

SQL: An Implementation of the Relational Algebra

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SQL

Development of Relational Database Systems

- Relation Model and Algebra proposed by C.J.Codd in 1970
- IBM developed a prototype relational database called **System R** with a query language **Structured English Query Language (SEQUEL)**
- SEQUEL later renamed **SQL**
- Various commercial versions of SQL launched in late 1970's/early 1980s
 - **DB2**
 - **Oracle**
 - **Sybase**
 - ...

SQL Language Components

Data Definition Language (DDL): a relational schema with data

Data Manipulation Language (DML): a relational query and update language

SQL DML: Definition of Tables

```
CREATE TABLE branch
(  sortcode INTEGER NOT NULL,
   bname VARCHAR(20) NOT NULL,
   cash DECIMAL(10,2) NOT NULL
)
```

branch		
sortcode	bname	cash

```
CREATE TABLE account(
  no INTEGER NOT NULL,
  type VARCHAR(8) NOT NULL,
  cname VARCHAR(20) NOT NULL,
  rate DECIMAL(4,2) NULL,
  sortcode INTEGER NOT NULL
)
```

account				
no	type	cname	rate	sortcode

SQL DML: SQL Data Types

Some SQL Data Types	
Keyword	Semantics
BOOLEAN	A logical value (TRUE, FALSE, or UNKNOWN)
BIT	1 bit integer (0, 1, or NULL)
INTEGER	32 bit integer
BIGINT	64 bit integer
FLOAT(n)	An n bit mantissa floating point number
REAL	32 bit floating point number (\equiv FLOAT(24))
DOUBLE PRECISION	64 bit floating point number (\equiv FLOAT(53))
DECIMAL(p,s)	A p digit number with s digits after the decimal point
CHAR(n)	A fixed length string of n characters
VARCHAR(n)	A varying length string of upto n characters
DATE	A calendar date (day, month and year)
TIME	A time of day (seconds, minutes, hours)
TIMESTAMP	time and day together
ARRAY	An ordered list of a certain datatype
MULTISET	A bag (<i>i.e.</i> unordered list) of a certain datatype
XML	XML text

SQL DML: Definition of Keys

```
CREATE TABLE branch
(
  sortcode INTEGER NOT NULL,
  bname VARCHAR(20) NOT NULL,
  cash DECIMAL(10,2) NOT NULL,
  CONSTRAINT branch_pk PRIMARY KEY (sortcode)
)
```

branch		
<u>sortcode</u>	bname	cash

```
CREATE TABLE account(
  no INTEGER NOT NULL,
  type VARCHAR(8) NOT NULL,
  cname VARCHAR(20) NOT NULL,
  rate DECIMAL(4,2) NULL,
  sortcode INTEGER NOT NULL,
  CONSTRAINT account_pk PRIMARY KEY (no),
  CONSTRAINT account_fk FOREIGN KEY (sortcode)
REFERENCES branch
)
```

account				
<u>no</u>	type	cname	rate	sortcode

account(sortcode) \xRightarrow{fk} branch(sortcode)

Keys and the Primary Key

Keys

The alternative keys of a table are called **candidate keys**

Primary Key

- Choose the key most often used to access a table as the **primary key**
- Has no logical impact on the relational model
- Has an operation impact: index created that accesses the data faster
- All other keys are called **secondary keys**

Declaring Primary Keys after table creation

```
ALTER TABLE branch  
ADD CONSTRAINT branch_pk PRIMARY KEY (sortcode);
```

Declaring Secondary Keys for a table

```
CREATE UNIQUE INDEX branch_bname_key ON branch(bname)
```

