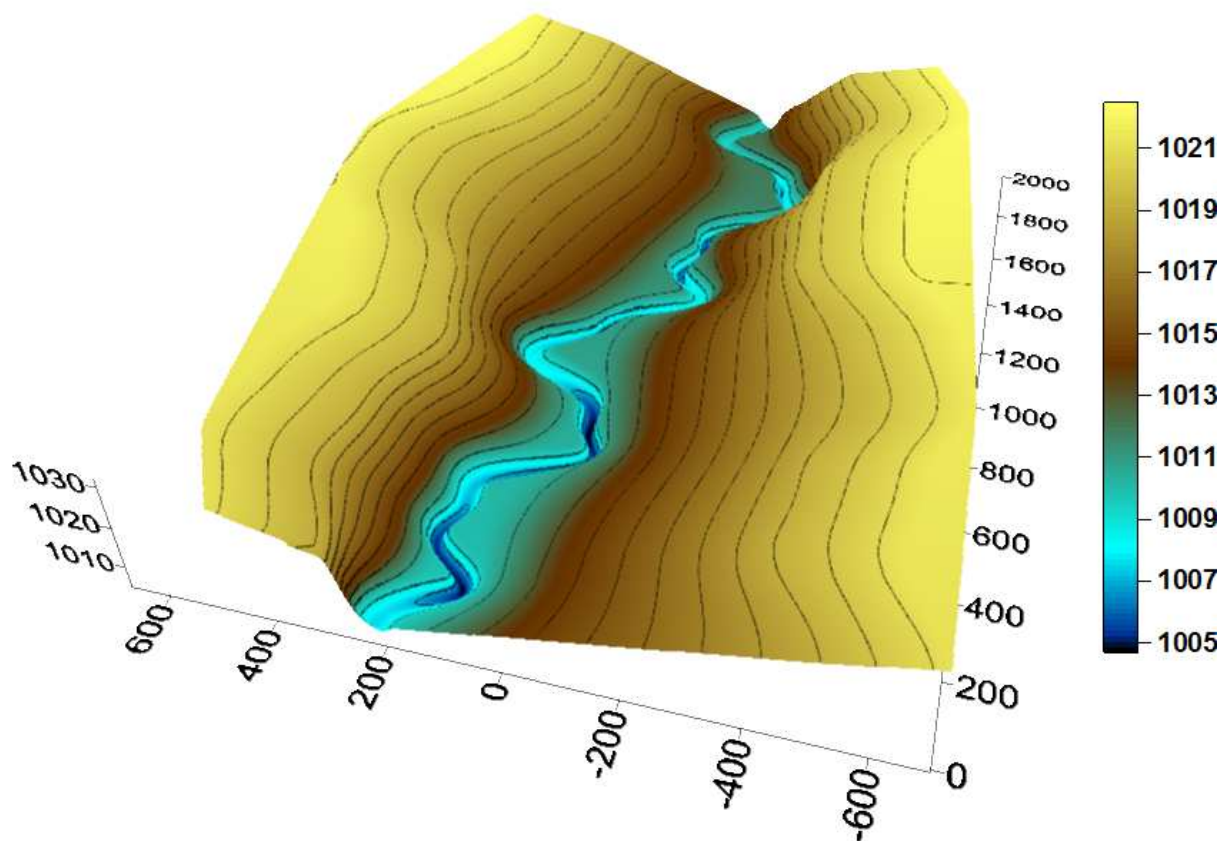


River Synth[©] *V1.1*

User manual for building synthetic digital
rivers

Rocko Brown, PhD

10/8/2015



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River Synth[©] *v1.1*

User manual for building synthetic digital rivers

Updates and new features:

- There are instructions and an example on how to create a synthetic channel within an existing terrain for river restoration design.
- There is now a minimum channel width cell you can use to limit width oscillations from overlapping.
- There is a channel boundary tab that allows you to easily export the centerline and channel width points to create bounding polygons for surface creation or 2D modeling.

Acknowledgments:

My advisor and collaborator **Dr. Greg Pasternack** (www.pasternack.ucdavis.edu) provided critical moral support and input in writing the manuscript during my PhD.

My collaborator **Wes Wallendar** provided critical discussions and input on the coordinate transformation and the use of notation in the manuscript.

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Introduction

Welcome to the first version of River Synth ©, an excel model for creating XYZ points for synthetic river valley topography. The theoretical basis for River Synth© is described in the publication, “Synthetic river valleys: creating prescribed topography for form-process inquiry and river rehabilitation design”, published in the journal, Geomorphology (Brown et al, 2014). For a longer version that is more comprehensive see my PhD dissertation (Brown, 2014). Further, I have several publications in preparation that show how you can use this tool for river restoration design and form-process inquiry.

This is intended to be a living document with the goal of explaining how to use an Excel version of the model to create synthetic river valley topography. If you have questions or ideas to make this better contact me!

The Origins of River Synth

When I first began my PhD I initially wanted to study waterfalls. At the time I was living in San Francisco and thought that if I could get a project near my home it would allow me to go to UC Davis while not having to move there. There are a lot of small waterfalls in the Bay Area I thought I would study but that didn't pan out for logistic reasons. My next option was to continue working on gravel bed rivers, but with so much research already done on these types of rivers I had trouble seeing where I could contribute. One day at lunch with my graduate advisor, Greg Pasternack, he suggested I try generating artificial rivers. At first, a swell of differential equation for water and sediment mass, momentum, and energy conservation smacked me in the head and made me feel there is no way I can create rivers using the full equations of motion – what I felt was the best way at the time. Well, I could, but I felt I'd be embarking on a decade long PhD. What I ended up doing was relying on my experience designing and building rivers in the real world from my experience as a consultant. In engineering applications there is a heavy reliance on the channel cross section and profile to convey both existing conditions and design scenarios. CAD programs typically require the user to specify an alignment (where the river is in horizontal space), a typical cross section and a profile and these are combined in surface modeling to create design topographies. A detriment to this approach is that it's not possible to use adjustable control functions for these elements that change with distance. Further, these programs are expensive and not freely available. I've tried to address some of these issues with this program, but it is not meant to compete either!

How to use it

Using the model is really simple. Overall, you set reach averaged variables and then use parameters of for each geometric element listed up top on the River Tweak tab. You can then take the XYZ coordinates from the tab XYZ and use them however you want.

Before getting into further details I'll provide an overview of the tabs.

River Tweak – This tab allows you to set variables and tweak parameters. Blue cells can be modified by the user, green cells are calculated based on inputs, and orange cells are constant. This is the main tab the user interfaces with and will be discussed in more detail later in this document.

On the top are the parameter cells for the amplitude, frequency, and phase for each geometric element with units of radians. For geometric elements such as the Alignment, Bankfull Channel Width, Bed, and Right and Left

Floodplains that have four columns the cells alternate across from cosine to sine twice (e.g. cosine, sine, cosine, sine) as in Equation 5 in Brown et al. (2014). These cells essentially describe the sub-reach variability of the synthetic channel. With four different superimposed waveforms the synthetic channels can be quite complex.

