

## Article

# A crop modelling strategy to improve cacao quality and productivity

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**1 Abstract:** Cacao production systems in Latin America have a high importance over social and  
**2** economic development, facing the fight against hunger and poverty. Although Colombian cacao  
**3** has the potential to be in the high value markets for fine flavour, the lack of expert support as  
**4** well as the use of traditional, and often times suboptimal, technologies makes cocoa production  
**5** negligibly. Traditionally cacao harvest takes place at 5 or 6 months by calendar after flowering, the  
**6** problem is that the seeds are often unripe or over ripe germinating inside the pod affecting the  
**7** quality of the final cocoa products. Other environmental parameters that have more association  
**8** with pod maturation speed are not taken into account. Cacao fruits development can be considered  
**9** as the result of a number of physiological and morphological processes that can be described  
**10** by mathematical relationships even under uncontrolled environments. In this context, crop  
**11** models are useful tools to simulate and predict crop development over time and under multiple  
**12** environmental conditions. Since, harvesting at the right time can yield high quality cacao, we  
**13** parametrised a crop model to predict the best time for harvest cocoa fruits in Colombia. . Results  
**14** can be a practical tool that supports cacao farmers in the production of high quality cocoa. When  
**15** comparing simulated and observed data, our results showed an RRMSE of 7.2% for the yield  
**16** prediction, while the simulated harvest date varied between +/- 2 to 20 days depending on the  
**17** temperature variations of the year between regions. This crop model contributed to understand  
**18** and predict the phenology of cacao fruits for two key cultivars ICS95 y CCN51. The aim of this  
**19** study was to calibrate a crop model that simulates cacao crop development and yield, and predicts  
**20** the maturation day when the fruit is likely to be ready for harvest which will improve the quality  
**21** of the seeds.

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## 1. Introduction

Cacao (*Theobroma cacao* L.) is an important worldwide perennial tropical crop endemic to the South American rainforests [1–4]. Cacao plant is a member of the Malvaceae (formerly Sterculiaceae) botanical family such as cotton *Gossypium hirsutum* [5]. Cotton has been modelled in SIMPLE model [6]. Cacao is grown for its fruits, known as cacao pods [7,8]. Only the 5% of the world cocoa yield is destined for Fine-cocoa production due to the low productivity through the traditional crop management [3]. In Colombia, cocoa is traditionally consumed as a beverage. It is one of the crops promoted by the Colombian government in the social and agricultural development programs aimed at favouring peace in post-conflict regions [4,9]. This crop is grown by approximately 52.000 families [10] and 98% of production being carried out by small and medium-sized producers [11,12]. Colombia registered an increase of 3.750 tons in production in 2020 compared to the previous year [13].

36        Although Colombian cocoa has the potential to be in the high value markets for  
37        fine flavour [12], the lack of expert support as well as the use of traditional, and often  
38        times suboptimal, technologies makes cocoa production negligibly. The farmer practice  
39        of empirically harvesting from 5 to 6 months or 180 Days After Flowering (DAF) date,  
40        produced a mix of quality of cacao beans that are then fermented. **The problem is that**  
41        **the seeds are often unripe or over ripe germinating inside the pod affecting the cocoa**  
42        **quality.** This practice produces heterogeneous characteristics between each fermentation  
43        batch which potentially diminish the quality of the final cocoa product [14]. **Therefore, It**  
44        **is important to identify the best moment to harvest considering physiological responses**  
45        **affected by soil types , cultivar specifications and weather variables such as rain, solar**  
46        **radiation and wind.** Thus, for cacao production in Colombia, physiological simulation  
47        models may be valuable to predict the right moment to harvest cocoa fruits, hence it will  
48        improve the quality of seeds.

49        Climate change could severely impact cacao production as cacao crop is often  
50        described as being sensitive to climate change producing negatively impact its growth,  
51        productivity and yield quality [1,15]. However, there are some adaptive responses  
52        to mitigate negative climate effects, for example elevated CO<sub>2</sub> and tolerant varieties  
53        [15,16]. The role of genetic diversity in producing more resilient cacao to drought and  
54        temperature tolerance, or increased CO<sub>2</sub> response,, are presented in [17] .Thus crop  
55        models that include weather data can provide a tool to study the impact of climate  
56        change over the quality of cacao.

57        Crop models represent a quantitative assumption of plant growth depending on  
58        sunlight interception efficiency values and climate data supported by a large amount  
59        of empirical and ground data. [18]. Physiological crop models have shown to be very  
60        useful tool for provided agronomical advices and improvements of the cropping systems  
61        of annual crops mainly. Recently crop modelling studies are focusing on perennial  
62        crops production [1,6,19,20]. However, the information reported is less than for annual  
63        crops due to the lack of field data available , relatively high research costs and the  
64        difficulties of accumulated errors in long-term simulations [1]. For cacao there are  
65        approaches to predict yield mainly using algorithms of machine learning [13] and just  
66        one mechanistic model simulates physiological cocoa performance "SUCROS-cocoa" [1].  
67        This crop model calculates light interception, photosynthesis, maintenance respiration,  
68        evapotranspiration, biomass production and cocoa yield. It can be parametrised having  
69        data on cocoa physiology and morphology [1]. However, there is not specific cocoa  
70        physiology data available from small and medium-sized producers. Thus, we adapted  
71        the simple generic crop model (SIMPLE) that could be easily modified for any crop to  
72        simulate development, crop growth and yield using few parameters such as weather  
73        and cultivar specification [6].

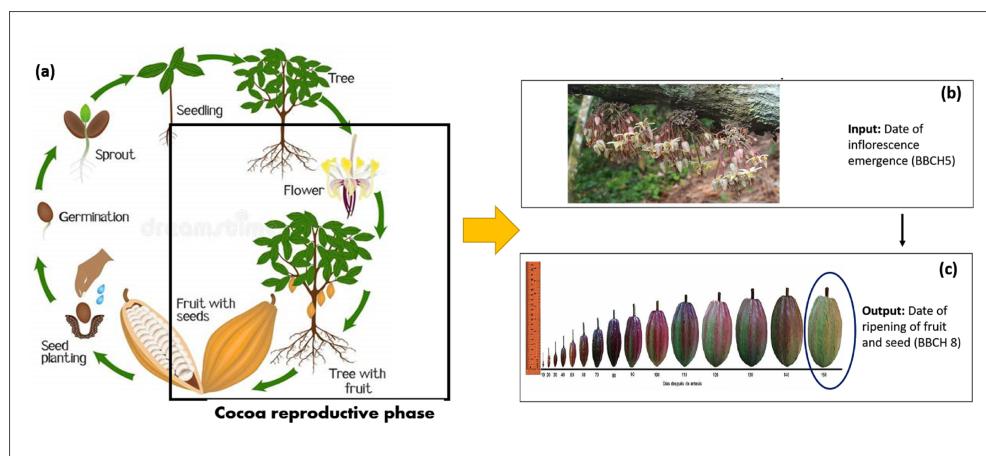
74        In this paper, we present a physiological parametrisation of the SIMPLE crop  
75        model [6] for cocoa to predict best harvest time and overall yield in the framework  
76        of KOCOLATL project, which is a collaborative work between Colombian partners  
77        (researches, farmers and organizations such as Fedecacao and BIOS) and researchers in  
78        NIAB from United Kingdom. We parametrised this crop model because it had already  
79        been successfully fitted to other tree crops in south America. Our aim was to calibrate  
80        a crop model that simulates crop development, growth and yield, and predicts the  
81        maturation day when the fruit is likely to be ready for harvest. Results can be a practical  
82        tool that supports cacao farmers in the production of high quality cocoa. Thus, making  
83        Colombian cacao more competitive in the Fine-cocoa market.