SQL DML: Inserting, Updating and Deleting Data

```
INSERT INTO account
VALUES (100, 'current', 'McBrien, P.', NULL, 67),
(101, 'deposit', 'McBrien, P.', 5.25, 67),
(103, 'current', 'Boyd, M.', NULL, 34),
(107, 'current', 'Poulovassilis, A.', NULL, 56),
(119, 'deposit', 'Poulovassilis, A.', 5.50, 56),
(125, 'current', 'Bailey, J.', NULL, 56)
```

```
UPDATE account
SET type='deposit'
WHERE no=100
```

```
DELETE
FROM account
WHERE no=100
```

account				
no	type	cname	rate	sortcode
100	'current'	'McBrien, P.'	NULL	67
101	'deposit'	'McBrien, P.'	5.25	67
103	'current'	'Boyd, M.'	NULL	34
107	'current'	'Poulovassilis, A.'	NULL	56
119	'deposit'	'Poulovassilis, A.'	5.50	56
125	'current'	'Bailey, J.'	NULL	56


account				
no	type	cname	rate	sortcode
100	'deposit'	'McBrien, P.'	NULL	67
101	'deposit'	'McBrien, P.'	5.25	67
103	'current'	'Boyd, M.'	NULL	34
107	'current'	'Poulovassilis, A.'	NULL	56
119	'deposit'	'Poulovassilis, A.'	5.50	56
125	'current'	'Bailey, J.'	NULL	56

account				
no	type	cname	rate	sortcode
101	'deposit'	'McBrien, P.'	5.25	67
103	'current'	'Boyd, M.'	NULL	34
107	'current'	'Poulovassilis, A.'	NULL	56
119	'deposit'	'Poulovassilis, A.'	5.50	56
125	'current'	'Bailey, J.'	NULL	56

SQL DML: An Implementation of the RA

SQL SELECT statements: Rough Equivalence to RA

SELECT A_1, \dots, A_n
 FROM R_1, \dots, R_m
 WHERE P_1
 AND ...
 AND P_k


 $\pi_{A_1, \dots, A_n} \sigma_{P_1 \wedge \dots \wedge P_k} R_1 \times \dots \times R_m$

SQL SELECT implements RA π, σ and \times

$\pi_{\text{bname}, \text{no}} \sigma_{\text{branch.sortcode}=\text{account.sortcode} \wedge \text{account.type}=\text{'current'}} (\text{branch} \times \text{account})$

```

SELECT  branch.bname ,
        account.no
FROM    account , branch
WHERE   account.sortcode=branch.sortcode
AND     account.type='current'
```


Naming columns in SQL

Column naming rules in SQL

- You must never have an ambiguous column name in an SQL statement
- You can use **SELECT *** to indicate all columns (*i.e.* have no projection)
- You can use **tablename.*** to imply all columns from a table



```
SELECT branch.bname,
       account.sortcode
FROM   account, branch
WHERE  account.sortcode=
       branch.sortcode
AND    account.type='current'
```



```
SELECT bname,
       sortcode
FROM   account, branch
WHERE  account.sortcode=
       branch.sortcode
AND    type='current'
```



```
SELECT bname,
       account.sortcode
FROM   account, branch
WHERE  account.sortcode=
       branch.sortcode
AND    type='current'
```



```
SELECT branch.*,
       no
FROM   account, branch
WHERE  account.sortcode=
       branch.sortcode
AND    type='current'
```



sortcode	bname	cash	no
67	'Strand'	34005.00	100
34	'Goodge St'	8900.67	103
56	'Wimbledon'	94340.45	107
56	'Wimbledon'	94340.45	125

Quiz 1: Translating RA into SQL

Which SQL query implements $\pi_{\text{bname}, \text{no}} \sigma_{\text{type}='deposit'}(\text{account} \bowtie \text{branch})$?

A

```
SELECT *  
FROM   account , branch  
WHERE  type='deposit '
```

B

```
SELECT bname , no  
FROM   account , branch  
WHERE  type='deposit '
```

C

```
SELECT bname , no  
FROM   branch , account  
WHERE  branch . sortcode =  
        account . sortcode  
AND    type='deposit '
```

D

```
SELECT bname , no  
FROM   account , branch  
WHERE  branch . sortcode =  
        account . no  
AND    type='deposit '
```

Connectives Between SQL SELECT statements

Binary operators between SELECT statements

- SQL UNION implements $RA \cup$
- SQL EXCEPT implements $RA -$
- SQL INTERSECT implements $RA \cap$

Note that two tables must be **union compatible**: have the same number and type of columns

$\pi_{no}account - \pi_{no}movement$

```
SELECT no
FROM   account
EXCEPT
SELECT no
FROM   movement
```

