

Relational Model and Algebra

P.J. McBrien

Imperial College London

Relations are sets of typed tuples

Relations

Relations take the form $R(A, B, \dots)$ where

- R is the name of the relation
- A, B, \dots is the set of attributes of the relation
 - Often write the set without commas: $A, B, \dots \equiv AB\dots$, and can refer to a set of attributes as \vec{A}
 - The number of attributes n is the **arity** of the relation
Can call $R(A_1, \dots, A_n)$ an n -ary relation
 - $\text{Domain}(A)$ is the set of values (type) that the attribute can have
 - Will use $\text{Atts}(R)$ to find A, B, \dots
- The **extent** of $R(A, B, \dots)$ is the set of **tuples**

$$\{\langle v_1^A, v_1^B, \dots \rangle, \langle v_2^A, v_2^B, \dots \rangle, \langle v_3^A, v_3^B, \dots \rangle, \dots\}$$
 - $\forall x. v_x^A \in \text{Domain}(A)$
 - No duplicate tuples
 - Not ordered
 - All tuples have the same arity

Relation=Table

| R | | |
|----------|---------|-----|
| A | B | ... |
| v_1^A | v_1^B | ... |
| v_2^A | v_2^B | ... |
| v_3^A | v_3^B | ... |
| \vdots | | |

Set Semantics

- Order of columns not significant
- Order of rows not significant
- No duplicate rows

- Attribute=Column
- Tuple=Row

Quiz 1: Equivalent Relations

Which is the odd one out?

A

| branch | | |
|----------|-------------|----------|
| sortcode | bname | cash |
| 56 | 'Wimbledon' | 94340.45 |
| 34 | 'Goodge St' | 8900.67 |
| 67 | 'Strand' | 34005.00 |

B

| branch | | |
|-------------|----------|----------|
| bname | sortcode | cash |
| 'Wimbledon' | 56 | 94340.45 |
| 'Goodge St' | 34 | 8900.67 |
| 'Strand' | 67 | 34005.00 |

C

| branch | | |
|----------|-------------|----------|
| sortcode | bname | cash |
| 34 | 'Goodge St' | 8900.67 |
| 56 | 'Wimbledon' | 94340.45 |
| 67 | 'Strand' | 34005.00 |

D

| branch | | |
|----------|-------------|----------|
| sortcode | bname | cash |
| 56 | 'Wimbledon' | 94340.45 |
| 56 | 'Wimbledon' | 94340.45 |
| 34 | 'Goodge St' | 8900.67 |
| 67 | 'Strand' | 34005.00 |

Handling 'missing' attribute values

Suppose we want to have a relation `account(no,type,cname,rate,sortcode)`, but not all accounts have a rate.

Solution 1: Separate relations

| account | | | | |
|---------|-----------|---------------------|----------|--|
| no | type | cname | sortcode | |
| 100 | 'current' | 'McBrien, P.' | 67 | |
| 101 | 'deposit' | 'McBrien, P.' | 67 | |
| 103 | 'current' | 'Boyd, M.' | 34 | |
| 107 | 'current' | 'Poulovassilis, A.' | 56 | |
| 119 | 'deposit' | 'Poulovassilis, A.' | 56 | |
| 125 | 'current' | 'Bailey, J.' | 56 | |

| account_rate | |
|--------------|------|
| no | rate |
| 101 | 5.25 |
| 119 | 5.50 |

Solution 2: NULL values

| account | | | | |
|---------|-----------|---------------------|------|----------|
| no | type | cname | rate | sortcode |
| 100 | 'current' | 'McBrien, P.' | NULL | 67 |
| 101 | 'deposit' | 'McBrien, P.' | 5.25 | 67 |
| 103 | 'current' | 'Boyd, M.' | NULL | 34 |
| 107 | 'current' | 'Poulovassilis, A.' | NULL | 56 |
| 119 | 'deposit' | 'Poulovassilis, A.' | 5.50 | 56 |
| 125 | 'current' | 'Bailey, J.' | NULL | 56 |

Relational Keys

Key

A **key** of a relation $R(AB\dots)$ is a subset of the attributes for which the values in any extent are unique across all tuples

- Every relation has at least one key, which is the entire set of attributes
- A key is **violated** by there being two tuples in the extent which have the same values for the attributes of the key
- If A is a key, then so must AB be a key
- A **minimal key** is a set of attributes $AB\dots$ for which no subset of the attributes is also a key
- The **primary key** is one of the keys of the relation: serves as the default key when no key explicitly stated

Quiz 2: Violation of Relational Keys

| movement | | | |
|----------|-----|---------|-----------|
| mid | no | amount | tdate |
| 1000 | 100 | 2300.00 | 5/1/1999 |
| 1001 | 101 | 4000.00 | 5/1/1999 |
| 1002 | 100 | -223.45 | 5/1/1999 |
| 1004 | 107 | -100.00 | 11/1/1999 |
| 1005 | 103 | 145.50 | 12/1/1999 |
| 1006 | 100 | 10.23 | 15/1/1999 |
| 1007 | 107 | 345.56 | 15/1/1999 |
| 1008 | 101 | 1230.00 | 15/1/1999 |
| 1009 | 119 | 5600.00 | 18/1/1999 |

Which key is violated?

A

movement(mid)

B

movement(no,amount)

C

movement(no,tdate)

D

movement(amount,tdate)

Quiz 3: Correct Keys for Relations

| movement | | | |
|----------|-----|---------|-----------|
| mid | no | amount | tdate |
| 1000 | 100 | 2300.00 | 5/1/1999 |
| 1001 | 101 | 4000.00 | 5/1/1999 |
| 1002 | 100 | -223.45 | 5/1/1999 |
| 1004 | 107 | -100.00 | 11/1/1999 |
| 1005 | 103 | 145.50 | 12/1/1999 |
| 1006 | 100 | 10.23 | 15/1/1999 |
| 1007 | 107 | 345.56 | 15/1/1999 |
| 1008 | 101 | 1230.00 | 15/1/1999 |
| 1009 | 119 | 5600.00 | 18/1/1999 |

Which key makes most sense in a bank UoD?