#### 84        1.1. *Floral Phenology of Cacao*

85        The phenological stages of a cacao tree are divided in two main phases, vegetative  
86        and reproductive. The reproductive phase (fig. 1, a) is represented by the floral phenol-  
87        ogy which goes from the date of inflorescence emergence (BBCH scale 5) (fig. 1,b ) to  
88        the date of ripening of fruit and seed (BBCH 8) (fig. 1,c ) [7]. The reproductive phase is

89 cyclically across two annual cycles which go through the following phases: inflorescence  
 90 emergence, flowering, pollination, fruit development and harvest. Therefore, to model  
 91 the cacao crop cycle as perennial plant, we regarded the start point of the cocoa crop  
 92 cycle as the inflorescence emergence date, as opposed to the sowing/emergence date  
 93 usually used to model annual crops (fig. 1.b). Therefore, the growth period of the fruit  
 94 could vary from 110 to 150 daa (days after anthesis) [21] when cacao fruits reach the  
 95 physiological maturity, but it can be harvested at 170 days daa [7] for quality purposes.

96 Since cacao is a cauliflorous plant, flowers grow on trunk and branches. Cacao trees  
 97 usually produce up to 10000 flowers per tree each year, 50 % of which do not develop  
 98 into ripe fruits (Personal communication with Fedecacao). Flower development takes  
 99 approximately 30 days across 12 micro-stages, from meristem growth (stages 1 to 6) to  
 100 the fully developed flower (Stages 7 to 12) [21,22] when it is ready to be pollinated. The  
 101 opening of flowers or anthesis occurs over a 12-hour period during the night and it is  
 102 synchronised between the groups of mature flowers [7]. However, the life of a flower can  
 103 last approximately 1 day after the opening, when it falls from the trunk if it is unfertilised  
 104 [7,23]. Subsequently, after anthesis, fruits growth for approximately 150 days until the  
 105 maturation, mucilage. Therefore, the complete maturation process of the fruit, from the  
 106 pollination to fully mature fruit, takes 160- 210 days [24]. The accumulation of lipids,  
 107 storage proteins and anthocyanin start about 85 days after pollination when fruits have  
 108 an active metabolism and seeds moisture content decreases up to 30% [7,25]. During  
 109 this phase the quality of cocoa seeds is defined.



**Figure 1.** Phenology of cocoa in Colombia for crop modelling.

Source: Taken from Dreamstime.com, phys.org [26] and [21]

## 110 2. Materials and Methods

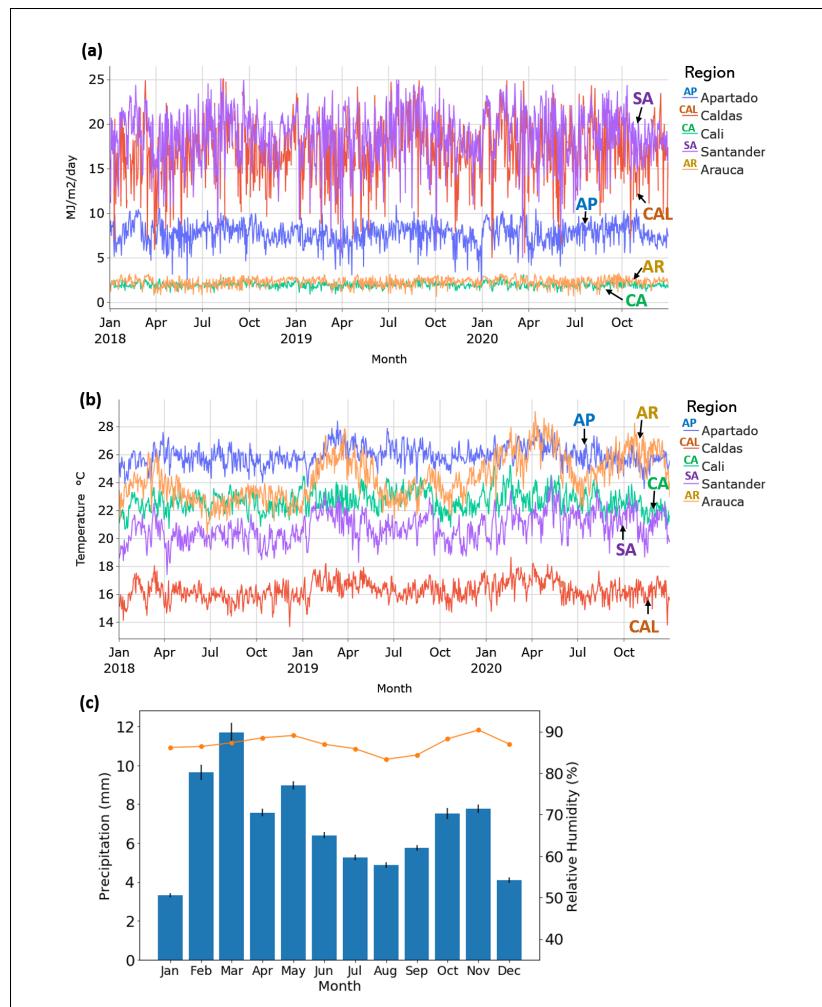
### 111 2.1. Test Site and Yield Production

112 To calibrate and evaluate the cacao model, 23 field data samples were collected  
 113 by Fedecacao, from five farms located in the geographic regions of Saravena (Arauca),  
 114 Rionegro (Santander), Cali (Valle del Cauca), Apartado (Antioquia) and Manizales (Cal-  
 115 das) (Fig.3, a). Each field sample reported an observed flowering date and corresponding  
 116 yield, for a given tree and month of the year. Field samples reported sights of 23 flower-  
 117 ing dates from 12-July-2019 to 23-June-2020. Each of these dates had their correspond-  
 118 ing date of harvest after exactly 180 DAF, the age of the trees, plant density ( $\text{trees ha}^{-1}$ ),  
 119 yield (dry beans  $\text{kg ha}^{-1}$ ) and number of fruits harvested per hectare. Thus, 23 flower-  
 120 ing dates for five farms gave us 115 samples in total.