Some of the parameters have “practical limits” before the waveforms cross over each other. This can especially happen with high alignment and width frequency combinations.

Channel Model - This tab is where the channel model is formulated. As discussed later, this is where you can paste in a real world centerline.

Floodplain Model - This tab is where the floodplain model is built. You can also paste in real world coordinates here in place of modeled floodplain variability.

XYZ – This is the XYZ output of the synthetic river valley. You can take these points and use a separate program to visualize or model the surface you create. By producing only an XYZ it allows the user to drive subsequent steps with complete freedom using whatever software platform they desire.

Hidden Tabs – These tabs are hidden from the user but do all of the heavy lifting. If you want a version with those open just ask. All I ask is that folks who modify the spreadsheet share it with me so I can host them all here.

- **XS Model** – This tab is where the cross section model is built, creating asymmetric cross sections based on the channel curvature.
- **Coord Trans** – This tab transforms the river from s,n to x,y coordinates.
- **Covariance** – This tab calculates standardized residuals for each spatial series and the covariance between certain geometric elements.

Units

The model is built to be in metric. Each waveform parameter (cells in the red ellipse) has units of radians.

Versions

There are two main versions of RiverSynth available:

- RiverSynth V1.0 - SRV4H – this is a model that has pairs of alternating sines and cosines for the geometric elements.
- RiverSynth V1.1 - RealSimple – this is a single sinusoid model setup for importing centerlines for real world applications

Free Programs for Viewing and Analysis

Some free terrain programs for viewing and further analysis:

- VTBuilder (<http://vterrain.org/Doc/VTBuilder/overview.html>)
- Landserf (<http://www.soi.city.ac.uk/~jwo/landserf/download>)

Using the GCS to create idealized topographies

For RiverSynth dependent parameterization of the geometric model is contextualized by the spatial covariance of specific geometric elements, which is termed the geomorphic covariance structure (GCS). A GCS is a spatial covariance relationship between two or more geometric attributes in a river valley. For example, the serial covariance, $C_i(xy)$, between pairs x_i and y_i can be calculated from detrended and standardized series residuals, x_i and y_i by the product, $(x_i y_i)$. The basis for the use of a GCS in dependent parameterization is that alluvial river systems in dynamic equilibrium can have ideal relationships between the covariance of specific geometric variables, such as Z_T and W_{BF} or Z_T and C_S that can aid in dependent parameterization (Brown and Pasternack, 2012). Interpretation of a GCS is based on the sign of the covariances. For example consider three basic cases: (i) if $x_i > 0$ and $y_i > 0$ then $C_i(xy) > 0$, (ii) if $x_i < 0$ and $y_i < 0$ then $C_i(xy) > 0$, and (iii) if x_i or y_i are < 0 then $C_i(xy) < 0$. Thus, when $C_i(xy) > 0$ the local geometric elements covary and when otherwise do not. By thinking through how and where variables that control geomorphic process need to covary it is possible to design a GCS to operationalize a geomorphic process concept via tailored nonuniform, stage-varying channel forms to yield functional form–process dynamics.

Straight rivers

Straight rivers are really simple to build. Simply set all of the tweak parameters to 0 (e.g. no oscillations). That's it!

Bed and width undulations

As described in my dissertation and in an AGU presentation (Brown and Pasternack, 2012) rivers have distinct GSC's for different landscape settings. For the examples in Figure 2, a single waveform (Eq. 5; $p = 1$; $a_c, b_c, \theta_c = 0$) was used for Z_T and W_{BF} to illustrate the utility of GCS manipulation in creating straight channels with varying topographic surfaces (Figure 2). For Figure 2, $C_i(Z_T, W_{BF}) \geq 0$, which has the effect of creating topographic high points in areas that are locally wide and topographic low points in areas that are locally wide, consistent with geomorphic theory (Figure 2A,B). Using the sign of $z_{TR}(s_i)$ as an index for riffle and pool locations, where $z_{TR}(s_i) > 0$ are riffles and $z_{TR}(s_i) < 0$ are pools, station 100 would be classified as the center of a riffle where stations 0 and 325 would be pool centers. In contrast, S2 has $C_i(Z_T, W_{BF}) \leq 0$, which has the effect of creating topographic high points in areas that are locally narrow, which is also consistent with the conceptualizations envisioned in the first step of the modeling process (Figure 2C,D). Using relative bed elevation as to discriminate between riffles and pools, stations 50 and 125 would be the center of a riffle or step, while stations 18 and 88 would be pool centers. Together with independent parameterization for the reach-average variables the GCS of geometric elements that have simple control functions can create the topography of idealized end-member landforms associated with mountain and lowland settings.

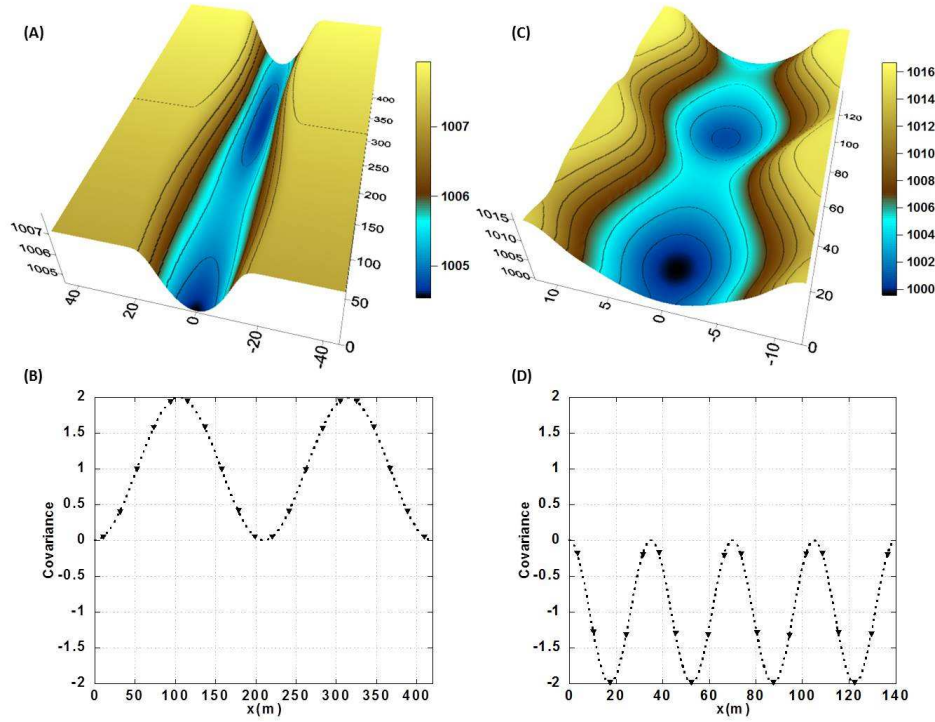


Figure 2. Topographic surface and GCS's for prototypical lowland (A,B) and upland (C,D) rivers illustrating the how varying GCS's can create different channel configurations. Contour lines are at intervals of 2m and labels are omitted for clarity.