A

movement(amount)

B

movement(no,amount)

C

movement(no,tdate)

D

movement(amount,tdate)

Relational Foreign Keys

Foreign Key

A **foreign key** $R(\vec{X}) \xRightarrow{fk} S(\vec{Y})$ of a relation $R(AB\dots)$ is a subset $\vec{X} \subseteq AB\dots$ of the attributes for which the values in the extent of R also appear as values of attributes \vec{Y} in the extent of S , and \vec{Y} is a key of S .

$\text{account}(\text{sortcode}) \xRightarrow{fk} \text{branch}(\text{sortcode})$

| account | | | | |
|-----------|-----------|---------------------|------|----------|
| <u>no</u> | type | cname | rate | sortcode |
| 100 | 'current' | 'McBrien, P.' | NULL | 67 |
| 101 | 'deposit' | 'McBrien, P.' | 5.25 | 67 |
| 103 | 'current' | 'Boyd, M.' | NULL | 34 |
| 107 | 'current' | 'Poulovassilis, A.' | NULL | 56 |
| 119 | 'deposit' | 'Poulovassilis, A.' | 5.50 | 56 |
| 125 | 'current' | 'Bailey, J.' | NULL | 56 |

key branch(sortcode)

| branch | | |
|-----------------|-------------|----------|
| <u>sortcode</u> | bname | cash |
| 56 | 'Wimbledon' | 94340.45 |
| 34 | 'Goodge St' | 8900.67 |
| 67 | 'Strand' | 34005.00 |

Quiz 4: Foreign Key Violation

$$\text{account}(\text{sortcode}) \xRightarrow{fk} \text{branch}(\text{sortcode})$$

| account | | | | |
|-----------|-----------|---------------------|------|----------|
| <u>no</u> | type | cname | rate | sortcode |
| 100 | 'current' | 'McBrien, P.' | NULL | 67 |
| 101 | 'deposit' | 'McBrien, P.' | 5.25 | 67 |
| 103 | 'current' | 'Boyd, M.' | NULL | 34 |
| 107 | 'current' | 'Poulovassilis, A.' | NULL | 56 |
| 119 | 'deposit' | 'Poulovassilis, A.' | 5.50 | 56 |
| 125 | 'current' | 'Bailey, J.' | NULL | 56 |

key branch(sortcode)

| branch | | |
|-----------------|-------------|----------|
| <u>sortcode</u> | bname | cash |
| 56 | 'Wimbledon' | 94340.45 |
| 34 | 'Goodge St' | 8900.67 |
| 67 | 'Strand' | 34005.00 |

Which update violates the foreign key?

A

insert into account
 (126,'business','McBrien, P.',1.00,67)

B

insert into branch
 (78,'Ealing',1000.00)

C

delete from branch
 (67,'Strand',34005.00)

D

delete from account
 (103,'current','Boyd, M.',NULL,34)

Example Relational Schema

| branch | | |
|-----------------|-------------|----------|
| <u>sortcode</u> | bname | cash |
| 56 | 'Wimbledon' | 94340.45 |
| 34 | 'Goodge St' | 8900.67 |
| 67 | 'Strand' | 34005.00 |

| movement | | | |
|------------|-----|---------|-----------|
| <u>mid</u> | no | amount | tdate |
| 1000 | 100 | 2300.00 | 5/1/1999 |
| 1001 | 101 | 4000.00 | 5/1/1999 |
| 1002 | 100 | -223.45 | 8/1/1999 |
| 1004 | 107 | -100.00 | 11/1/1999 |
| 1005 | 103 | 145.50 | 12/1/1999 |
| 1006 | 100 | 10.23 | 15/1/1999 |
| 1007 | 107 | 345.56 | 15/1/1999 |
| 1008 | 101 | 1230.00 | 15/1/1999 |
| 1009 | 119 | 5600.00 | 18/1/1999 |

| account | | | | |
|-----------|-----------|---------------------|------|----------|
| <u>no</u> | type | cname | rate | sortcode |
| 100 | 'current' | 'McBrien, P.' | NULL | 67 |
| 101 | 'deposit' | 'McBrien, P.' | 5.25 | 67 |
| 103 | 'current' | 'Boyd, M.' | NULL | 34 |
| 107 | 'current' | 'Poulovassilis, A.' | NULL | 56 |
| 119 | 'deposit' | 'Poulovassilis, A.' | 5.50 | 56 |
| 125 | 'current' | 'Bailey, J.' | NULL | 56 |

key branch(sortcode)

key branch(bname)

key movement(mid)

key account(no)

$\text{movement}(\text{no}) \xRightarrow{fk} \text{account}(\text{no})$

$\text{account}(\text{sortcode}) \xRightarrow{fk} \text{branch}(\text{sortcode})$

Relational Algebra: A Query Language for the Relational Model

Primitive operators of the Relational Algebra

| Symbol | Name | Type |
|----------|-------------------|--------|
| π | Project | Unary |
| σ | Select | Unary |
| \times | Cartesian Product | Binary |
| \cup | Union | Binary |
| $-$ | Difference | Binary |

- All operators take relations as input
- All operators produce one relation as their output
- Other (useful) operators may be defined in terms of the five primitive operators

