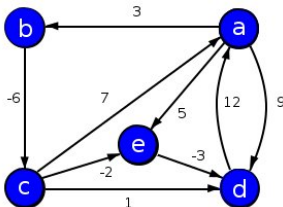


# More Terminology

## Definition (Directed Graph)

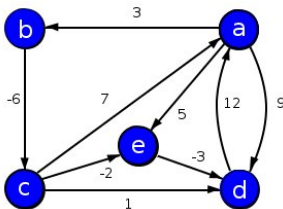
A **directed graph** is a graph  $G = (V, E)$  where  $V$  is a set (of objects) and  $E$  is a set of **ordered pairs** of elements of  $V$ .



- In a **directed** graph each edge  $(u, v)$  has a direction
- Edges  $(u, v)$  and  $(v, u)$  can both exist, and have different weights
- An undirected graph can be seen as a special type of directed graph

# Shortest Paths

With weighted edges a simple breadth-first search will not find the shortest paths



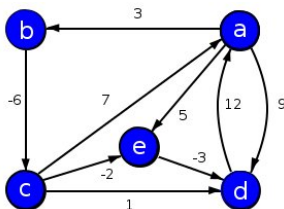
- The 'shortest' path from  $a$  to  $e$  is  $\langle a, b, c, e \rangle$

## Questions

- What might a "brute force" algorithm do?
- How long would it take?

# Bellman-Ford

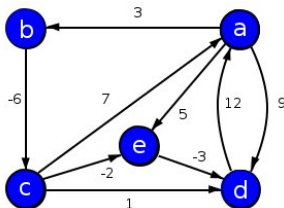
The Bellman-Ford algorithm solves the general problem where edges may have negative weights



- A distance array is used again
- $\text{distance}[v]$  is the **current estimate** of the shortest path to  $v$
- The algorithm proceeds by gradually reducing these estimates

# Bellman-Ford

Relaxing edge  $(u, v)$  checks if  $s \rightsquigarrow u \rightarrow v$  reduces  $\text{distance}[v]$



Relax (Input: weighted edge  $(u, v)$ )

- If  $\text{distance}[v]$  is greater than  $\text{distance}[u] + w(u, v)$  then:
  - $\text{distance}[v]$  is  $\text{distance}[u] + w(u, v)$
  - Parent of  $v$  is  $u$

# Bellman-Ford

Bellman-Ford (Input: weighted graph  $G = (V, E)$  and vertex  $s$ )

- Set  $distance[v] = \infty$  for all vertices
- Set  $distance[s] = 0$
- Repeat  $|V| - 1$  times:
  - For each edge  $e \in E$ 
    - Relax  $e$
- For each edge  $(u, v) \in E$ 
  - If  $distance[v]$  is greater than  $distance[u] + w(u, v)$ 
    - Return *FALSE*
- Return *TRUE*

## Question

Why does the loop run  $|V| - 1$  times?

# Bellman-Ford

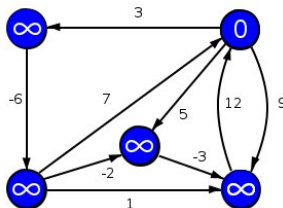
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  - For each edge  $(u, v) \in E$ 
    - If  $distance[v]$  is greater than  $distance[u] + w(u, v)$ 
      - Return *FALSE*
  - Return *TRUE*
- 
- All edges are relaxed  $|V| - 1$  times so all paths are tried
  - The algorithm returns *FALSE* if a **negative weight cycle** occurs

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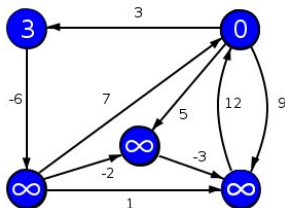


- In iteration  $i$  all edges in paths containing  $i$  edges have been relaxed
- The most edges in any (simple) path is  $|V| - 1$