Equilibrium meandering rivers

Alluvial lowland pool–riffle channels in quasi-equilibrium may be characterized as having quasi-oscillatory GCS patterns where $C_i(z_T, w_{BF}) \geq 0$ and $C_i(z_T, c_s) \leq 0$. To create a pool at each outside bend and a riffle at each bend apex, thalweg elevation and channel curvature were set out of phase by $\pi/2$ and centerline frequency was set to 1/2 of the thalweg frequency.

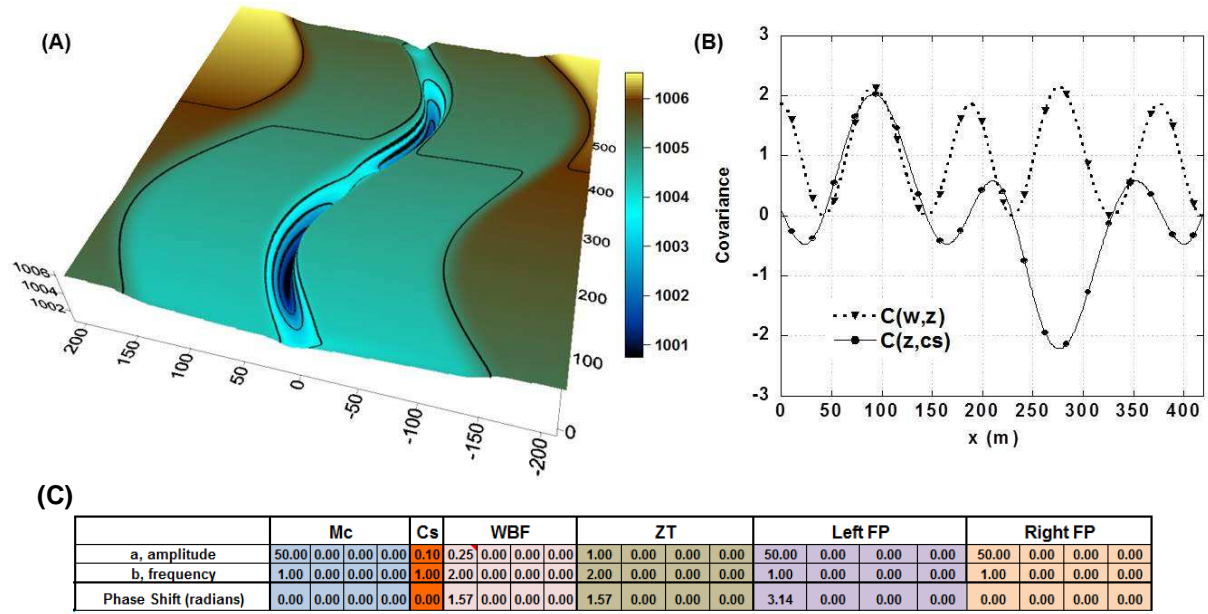


Figure 3. Topography (A) , GCS (B) , and tweak parameters using the 4h synth model (C) for a meandering river .

Valley Configurations

Until this point, the focus was on GCS's for channel elements without considering the relative structure of the channel planform, M_C , valley floodplain, and how floodplain features can be indirectly created through interpolation. To illustrate this let's evaluate how parameter manipulation can alter the GCS and model topography when $Z_{VF} > 0$ and focus on the interaction of M_C and the left and right planform coordinates of W_{VF} , that is for both $C_i(y_C, y_{LVF})$ and $C_i(y_C, y_{RVF})$. The examples in Figure 4 were parameterized so that $C_i(y_C, y_{LVF}) > 0$ and $C_i(y_C, y_{RVF}) > 0$, meaning that they covary along x_i . The opposite signs of $f(x_i)$ in Eq. (9-10) require that a phase shift of π be applied to one of the valley floor coordinates and in this case, the left valley floor coordinate, $y_{LVF}(x_i)$, so that both sides follow $y_C(x_i)$. For such a GCS (Figure 4C) the topography has features indirectly created through interpolation as stations 500, 1000, and 1,500 that visually appear to represent gradual hillslopes that fall down to the channel edge (Figure 4A). When the phase shift for $y_{LVF}(x_i)$ is removed and added to $y_C(x_i)$ however, the GCS, $C_i(y_C, y_{LVF})$ is ≤ 0 and has an oscillating structure that is symmetrical to $C_i(y_C, y_{RVF})$ (Figure 4D). The topography then has features akin to an alluvial fan (Chant et. al., 1999) at stations 0, 1000, and 2000 (Figure 4B). Regardless of the harmonic organization between channel meandering and valley floodplain, when $Z_{VF} = 0$ a broad, flat floodplain is created, but no indirect valley features are created via interpolation. This

illustrates that while planform GCS's can be useful in contextualizing relationships between geometric elements, consideration of vertical relationships is also important in creating 3D topography within the channel and floodplain.