Relational Algebra: Project π

| account | | | | |
|-----------|-----------|---------------------|------|----------|
| <u>no</u> | type | cname | rate | sortcode |
| 100 | 'current' | 'McBrien, P.' | NULL | 67 |
| 101 | 'deposit' | 'McBrien, P.' | 5.25 | 67 |
| 103 | 'current' | 'Boyd, M.' | NULL | 34 |
| 107 | 'current' | 'Poulovassilis, A.' | NULL | 56 |
| 119 | 'deposit' | 'Poulovassilis, A.' | 5.50 | 56 |
| 125 | 'current' | 'Bailey, J.' | NULL | 56 |

Project Operator

| $\pi_{no, type} account$ | |
|--------------------------|-----------|
| <u>no</u> | type |
| 100 | 'current' |
| 101 | 'deposit' |
| 103 | 'current' |
| 107 | 'current' |
| 119 | 'deposit' |
| 125 | 'current' |

| $\pi_{sortcode} account$ | |
|--------------------------|----|
| sortcode | |
| | 67 |
| | 34 |
| | 56 |

Relational Algebra: Select σ

| account | | | | |
|-----------|-----------|---------------------|------|----------|
| <u>no</u> | type | cname | rate | sortcode |
| 100 | 'current' | 'McBrien, P.' | NULL | 67 |
| 101 | 'deposit' | 'McBrien, P.' | 5.25 | 67 |
| 103 | 'current' | 'Boyd, M.' | NULL | 34 |
| 107 | 'current' | 'Poulovassilis, A.' | NULL | 56 |
| 119 | 'deposit' | 'Poulovassilis, A.' | 5.50 | 56 |
| 125 | 'current' | 'Bailey, J.' | NULL | 56 |

Select Operator

| $\sigma_{\text{rate} > 0} \text{account}$ | | | | |
|---|-----------|---------------------|------|----------|
| <u>no</u> | type | cname | rate | sortcode |
| 101 | 'deposit' | 'McBrien, P.' | 5.25 | 67 |
| 119 | 'deposit' | 'Poulovassilis, A.' | 5.50 | 56 |

Relational Algebra: Product \times

| branch | | |
|-----------------|-------------|----------|
| <u>sortcode</u> | bname | cash |
| 56 | 'Wimbledon' | 94340.45 |
| 34 | 'Goodge St' | 8900.67 |
| 67 | 'Strand' | 34005.00 |

| $\sigma_{\text{rate} > 0} \text{account}$ | | | | |
|---|-----------|---------------------|------|----------|
| <u>no</u> | type | cname | rate | sortcode |
| 101 | 'deposit' | 'McBrien, P.' | 5.25 | 67 |
| 119 | 'deposit' | 'Poulovassilis, A.' | 5.50 | 56 |

Product Operator

| branch $\times \sigma_{\text{rate} > 0} \text{account}$ | | | | | | | |
|---|-------------|----------|-----------|-----------|---------------------|------|----------|
| <u>sortcode</u> | bname | cash | <u>no</u> | type | cname | rate | sortcode |
| 56 | 'Wimbledon' | 94340.45 | 101 | 'deposit' | 'McBrien, P.' | 5.25 | 67 |
| 56 | 'Wimbledon' | 94340.45 | 119 | 'deposit' | 'Poulovassilis, A.' | 5.50 | 56 |
| 34 | 'Goodge St' | 8900.67 | 101 | 'deposit' | 'McBrien, P.' | 5.25 | 67 |
| 34 | 'Goodge St' | 8900.67 | 119 | 'deposit' | 'Poulovassilis, A.' | 5.50 | 56 |
| 67 | 'Strand' | 34005.00 | 101 | 'deposit' | 'McBrien, P.' | 5.25 | 67 |
| 67 | 'Strand' | 34005.00 | 119 | 'deposit' | 'Poulovassilis, A.' | 5.50 | 56 |

Quiz 5: RA Queries

| branch | | |
|-----------------|-------------|----------|
| <u>sortcode</u> | bname | cash |
| 56 | 'Wimbledon' | 94340.45 |
| 34 | 'Goodge St' | 8900.67 |
| 67 | 'Strand' | 34005.00 |

| account | | | | |
|-----------|-----------|---------------------|------|----------|
| <u>no</u> | type | cname | rate | sortcode |
| 100 | 'current' | 'McBrien, P.' | NULL | 67 |
| 101 | 'deposit' | 'McBrien, P.' | 5.25 | 67 |
| 103 | 'current' | 'Boyd, M.' | NULL | 34 |
| 107 | 'current' | 'Poulovassilis, A.' | NULL | 56 |
| 119 | 'deposit' | 'Poulovassilis, A.' | 5.50 | 56 |
| 125 | 'current' | 'Bailey, J.' | NULL | 56 |

Which RA query lists the name of branches that have deposit accounts?

A

$\pi_{\text{sortcode}} \sigma_{\text{type}='deposit'} \text{account}$

B

π_{bname}

$\sigma_{\text{account.sortcode}=\text{branch.sortcode} \wedge \text{type}='deposit'}$
 $(\text{account} \times \text{branch})$

C

$\pi_{\text{bname}} (\text{branch} \times \sigma_{\text{type}='deposit'} \text{account})$

D

$\pi_{\text{bname}} \sigma_{\text{type}='deposit'} (\text{account} \times \text{branch})$

SPJ Queries

Select Project Join (SPJ) queries

If a product of tables is formed, where a selection is then done that compares the attributes of those tables, we say that a **join** has been performed.

Normally not all columns of the product are returned, and therefore a project is also required.