### 121 2.2. Weather Conditions

122 We analysed and compared weather patterns linked our five geographical regions  
 123 (Fig.3, a) and across 2018 to 2020 . Looking at the data, the regions of Santander and

124 Caldas had the biggest variability and the maximum of solar radiation values over 20  
 125 MJ m<sup>2</sup>day<sup>-1</sup>. In contrast, the regions of Cali and Arauca presented the lowest values of  
 126 PAR below 5 MJ m<sup>2</sup>day<sup>-1</sup>. (Fig.2, a). Even though, Cali and Santander had contrasting  
 127 PAR conditions, these regions presented have similar temperature during 2020 (Fig.  
 128 2, b). The temperature ranges from 16 to 28 °C and it is relatively constant for each  
 129 region. However, Arauca presented the biggest variability with hotter months during  
 130 the first half of the year 2019 and 2020. Apartado was found as the hottest region studied  
 131 with a relative constant temperature of 26 °C and Caldas as the coldest site with 16 °C.  
 132 Precipitation in Colombia is presented in two seasons per year from February to April  
 133 and from October to November, while the relative humidity remain constant over 80%  
 134 (Fig.2,c). In general, the coldest regions tested (Caldas and Santander) had the maximum  
 135 values of solar radiation available for photosynthesis.



**Figure 2.** Colombian weather conditions.

(a), Available photosynthetic solar radiation (PAR). (b), Daily average temperature (c), Monthly average of precipitation (bars) and relative humidity (dotted line) from 2018 to 2021

136    2.3. Inputs and Data Acquisition

137       In order to model the phenology of the cacao fruit, linked to collected field data, the  
 138       cacao model required specific input variables such as Flowering Date (FD), Daily Solar  
 139       Radiation (SRAD), Daily Maximum and Minimum Temperature (TMAX, TMIN) and  
 140       Daily Precipitation, specific to a particular agroclimatic region. FD was extracted from  
 141       the field data, corresponding weather data linked to geographical region was sourced  
 142       from the POWER Data Access Viewer [27], from January 01 of 2018 to December 31 of  
 143       2020 (Fig.3, b). Weather data was processed using R (R 1.4 version) [28].

144       Since cacao yield depends on the successful development of flowers to form ripe  
 145       pods, the current view from Colombian farmers (personal communication with Fedeca-  
 146       cao) is that the highest flowering season occurs in September and January which suggests  
 147       that harvest occurs in March and July. These communication were given through weekly  
 148       online meetings of KOCOLATL project. However, the field data collected from Fedeca-  
 149       cao suggested that flowering and pod production were not constant for all the locations.  
 150       For example, pod harvest in the Caldas farm increased in May, and from October to  
 151       December, and decreased from January to March. The Arauca and Apartado farms  
 152       reported highest yield in the months of January, July, November and December. The  
 153       Santander farm had picks of production in March, May and September (Fig.3, b).

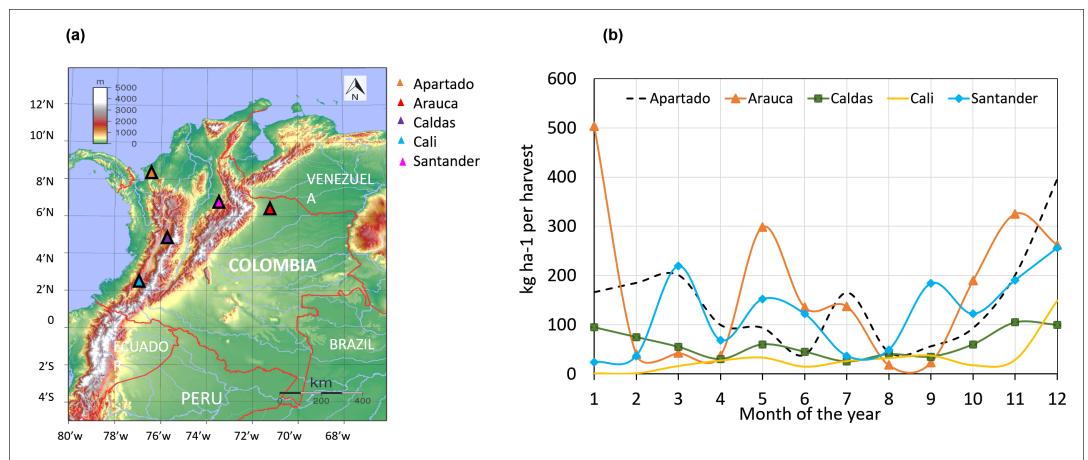


Figure 3. Cocoa production of five farms in different regions.

(a) Map showing the regions where farms are located. (b) Production per month (2019 - 2020) of five farms.

154    2.4. Thermal Time for Pod Harvest Date Identification

155       The cumulative sum of daily temperature from a reference day 0 is defined as  
 156       *Thermal time* and its units of measurement are in days degrees (days °C). That starter  
 157       point of 0 days °C generally is the planting date [29] but as indicated earlier, our cacao  
 158       model used FD as the starting point. Thermal time for the development of a cultivated  
 159       plant may consider the base temperature ( $T_b$ ), which is the minimum temperature  
 160       required by cacao plants to grow. In addition,  $T_b$  can vary between cultivars [30,31].  
 161       For cacao the vegetative growth  $T_b$  has been reported between 18.6 and 20.8 °C [31].  
 162       Nevertheless, the pod growth has a lower  $T_b$  which range between 9 and 12.9 °C [16,31].  
 163       We calculated the cacao thermal time with a pod growth  $T_b$  of 10 °C because it is the  
 164       absolute minimum temperature for cocoa growing in South America reported by [32], in  
 165       [16].