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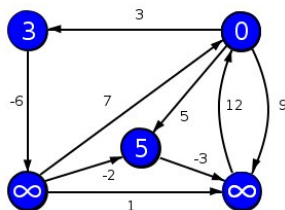
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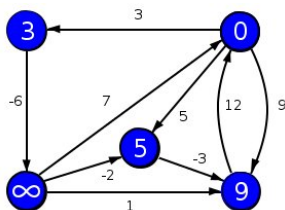


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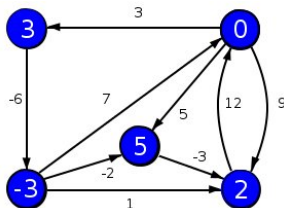


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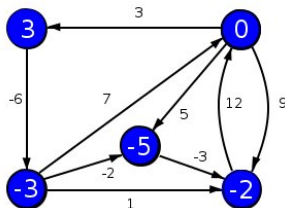


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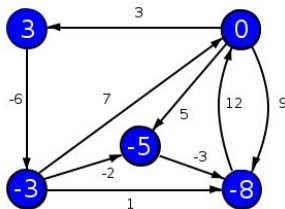


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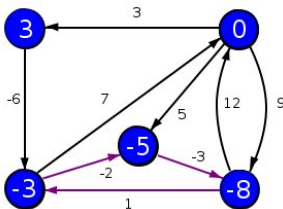


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# Bellman-Ford

## Definition (Negative Weight Cycle)

A path  $C = \langle v_1, v_2, \dots, v_n \rangle$  in a directed graph is a **negative weight cycle** iff  $C$  is a cycle and  $\sum_{i=1}^{n-1} w(v_i, v_{i+1}) < 0$ .



If a directed graph  $G$  contains a negative weight cycle  $\langle v_1, v_2, \dots, v_n \rangle$  then:

- The shortest paths to all vertices reachable from  $v_1, \dots, v_n$  are **undefined**
- In this case Bellman-Ford will return *FALSE*

# Time

## Question

What is the time complexity of Bellman–Ford?

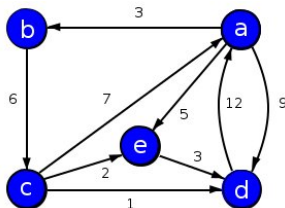
Bellman-Ford (Input: weighted graph  $G$  and vertex  $s$ )

- Set  $distance[v] = \infty$  for all vertices
- Set  $distance[s] = 0$
- Repeat  $|V| - 1$  times:
  - For each edge  $e \in E$ 
    - Relax  $e$
- For each edge  $(u, v) \in E$ 
  - If  $distance[v]$  is greater than  $distance[u] + w(u, v)$ 
    - Return *FALSE*
- Return *TRUE*

# Dijkstra's Algorithm

If  $G$  has non-negative edges only then we can use [Dijkstra's Algorithm](#)

- Bellman-Ford relaxes every edge of [every path](#)
- The running time of Bellman-Ford is  $O(VE)$
- Dijkstra's algorithm instead uses a greedy strategy

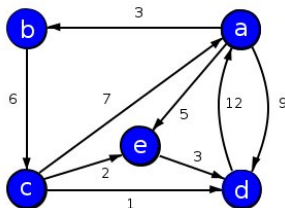




# Dijkstra's Algorithm

Basic idea:

- Relax edges from one vertex
- Will have then found shortest path to at least one other vertex
- Repeat



# Dijkstra's Algorithm

Dijkstra's algorithm maintains a set of vertices whose  $distance[v]$  is correct

Dijkstra (Input: weighted graph  $G = (V, E)$ , vertex  $s$ )

```
distance[v] = infinity for all vertices
distance[s] = 0
S = {}
while V - S != {}
    u is vertex in V - S with least distance[u]
    for v in G.adj[u]
        relax (u,v)
    S = S + {u}
```

- The next vertex added to  $S$  is the one with the least  $distance[u]$
- This value is now assumed to be minimal. Is this [correct](#)?