Branches with current accounts

$\pi_{\text{bname}, \text{no}} \sigma_{\text{branch.sortcode}=\text{account.sortcode} \wedge \text{account.type}=\text{'current'}} (\text{branch} \times \text{account})$

| bname | no |
|-------------|-----|
| 'Goodge St' | 103 |
| 'Wimbledon' | 107 |
| 'Wimbledon' | 125 |
| 'Strand' | 100 |

Relational Algebra: Union \cup

| $\pi_{\text{sortcode as id}} \text{account}$ | $\pi_{\text{no as id}} \text{account}$ |
|--|--|
| id | id |
| 67 | 100 |
| 34 | 101 |
| 56 | 103 |
| | 107 |
| | 119 |
| | 125 |

Union Operator

| $\pi_{\text{sortcode as id}} \text{account} \cup \pi_{\text{no as id}} \text{account}$ |
|--|
| id |
| 67 |
| 34 |
| 56 |
| 100 |
| 101 |
| 103 |
| 107 |
| 119 |
| 125 |

- relations must be **union compatible**

Relational Algebra: Difference —

| $\pi_{no}account$ | $\pi_{no}movement$ |
|-------------------|--------------------|
| no | no |
| 100 | 100 |
| 101 | 101 |
| 103 | 103 |
| 107 | 107 |
| 119 | 119 |
| 125 | |

Difference Operator

| $\pi_{no}account - \pi_{no}movement$ |
|--------------------------------------|
| <u>no</u> |
| 125 |

- relations must be **union compatible**

Rules for Combining Operators

Since all operators produce a relation as output, *any* operator may produce one of the inputs to any other operator.

well formed RA query

- the output of the nested operator must contain the attributes required by an outer π or σ
- the two inputs to a \cup or $-$ must contain the same number of attributes

Quiz 6: Well formed queries

| account | | | | |
|---------|-----------|---------------------|------|----------|
| no | type | cname | rate | sortcode |
| 100 | 'current' | 'McBrien, P.' | NULL | 67 |
| 101 | 'deposit' | 'McBrien, P.' | 5.25 | 67 |
| 103 | 'current' | 'Boyd, M.' | NULL | 34 |
| 107 | 'current' | 'Poulovassilis, A.' | NULL | 56 |
| 119 | 'deposit' | 'Poulovassilis, A.' | 5.50 | 56 |
| 125 | 'current' | 'Bailey, J.' | NULL | 56 |

| movement | | | |
|----------|-----|---------|-----------|
| mid | no | amount | tdate |
| 1000 | 100 | 2300.00 | 5/1/1999 |
| 1001 | 101 | 4000.00 | 5/1/1999 |
| 1002 | 100 | -223.45 | 8/1/1999 |
| 1004 | 107 | -100.00 | 11/1/1999 |
| 1005 | 103 | 145.50 | 12/1/1999 |
| 1006 | 100 | 10.23 | 15/1/1999 |
| 1007 | 107 | 345.56 | 15/1/1999 |
| 1008 | 101 | 1230.00 | 15/1/1999 |
| 1009 | 119 | 5600.00 | 18/1/1999 |

Which RA query is well formed?

A

$\sigma_{\text{type}='current'} \pi_{\text{no}} \text{ account}$

B

$\pi_{\text{no}} \text{ account} - \pi_{\text{no}, \text{mid}} \text{ movement}$

C

$\pi_{\text{no}} \sigma_{\text{type}='current'} \text{ account}$

D

$\pi_{\text{no}} \pi_{\text{type}} \text{ account}$

Worksheet: Primitive Relational Algebra Operators

| branch | | |
|-----------------|-------------|----------|
| <u>sortcode</u> | bname | cash |
| 56 | 'Wimbledon' | 94340.45 |
| 34 | 'Goodge St' | 8900.67 |
| 67 | 'Strand' | 34005.00 |

| movement | | | |
|------------|-----|---------|-----------|
| <u>mid</u> | no | amount | tdate |
| 1000 | 100 | 2300.00 | 5/1/1999 |
| 1001 | 101 | 4000.00 | 5/1/1999 |
| 1002 | 100 | -223.45 | 8/1/1999 |
| 1004 | 107 | -100.00 | 11/1/1999 |
| 1005 | 103 | 145.50 | 12/1/1999 |
| 1006 | 100 | 10.23 | 15/1/1999 |
| 1007 | 107 | 345.56 | 15/1/1999 |
| 1008 | 101 | 1230.00 | 15/1/1999 |
| 1009 | 119 | 5600.00 | 18/1/1999 |

| account | | | | |
|-----------|-----------|---------------------|------|----------|
| <u>no</u> | type | cname | rate | sortcode |
| 100 | 'current' | 'McBrien, P.' | NULL | 67 |
| 101 | 'deposit' | 'McBrien, P.' | 5.25 | 67 |
| 103 | 'current' | 'Boyd, M.' | NULL | 34 |
| 107 | 'current' | 'Poulovassilis, A.' | NULL | 56 |
| 119 | 'deposit' | 'Poulovassilis, A.' | 5.50 | 56 |
| 125 | 'current' | 'Bailey, J.' | NULL | 56 |

key branch(sortcode)

key branch(bname)

key movement(mid)

key account(no)

$\text{movement}(\text{no}) \xRightarrow{fk} \text{account}(\text{no})$

$\text{account}(\text{sortcode}) \xRightarrow{fk} \text{branch}(\text{sortcode})$

Derived Relational Algebra: Natural Join \bowtie

Natural Join

$$R \bowtie S = \sigma_{R.A_1=S.A_1 \wedge \dots \wedge R.A_m=S.A_m} R \times S$$

Natural Join

$$\text{branch} \bowtie \text{account} = \sigma_{\text{branch.sortcode}=\text{account.sortcode}} \text{branch} \times \text{account}$$

| branch \bowtie account | | | | | | |
|--------------------------|-------------|----------|-----|-----------|---------------------|------|
| sortcode | bname | cash | no | type | cname | rate |
| 34 | 'Goodge St' | 8900.67 | 103 | 'current' | 'Boyd, M.' | NULL |
| 56 | 'Wimbledon' | 94340.45 | 107 | 'current' | 'Poulovassilis, A.' | NULL |
| 56 | 'Wimbledon' | 94340.45 | 119 | 'deposit' | 'Poulovassilis, A.' | 5.50 |
| 56 | 'Wimbledon' | 94340.45 | 125 | 'current' | 'Bailey, J.' | NULL |
| 67 | 'Strand' | 34005.00 | 100 | 'current' | 'McBrien, P.' | NULL |
| 67 | 'Strand' | 34005.00 | 101 | 'deposit' | 'McBrien, P.' | 5.25 |

Quiz 7: Natural Join

What is the result of $\pi_{no}(\text{account} \bowtie \text{movement})$?