166       The thermal time required for the cacao crop model was characterised for each  
 167       location starting from FD (0 days °C) to harvest date (180 after flowering = 6 months), as  
 168       farmers used to harvest by calendar days. Thus, the cacao model predicts the maturation  
 169       day to harvest pods. It can vary depending on temperature variations. Thermal time was

170 calculated using the equation 1. Where tt is the cumulative sum of the daily temperature  
 171 ( $T_i$ ) and  $T_b$  for cocoa is 10°C.

$$\text{Thermal time (tt)} = \begin{cases} \sum_{i=1}^n T_i - T_b & \\ 0, \text{ Flowering date} & \end{cases} \quad (1)$$

### 172 2.5. Model Calibration

173 Calibration of any crop model is conducted typically for a particular cultivar and  
 174 agroclimatic region [33]. The cacao model was calibrated, for five particular regions  
 175 and two cultivars, by sequentially modifying the physiological variables and then  
 176 comparing the degree of similarity between observed and predicted data [6,19,34,35].  
 177 The original code of SIMPLE model from [6] was modified into the cocoa model. The  
 178 process of calibration stops when the distance between observed and simulated data  
 179 doesn't improved any longer. The cacao model has seven input files where new cocoa  
 180 crop data are provided. Files in the list below can be edited to define the features of  
 181 the new cultivars or experiments. Since cacao phenology has not been simulated with  
 182 the SIMPLE model, cacao physiological information such as Leaf Area Index (LAI) for  
 183 shade plants [1,36–38] and Harvest Index (HI) [39] were extracted from the current  
 184 literature and verified with Fedecacao cacao experts. Other variables such as Radiation  
 185 Use Efficiency (RUE) [40,41] were based on the perennial crops banana and cotton which  
 186 have been calibrated previously in the SIMPLE model [6] using RUEs of 0.8 and 0.85 for  
 187 banana and cotton, respectively. As cocoa trees usually grow under shadow [16], we  
 188 estimated by trial and error that lower RUE values (between 0.7 and 0.5 g MJ<sup>-1</sup> m<sup>2</sup>) than  
 189 those utilized by [6], was a most ideal range (table 1).

### 190 2.6. Parameters

191 This cocoa model has three parameters which vary by region (table 1): The thermal  
 192 time required for harvest after the FD (Tsum) , the Radiation Use Efficiency (RUE)  
 193 and yield observed on field. Physiological parameters in table 2 are common for all  
 194 the regions studied. These parameters were calibrate for cultivars ICS95 and CCN51  
 195 considering a range of time of 200 DAF to harvest day, even thought farmers collect the  
 196 pod at 180 DAF. Heat and water stress parameters were not considered as this study was  
 197 not assessing biotic o abiotic stresses.

Table 1: Cocoa crop parameter values used per region.

Region	Tsum	RUE	Yield*
Apartado	2906	0.6	3378
Arauca	2764	0.7	3981
Santander	2016	0.6	2687
Cali	1912	0.5	1900
Caldas	1192	0.6	740

RUE Radiation use efficiency (above ground only and without respiration)g MJ<sup>-1</sup> m<sup>2</sup>

\* Yield observed kg ha<sup>-1</sup> per year.

Table 2: Parameter values used to run the cacao model.

File	Variable name	Value
Treatment	SoilName	Loamy sand4
	InitialFsolar	0.01
	Weather	KOKO (.WTH file name)
	CO <sub>2</sub>	400 ppm
Observation	SowingDate	Flowering Date (FD)
	Crop cycle DAP	200 days
Cultivar	LAI	1.8
	FSolar	0.70
	Biomass	40kg dry mass per plant
Species	Harvest index	0.3
	150A	680 °C day
	150B	680 °C day
	Tbase	10°C
Species	Topti	26°C
	MaxT	35°C
	ExtremeT	40°C
	CO <sub>2</sub> RUE	0.09°C
	S-water	0 ARID index

S-water is associated drought stress evaluations ranging from 0 (no water shortage) to 1 (extreme water shortage) [6]

### 198 2.7. Evaluation of Model Performance

199 The cocoa model performance was evaluated by comparing simulated values cocoa  
200 yield with those reported by Fedecacao from cocoa plantations, using the statistical  
201 index of Relative Root Mean Square Error (RRMSE) in the equation 2, where n is the  
202 total number of observations, Y<sub>i</sub> corresponds to observed value from field and X<sub>i</sub> is the  
203 predict value from the model.

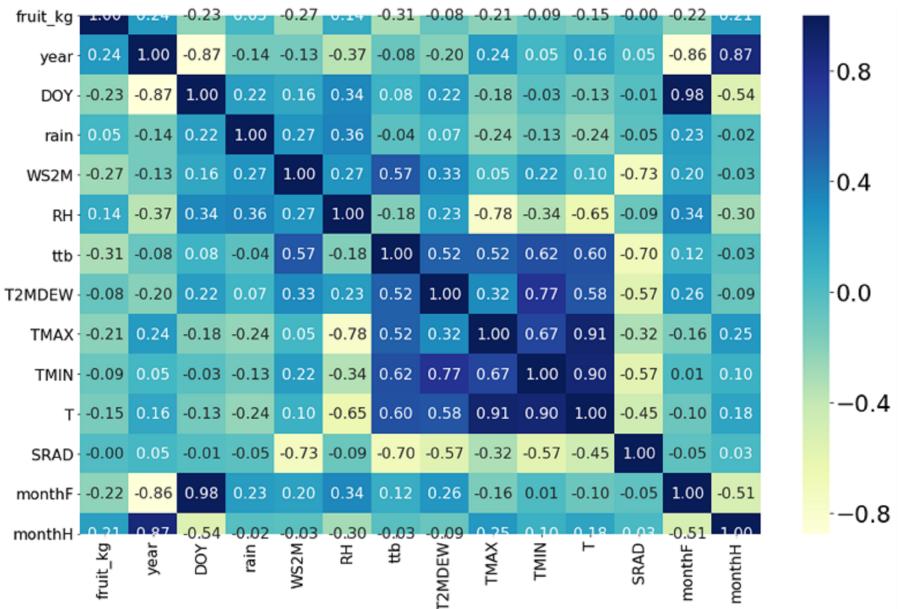
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$$205 \quad RRMSE = \sqrt{\frac{\frac{1}{n} \sum_{i=1}^n (Y_i - X_i)^2}{\sum_{i=1}^n X_i^2}} \times 100\% \quad (2)$$

## 206 3. Results

### 207 3.1. Weather Conditions Over Flowering Time

208 Figure 4 shows the Pearson correlation to study the weather data of the flowering  
209 time over the months of flowering (monthF), month of harvest (monthH) and their final  
210 yield (fruit\_kg). The results showed that thermal time T<sub>b</sub> (ttb) is correlated (P = 0.52)  
211 with daily average temperature and maximum temperature (TMAX) and temperature  
212 minimum (TMIN) and Dew Frost Point at 2 meters (T2MDEW) with a correlation  
213 coefficient of 0.60. The wind (WS2M) is correlated with ttb with 0.57. However, less clear  
214 correlations were found of monthF with T2MDEW, relative humidity (RH), WS2M and  
215 rain. Although, farmers stated that the number of flowers pollinated decrease by months  
216 where wind and rain are high (personal communication with Fedecacao), our analysis  
217 (Fig. 4) could not show a correlation between flower shedding and high wind or rain  
because information on number of flowers at FD was not part of the field data collected.



