphs (SOTA)	Medium	High	vg ²⁷ ; PGGB ²⁸ ; Minigraph ²⁹ ; Minigraph-Cactus ³⁰
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Figure 1: Summary of Existing Data Structures to Represent Pangenomes

Various existing data structures have been developed to represent pangenomes, each offering trade-offs in scalability, computational efficiency and query performance. Through an extensive literature review, we categorized existing approaches into five distinct archetypes (summarized in Figure 1):

Multiple Sequence Alignment (MSA): The most trivial representation of a pangenome leverages multiple sequence alignment to align multiple linear reference genomes. This approach introduces gap characters to create a $G \times L$ matrix where G is the number of reference genomes and L is the length of the reference genomes. Each column in the matrix corresponds to homologous positions across the aligned genomes³¹. While there are some advantages to using such approaches (i.e., support for a variety of comparison tasks), these are largely outweighed by high computational costs and inability to capture more complex genetic variants (i.e., inversions and translocations). As such, even the most efficient methods (i.e., MAFFT, MUSCLE, or CLUSTALW) are limited to short genomic regions or highly similar genomes³².

K-mer Approaches: These approaches break input genomes into fixed-length k-mers. The size of these k-mers is flexible; however a size of 31 is often chosen to limit the rate of non-unique k-mers³³. Additionally, size 31 kmers have been shown to effectively capture variation in prior studies³⁴. In general, these approaches use data structures that are able to store and query the presence, frequency, and distribution of k-mers across genomes. The choice of data structure is variable in the approaches we looked at: PanKmer uses compact hash tables, KMC uses disk-based data structures, BFCCounter uses Bloom filters and Jellyfish uses lock-free hash tables. These data structures are typically constructed by iterating over input sequences to extract all k-mers, which are then inserted into the choice of data structure with associated counts or presence/absence flags¹⁹. Like MSA based approaches, k-mer based methods struggle to represent larger structural variants due to their local, context-independent nature and require significant memory and other computational resources for constructing and querying k-mer indices. As such, even with efficient algorithms, like sliding windows for k-mer extraction and optimized hash functions, these approaches are not suitable for large-scale pangenomes³⁵.

Suffix Trees: Suffix tree representations generally allow for efficient indexing and exact pattern matching by organizing all suffixes of a string into a hierarchical tree structure. Each edge represents a substring in the input genomes and each path from the root to a leaf corresponds to a suffix in the input genomes. No explicit suffix-tree representations have been developed for large-scale pangenomic analysis, but generalized suffix trees (GSTs) have been used to integrate suffixes from multiple genomes into a single tree. Some existing tools to create GSTs include MUMmer²³ and the GenomeTools suite²⁴. The primary advantage of using such representations is query efficiency, where presence/absence of a subsequence can be performed in $O(m)$ time where m is the query length. However, this is often not enough to overcome memory limitations, as the storage of nodes, edges and suffix links grows exponentially with the size and number of input genomes. Given that constructing a suffix tree for a single human genome is known to require gigabytes of memory, any pangenome representation is likely computationally infeasible³⁶.

De Bruijn Graphs: De Bruijn graphs are an improvement on the simpler k-mer based approaches by encoding sequences as nodes (representing unique k-mers) connected by edges that reflect shared overlaps of length $k-1$ ³¹. In a pangenome context, each unique k-mer across the entire set of genomes is encoded as a node, and

edges still denote overlapping k-mers (of length $k-1$)³¹. In practice, “colored” or “labeled” De Bruijn graph can be used to represent pangenomes, where each node is annotated with information about which genomes contributed the associated k-mer³⁷. Implementations of DeBruijn Graphs (i.e., Bifrost, mdBG, etc.) tend to be scalable and efficient to construct, making them good candidates to represent pangenomes. However, they can be difficult to interpret and have limited utility in downstream applications except for presence/absence queries⁶.