A

 $\pi_{no}(\text{account} \bowtie \text{movement})$

no

100

101

103

107

119

125

B

 $\pi_{no}(\text{account} \bowtie \text{movement})$

no

100

101

103

107

119

C

 $\pi_{no}(\text{account} \bowtie \text{movement})$

no

125

D

 $\pi_{no}(\text{account} \bowtie \text{movement})$

no

Derived Relational Algebra: Semi Join \ltimes

Semi Join

$$R \ltimes S = R \bowtie \pi_{Attr(R) \cap Attr(S)}(S)$$

Semi Join

$$\text{account} \ltimes \text{movement} = \text{account} \bowtie \pi_{\text{no}}(\text{movement})$$

| account \ltimes movement | | | | |
|----------------------------|-----------|---------------------|------|----------|
| <u>no</u> | type | cname | rate | sortcode |
| 100 | 'current' | 'McBrien, P.' | NULL | 67 |
| 101 | 'deposit' | 'McBrien, P.' | 5.25 | 67 |
| 103 | 'current' | 'Boyd, M.' | NULL | 34 |
| 107 | 'current' | 'Poulovassilis, A.' | NULL | 56 |
| 119 | 'deposit' | 'Poulovassilis, A.' | 5.50 | 56 |

Derived Relational Algebra: Joins

Natural Join

$$R \bowtie S = \sigma_{R.A_1=S.A_1 \wedge \dots \wedge R.A_m=S.A_m} R \times S$$

Equi Join

$$R \stackrel{A=B}{\bowtie} S = \sigma_{R.A=S.B} R \times S$$

Semi Join

$$R \ltimes S = R \bowtie \pi_{Attr(R) \cap Attr(S)}(S)$$

Theta Join

$$R \stackrel{\theta}{\bowtie} S = \sigma_{\theta} R \times S$$

Quiz 8: Understanding join operators

| branch | | |
|-----------------|-------------|----------|
| <u>sortcode</u> | bname | cash |
| 56 | 'Wimbledon' | 94340.45 |
| 34 | 'Goodge St' | 8900.67 |
| 67 | 'Strand' | 34005.00 |

| account | | | | |
|-----------|-----------|---------------------|------|----------|
| <u>no</u> | type | cname | rate | sortcode |
| 100 | 'current' | 'McBrien, P.' | NULL | 67 |
| 101 | 'deposit' | 'McBrien, P.' | 5.25 | 67 |
| 103 | 'current' | 'Boyd, M.' | NULL | 34 |
| 107 | 'current' | 'Poulovassilis, A.' | NULL | 56 |
| 119 | 'deposit' | 'Poulovassilis, A.' | 5.50 | 56 |
| 125 | 'current' | 'Bailey, J.' | NULL | 56 |

Which RA query produces the most tuples?

A

branch \bowtie $\text{branch.sortcode} < \text{account.sortcode}$ account

B

branch \bowtie account

C

branch \bowtie account

D

branch \bowtie $\text{branch.sortcode} = \text{account.sortcode}$ account

Quiz 9: Foreign Keys and Natural Joins (1)

Suppose R and S only share attribute A , and there is a foreign key $S(A) \xrightarrow{fk} R(A)$.

If $|R| = 100$ and $|S| = 1,000$, what is $|R \bowtie S|$?

A

100

B

1,000

C

100,000

D

900

Note that $|R|$ returns the number of tuples in the current extent of R

Quiz 10: Foreign Keys and Natural Joins (2)

Suppose R and S only share attribute A , and there is a foreign key $R(A) \xrightarrow{fk} S(A)$.

If $|R| = 100$ and $|S| = 1,000$, what is $|R \bowtie S|$?

A

100

B

1,000

C

100,000

D

900

Derived Relational Algebra: Intersection \cap

Intersection

$$R \cap S = R - (R - S)$$

$\pi_{\text{no}}\text{account} \cap \pi_{\text{no}}\text{movement}$

| $\pi_{\text{no}}\text{account}$ |
|---------------------------------|
| no |
| 100 |
| 101 |
| 103 |
| 107 |
| 119 |
| 125 |

| $\pi_{\text{no}}\text{account} - \pi_{\text{no}}\text{movement}$ |
|--|
| <u>no</u> |
| 125 |

| $\pi_{\text{no}}\text{account} \cap \pi_{\text{no}}\text{movement}$ |
|---|
| no |
| 100 |
| 101 |
| 103 |
| 107 |
| 119 |

Quiz 11: Intersection

| email | |
|---------------------|--------------------------|
| name | address |
| 'McBrien, P.' | p.mcbrien@imperial.ac.uk |
| 'Poulovassilis, A.' | ap@dc.s.bbk.ac.uk |
| 'Pietzuch, P.' | prp@doc.ic.ac.uk |

What is the result of $\pi_{\text{name}} \text{account} \cap \pi_{\text{name}} \text{email}$?

A

cname

'McBrien, P.'

'Boyd, M.'

'Poulovassilis, A.'

'Bailey, J.'

'Pietzuch, P.'

B

cname

'McBrien, P.'