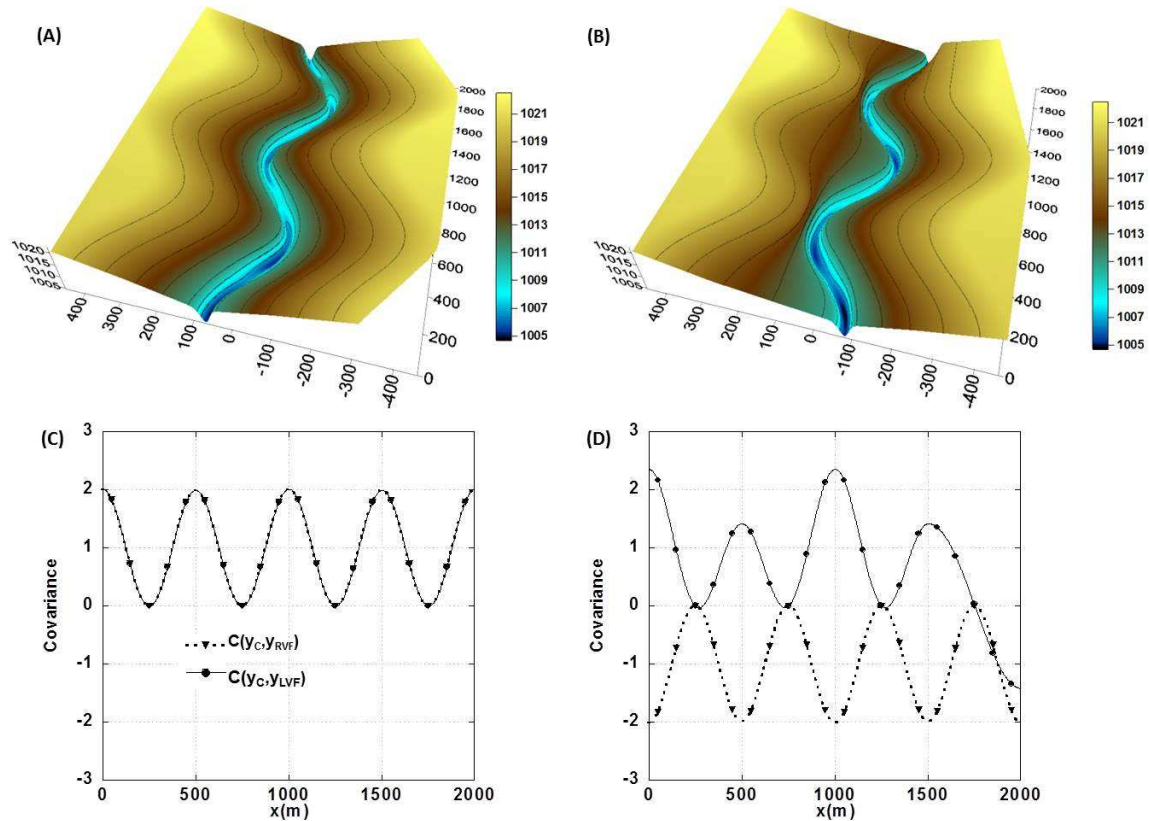


Figure 4. Surface topography and GCS illustrating the use of the GCS between channel and valley elements in creating indirect topographic features. Refer to text for descriptions.

Designing channels with form-process assemblages

In this section I'll briefly describe how we can create topographic surfaces with form-process assemblages. What I mean by form-process assemblages is that certain landforms create certain hydraulic and geomorphic processes. For example, if we think of a river valley leaving the headwaters of a mountain range and opening up onto the valley floor we can appreciate that the change in topography from being confined and steep to unconfined and flatter reduces water speeds and can lead to deposition of whatever the water is carrying. Another example is a waterfall step where flowing water plunges over and creates a scour pool. Both of these examples, while at different spatial scales have distinct processes of water flow (and thus sediment transport) associated with the topographic form.

While this concept is relatively new, we have dialed in one specific process associated with riffle-pool maintenance. This is discussed in chapter 4 of my dissertation (Brown, 2014) as well as in a publication currently under review (Brown et al., Submitted). For the remainder of this section I will summarize the key ideas.

For riffle-pool couplets a tremendous amount of research into their maintenance and formation suggests an ideal GCS, where at some channel forming flow the topographic high points have wider flow widths than topographic low points (Keller, 1978; Carling and Wood, 1994; Thompson et al., 1999; Wheaton et al., 2004; MacWilliams et al., 2006; Harrison and Keller, 2007; Caamano et al., 2010; Sawyer et al., 2010; Thompson, 2010; White et al., 2010; Rhoads et al., 2011). Therefore, the GCS needed for RP unit maintenance requires positively covarying bed and bankfull width oscillations. On the basis of 1D analysis there is quantitative guidance on the relative variations of width and depth needed for flow convergence that can be used to further quantify the GCS (Carling and Wood, 1994; Caamano et al., 2009). Using a 1D hydraulic model Carling and Wood (1994) found that they need to be 50% wider than pools or pools need to be rougher than riffles for a reversal in mean velocity. Caamano et al (2009) developed a state space that suggests that both width and depth variations are controls on whether a flow reversal occurs. Specifically, for uniform roughness and assuming equal head losses they show that width variations need to be greater than depth variations for a convergence or reversal. The Caamano relationship is:

$$\frac{W_r}{W_p} = 1 + \frac{h_r}{h_{res}} \quad (1)$$

where h_r is the flow depth over the riffle, h_{res} is the residual pool depth, W_r is the width of the riffle and W_p is the width of the pool at the bankfull discharge. For simple case where bed and width undulations are modeled by a sinusoid and are in phase with the same frequency we can mathematically relate the SRV model to the Caamano relationship. Based on the fundamental SRV equations (Brown et al., 2014), h_r is equal to $\overline{H_{BF}}$. The residual pool depth, h_{res} , can be determined as the vertical difference between the maximum and minimum bed undulations (e.g. the riffle crest and pool trough, respectively) and accounting for the fact that the bed is sloped. The residual pool depth, h_{res} , can be determined as:

$$h_{res} = 2\overline{H_{BF}}a_Z - \pi \frac{\overline{W_{BF}}}{b_Z} \bar{S} \quad (2)$$

where a_Z is the amplitude of bed undulations and b_Z is the angular frequency. Assuming there are no phase shifts the relative widths are given as:

$$W_r = \overline{W_{BF}}(a_w) + \overline{W_{BF}} \quad (3)$$

$$W_p = -\overline{W_{BF}}(a_w) + \overline{W_{BF}} \quad (4)$$

Combining equations 1-4 yields:

$$\frac{\overline{W_{BF}}(a_w) + \overline{W_{BF}}}{-\overline{W_{BF}}(a_w) + \overline{W_{BF}}} = 1 + \frac{\overline{H_{BF}}}{2\overline{H_{BF}}a_Z - \pi \frac{\overline{W_{BF}}}{b_Z} \bar{S}} \quad (5)$$

and illustrates how one can adjust a terrain with the state space of the Caamano criteria using the reach-averaged input values and a_Z , a_w and b_Z for when the frequency and phase of the waveform are equal for Z_T and W_{BF} . Below are five surfaces, where the forth one (Error! Reference source not found.G,H) follows equation 5 above.