**Figure 4.** Pearson correlation average weather variables and FD for five locations in Colombia.

Numbers in the squares are the correlation coefficients

### 218 3.2. Thermal Time

219 Thermal time characterisation was made considering 180 DAF for each location.  
 220 The box-plot in the figure 5 shows the data distribution where boxes indicate the range  
 221 of the central 50% of the total data per region, the central line in the box is marking the  
 222 median value and lines draw out from each box mean the range of the remaining data.  
 223 Therefore, this boxplot shows differences between locations as was expected following  
 224 the tendencies of the temperature of the figure 2, (b), where Aparatado, Arauca and Cali  
 225 had greater temperatures than Santander and Caldas.

226 Therefore, Apartado and Arauca had the highest temperatures and consequently  
 227 the highest thermal time values with 2909 and 2764 days°C respectively. Caldas had  
 228 the lowest values with 1173 days°C. Meanwhile, Cali and Santander presented similar  
 229 thermal time around 2000 °C (table 1). The accumulated temperature during the pod  
 230 development (fig.5) depends on the region where cocoa is cultivated, and the variety  
 231 planted. Thermal time values are also proportional to the yield reported on field (table 1).

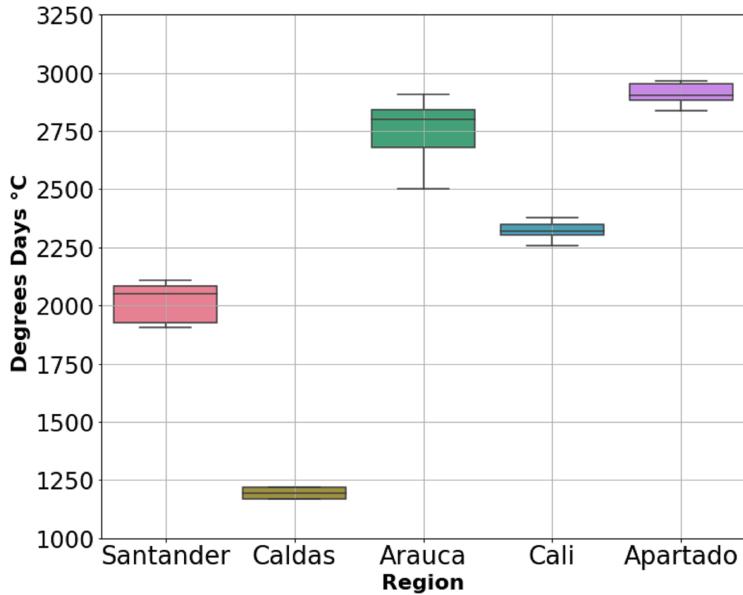
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### 233 3.3. Model Validation

234 Biomass production of the aerial part of the cacao tree was simulated which include  
 235 every organ of the plant that is over the soil surface. It is important to calculate how  
 236 much biomass from the crop aerial is partitioned to the cacao pods according to the  
 237 harvest index (HI) (table 2) as this quantity will correspond to the weight of cacao seeds.

238 Figure 6 (a) shows the daily biomass growth rate for five agroclimatic regions.  
 239 Biomass simulation is affected by the solar radiation, RUE [40,41], daily temperature,  
 240 atmospheric CO<sub>2</sub> concentration (ppm) and the fraction of solar radiation intercepted  
 241 by a tree of cocoa during the fruit development (fSolar) (fig. 6, b). We did not compare  
 242 predicted and observed Biomass as there were not field data corresponding to absorption  
 243 of solar radiation by the plants or of biomass production. Figure 6 (c) also shows that the  
 244 daily biomass growth rate increased proportionally with the yield production, suggesting  
 245 that it was possible to calculate the final yield as the product of accumulated biomass at  
 246 the harvest day and HI = 0.3 (Equ.3).

$$\text{Cocoa yield} = \text{Accumulated biomass} \times \text{HI} \quad (3)$$



**Figure 5.** Cocoa yield and thermal time characterisation at 180 DAF.

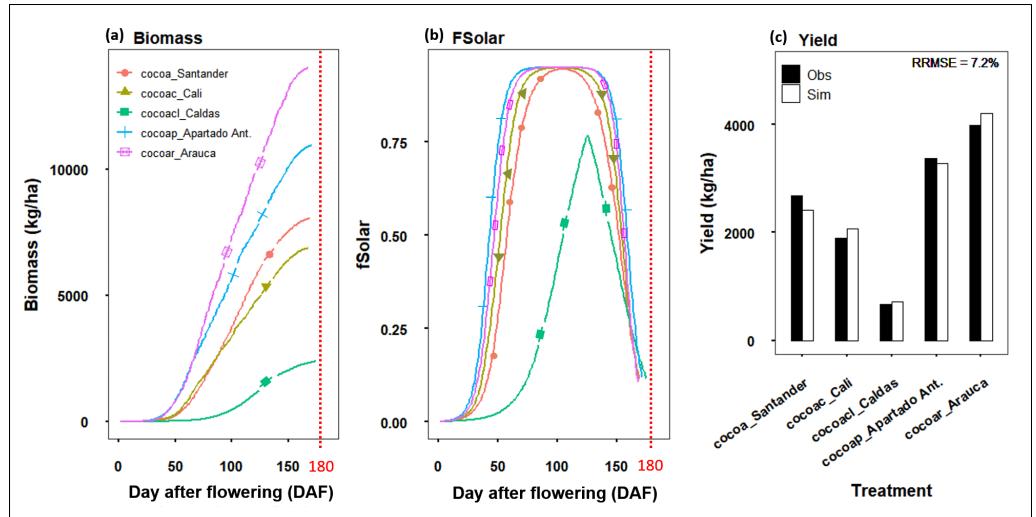
247        The fraction of solar radiation intercepted by cacao trees (fSolar) was also simulated  
 248        for the fruit development cycle. The relation of fSolar and RUE is strongly related with RUE  
 249        [40,41], LAI and hence the senescence of the canopy leaves [6,16,37,42–44]. Results in the  
 250        figure 6 (b) showed that all regions had a maximum fSolar of 0.94, except from Caldas  
 251        whose peak fSolar was at 0.76. fSolar-max was faster reached in the regions of Apartado  
 252        and Arauca. These fSolar-max values of solar radiation intercepted for photosynthesis,  
 253        lasted differently depending on the region and their solar radiation (Fig. 2, a) : Apartado  
 254        66 days from 69 to 135 DAF, Arauca 61 days from 72 to 133 DAF, Cali 38 days from 83 to  
 255        121 DAF, Santander 24 days from 92 to 116 DAF and Caldas 2 days at 125 DAF. fSolar  
 256        declined and hence the interception of solar radiation until the pod harvest day.