# Correctness

In the following, the function  $p$  represents the (actual) length of the shortest path from the source to a given vertex

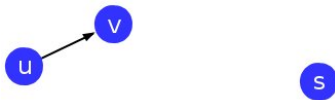
- If there is no path  $s \rightsquigarrow v$ , then  $p(v) = \infty$
- $\infty + x = \infty$ , for all  $x \in \mathbb{R}$

## Theorem (Correctness of Dijkstra)

*At the start of the while loop of Dijkstra's algorithm, run on weighted, directed graph  $G = (V, E)$  with non-negative weight function  $w$ , and vertex  $s \in V$ : if  $\text{distance}[v] = p(v)$  for all vertices  $v \in S$ , then  $\text{distance}[u] = p(u)$  for  $u$ , the next vertex added to  $S$ .*

# Proof

First we prove two useful properties



## Lemma (Triangle Lemma)

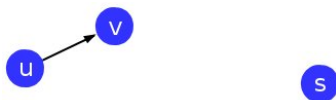
Let  $G = (V, E)$  be a weighted, directed graph with weight function  $w$ , and source vertex  $s$ . If  $(u, v)$  is an edge in  $E$ , then  $p(v) \leq p(u) + w(u, v)$ .

## Proof.

If there is no path  $s \rightsquigarrow u$ , then  $p(u) = \infty$ , so  $p(v) \leq p(u)$  and the lemma holds. If there is a path  $s \rightsquigarrow u$ , then  $s \rightsquigarrow u \rightarrow v$  is a path to  $v$ . The length of one such path to  $v$  is  $p(u) + w(u, v)$ . The *shortest* path to  $v$  cannot be *longer* than this, so the lemma also holds in this case.  $\square$

# Proof

First we prove two useful properties



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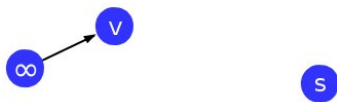
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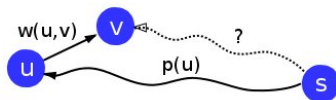
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# Proof

This lemma shows that  $distance[u]$  is always an **upper bound** for  $p(u)$

## Lemma (Upper Bound Lemma)

*Let  $G = (V, E)$  be a weighted, directed graph with weight function  $w$ , and source vertex  $s$ . If  $distance[s]$  is initialised to 0 and  $distance[v]$ , for all  $v \in V$  where  $v \neq s$ , is initialised to  $\infty$ , then  $distance[u] \geq p(u)$ , for all  $u \in V$ , after relaxing any sequence of edges in  $G$ .*

## Proof.

Firstly, consider a sequence of 0 relaxed edges.

- $distance[u] = \infty$ , for  $u \neq s$
- $distance[s] = 0$

If  $s$  is part of a negative weight cycle, then  $p(s) = -\infty$ , otherwise  $p(s) = 0$ . So,  $distance[u] \geq p(u)$  for all  $u \in V$  in this case. □

# Proof

## Proof (continued).

Now consider the relaxation of edge  $(x, y)$  within some sequence of relaxations.

- Assume  $distance[u] \geq p(u)$  for all  $u \in V$ , prior to relaxing  $(x, y)$

When  $(x, y)$  is relaxed either all  $distance[u]$  are unchanged, or  $distance[y] = distance[x] + w(x, y)$ . In the latter case:

- $distance[y] = distance[x] + w(x, y)$ , so
- $distance[y] \geq p(x) + w(x, y)$ , by the assumption, and
- $distance[y] \geq p(y)$ , by the Triangle Lemma

So after relaxing  $(x, y)$ ,  $distance[u] \geq p(u)$  still holds for all vertices in  $G$ , and by the **principle of induction**  $distance[u] \geq p(u)$  is always true for any sequence of edge relaxations. □

# Proof

## Theorem (Correctness of Dijkstra)

*At the start of the while loop of Dijkstra's algorithm, run on weighted, directed graph  $G = (V, E)$  with non-negative weight function  $w$ , and vertex  $s \in V$ : if  $\text{distance}[v] = p(v)$  for all vertices  $v \in S$ , then  $\text{distance}[u] = p(u)$  for  $u$ , the next vertex added to  $S$ .*

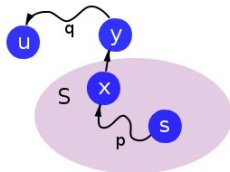
## Proof.