SQL Joins: Four ways of asking branch ⋈ account

'Classic' SQL Join Syntax

```
SELECT branch.*, no, type, cname, rate
FROM branch, account
WHERE branch.sortcode=account.sortcode
```

Modern SQL Join Syntax

```
SELECT branch.*, no, type, cname, rate
FROM branch JOIN account ON branch.sortcode=account.sortcode
```

Special Syntax for Natural Join

```
SELECT *
FROM branch NATURAL JOIN account
```

Another Special Syntax for Natural Join

```
SELECT branch.*, no, type, cname, rate
FROM branch JOIN account USING (sortcode)
```

Overview of RA and SQL correspondances

RA and SQL	
RA Operator	SQL Operator
π	SELECT
σ	WHERE
$R_1 \times R_2$	FROM R_1, R_2 <i>or</i> FROM R_1 CROSS JOIN R_2
$R_1 \bowtie R_2$	FROM R_1 NATURAL JOIN R_2
$R_1 \bowtie_{\theta} R_2$	FROM R_1 JOIN R_2 ON θ
$R_1 - R_2$	R_1 EXCEPT R_2
$R_1 \cup R_2$	R_1 UNION R_2
$R_1 \cap R_2$	R_1 INTERSECT R_2

Try some examples yourself ...

```
medusa-s2(pjm)-4$ psql -h db -U lab -d bank_branch -W
Password:
bank_branch=> SELECT *
bank_branch-> FROM branch NATURAL JOIN account;
```

sortcode	bname	cash	no	type	cname	rate
67	Strand	34005.00	100	current	McBrien, P.	
67	Strand	34005.00	101	deposit	McBrien, P.	5.25
34	Goodge St	8900.67	103	current	Boyd, M.	
56	Wimbledon	94340.45	107	current	Poulovassilis, A.	
56	Wimbledon	94340.45	119	deposit	Poulovassilis, A.	5.50
56	Wimbledon	94340.45	125	current	Bailey, J.	

...and find out that not all DBMSs are the same

```
medusa-s2(pjm)-4$ sqsh -S sqlserver -X -U lab -D bank_branch
Password:
[21] sqlserver.bank_branch.1> SELECT *
[21] sqlserver.bank_branch.2> FROM branch NATURAL JOIN account
[21] sqlserver.bank_branch.3> \go
```

```
Msg 102, Level 15, State 1
Server 'DOWITCHER', Line 2
Line 2: Incorrect syntax near 'account'.
```

SQL: Bags and Sets

SELECT ALL sortcode
FROM account

$\approx \pi_{\text{sortcode}} \text{account}$
sortcode
67
67
56
56
56
34

SELECT DISTINCT sortcode
FROM account

$\pi_{\text{sortcode}} \text{account}$
sortcode
34
56
67

SQL SELECT: Bag semantics

- By default, an SQL **SELECT** (equivalent to an RA π) does *not* eliminate duplicates, and returns a **bag** (or **multiset**) rather than a set.
- Any **SELECT** that does not cover a key of the input relation, and requires a set based answer, should use **DISTINCT**.

Quiz 2: Correct use of SELECT DISTINCT (1)

branch(sortcode,bname,cash)

key branch(sortcode)

key branch(bname)

Which SQL query requires the use of DISTINCT in order to avoid the possibility of a bag being produced?

A

```
SELECT *  
FROM   branch  
WHERE  cash > 10000
```

B

```
SELECT  sortcode  
FROM    branch  
WHERE   cash > 10000
```

C

```
SELECT  bname, cash  
FROM    branch
```

D

```
SELECT  cash  
FROM    branch  
WHERE   cash > 10000
```

Quiz 3: Correct use of SELECT DISTINCT (2)

branch(sortcode,bname,cash)
account(no,type,cname,rate,sortcode)

key branch(sortcode)
key branch(bname)
key account(no)

Which SQL query requires the use of DISTINCT in order to avoid the possibility of a bag being produced?

A

```
SELECT *  
FROM   branch NATURAL JOIN  
       account
```

B

```
SELECT branch.sortcode , type , rate  
FROM   branch NATURAL JOIN  
       account
```

C

```
SELECT branch.sortcode , no  
FROM   branch NATURAL JOIN  
       account
```

D

```
SELECT branch.sortcode , no , cash  
FROM   branch NATURAL JOIN  
       account
```

Quiz 4: Operators that might produce bags

If R and S are sets, which RA operator could produce a bag result if the implementation did not check for duplicates?