257        The RRSME has been used before to evaluated other crop model simulations [6,19].  
 258        Our results of cocoa yield simulation ( $\text{kg ha}^{-1}$  per year) and validation (table 1) achieved  
 259        a final RRMSE of 7.2% fit between simulated and observed data (fig. 6, c). The low  
 260        RRMSE indicates the simplicity of the Cacao model to simulated cacao yield. Although,  
 261        the original SIMPLE model can be used to asses heat and water stress, our cacao model  
 262        calibration did not accounted biotic (pest and diseases) and abiotic (heat or water stress)  
 263        factors that could have significant effects on the cacao production.

264        Individual errors per region are presented in table 3, where the best fit of the  
 265        calibration model for yield prediction was for crops in Apartado and the highest error  
 266        was calculated for Caldas crops. The model responded to the variations of temperature  
 267        and solar radiation. Therefore, the highest yield values simulated were obtained for  
 268        Arauca over  $4000 \text{ kg ha}^{-1}$ , followed by Apartado Santander with yields over  $2000 \text{ kg}$   
 269         $\text{ha}^{-1}$ . The lowest yield was simulated for the Caldas region with less of  $1000 \text{ kg ha}^{-1}$   
 270        . Final yield in the model was calculated as the product of biomass of aerial part and  
 271        harvest index (HI) [6,45], where the HI is similar to the CropSyst [46] and AquaCrop  
 272        [47].

Table 3: Summary of relative root mean square error RMSE for yield prediction using The cocoa model.

Region	Apartado	Arauca	Santander	Cali	Caldas	Overall
RMMSE %	3	6.05	10.06	8.5	14.90	7.2

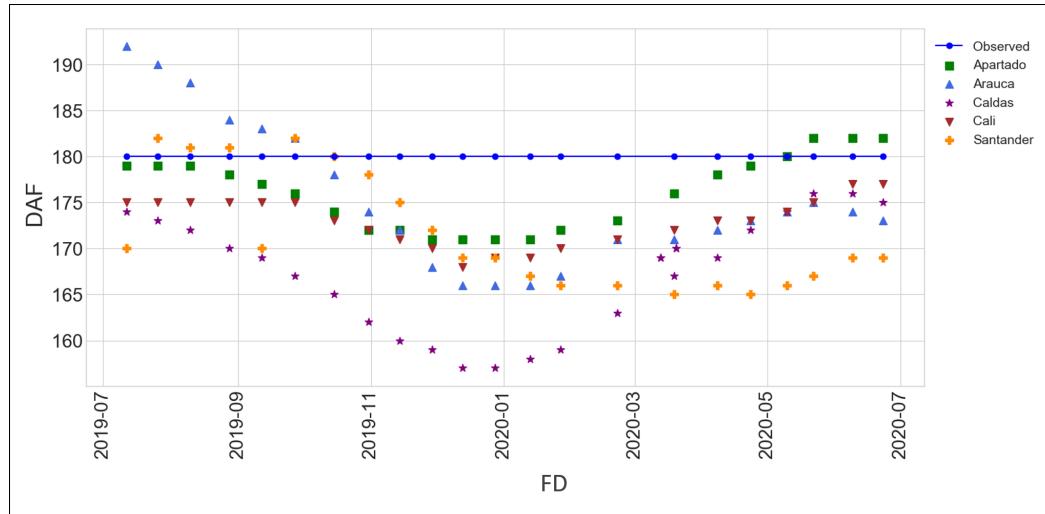


**Figure 6.** Model predictions. (a) Biomass aerial part. (b) Interception of solar radiation. (c) Yield. Crop cycle close to 180 DAF (vertical red line) base on figure 5.

#### 273 3.4. Predicting Optimal Pod Harvest Day

274 Farmers practice of empirically harvesting is about counting 180 DAF (6 months)  
 275 by calendar without taking into account weather changes or physiological features of  
 276 cacao cultivars. Since our cacao model was fitted to predict optimal pods harvest date,  
 277 we compared model predicted dates against the usual 180 days that growers and experts  
 278 count after the FD. Figure 7 shows observed day of harvest (180 DAF) independently  
 279 of the region. All the regions except Santander, presented the earliest predicted harvest  
 280 when the FD was between December and February. The fruit can be ready to harvest  
 281 before or after 180 DAF depending on the environmental condition per region. The  
 282 results in the figure 7 demonstrated that the traditional way to harvest which is always  
 283 at 180 DAF, it is not having account physiological and environmental conditions that  
 284 can be affecting the pod maturation. Thus, when the fruit development was simulated  
 285 the maturity day in Cali and Caldas were from 3 to 12 and 4 to 23 days before 180 DAF.  
 286 Apartado presented the most similar predicted dates of harvest to 180 DAF with 170 to  
 287 182 DAF. The pod may be harvest in Arauca from 165 to 193 DAF, Santander from 165  
 288 to 183 DAF, ten days less than in Arauca for the same months of flowering of July and  
 289 August of 2019 . Only Arauca presented longer crop cycles when the FD was between  
 290 during that period of time. This means that Arauca had bigger variation of temperature  
 291 between months. To summarize, depending on which month of the year cacao trees are  
 292 flowering, the number of days to reach the harvest of ripe pods vary more or less 180  
 293 days. For example, if cacao trees in Caldas are flowering in January, pods will be ripe to  
 294 harvest in about 158 days proximately (table 4).

295 .



**Figure 7.** Cacao harvest day prediction from FD for Apartado , Arauca, Caldas, Cali and Santander. DAF = Days After Flowering. FD = Flowering Date.

**Table 4:** Average of days to harvest according to the month of flowering.

Month	Santander	Arauca	Cali	Apartado	Caldas
January	166.5	166.5	169.5	171.5	158.5
February	166	171	171	173	163
March	165	171	172	176	167
April	165.5	172.5	173	178.5	170
May	166.5	174.5	174.5	181	175
June	169	173.5	177	182	175.5
July	176	191	175	179	173.5
August	181	186	175	178.5	171
September	176	182.5	175	176.5	168
October	179	176	172.5	173	163.5
November	173.5	170	170.5	171.5	159.5
December	169	166	168.5	171	157

Days to harvest cocoa after flowering are approximate, as these are results from the cocoa model simulations. Calibration was based on FEDECACAO reports from 2018 to 2020.