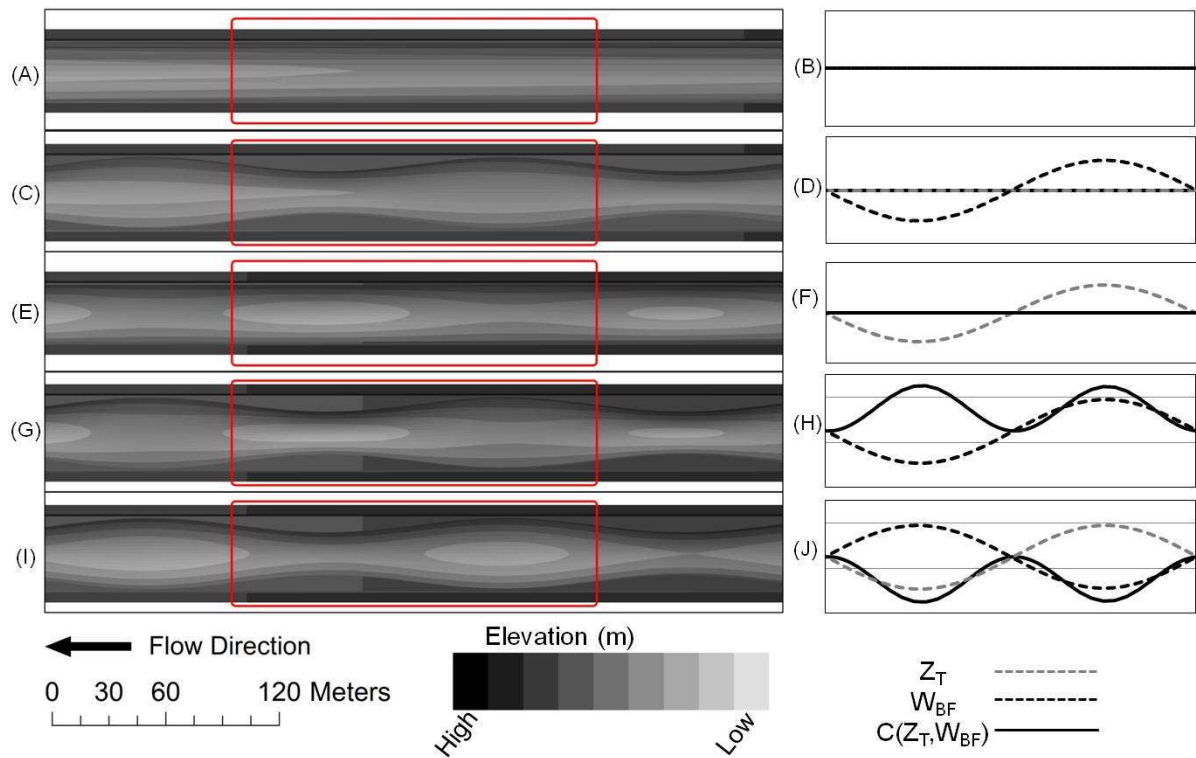


Figure 5. Synthetic test beds and dimensionless bed and width profiles for the synthetic test beds analyzed in this study. All scenarios have the same slope of 0.002. S1 (A,B) has no bed or width undulations, S2 (B,C) has only width undulations, S3 (D,E) has only bed undulations, S4 (F,G) has bed and width undulations that covary in phase and S5 (H,I) has bed and width undulations that also covary but are out of phase.

While the surfaces may seem trivial they highlight how the topography can be easily adjusted and how different covariance patterns create different channels. To show why these matter, we have to appreciate that riffle-pool maintenance is founded on covarying bed and width oscillations that create reversals in velocity or shear stress between low and high flows. To show this, Figure 6 shows plots of relative bed elevation and bankfull width and water surface elevation and shear stress for a base and bankfull flow discharge. Note that the only surface that has a reversal is the one with both bed and width undulations in phase, consistent with theory.

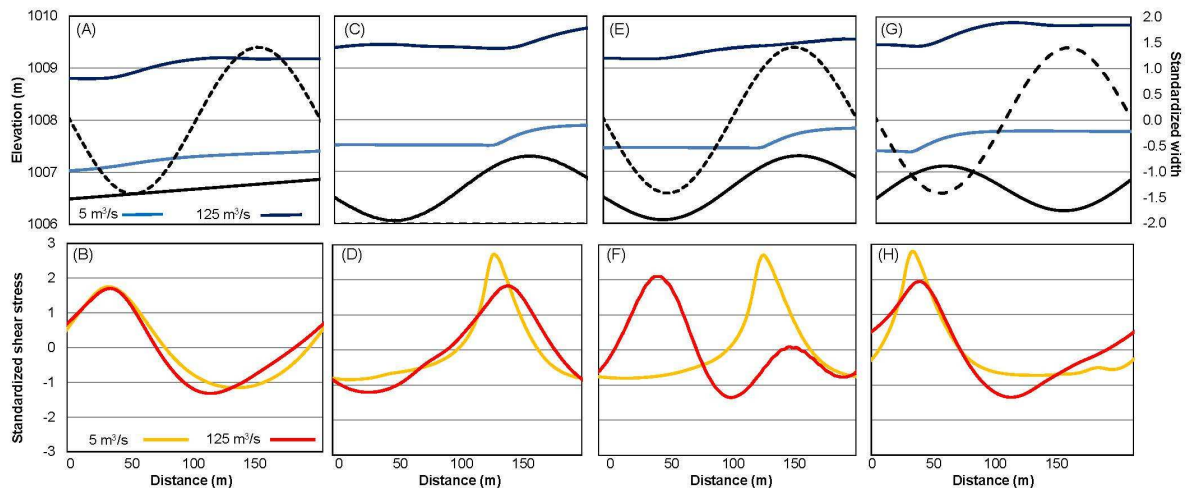


Figure 6. Top: Plots of relative width (black dashed) and relative bed elevation (solid black), and water surface elevations at 5 (light blue) and 125 (dark blue) m³/s for scenarios 2 through 5. Bottom: Plots of shear stress at 5 (orange) and 125 (red) m³/s for scenarios 2 through 5. Note that scenario 1 is not shown because there is no topographic or hydraulic variability. Note that a reversal in peak shear stress only occurs for S4 (E,F).

Creating a nested channel for river channel creation in the “real world”

Overview

The real world examples using the “RiverSynth V1.1-RealSimple” excel books. Before we get into details there are some basics we needed to discuss. Since we are creating a new channel(s) we need to specify some basic parameters and also require some essential data. We obviously need a DEM that we can nest/embed the synthetic channel into. Also, as with any SRV, we need to determine the average bankfull width, an equilibrium slope, channel typology for each segment, and the spacing of riffles and pools. Then, to embed your SRV into a terrain are several steps as shown in Figure 4.

1. Specify a design corridor that the SRV will go into.
2. Convert your DEM to contours and clip everything out within your model domain. If you are working with points then exclude or delete all existing data within your design corridor.
3. For each of the channels a centerline was drawn in ARCGIS and discretized at approximately 1m (Figure 7).
4. Next, these centerlines were used as inputs in the RiverSynth program to create topographic models for each channel. The order of point's matters, so look at what your direction is relative to how the model is setup.
5. Once the centerlines were added, geomorphic covariance structures were used to parameterize the joint variability of the bed and bankfull width variability.