'Boyd, M.'

'Poulovassilis, A.'

'Bailey, J.'

C

cname

'McBrien, P.'

'Poulovassilis, A.'

'Pietzuch, P.'

D

cname

'McBrien, P.'

'Poulovassilis, A.'

Derived Relational Algebra: Division \div

Division

$$R \div S = \pi_{Atts(R) - Atts(S)} R - \pi_{Atts(R) - Atts(S)} ((\pi_{Atts(R) - Atts(S)} R \times S) - R)$$

Division

$$\begin{aligned} \pi_{\text{cname,type}} \text{account} \div \pi_{\text{type}} \text{account} &= \pi_{\text{cname}} \pi_{\text{cname,type}} \text{account} - \\ &\quad \pi_{\text{cname}} ((\pi_{\text{cname}} \pi_{\text{cname,type}} \text{account} \times \pi_{\text{type}} \text{account}) - \pi_{\text{cname,type}} \text{account}) \\ \pi_{\text{cname,type}} \text{account} \div \pi_{\text{type}} \text{account} &= \pi_{\text{cname}} \text{account} - \\ &\quad \pi_{\text{cname}} ((\pi_{\text{cname}} \text{account} \times \pi_{\text{type}} \text{account}) - \pi_{\text{cname,type}} \text{account}) \end{aligned}$$

| $\pi_{\text{cname,type}} \text{account}$ | |
|--|-----------|
| cname | type |
| 'McBrien, P.' | 'current' |
| 'McBrien, P.' | 'deposit' |
| 'Boyd, M.' | 'current' |
| 'Poulovassilis, A.' | 'current' |
| 'Poulovassilis, A.' | 'deposit' |
| 'Bailey, J.' | 'current' |

| $\pi_{\text{type}} \text{account}$ |
|------------------------------------|
| type |
| 'current' |
| 'deposit' |

| $\pi_{\text{cname,type}} \text{account} \div \pi_{\text{type}} \text{account}$ |
|--|
| cname |
| 'McBrien, P.' |
| 'Poulovassilis, A.' |

Evaluation of Division

| $\pi_{\text{name}} \text{ account}$ |
|-------------------------------------|
| cname |
| 'McBrien, P.' |
| 'Boyd, M.' |
| 'Poulovassilis, A.' |
| 'Bailey, J.' |

| $\pi_{\text{type}} \text{ account}$ |
|-------------------------------------|
| type |
| 'current' |
| 'deposit' |



| $\pi_{\text{name}} \text{ account} \times \pi_{\text{type}} \text{ account}$ | |
|--|-----------|
| cname | type |
| 'McBrien, P.' | 'current' |
| 'McBrien, P.' | 'deposit' |
| 'Boyd, M.' | 'current' |
| 'Boyd, M.' | 'deposit' |
| 'Poulovassilis, A.' | 'current' |
| 'Poulovassilis, A.' | 'deposit' |
| 'Bailey, J.' | 'current' |
| 'Bailey, J.' | 'deposit' |

Evaluation of Division

| $\pi_{\text{name}} \text{ account} \times \pi_{\text{type}} \text{ account}$ | |
|--|-----------|
| cname | type |
| 'McBrien, P.' | 'current' |
| 'McBrien, P.' | 'deposit' |
| 'Boyd, M.' | 'current' |
| 'Boyd, M.' | 'deposit' |
| 'Poulovassilis, A.' | 'current' |
| 'Poulovassilis, A.' | 'deposit' |
| 'Bailey, J.' | 'current' |
| 'Bailey, J.' | 'deposit' |

| $\pi_{\text{name,type}} \text{ account}$ | |
|--|-----------|
| cname | type |
| 'McBrien, P.' | 'current' |
| 'McBrien, P.' | 'deposit' |
| 'Boyd, M.' | 'current' |
| 'Poulovassilis, A.' | 'current' |
| 'Poulovassilis, A.' | 'deposit' |
| 'Bailey, J.' | 'current' |



| $(\pi_{\text{name}} \text{ account} \times \pi_{\text{type}} \text{ account}) \div \pi_{\text{name,type}} \text{ account}$ | |
|--|-----------|
| cname | type |
| 'Boyd, M.' | 'deposit' |
| 'Bailey, J.' | 'deposit' |

Evaluation of Division

| $(\pi_{\text{cname}} \text{ account} \times \pi_{\text{type}} \text{ account}) - \pi_{\text{cname,type}} \text{ account}$ | |
|---|-----------|
| cname | type |
| 'Boyd, M.' | 'deposit' |
| 'Bailey, J.' | 'deposit' |



| $\pi_{\text{cname}}((\pi_{\text{cname}} \text{ account} \times \pi_{\text{type}} \text{ account}) - \pi_{\text{cname,type}} \text{ account})$ |
|---|
| cname |
| 'Boyd, M.' |
| 'Bailey, J.' |

Evaluation of Division

| $\pi_{\text{name}} \text{ account}$ |
|-------------------------------------|
| cname |
| 'McBrien, P.' |
| 'Boyd, M.' |
| 'Poulovassilis, A.' |
| 'Bailey, J.' |

| $\pi_{\text{name}}((\pi_{\text{name}} \text{ account} \times \pi_{\text{type}} \text{ account}) - \pi_{\text{name,type}} \text{ account})$ |
|--|
| cname |
| 'Boyd, M.' |
| 'Bailey, J.' |



| $\pi_{\text{name}} \text{ account} - \pi_{\text{name}}((\pi_{\text{name}} \text{ account} \times \pi_{\text{type}} \text{ account}) - \pi_{\text{name,type}} \text{ account})$ |
|--|
| cname |
| 'McBrien, P.' |
| 'Poulovassilis, A.' |