Variation Graphs: The current state of the art for pangenome representations are variation graphs. They represent pangenomes by directly encoding genomic variation into a unified graph structure built from whole-genome alignments rather than isolated k-mers³¹. In this representation, nodes correspond to genomic segments and edges link these segments to reflect the variation in sequence order and content across multiple haplotypes^{29,30}. Implementations of variation graphs tend to be excellent for explicitly modeling all of the complex genetic variants present in human pangenomes, are efficient for variant detection applications and are highly interpretable^{6,38}. However, constructing and maintaining variation graphs can be computationally intensive, leaving room for improvement⁶.

Methods and Software:

Datasets:

For our analysis, we tested each implementation on two datasets. The first dataset was a toy dataset derived from the lecture slides (pictured below). This dataset served as a baseline to verify the correctness of each implementation. While it allowed us to confirm that the implementations behaved as expected, its limited size was insufficient for any meaningful assessment of computational performance or scalability. To better examine query and computational efficiency, we selected a more biologically relevant target: the human DMPK gene. This gene is characterized by expansions of CTG repeats and is clinically relevant because these expansions cause myotonic dystrophy, a devastating genetic condition. The presence of variable-length repeats and structural complexity make it an ideal test case for benchmarking the capabilities of our different implementations, and we hypothesize that the Wheeler graph’s storage of variants will prove to be demonstrably more efficient in a genome of this structure. We obtained 14 DMPK variants³⁹⁻⁵² from the NCBI database ranging from 2,502 - 5,122 base pairs. We also considered expanding our analysis to entire microbial or yeast genomes, but found that the results derived from the DMPK gene variants were sufficient to highlight meaningful differences and characterize the progress of our benchmarking efforts.

Toy Dataset		DMPK Dataset	
Toy_1	row_raw_row_your_boat	DMPK_V1	5,122 base pairs
Toy_1	row_row_row_your_boat	DMPK_V2	2,799 base pairs
Toy_1	row_row_row_your_boat	DMPK_V3	2,784 base pairs
Toy_1	row_row_row_your_boat	DMPK_V4	2,780 base pairs
		DMPK_V5	2,858 base pairs
		DMPK_V6	2,502 base pairs
		DMPK_V7	2,647 base pairs
		DMPK_V11	2,795 base pairs
		DMPK_V12	2,854 base pairs
		DMPK_V13	2,662 base pairs
		DMPK_V14	2,709 base pairs
		DMPK_V15	2,844 base pairs
		DMPK_V17	2,752 base pairs
		DMPK_V18	2,694 base pairs

Figure 2: Dataset Structures. Individual sequences are concatenated into a dictionary structure where keys are the file name and values are the FASTA sequences.

Kmer Index

Implementation: We built a k-mer index to allow rapid lookups of genomic variants without relying on expensive full-sequence scans for each query. Each unique 31-mer (choice of k-mer size explained in the Prior Work section) serves as a key to a hash-based mapping data structure (i.e., a python dictionary). The associated value is a list of tuples, with each tuple containing the k-mer's starting position and an identifier for one of the genomes in which it occurs. This allows us to quickly determine the presence or absence of any given k-mer in the dataset, as well as pinpoint its exact location.

Variant Detection Algorithm: Break read into 31-mers. Check to see if all overlapping 31-mers exist in at least one genome. If the read exists in all genomes, it is likely to be part of a highly conserved sequence (i.e., core genome). If the read exists in a subset of the genomes, it is likely to be part of a variant sequence. If it exists in no genomes, it is likely a sequencing error.

Suffix Tree

Custom Implementation: We build the suffix tree by iteratively inserting every suffix from each genome in the dataset to the tree based on their prefixes. For each suffix, we traverse the tree from the root, comparing prefixes of the suffix to existing edges. If a partial match is found along an edge, we introduce an internal node to split it and insert the remainder of the suffix. If no match is found, we create a new leaf node. Internal nodes represent common prefixes of multiple suffixes, and leaf nodes mark endpoints of individual suffixes. Each node stores a list of sequence identifiers and the corresponding start indices of the suffixes that pass through it, enabling us to map every substring in the tree back to its original genomic context.