A

σR

B

$R \cup S$

C

$R - S$

D

$R \times S$

Bag and Set operations in SQL

RA Operator	Set Based SQL	Bag Based SQL
π_{A_1, \dots, A_n}	SELECT DISTINCT A_1, \dots, A_n	SELECT ALL A_1, \dots, A_n
$R_1 \times \dots \times R_m$	FROM R_1, \dots, R_m	FROM R_1, \dots, R_m
σ_{P_1, \dots, P_k}	WHERE P_1 AND ... AND P_k	WHERE P_1 AND ... AND P_k
$R_1 \cup R_2$	R_1 UNION DISTINCT R_2	R_1 UNION ALL R_2
$R_1 - R_2$	R_1 EXCEPT DISTINCT R_2	R_1 EXCEPT ALL R_2
$R_1 \cap R_2$	R_1 INTERSECT DISTINCT R_2	R_1 INTERSECT ALL R_2

Choosing between set and bag semantics

If you omit DISTINCT or ALL, then the defaults are:

SELECT ALL

UNION DISTINCT

EXCEPT DISTINCT

INTERSECT DISTINCT

No FROM DISTINCT or WHERE DISTINCT?

There is no need for DISTINCT or ALL around FROM (\times) and WHERE (σ) cannot introduce any duplicates, and any existing duplicates can be removed in the SELECT

Project-Select-Product Queries

SQL SELECT statements: Exact Equivalence to RA

SELECT DISTINCT A_1, \dots, A_n
FROM R_1, \dots, R_m
WHERE P_1 $\equiv \pi_{A_1, \dots, A_n} \sigma_{P_1 \wedge \dots \wedge P_k} R_1 \times \dots \times R_m$
AND ...
AND P_k

- SQL SELECT implements RA π, σ and \times
- Omit DISTINCT when either
 - you know A_1, \dots, A_n cover a key
 - you want a bag (rather than set) answer

Quiz 5: SQL EXCEPT

```
SELECT no
FROM movement
EXCEPT
SELECT no
FROM account
```

movement				
<u>mid</u>	no	amount	tdate	
1000	100	2300.00	5/1/1999	
1001	101	4000.00	5/1/1999	
1002	100	-223.45	8/1/1999	
1004	107	-100.00	11/1/1999	
1005	103	145.50	12/1/1999	
1006	100	10.23	15/1/1999	
1007	107	345.56	15/1/1999	
1008	101	1230.00	15/1/1999	
1009	119	5600.00	18/1/1999	

account				
<u>no</u>	type	cname	rate	sortcode
100	'current'	'McBrien, P.'	NULL	67
101	'deposit'	'McBrien, P.'	5.25	67
103	'current'	'Boyd, M.'	NULL	34
107	'current'	'Poulovassilis, A.'	NULL	56
119	'deposit'	'Poulovassilis, A.'	5.50	56
125	'current'	'Bailey, J.'	NULL	56

What is the result of the above SQL query?

A

no
100
101
103
107
119
125

B

no
100
101
103
107
119

C

no
100
100
101
107

D

no

Quiz 6: SQL EXCEPT ALL

```
SELECT no
FROM   movement
EXCEPT ALL
SELECT no
FROM   account
```

movement				
<u>mid</u>	no	amount	tdate	
1000	100	2300.00	5/1/1999	
1001	101	4000.00	5/1/1999	
1002	100	-223.45	8/1/1999	
1004	107	-100.00	11/1/1999	
1005	103	145.50	12/1/1999	
1006	100	10.23	15/1/1999	
1007	107	345.56	15/1/1999	
1008	101	1230.00	15/1/1999	
1009	119	5600.00	18/1/1999	

account				
<u>no</u>	type	cname	rate	sortcode
100	'current'	'McBrien, P.'	NULL	67
101	'deposit'	'McBrien, P.'	5.25	67
103	'current'	'Boyd, M.'	NULL	34
107	'current'	'Poulovassilis, A.'	NULL	56
119	'deposit'	'Poulovassilis, A.'	5.50	56
125	'current'	'Bailey, J.'	NULL	56

What is the result of the above SQL query?