Worksheet: Derived Relational Algebra Operators

| branch | | |
|-----------------|-------------|----------|
| <u>sortcode</u> | bname | cash |
| 56 | 'Wimbledon' | 94340.45 |
| 34 | 'Goodge St' | 8900.67 |
| 67 | 'Strand' | 34005.00 |

| movement | | | |
|------------|-----|---------|-----------|
| <u>mid</u> | no | amount | tdate |
| 1000 | 100 | 2300.00 | 5/1/1999 |
| 1001 | 101 | 4000.00 | 5/1/1999 |
| 1002 | 100 | -223.45 | 8/1/1999 |
| 1004 | 107 | -100.00 | 11/1/1999 |
| 1005 | 103 | 145.50 | 12/1/1999 |
| 1006 | 100 | 10.23 | 15/1/1999 |
| 1007 | 107 | 345.56 | 15/1/1999 |
| 1008 | 101 | 1230.00 | 15/1/1999 |
| 1009 | 119 | 5600.00 | 18/1/1999 |

| account | | | | |
|-----------|-----------|---------------------|------|----------|
| <u>no</u> | type | cname | rate | sortcode |
| 100 | 'current' | 'McBrien, P.' | NULL | 67 |
| 101 | 'deposit' | 'McBrien, P.' | 5.25 | 67 |
| 103 | 'current' | 'Boyd, M.' | NULL | 34 |
| 107 | 'current' | 'Poulovassilis, A.' | NULL | 56 |
| 119 | 'deposit' | 'Poulovassilis, A.' | 5.50 | 56 |
| 125 | 'current' | 'Bailey, J.' | NULL | 56 |

key branch(sortcode)

key branch(bname)

key movement(mid)

key account(no)

$\text{movement}(\text{no}) \xRightarrow{fk} \text{account}(\text{no})$

$\text{account}(\text{sortcode}) \xRightarrow{fk} \text{branch}(\text{sortcode})$

Equivalences Involving Project

Project and Project

$$\pi_{\vec{X}} \pi_{\vec{Y}} R \equiv \pi_{\vec{X}} R$$

You can eliminate any inner project (note that to be well formed $\vec{X} \subseteq \vec{Y}$)

Project and Select

$$\pi_{\vec{X}} \sigma_{P(\vec{Y})} R \equiv \sigma_{P(\vec{Y})} \pi_{\vec{X}} R$$

You can move a project of attributes \vec{X} inside a select, provided the select predicate can be answered from those attributes, *i.e.* $\vec{Y} \subseteq \vec{X}$

Project and Product

$$\pi_{\vec{X}}(R \times S) \equiv \pi_{\vec{X} \cap \text{Atts}(R)} R \times \pi_{\vec{X} \cap \text{Atts}(S)} S$$

Project and Union

$$\pi_{\vec{X}}(R \cup S) \equiv \pi_{\vec{X}} R \cup \pi_{\vec{X}} S$$

Project and Difference

$$\pi_{\vec{X}}(R - S) \supseteq \pi_{\vec{X}} R - \pi_{\vec{X}} S$$

Equivalences Involving Select

Select and Project

$$\sigma_{P(\vec{X})} \pi_{\vec{X}} R \equiv \pi_{\vec{X}} \sigma_{P(\vec{X})} R$$

Select and Select

$$\sigma_{P_x(\vec{X})} \sigma_{P_y(\vec{Y})} R \equiv \sigma_{P_x(\vec{X}) \wedge P_y(\vec{Y})} R$$

Select and Product

$$\sigma_{P(\vec{X})} (R \times S) \equiv \sigma_{P(\vec{X})} R \times S \iff \vec{X} \subseteq \text{Atts}(R)$$

You can move a select predicate $P(\vec{X})$ onto one of the relations inside a product provided $\vec{X} \subseteq \text{Atts}(R)$.

Select and Union

$$\sigma_{P(\vec{X})} (R \cup S) \equiv \sigma_{P(\vec{X})} R \cup \sigma_{P(\vec{X})} S$$

Select and Difference

$$\sigma_{P(\vec{X})} (R - S) \equiv \sigma_{P(\vec{X})} R - S$$

Quiz 12: Equivalent RA Expressions (Unary Operators)

Which RA expression is not equivalent to the other three?

A

$\pi_{\text{no}} \sigma_{\text{type}='current'} \text{account}$

B

$\pi_{\text{no}} \sigma_{\text{type}='current'} \pi_{\text{no}, \text{type}, \text{cname}} \text{account}$

C

$\pi_{\text{no}} \sigma_{\text{type} \neq 'deposit'} \pi_{\text{no}, \text{type}, \text{cname}} \text{account}$

D

$\pi_{\text{no}} \sigma_{\text{type}='current'} \sigma_{\text{type} \neq 'deposit'} \text{account}$

Quiz 13: Query Evaluation

Which RA means that the \times operator handles fewer tuples?

A

$\sigma_{\text{account.no}=\text{movement.no}}$
 $(\sigma_{\text{sortcode}=67} \text{ account} \times \sigma_{\text{amount}<0} \text{ movement})$

B

$\sigma_{\text{account.no}=\text{movement.no} \wedge \text{sortcode}=67}$
 $(\text{account} \times \sigma_{\text{amount}<0} \text{ movement})$

C

$\sigma_{\text{account.no}=\text{movement.no} \wedge \text{amount}<0}$
 $(\sigma_{\text{sortcode}=67} \text{ account} \times \text{movement})$

D

$\sigma_{\text{account.no}=\text{movement.no} \wedge \text{sortcode}=67 \wedge \text{amount}<0}$
 $(\text{account} \times \text{movement})$

Equivalences Involving Binary Operators

Product and Union

$$R \times (S \cup T) \equiv (R \times S) \cup (R \times T)$$

Product and Difference

$$R \times (S - T) \equiv (R \times S) - (R \times T)$$

Union and Product

$$R \cup (S \times T) \text{ unable to move } \cup \text{ inside } \times$$

Union and Difference

$$R \cup (S - T) \text{ unable to move } \cup \text{ inside } -$$

Difference and Product

$$R - (S \times T) \text{ unable to move } - \text{ inside } \times$$

Difference and Union

$$R - (S \cup T) \equiv (R - S) - T$$

Quiz 14: Equivalent RA Expressions (Binary Operators)

Which equivalence does not hold?