If there is no path  $s \rightsquigarrow u$  then  $p(u) = \infty$ . Since:

- $\text{distance}[u] \geq p(u)$ , by the Upper Bound Lemma, then
- $\text{distance}[u] = \infty$ , so
- $\text{distance}[u] = p(u)$ .

and the theorem is true. □

# Proof



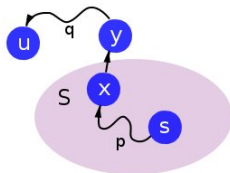
## Proof (continued).

If there is a path  $s \rightsquigarrow u$ , then consider the shortest such path. Let this path be  $s \rightsquigarrow^p x \rightarrow y \rightsquigarrow^q u$ , where  $y$  is the first vertex on the path not in  $S$ . First, it is shown that  $\text{distance}[y] = p(y)$ , as follows.  $s \rightsquigarrow^p x \rightarrow y$  must be a shortest path from  $s$  to  $y$ . (Or there would be a shorter path to  $u$ .) Then,

- $\text{distance}[x] = p(x)$
- $\text{distance}[y] = \text{distance}[x] + w(x, y) = p(x) + w(x, y)$

since  $x$  is in  $S$  and  $(x, y)$  was relaxed when  $x$  was added to  $S$ . □

# Proof



## Proof (continued).

And, since  $s \rightsquigarrow^p x \rightarrow y$  is a shortest path from  $s$  to  $y$ , then:

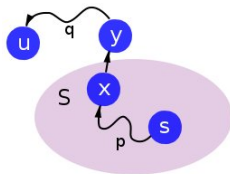
- $p(y) = p(x) + w(x, y) = \text{distance}[y]$

Next we show that  $\text{distance}[u] = \text{distance}[y] = p(y) = p(u)$  using the observations that

- (1)  $\text{distance}[u] \leq \text{distance}[y]$ , since  $u$  is added next to  $S$
- (2)  $p(y) \leq p(u)$ , since all edges are non-negative.



# Proof



## Proof (continued).

So, beginning with Observation (1):

- $distance[u] \leq distance[y]$ , and therefore
- $distance[u] \leq p(y)$ , and
- $distance[u] \leq p(u)$ , by Observation (2).

But  $distance[u] \geq p(u)$  by the Upper Bound Lemma, so  $distance[u] = p(u)$  and the theorem is true. □

# Dijkstra's Algorithm

## Discussion

What is the time complexity of Dijkstra's algorithm?

Dijkstra (Input: weighted graph  $G = (V, E)$ , vertex  $s$ )

```
distance[v] = infinity for all vertices
```

```
distance[s] = 0
```

```
S = {}
```

```
while V - S != {}
```

```
    u is vertex in V - S with least distance[u]
```

```
    for v in G.adj[u]
```

```
        relax (u,v)
```

```
    S = S + {u}
```

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```
    u is vertex in V - S with least distance[u]
```

```
    for v in G.adj[u]
```

```
        relax (u,v)    affects ordering of vertices
```

```
    S = S + {u}
```



# Performance

The running time of Dijkstra's algorithm depends on the way in which the ordering of the vertices is managed

- Implement  $V - S$  as a priority queue
- There is **one** iteration through the graph vertices
- Each edge is relaxed **once**, giving an aggregate of  $|E|$

With a binary-heap-based priority queue adding, removing and updating (changing key) all run in  $O(\log_2 V)$  time.

- Overall running time is then  $O(E \log_2 V)$