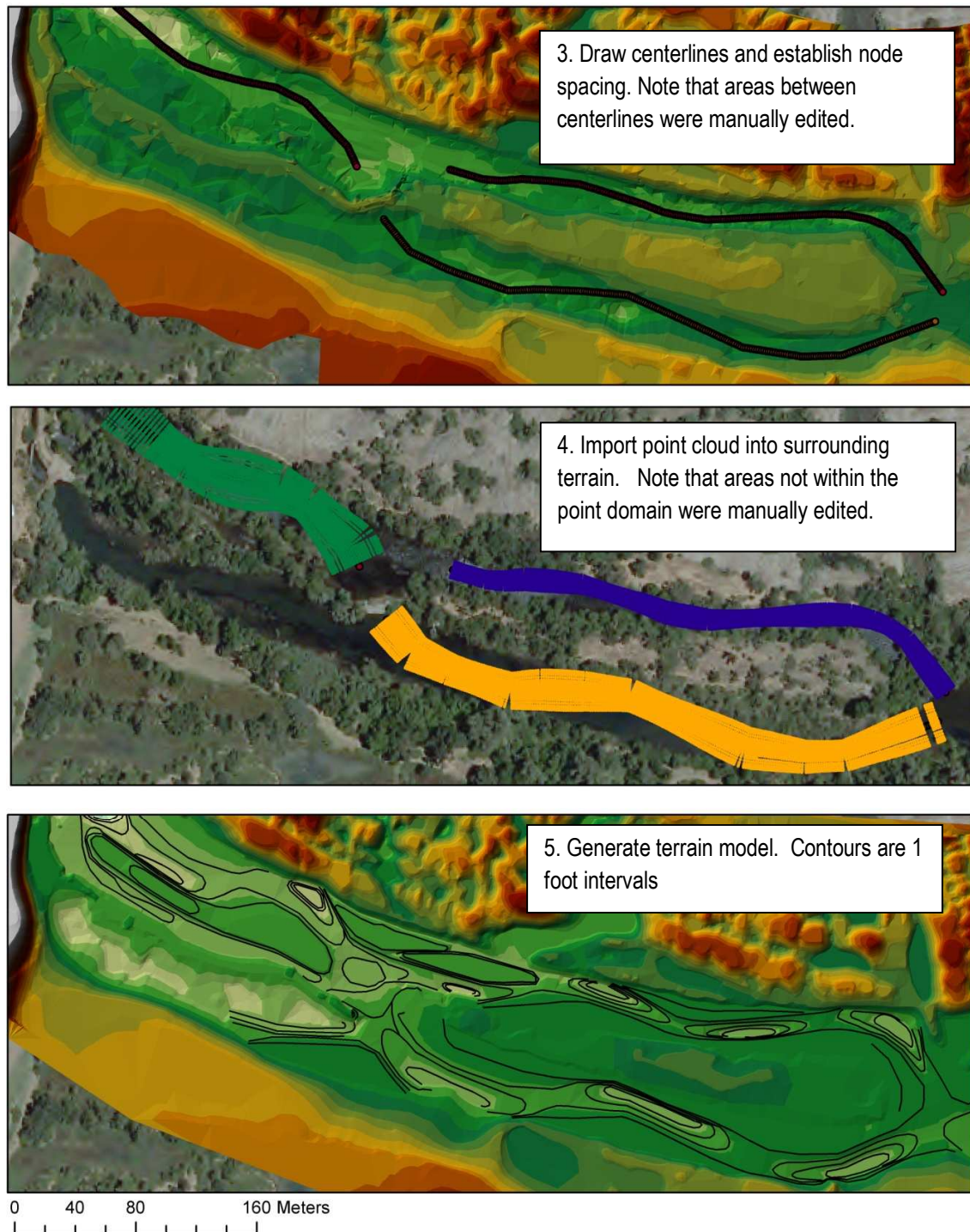


Figure 7. Basic ARCGIS steps for nesting a SRV model into a real world terrain. Based on subsequent modeling of the final composite terrain, each river reach can be adjusted to optimize physical habitat and the occurrence of hydrodynamic and geomorphic processes.

While Figure 7 shows the basic steps in nesting a synthetic river valley in a terrain, it doesn't highlight the ease at which the surface can be manipulated. To show this, Figure 8 is a plot of two scenarios and their geomorphic covariance structures (for bed elevation and width and bed elevation and curvature). For these examples, the phase of bankfull width was shifted from scenario 1 to scenario 2 shifted, resulting in scenario 1 having wide riffles and narrow pools, while scenario 2 had narrow riffles and wide pools.

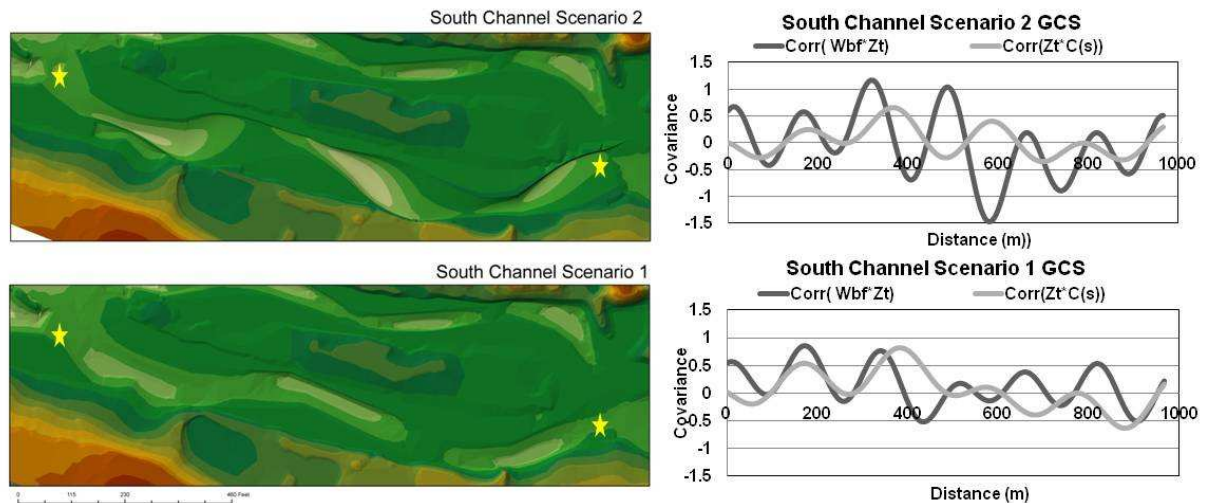


Figure 8. Examples of channel structure manipulation for the south channel. Profiles start at the yellow star on the left and go upstream in distance to the right yellow star. The channel topography for each scenario was adjusted by altering the phase of the GCS between bed elevation and width, showing how riffle and pool widths can be adjusted.

Side channel design example

This example shows you how to create an SRV for a side channel design and is more detailed than the above example. For this example I used ARCGIS®, although other platforms are possible for terrain editing and visualization.

1. Convert existing topography to contours. Give some thought into the needed contour intervals. This will affect how much time is needed to clip and modify the existing terrain for the SRV.
2. Modify the contour data set by clipping out the appropriate areas for the SRV, creating a clipped corridor. At this point you may also want to manually grade transition or non SRV areas.
3. Draw a centerline for the SRV through the clipped corridor and measure the length. In the current RiverSynth® program (V1.1) there are 758 nodes, so you want to generate nodes along the centerline in ARCGIS at a spacing equal to: length of centerline divided by number of nodes. In this example the centerline is 619 feet long, so the node spacing is ~0.8 ft. Now you can create points along your centerline spaced at 0.8 ft. I usually use ET Geowizards for this by first densifying the centerline at the appropriate

node spacing and then Once that is done, add fields for X and Y, and use the 'calculate geometry' function in ARCGIS© to determine their coordinates.