A

$$(R \times S) \times T \equiv R \times (S \times T)$$

B

$$(R - S) - T \equiv R - (S - T)$$

C

$$(R \cup S) \cup T \equiv R \cup (S \cup T)$$

D

$$(R \cap S) \cap T \equiv R \cap (S \cap T)$$

Worksheet: Equivalences Between RA Expressions

- 1 $\pi_{no,type} \sigma_{sortcode=56} \pi_{no,type,sortcode} \sigma_{type='deposit'} \text{account}$
- 2 $\sigma_{\text{account.no}=\text{movement.no}} (\pi_{no,cname} \text{account} \times \pi_{mid,no} \sigma_{\text{amount}>1000} \text{movement})$
- 3 $\sigma_{\text{account.no}=\text{movement.no}} (\pi_{no,cname,rate} \text{account} \times (\sigma_{\text{amount}>1000} \pi_{mid,no} \text{movement} \cup \sigma_{\text{amount}<100} \pi_{mid,no} \text{movement}))$
- 4 $\pi_{no,cname,tdate} \sigma_{\text{amount}<0 \wedge \text{account.no}=\text{movement.no}} \text{account} \times \text{movement}$

Quiz 15: Monotonic and non-monotonic operators

A monotonic operator has the property that an additional tuple put into any input relation which only cause additional tuples to be generated in the output relation.

A non-monotonic operator has the property that an additional tuple put into an input relation may remove tuples from the output relation

Which RA operator is non-monotonic?

A

πR

B

$R \times S$

C

$R \cup S$

D

$R - S$

Incremental Query Evaluation

Suppose we add rows Δ_R to extent of relation R so it becomes R'

If we represent Δ_R as a relation (with the same attributes as R) then

$$R' = R \cup \Delta_R$$

$$\pi_{\vec{X}} R' \equiv \pi_{\vec{X}} R \cup \pi_{\vec{X}} \Delta_R$$

$$\sigma_{P(\vec{X})} R' \equiv \sigma_{P(\vec{X})} R \cup \sigma_{P(\vec{X})} \Delta_R$$

$$R' \times S \equiv (R \times S) \cup (\Delta_R \times S)$$

$$R' \cup S \equiv (R \cup S) \cup \Delta_R$$

$$R' - S \equiv (R - S) \cup (\Delta_R - S)$$

$$S - R' \equiv (S - R) - \Delta_R$$

Example: Query result after update to account (1)

- 1 Suppose that we had already evaluated query Q

| $\pi_{\text{bname}, \text{no}} \sigma_{\text{branch.sortcode}=\text{account.sortcode} \wedge \text{account.type}=\text{'current'}} (\text{branch} \times \text{account})$ | |
|---|-----|
| bname | no |
| 'Goodge St' | 103 |
| 'Wimbledon' | 107 |
| 'Wimbledon' | 125 |
| 'Strand' | 100 |

- 2 If Δ_{account} is added to account to get $\text{account}'$:

$$\begin{aligned} & \pi_{\text{bname}, \text{no}} \sigma_{\text{branch.sortcode}=\text{account.sortcode} \wedge \text{account.type}=\text{'current'}} (\text{branch} \times \text{account}') \\ & \pi_{\text{bname}, \text{no}} \sigma_{\text{branch.sortcode}=\text{account.sortcode} \wedge \text{account.type}=\text{'current'}} ((\text{branch} \times \text{account}) \cup (\text{branch} \times \Delta_{\text{account}})) \\ & \pi_{\text{bname}, \text{no}} \sigma_{\text{branch.sortcode}=\text{account.sortcode} \wedge \text{account.type}=\text{'current'}} (\text{branch} \times \text{account}) \cup \\ & \quad \pi_{\text{bname}, \text{no}} \sigma_{\text{branch.sortcode}=\text{account.sortcode} \wedge \text{account.type}=\text{'current'}} (\text{branch} \times \Delta_{\text{account}}) \end{aligned}$$

- 3 Thus if Δ_{account} is added to account, we only need evaluate

$$\pi_{\text{bname}, \text{no}} \sigma_{\text{branch.sortcode}=\text{account.sortcode} \wedge \text{account.type}=\text{'current'}} (\text{branch} \times \Delta_{\text{account}})$$

Example: Query result after update to account (2)

- 4 Suppose we have

| $\Delta_{account}$ | | | | |
|--------------------|------------|----------------|------|----|
| 126 | 'business' | 'McBrien, P.' | 1.00 | 67 |
| 127 | 'current' | 'Pietzuch, P.' | NULL | 34 |

Then

| $\pi_{bname, no} \sigma_{branch.sortcode=account.sortcode \wedge account.type='current'} (branch \times \Delta_{account})$ | |
|--|-----|
| bname | no |
| 'Goodge St' | 127 |

- 5 Thus since $Q' = Q \cup \Delta_Q$

| $\pi_{bname, no} \sigma_{branch.sortcode=account.sortcode \wedge account.type='current'} (branch \times account')$ | |
|--|-----|
| bname | no |
| 'Goodge St' | 103 |
| 'Wimbledon' | 107 |
| 'Wimbledon' | 125 |
| 'Strand' | 100 |
| 'Goodge St' | 127 |