#### 296 4. Discussion

297 Cacao is one of the most economically important crops in Colombia. In 2016  
 298 Colombia produced a historical of 56K tons, up from 35K ten years ago, and over the  
 299 same period, imports decreased from 12.8K to 488 tons (FAOStat). Cacao has been  
 300 fundamental in the transformation of the rural economy dependent on illicit crops. As  
 301 such, Cacao is called the "peace crop", nominated by Colombia's President and 2016  
 302 Nobel peace prize winner, Juan Manuel Santos, and by the President of the Association

303 of Cacao Growers (Fedecacao). Although Colombian cacao has the potential to be in  
304 the high value markets for fine flavour, the lack of expert support as well as the use of  
305 traditional, and often times suboptimal, technologies makes cocoa production negligibly.

306 This study reports the cacao model which was calibrated for five agroclimatic  
307 regions in Colombia. The model simulates crop development, growth and yield, and  
308 predicts the maturation day when the fruit is likely to be ready for harvest. The purpose  
309 of this model was to help farmers achieve higher quality cacao beans by providing them  
310 with a tool that not only predicts optimal harvest date but also estimated a potential yield  
311 and biomass . The low RRMSE for yield prediction indicates the simplicity of the Cacao  
312 model to simulated cacao yield. Although, the original SIMPLE model can be used to  
313 asses heat and water stress, our cacao model calibration did not accounted biotic (pest  
314 and diseases) and abiotic (heat or water stress) factors that could have significant effects  
315 on the cacao production. Santander and Caldas have the highest solar radiation but the  
316 lowest temperature. In contrast , Arauca and Apartado have the highest temperature  
317 but the lowest solar radiation Weather analysis could not show a correlation between  
318 flower shedding and high wind or rain because information on flowers was not part  
319 of the field data collected. The growth cycle was simulated in terms of thermal time  
320 which was defined for the five regions tested, given the dependency to the crop model  
321 to predict the harvest day according to the weather variations while fruit are growing.  
322 The thermal time calculation was defined base on 180 DAF because farmers cut the pods  
323 by calendar days. Our results showed how the harvest day can vary depending on the  
324 accumulate temperature during each specific crop cycle simulated (Fig. 7).

#### 325 4.1. Weather Effects over Flower Stability and Pollination

326 Our analysis of weather variables (Fig. 4) could not show a correlation between  
327 flower shedding and high wind or rain because information on flowers was not part of  
328 the field data collected. However, farmers stated that the number of flowers pollinated  
329 decrease by months where wind and rain are high. High winds can affect the availability  
330 of tiny flies pollinators from Diptera order and from the families of of the biting midges  
331 *Ceratopogonidae*, genus *Forcipomyia* [48–50] to reach the cacao flowers. However, the  
332 stability of cacao flowers is influenced by seasonal wheather conditions (abiotic) and  
333 pollination (biotic) [51]. Therefore, pollinator population should be coincidence with the  
334 phenology of the flowering cacao trees [52,53]. Flower opening is very well synchronised  
335 between the cohorts of mature flowers opening each night [7]. The flowers open at  
336 almost exactly the same time and rate, irrespective of their position on the trunk. Thus,  
337 unfertilised flowers abscise from the trunk approximately 1 day after flower opening  
338 [7]. Hence more than 90% of unpollinated flowers fall or abscised within 32 hours after  
339 anthesis [54]. Abscission processed of flowers are mainly controlled by three hormones:  
340 auxin, ethylene, and abscisic acid (ABA) [54]. Ethylene generally promotes abscission  
341 because it may inhibit the transport of auxin from the leaf blade, which allow the action  
342 of ABA to promote the fall of flowers [55]. In general, environmental conditions can also  
343 stimulate to a decrease the auxin/ethylene relationship [54].

344 When analysing the data from Santanter region, we showed that the number of  
345 successful flowers pollinated to produce final yield could be affected by rain, TMAX  
346 and wind. Nevertheless, a better field data tracing flowers development is essential  
347 to understand if it is a mechanical or physiological effect. [56,57] indicated that the  
348 numbers of cocoa pollinators were reduced during the dry season, but increased in  
349 the wet season. This, could be due to midges need a moist environment to develop  
350 [51], which is difficult during the dry season as cocoa leaves create a dried ground mat  
351 [51,56]. Moreover, the lack of water during dry seasons may reduce the nutrients uptake  
352 provoking the massive flower drops [58]. For future studies, wind could be included in  
353 the cocoa model as an input to simulate this mechanical effects over number of flowers.  
354 In general, field data regarding counting flowers pollinated by month should be better  
355 reported for the region here studied.

### 356    4.2. Thermal Time for Harvest Day Predictions

357       We define the thermal time required to harvest cocoa pods for five Colombian  
358       regions as maturation of the fruit is related with temperature during the growth cycle  
359       [21]. Previous studies have calculated thermal time for different cocoa cultivar in Brazil  
360       and Ghana [31]. They also confirm that the fruit maturation time decrease in with an  
361       increase in temperature as was presented on others researches [31,59,60]. the effects  
362       of temperature and solar radiation on fruit growth and development was previously  
363       studied by [31], showing that crop under higher temperatures thought the crop cycle  
364       induce greater fruit losses because of physiological maturation (cherelle wilt). When the  
365       fruit is mature, seeds are able to germinate [21]. **However, when ripe fruits stay for a**  
366       **longer time on the tree without be harvested on the right moment, seeds can germinate**  
367       **inside the pod damaging cacao production for high-quality taste and affecting the final**  
368       **yield.**

369       Our results showed that the hotter regions such as Apartado and Arauca presented  
370       higher thermal time values (Fig. 5 and 2). Even though, Arauca had very low values  
371       of SAR but very high temperatures, this may be caused by clouds cover. The opposite  
372       can be seen for Caldas and Santander. These, extreme relations T/SAR can compensate  
373       the crop efficiency, for example in Santander (Fig. 6). The thermal time calculation was  
374       defined base on 180 DAF because farmers cut the pods by calendar days. Previous  
375       studies stated that evaluating the level of knowledge of growers regarding cocoa crop  
376       management, showed that the harvest was in the group of activities that presented  
377       the lowest level of information by the farmers [10]. That is why, these results present  
378       important temperature boundaries to predict fruit maturation day. Therefore, may be  
379       other environmental factors that should be studied for further research.