A

no
100
101
103
107
119
125

B

no
100
101
103
107
119

C

no
100
100
101
107

D

no

Table Aliases and Self Joins

Table and Column Aliases

The SQL operator **AS** allows a column or table name to be renamed.
Essential when needing to join a table with itself

List people with a current and a deposit account

```
SELECT current_account.cname,  
       current_account.no AS current_no ,  
       deposit_account.no AS deposit_no  
FROM   account AS current_account  
       JOIN account AS deposit_account  
ON     current_account.cname=deposit_account.cname  
AND    current_account.type='current '  
AND    deposit_account.type='deposit '
```



cname	current_no	deposit_no
'McBrien, P.'	100	101
'Poulouvassilis, A.'	107	119

Table Aliases

current_account				
<u>no</u>	type	cname	rate	sortcode
100	'current'	'McBrien, P.'	NULL	67
101	'deposit'	'McBrien, P.'	5.25	67
103	'current'	'Boyd, M.'	NULL	34
107	'current'	'Poulovassilis, A.'	NULL	56
119	'deposit'	'Poulovassilis, A.'	5.50	56
125	'current'	'Bailey, J.'	NULL	56

deposit_account				
<u>no</u>	type	cname	rate	sortcode
100	'current'	'McBrien, P.'	NULL	67
101	'deposit'	'McBrien, P.'	5.25	67
103	'current'	'Boyd, M.'	NULL	34
107	'current'	'Poulovassilis, A.'	NULL	56
119	'deposit'	'Poulovassilis, A.'	5.50	56
125	'current'	'Bailey, J.'	NULL	56

Worksheet: Translating Between Relational Algebra and SQL

account				
<u>no</u>	type	cname	rate	sortcode
100	'current'	'McBrien, P.'	NULL	67
101	'deposit'	'McBrien, P.'	5.25	67
103	'current'	'Boyd, M.'	NULL	34
107	'current'	'Poulovassilis, A.'	NULL	56
119	'deposit'	'Poulovassilis, A.'	5.50	56
125	'current'	'Bailey, J.'	NULL	56

movement			
<u>mid</u>	no	amount	tdate
1000	100	2300.00	5/1/1999
1001	101	4000.00	5/1/1999
1002	100	-223.45	8/1/1999
1004	107	-100.00	11/1/1999
1005	103	145.50	12/1/1999
1006	100	10.23	15/1/1999
1007	107	345.56	15/1/1999
1008	101	1230.00	15/1/1999
1009	119	5600.00	18/1/1999

$\text{movement}(\text{no}) \xrightarrow{fk} \text{account.no}$

Set Operations: IN

IN operator tests for membership of a set

```
SELECT *
FROM   account
WHERE  type='current'
AND    no IN (100,101)
```

Can use nested SELECT to generate set

```
SELECT no
FROM   account
WHERE  type='current'
AND    no IN (SELECT no
                FROM   movement
                WHERE  amount > 500)
```

≡

```
SELECT DISTINCT account.no
FROM   account JOIN movement
ON     account.no=movement.no
WHERE  type='current'
AND    amount > 500
```

Quiz 7: SQL Set Membership Testing

```

SELECT no
FROM account
WHERE type='current'
AND no NOT IN
    ( SELECT no
      FROM movement
      WHERE amount>500)
    ≠
SELECT DISTINCT account.no
FROM account
JOIN movement
ON account.no=movement.no
WHERE type='current'
AND NOT amount>500
  
```

What is the result of the above SQL query?

A

no
100
103
107
125

B

no
100
103
107

C

no
103
107
125

D

no
103
107

Set Operations: EXISTS

Testing for Existence

- IN can be used to test if some value is in a relation, either listed, or produced by some SELECT statement
- EXISTS can be used to test if a SELECT statement returns any rows

List people without a deposit account

```
SELECT DISTINCT cname
FROM   account
WHERE  cname NOT IN
( SELECT cname
  FROM   account
  WHERE  type='deposit' )
```

```
SELECT DISTINCT cname
FROM   account
WHERE  NOT EXISTS
≡ ( SELECT *
    FROM   account AS deposit_account
    WHERE  type='deposit'
    AND    account.cname=cname)
```

cname
'Boyd, M.'
'Bailey, J.'