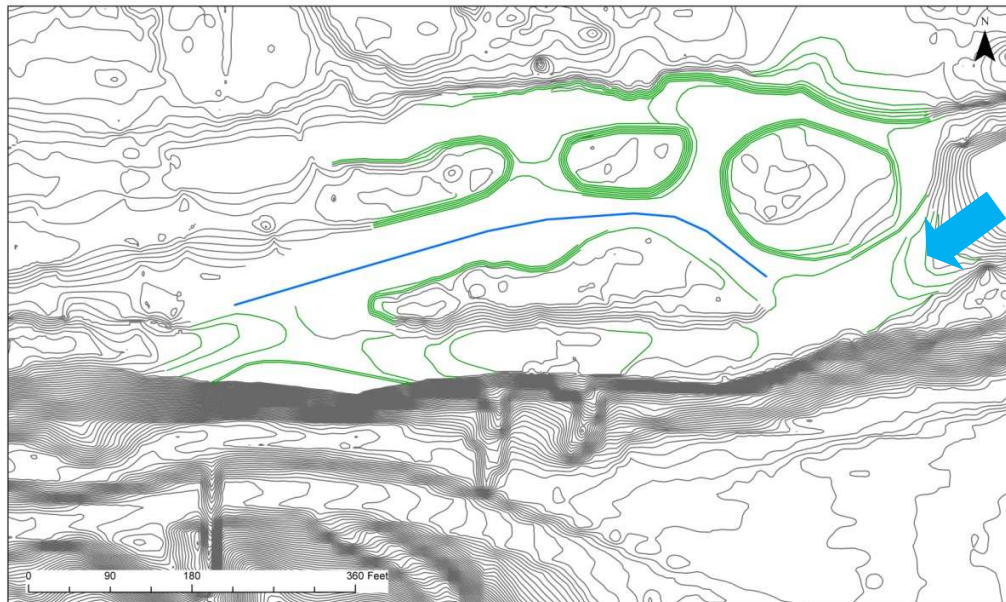


Figure 9. Map of study area showing existing contours in black, manually graded contours in green, and the side channel SRV alignment in blue. The blue arrow denotes the flow direction of the main river channel.

4. Export the XY coordinates of the centerline and you can now open up the RiverSynth©v1.1 RealSimple Excel program. Go to the channel model tab and paste in your coordinates into the green X and Y cells as shown below.

D5 $\text{f}_x = (B5/'\text{River Tweak}'!\$B\$30)*'\text{River Tweak}'!\$B\$27$							
	A	B	C	D	E	F	G
1	i(node)	m (increment)	X (rads)	X (m)	Y _{Channel Meander}	dX _{CM}	dY _{CM}
2	1	0	0.00	0.00	20.00	1.78	0.50
3	2	1	0.01	1.78	20.50	1.78	0.50
4	3	2	0.02	3.57	20.98	1.78	0.48
5	4	3	0.02	5.35	21.42	1.78	0.45
6	5	4	0.03	7.13	21.84	1.78	0.41
7	6	5	0.04	8.92	22.22	1.78	0.38
8	7	6	0.05	10.70	22.55	1.78	0.34
9	8	7	0.06	12.48	22.84	1.78	0.29
10	9	8	0.06	14.27	23.09	1.78	0.25

5. Now the alignment is in the RiverSynth© excel model. To check, your channel should be plotted in the planform plot on the river tweak tab. Now you can start to set the basic parameters of the channel model.

- a. Set the average channel width. Note that you can control where expansions and contractions are located using the bankfull width waveform parameters.
 - b. Set the bankfull depth. This could be determined using empirical or analytical equations, or by setting the depth equal to some tie in elevation with the surrounding terrain. The current model uses the Shields Criterion, based on specifying the Shields parameter, sediment grain size and slope. If you want to over-ride this, just paste your value in the cell.
 - c. Set the average slope
 - d. Set the datum to match the bed profile to your real world tie in. I usually approximate this by adjusting the datum until the bed profile plot.
6. Take note if for some reason more or less nodes were created in ARCGIS©. If there are more you can simply crop the alignment at the upstream or downstream location. If there is less, you may want to redraw the alignment. For example, in the below figure you can see the top part of the alignment (dark blue line) has no points (red dots). In looking at the centerline tab the alignment was oversampled (810 nodes).

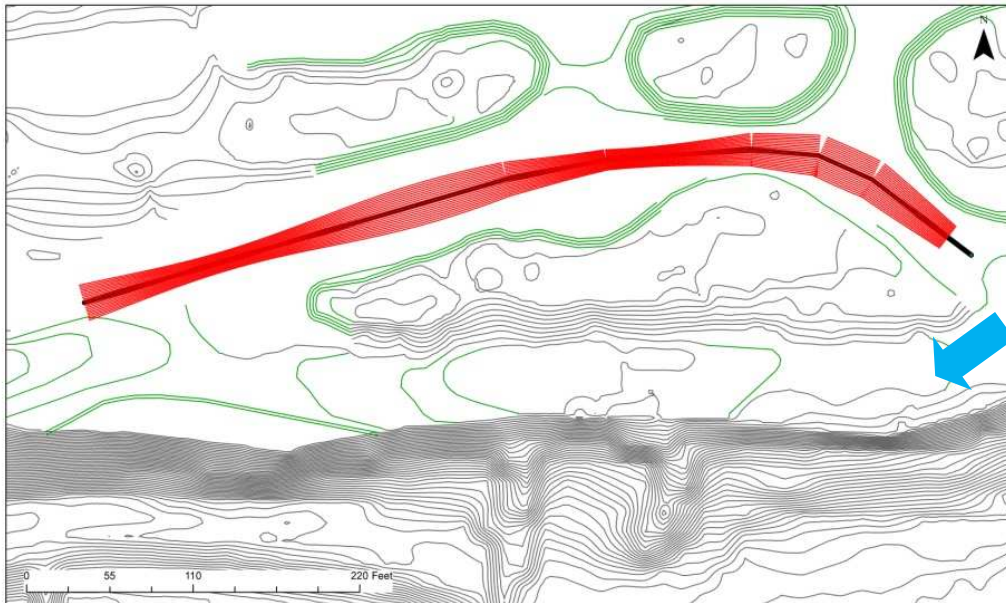


Figure 10. Alignment (dark blue line) and point cloud (red dots) showing the synthetic channel domain where the centerline was oversampled relative to the synthetic channel domain. The blue arrow denotes the flow direction of the main river channel.

Since the imported points (red dots) also overlap with the grading associated with a riffle at the downstream tie in, it makes sense to crop the lower excess nodes. You can see below the points are now start at the upper end of the alignment and don't intersect the lower grading (green lines).