*STree Implementation*⁵³: For larger test cases where the custom implementation was less efficient, we instead used STree class from the suffix-tree library.

Variant Detection Algorithm: To identify variant nodes we compare the node's sequence IDs against both the parent node's sequence IDs and the full set of input sequence IDs. If the node's set of IDs differs from both the parent's and the universal set of all sequences, the node is designated as a variant node. We then apply this logic recursively to the node's children, continuing down the tree for all hierarchical levels.

DeBruijn + Wheeler Graphs

Implementation: To build a Wheeler Graph, we first build a De Bruijn Graph. To do this, we first extract all k-mers from our input sequences for a chosen k. Each unique (k-1)-mer becomes a node, and edges are drawn from one node to another whenever a k-mer connects them. Essentially, if a k-mer is formed by appending a character c to a (k-1)-mer X, it points to the (k-1)-mer Y formed by shifting one character forward. After constructing this graph, we export it as a .dot file and run it through the Wheelie package⁵⁴. If the resulting graph meets the requirements for a Wheeler graph, Wheelie produces the Gagie structure (I, O, L, and Nodes arrays), creating a more compact and efficiently searchable representation.

- DeBruin Graph is inherently wheeler as long as the nodes are not repeated ?
- Theoretically the succinct debruijn graph thing is ordered as wheeler i think because it's alphabetical based on the last character

Variation Detection Algorithm:

- Rank and select

Benchmarking

To assess the relative performance of the Wheeler graph, we benchmarked its performance against that of the more conventional k-mer index and suffix tree using Python's time and tracemalloc libraries for each of the two datasets (toy and DMPK) to track time efficiency and memory usage. While the smaller dataset ran smoothly, a challenge we ran into was the scalability of our proprietary implementations – especially that of the suffix tree for counting variants in larger genomes. To address this case, we borrowed from the PyPI suffix-tree library⁵³ to benchmark the larger DMPK genome.

Results:

Method	Build Time	Query	Found	Query Time
K-Mer Index	0.1680183411 seconds	GCTCCCTCTCCTAGGACCC TCCCCC AAAAG	True	0.0011921 ms
		CCTAGGACCCCCACCCCC GACCCTCGCGAAA	False	0.0004768 ms
Suffix Tree (Custom Implementation)	227.335535 seconds	GCTCCCTCTCCTAGGACCC TCCCCC AAAAG	True	0.0391006 ms
		CCTAGGACCCCCACCCCC GACCCTCGCGAAA	False	0.0181198 ms
Suffix Tree (STree Implementation)	1.097669 seconds	GCTCCCTCTCCTAGGACCC TCCCCC AAAAG	True	0.0259876 ms
		CCTAGGACCCCCACCCCC GACCCTCGCGAAA	False	0.0169277 ms
Wheeler Graph		GCTCCCTCTCCTAGGACCC TCCCCC AAAAG	True	
		CCTAGGACCCCCACCCCC GACCCTCGCGAAA	False	

Figure 3: Time Benchmarking Results for DMPK Dataset (using 31-mers). Green highlighted queries indicate conserved sequences. Non-highlighted queries indicate variant sequences. **ADD INFO ON RESULTS**

Method	Number of Queries Run	Peak Memory Usage
K-Mer Index	1	1.058808 MB
Suffix Tree (Custom Implementation)	1	238.053099 MB

Suffix Tree (STree Implementation)	1	37.70674 MB
Wheeler Graph		

Figure 4: Memory Benchmarking Results for DMPK Dataset (using 31-mers). ADD INFO ON RESULTS

Conclusions (1 page):

What did you learn? What should we come away with?

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