Correlated Subquery

Correlated Subquery

- A correlated subquery contains a reference to the columns of the outer query in which the subquery is contained
- Conceptually, result is as if the subquery were executed for each row considered by the WHERE clause

List people without a deposit account

```
SELECT DISTINCT cname
FROM   account
WHERE NOT EXISTS
( SELECT *
  FROM   account AS deposit_account
  WHERE  type='deposit'
  AND    account.cname=deposit_account.cname )
```

cname
'Boyd, M.'
'Bailey, J.'

Set Operations: EXISTS

NOT EXISTS and EXCEPT

- Most queries involving EXCEPT can be also written using NOT EXISTS
- EXCEPT relatively recent addition to SQL

$\pi_{\text{no}}\text{account} - \pi_{\text{no}}\text{movement}$

SELECT no		SELECT no
FROM account		FROM account
EXCEPT	≡	WHERE NOT EXISTS
SELECT no		(SELECT no
FROM movement		FROM movement
		WHERE no=account.no)

Set Operations: SOME and ALL

Can test a value against members of a set

- $V \text{ op SOME } S \text{ is TRUE}$ if there is at least one $V_s \in S$ such that $V \text{ op } V_s$
- $V \text{ op ALL } S \text{ is TRUE}$ if there are no values $V_s \in S$ such that $\text{NOT } V \text{ op } V_s$

names of branches that only have current accounts

```
SELECT bname
FROM   branch
WHERE  'current' = ALL (SELECT type
                        FROM   account
                        WHERE  branch.sortcode = account.sortcode)
```

names of branches that have deposit accounts

```
SELECT bname
FROM   branch
WHERE  'deposit' = SOME (SELECT type
                        FROM   account
                        WHERE  branch.sortcode = account.sortcode)
```


Worksheet: Set Operations

branch		
<u>sortcode</u>	bname	cash
56	'Wimbledon'	94340.45
34	'Goodge St'	8900.67
67	'Strand'	34005.00

movement			
<u>mid</u>	no	amount	tdate
1000	100	2300.00	5/1/1999
1001	101	4000.00	5/1/1999
1002	100	-223.45	8/1/1999
1004	107	-100.00	11/1/1999
1005	103	145.50	12/1/1999
1006	100	10.23	15/1/1999
1007	107	345.56	15/1/1999
1008	101	1230.00	15/1/1999
1009	119	5600.00	18/1/1999

account				
<u>no</u>	type	cname	rate	sortcode
100	'current'	'McBrien, P.'	NULL	67
101	'deposit'	'McBrien, P.'	5.25	67
103	'current'	'Boyd, M.'	NULL	34
107	'current'	'Poulovassilis, A.'	NULL	56
119	'deposit'	'Poulovassilis, A.'	5.50	56
125	'current'	'Bailey, J.'	NULL	56

key branch(sortcode)

key branch(bname)

key movement(mid)

key account(no)

$\text{movement}(\text{no}) \xRightarrow{fk} \text{account}(\text{no})$

$\text{account}(\text{sortcode}) \xRightarrow{fk} \text{branch}(\text{sortcode})$

Worksheet: Set Operations (3)

Write an SQL query without using any negation (*i.e.* without the use of NOT or EXCEPT) that list accounts with no movements on or before the 11-Jan-1999.

```
SELECT no
FROM   account
WHERE  '11-jan-1999' < ALL (SELECT tdate
                             FROM   movement
                             WHERE  movement.no=account.no)
```

Worksheet: Set Operations (4)

Write an SQL query that lists the **cname** of customers that have every type of account that appears in **account**

```
SELECT DISTINCT cname
FROM   account AS cust_account
WHERE  NOT EXISTS ( SELECT type
                    FROM   account
                    EXCEPT
                    SELECT type
                    FROM   account
                    WHERE  account.cname=cust_account.cname
                  )
```

Set Operations: NOT SOME NOT and ALL

Equivalence between *exists* and *for all*

In first order classical logic $\neg\exists\neg\equiv\forall$

accounts with all movements less than or equal to 500

```
SELECT no
FROM   account
WHERE  500>=ALL (SELECT amount
                  FROM   movement
                  WHERE  account.no=movement.no)
```