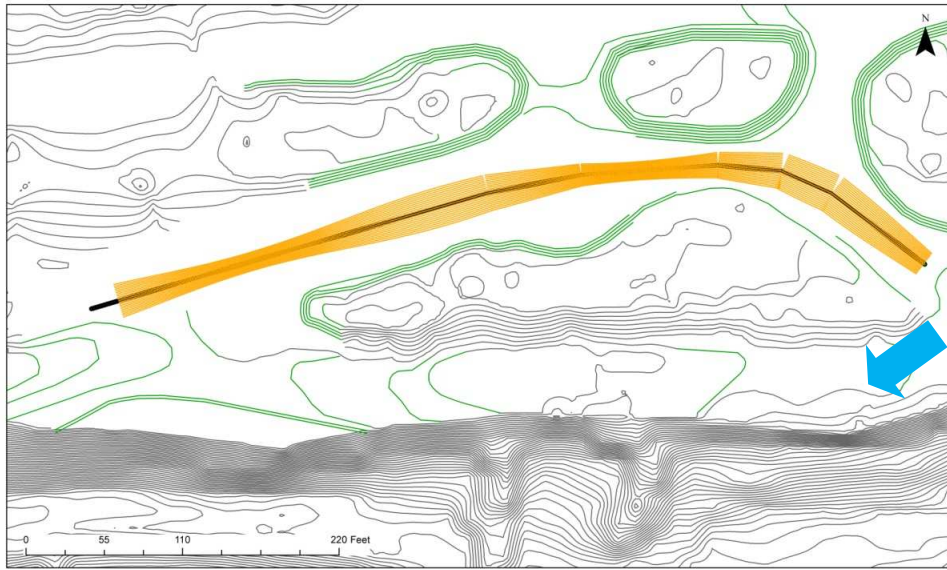


Figure 11. Alignment (dark blue line) and point cloud (orange dots) showing the synthetic channel domain where the synthetic channel domain was modified to match the alignment sampling. The blue arrow denotes the flow direction of the main river channel.

7. Changing the surface. The plot below shows two different synthetic channel planform and GCS plots along with a TIN for each surface. The left scenario has an average width of 20 feet, while right scenario has a width of 50 feet. In addition, the bankfull width waveforms were parametrized differently.

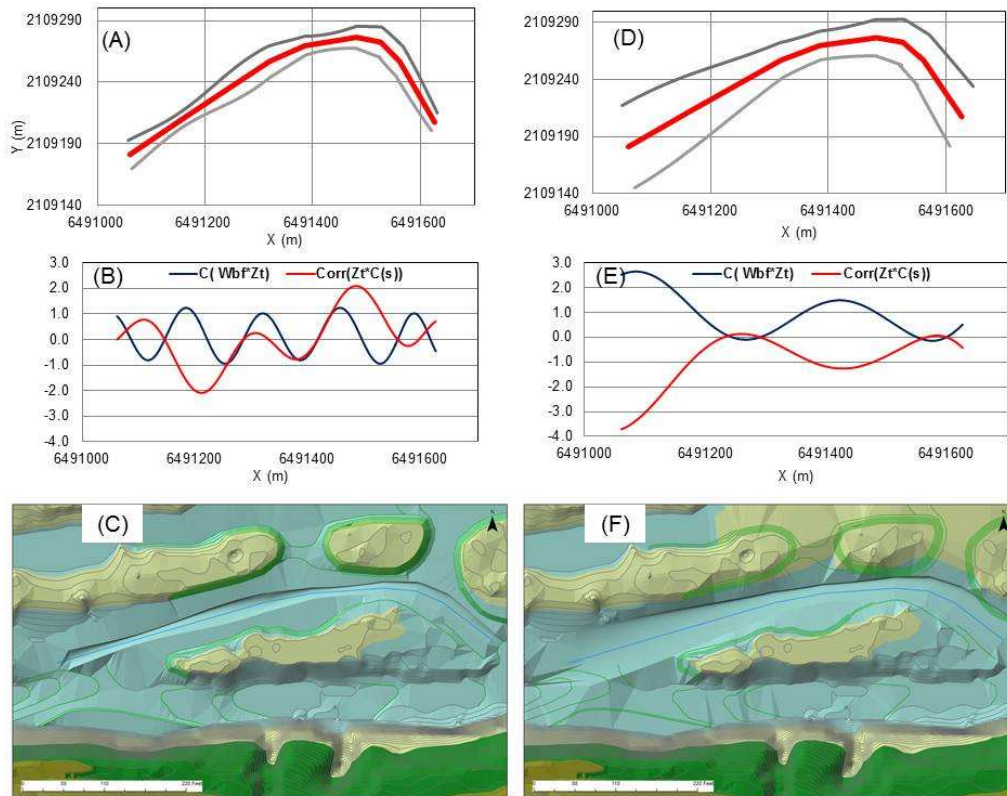


Figure 12. Two surface scenarios (C,F) for the design of the side channel along with planform (A,D) and covariance plots (B,E) as discussed in the text.

In looking at the left scenario (e.g. Fig4C) note that the channel has cross stream asymmetry at the upstream bend and then becomes broad and symmetric towards the middle of the straight section. Also, we can see the exit doesn't transition smoothly. To fix this we could simply adjust the point cloud in that area or, create contours and manually smooth the transition. Or we can also adjust the shape of the channel by increasing the average width and manipulating the width harmonics by creating a pinch at the curve, where the channel is constricted by tree islands, and expansion below where the side channel corridor is wider. This is what was done for the right scenario, as shown in Fig4F.

We make these types of changes by adjusting the waveform parameters of the bankfull width.

1. The left scenario uses the first sine and cosine term of the waveforms only. The amplitudes are 0.2 and 0.3 for the sine and cosine and both angular frequency values are set to 1, and the cosine term (second waveform cell) phase shifted by "pi". See the screenshot below.

I	J	K	L
---	---	---	---

Bankfull Width				
0.00	3.14	0.00	0.00	0
0.20	0.30	0.00	0.00	0
1.00	1.00	0.00	0.00	1

- The right scenario also only uses the first sine and cosine term of the waveforms. The amplitudes are 0.5 and 0.01 for the sine and cosine and both angular frequency values are set to 1, and the cosine term (second waveform cell) phase shifted by “pi”. See the screenshot below. See the screenshot below.

I	J	K	L
---	---	---	---

Bankfull Width				
0.00	3.14	0.00	0.00	
0.50	0.01	0.00	0.00	
1.00	1.00	0.00	0.00	

Hopefully this example helps you get going. As always let me know if improvement can be made in explaining the process.

Caveats

- I am not a programmer!
- The two tabs in the model that the user interfaces with are the “River Tweak” and “XYZ output” tabs. All of the other tabs are locked and hidden. If you see improvements that can be made let me know and I can provide an unlocked version.
- The critical depth for sediment mobility is determined based on the Shields equations (see the original manuscript for the full equations). This means that for certain combinations of grain size and slope that very shallow depths are predicted. This can create very shallow channels. To over-ride this, just insert the bankfull depth value instead of having it calculated from the Shields equation.
- It is possible to create unnatural surfaces in River Synth for specific channel width and alignment parameter settings where the waveforms overlap.

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