### 380    4.3. Cocoa Crop Model Simulations

381       Although cocoa is a relevant crop and there is an extensive agronomic literature,  
382       there is only one physiological crop model specific for cocoa so far is The (SUCROS-  
383       Cocoa) developed by [1]. However, the code was not easy available for adaptations. In  
384       contrast, the SIMPLE model has an open code in R, which we could adapt such a model  
385       would be very useful to compare yields and predict harvest date in different climates. As  
386       the harvest day was predicted from the FD (Fig. 7, consequently, biomass production and  
387       fSolar presented a crop cycle shorter than 180 DAF (Fig. 6, a and b). These simulations  
388       are coincident with results presented by [21], where physiological maturity of coca  
389       pod varies from 140 to 162 DAF. Our results showed how the harvest day can vary  
390       depending on the accumulate temperature during each specific crop cycle simulated (Fig.  
391       7). **Harvesting cocoa fruits at the right moment the quality of the seed inside the pod can**  
392       **improve avoiding the germination before collect the pod from cacao trees. Therefore,**  
393       **the cacao model can predict with more detail the optimum day to harvest the pod**  
394       **ensuring the quality of the cacao bean destined for Fine-cocoa products.** Fruit ripening  
395       is a highly coordinated developmental process that coincides with seed maturation [61]  
396       . As cacao is a non climacteric fruit, the pod needs be connected to the mother plant  
397       until maturation. Therefore, an indirect way to infer the seed quality is checking the  
398       pod quality. Pod quality can be determined as the physico-chemical changes occurring  
399       at the peel level, (including colouration changes, and chemical dynamical changes in  
400       metabolites production such as sugars, phenolics, fatty acids) which give information  
401       about the seed status. For instance, clear evidence of above occurs when cacao ripe fruits  
402       stay for a longer time on the tree without be harvested on the right moment, causing  
403       that seeds germinate inside the pod and damaging the cacao production for high-quality  
404       taste and affecting the final yield. An ongoing project conducted by BIOS is showing  
405       us the expression patterns for metabolites associated with cacao ripening. In this case,  
406       we found sugars, phenolic, fatty acids and flavonoids which according to the ripening  
407       stage change their expression. Furthermore, we are identifying biochemical markers of

408 fruit ripening. We are also connecting these chemical changes with seed quality. It let us  
409 understand how the ripen occur in cacao and suggest the best moment to harvest.

410 Biomass simulations use cocoa model presented similar predicted values (10000 Kg  
411 ha<sup>-1</sup>) for coca drops in Costa Rica using SUCROScocoa [1]. Biomass simulations are a  
412 common evaluation in crop models such as Sirius [33], SUBSTOR-potato [62] and DSSAT,  
413 CropSyst, STICS and WOFOST [63]. The approach of this research was focus on the  
414 harvest date prediction, hence the leaves crop cycle was evaluated indirectly this study.  
415 The fraction of intercepted photosynthesis active radiation (fSolar) decreased (Fig. 6, b)  
416 when the senescence of the canopy [1]. The relation of fsolar and LAI [16,37,38,42–44]  
417 and RUE [40,41] have widely been reviewed in literature . The production of photo-  
418 assimilates, dry matter and yield can be affected by LAI and RUE reduction [40,41,43,64].  
419 Therefore, LAI values are utilized to predict primary photosynthetic production and crop  
420 growth [1,37,38,43]. In cocoa canopy senescence referred to a group of leaves responsible  
421 at the moment of the fruit formation to produce carbohydrates. These leaves eventually  
422 drop becoming on litter over the soil. Leaves life cycle has been simulated using crop  
423 models [1,65], which can be the reference to improve our cacao crop model in future  
424 studies.

425 Yield prediction presented a RRMSE values 7.2%, which were significant lower than  
426 those presented for other crops using the SIMPLE model which reported a RRMSE of  
427 24.4% [6]. Resulting in reliable approach for cocoa yield prediction. **The low RRMSE for**  
428 **yield prediction indicates the simplicity of the Cacao model to simulated cacao yield.**  
429 Although, the original SIMPLE model can be used to assess heat and water stress, our  
430 cacao model calibration did not account biotic (pest and diseases) and abiotic (heat  
431 or water stress) factors that could have significant effects on the cacao production. In  
432 general, these results may help to improve the quality of cocoa seed considering the  
433 moment to harvest can be variable depending on weather changes.

#### 434 4.4. App Development

435 The cocoa model code was adapted to make easy the implementation of this cocoa  
436 model as an app to be used in smartphones and desktops by farmers in Colombia.  
437 Therefore, the new version for cocoa crop simulation will be used to predict yield, date  
438 of harvest and biomass production, inserting only the date of flowering and region. The  
439 app development is on charge of Grupo BIOS to be delivered to farmers in Caldas initially  
440 at the end of 2021.

#### 441 5. Conclusions

442 Cocoa fruit development for harvest in the right time depend on whether conditions  
443 and principles of crop physiology and flower phenology. This was common for the five  
444 regions. Thermal time characterisation range from 1200 to 3000 days °C, with a T<sub>b</sub> of 10  
445 °C for the fruit development. The cocoa model allowed to predict the harvest date with  
446 better precision than only considering days by calendar. Thus, the crop cycle of cocoa  
447 for harvest should be shorter than 180 days after flowering.

448 Results are only valid for the regions tested and they can be used for farmers in  
449 Colombia to improve the cacao quality. However, the model calibration and values of  
450 parameters used may be applied by the international community to test cacao crops in  
451 other regions calculating the specific thermal time using the SIMPLE model. Moreover,  
452 other cacao varieties and regions to test can be included. As for most of the crop  
453 modelling studies field data is extremely important . These results confirm the potential  
454 of the Crop Simulation Model approaches for tropical crops in Colombia and other  
455 regions in Latin America.

456 This research presented an initial crop calibration that can be improved with  
457 further studies, to include effects over the pod production by diseases, nutritional  
458 deficiencies and abiotic stresses. The future challenge will be that traditional farmers start  
459 to harvest more aware of the environmental effects over their crops. It will be necessary

460 that they engage growers with adapt founding from scientific studies. Moreover, It  
461 will be required the help of entrepreneurs, researchers, academics and non-specialized  
462 communities to transfers the knowledge to cocoa growers.

#### 463 6. Availability of Source Code

464 Software will be provided under user request. The data used in this study can be  
465 found as follows: kocolatl available in the research data repository.  
466 <https://github.com/anyelacamargo/kocolatl.git>.

#### 467 7. Author Contributions

468 ARV and AVCR model calibration and data analysis. PA and ODR field data  
469 collection. AMG, ARC, and ARV editing and results interpretations. ARV and AVCR  
470 were primarily responsible for writing the manuscript.

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