≡

```
SELECT no
FROM   account
WHERE  NOT 500<SOME (SELECT amount
                     FROM   movement
                     WHERE  account.no=movement.no)
```

Null

Several definitions of null have been proposed, including:

- 1 null represents a something that is not present in the UoD
- 2 null represents something that might be present in the UoD, but we do not know its value at present
- 3 null represents something that is present in the UoD, but we do not know its value at present

SQL handling of NULL

- SQL uses a three valued logic to process WHERE predicate
- Truth values are TRUE, FALSE, and UNKNOWN
- SQL standard vague, but handling of NULL is nearest to option 2

Quiz 8: SQL handling of NULL (1)

account				
no	type	cname	rate	sortcode
100	'current'	'McBrien, P.'	NULL	67
101	'deposit'	'McBrien, P.'	5.25	67
103	'current'	'Boyd, M.'	NULL	34
107	'current'	'Poulovassilis, A.'	NULL	56
119	'deposit'	'Poulovassilis, A.'	5.50	56
125	'current'	'Bailey, J.'	NULL	56

```
SELECT no
FROM account
WHERE rate=NULL
```

What is the result of the SQL query above?

A

no
100
101
103
107
119
125

B

no
100
103
107
125

C

no
101
119

D

no

Quiz 9: SQL handling of NULL (2)

account				
no	type	cname	rate	sortcode
100	'current'	'McBrien, P.'	NULL	67
101	'deposit'	'McBrien, P.'	5.25	67
103	'current'	'Boyd, M.'	NULL	34
107	'current'	'Poulovassilis, A.'	NULL	56
119	'deposit'	'Poulovassilis, A.'	5.50	56
125	'current'	'Bailey, J.'	NULL	56

```
SELECT no
FROM   account
WHERE  rate=null
OR     rate<>null
```

What is the result of the SQL query above?

A

no
100
101
103
107
119
125

B

no
100
103
107
125

C

no
101
119

D

no

SQL implements three valued logic

AND

$P_1 \text{ AND } P_2$		P_2		
P_1	TRUE	TRUE	UNKNOWN	FALSE
	UNKNOWN	TRUE	UNKNOWN	FALSE
	FALSE	UNKNOWN	UNKNOWN	FALSE
	FALSE	FALSE	FALSE	FALSE

NOT

P_1		$\text{NOT } P_1$
P_1	TRUE	FALSE
	UNKNOWN	UNKNOWN
	FALSE	TRUE

OR

$P_1 \text{ OR } P_2$		P_2		
P_1	TRUE	TRUE	UNKNOWN	FALSE
	UNKNOWN	TRUE	TRUE	TRUE
	FALSE	TRUE	UNKNOWN	UNKNOWN
	FALSE	TRUE	UNKNOWN	FALSE

Truth values of SQL Formulae

Formula	Result
$x = \text{null}$	UNKNOWN
$\text{null} = \text{null}$	UNKNOWN
$x \text{ IS NULL}$	TRUE if x has a null value, FALSE otherwise
$x \text{ IS NOT NULL}$	TRUE if x does not have a null value, FALSE otherwise

'Correct' SQL Queries Using null

Correct testing for NULL

```
SELECT no
FROM   account
WHERE  rate=NULL
```



```
SELECT no
FROM   account
WHERE  rate IS NULL
```

```
SELECT no
FROM   account
WHERE  rate=NULL
OR     rate<>NULL
```



```
SELECT no
FROM   account
WHERE  rate IS NULL
OR     rate IS NOT NULL
```

Testing for logical truth value

```
SELECT no
FROM   account
WHERE  (rate=5.50) IS NOT TRUE
```

Quiz 10: SQL 'Might Be'

```
SELECT no
FROM   account
WHERE (rate=5.25) IS NOT FALSE
```

account				
no	type	cname	rate	sortcode
100	'current'	'McBrien, P.'	NULL	67
101	'deposit'	'McBrien, P.'	5.25	67
103	'current'	'Boyd, M.'	NULL	34
107	'current'	'Poulovassilis, A.'	NULL	56
119	'deposit'	'Poulovassilis, A.'	5.50	56
125	'current'	'Bailey, J.'	NULL	56

What is the result of the above SQL query?

A

no
100
101
103
107
125

B

no
100
103
107
119
125

C

no
100
103
107
125

D

no

Worksheet: Null values in SQL

movement			
<u>mid</u>	no	amount	tdate
0999	119	45.00	null
1000	100	2300.00	5/1/1999
1001	101	4000.00	5/1/1999
1002	100	-223.45	8/1/1999
1004	107	-100.00	11/1/1999
1005	103	145.50	12/1/1999
1006	100	10.23	15/1/1999
1008	101	1230.00	15/1/1999
1009	119	5600.00	18/1/1999
1010	100	null	20/1/1999
1011	null	null	20/1/1999
1012	null	600.00	20/1/1999
1013	null	-46.00	20/1/1999

account				
<u>no</u>	type	cname	rate	sortcode
100	'current'	'McBrien, P.'	null	67
101	'deposit'	'McBrien, P.'	5.25	67
119	'deposit'	'Poulovassilis, A.'	5.50	56
125	'current'	'Bailey, J.'	null	56

Quiz 11: SQL EXCEPT and NULL

```

SELECT rate
FROM account
WHERE no < 105
EXCEPT
SELECT rate
FROM account
WHERE sortcode = 56

```

account				
no	type	cname	rate	sortcode
100	'current'	'McBrien, P.'	NULL	67
101	'deposit'	'McBrien, P.'	5.25	67
103	'current'	'Boyd, M.'	NULL	34
107	'current'	'Poulovassilis, A.'	NULL	56
119	'deposit'	'Poulovassilis, A.'	5.50	56
125	'current'	'Bailey, J.'	NULL	56

What is the result of the above SQL query?

A

rate

B

rate
5.25

C

rate
5.25
null

D

rate
5.25
null
null

Equivalences Between EXCEPT, NOT IN and NOT EXISTS

$R(\underline{A})$ and $S(B)$, A and B are not nullable

SELECT A FROM R EXCEPT SELECT B FROM S	≡	SELECT A FROM R WHERE NOT EXISTS (SELECT * FROM S WHERE S.B=R.A)	≡	SELECT A FROM R WHERE A NOT IN (SELECT B FROM S)
--	---	---	---	--

$R(A)$ and $S(B)$, A or B are nullable

SELECT A FROM R EXCEPT SELECT B FROM S	≠	SELECT A FROM R WHERE NOT EXISTS (SELECT * FROM S WHERE S.B=R.A)	≠	SELECT A FROM R WHERE A NOT IN (SELECT B FROM S)
--	---	---	---	--

Quiz 12: SQL EXCEPT and NOT IN

```

SELECT rate
FROM account
WHERE no < 105
AND rate NOT IN
      (SELECT rate
       FROM account
       WHERE sortcode = 56)

```

account				
no	type	cname	rate	sortcode
100	'current'	'McBrien, P.'	NULL	67
101	'deposit'	'McBrien, P.'	5.25	67
103	'current'	'Boyd, M.'	NULL	34
107	'current'	'Poulovassilis, A.'	NULL	56
119	'deposit'	'Poulovassilis, A.'	5.50	56
125	'current'	'Bailey, J.'	NULL	56

What is the result of the above SQL query?

A

rate

B

rate

5.25

C

rate

5.25

null

D

rate

5.25

null

null

Quiz 13: SQL EXCEPT and NOT EXISTS

```

SELECT rate
FROM account
WHERE no < 105
AND NOT EXISTS
  (SELECT *
   FROM account AS account_56
   WHERE sortcode = 56
   AND account_56.rate = account.rate)

```

account				
no	type	cname	rate	sortcode
100	'current'	'McBrien, P.'	NULL	67
101	'deposit'	'McBrien, P.'	5.25	67
103	'current'	'Boyd, M.'	NULL	34
107	'current'	'Poulovassilis, A.'	NULL	56
119	'deposit'	'Poulovassilis, A.'	5.50	56
125	'current'	'Bailey, J.'	NULL	56

What is the result of the above SQL query?

A

rate

B

rate

5.25

C

rate

5.25

null

D

rate

5.25

null

null