

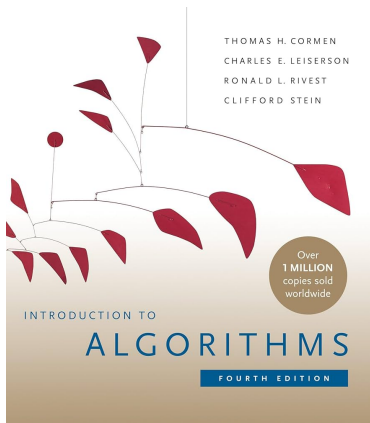
Introduction to Algorithms

Lecture 3: Divide and Conquer

Prof. Charles E. Leiserson and Prof. Erik Demaine
Massachusetts Institute of Technology

August 11, 2025

Introduction to Algorithms



Content has been extracted from *Introduction to Algorithms*, Fourth Edition, by Cormen, Leiserson, Rivest, and Stein. MIT Press. 2022.

Visit <https://mitpress.mit.edu/9780262046305/introduction-to-algorithms/>.

Original slides from *Introduction to Algorithms 6.046J/18.401J*, Fall 2005 Class by Prof. Charles Leiserson and Prof. Erik Demaine. MIT OpenCourseWare Initiative available at <https://ocw.mit.edu/courses/6-046j-introduction-to-algorithms-sma-5503-fall-2005/>.

The Divide & Conquer Design Paradigm

1. **Divide** the problem (instance) into subproblems.
2. **Conquer** the subproblems by solving them recursively.
3. **Combine** subproblems solutions.

Merge-sort

1. **Divide:** Trivial.
2. **Conquer:** Recursively sort 2 subarrays.
3. **Combine:** Linear-time merge.

Merge-sort

1. **Divide:** Trivial.
2. **Conquer:** Recursively sort 2 subarrays.
3. **Combine:** Linear-time merge.

$$T(n) = 2T\left(\frac{n}{2}\right) + \Theta(n)$$

Merge-sort

1. **Divide:** Trivial.
2. **Conquer:** Recursively sort 2 subarrays.
3. **Combine:** Linear-time merge.

$$T(n) = 2T\left(\frac{n}{2}\right) + \Theta(n)$$

Number of subproblems.



Merge-sort

1. **Divide:** Trivial.
2. **Conquer:** Recursively sort 2 subarrays.
3. **Combine:** Linear-time merge.

$$T(n) = 2T\left(\frac{n}{2}\right) + \Theta(n)$$

Number of subproblems.

Subproblem size.

Merge-sort

1. **Divide:** Trivial.
2. **Conquer:** Recursively sort 2 subarrays.
3. **Combine:** Linear-time merge.

$$T(n) = 2T\left(\frac{n}{2}\right) + \Theta(n)$$

Number of subproblems.

Subproblem size.

Work dividing and combining.

Merge-sort

1. **Divide:** Trivial.
2. **Conquer:** Recursively sort 2 subarrays.
3. **Combine:** Linear-time merge.

$$T(n) = 2T\left(\frac{n}{2}\right) + \Theta(n)$$

Number of subproblems.

Subproblem size.

Work dividing and combining.

The diagram illustrates the recurrence relation $T(n) = 2T\left(\frac{n}{2}\right) + \Theta(n)$. A red arrow points from the text "Number of subproblems." to the coefficient "2". A blue arrow points from the text "Subproblem size." to the fraction $\frac{n}{2}$. An orange arrow points from the text "Work dividing and combining." to the $\Theta(n)$ term.

Master Theorem (reprise)

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

Master Theorem (reprise)

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

Case 1

$$\begin{aligned} f(n) &= O\left(n^{\log_b a - \varepsilon}\right), \text{ constant } \varepsilon > 0 \\ \implies T(n) &= \Theta(n^{\log_b a}). \end{aligned}$$

Master Theorem (reprise)

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

Case 1

$$f(n) = O\left(n^{\log_b a - \varepsilon}\right), \text{ constant } \varepsilon > 0 \\ \implies T(n) = \Theta(n^{\log_b a}).$$

Case 2

$$f(n) = \Theta\left(n^{\log_b a} \lg^k n\right), \text{ constant } k \geq 0 \\ \implies T(n) = \Theta(n^{\log_b a} \lg^{k+1} n).$$

Master Theorem (reprise)

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

Case 1

$$f(n) = O\left(n^{\log_b a - \varepsilon}\right), \text{ constant } \varepsilon > 0 \\ \implies T(n) = \Theta(n^{\log_b a}).$$

Case 2

$$f(n) = \Theta\left(n^{\log_b a} \lg^k n\right), \text{ constant } k \geq 0 \\ \implies T(n) = \Theta(n^{\log_b a} \lg^{k+1} n).$$

Case 3

$$f(n) = \Omega\left(n^{\log_b(a) + \varepsilon}\right), \text{ constant } \varepsilon > 0, \text{ and regularity condition} \\ \implies T(n) = \Theta(f(n)).$$

Master Theorem (reprise)

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

Case 1

$$f(n) = O\left(n^{\log_b a - \varepsilon}\right), \text{ constant } \varepsilon > 0 \\ \implies T(n) = \Theta(n^{\log_b a}).$$

Case 2

$$f(n) = \Theta\left(n^{\log_b a} \lg^k n\right), \text{ constant } k \geq 0 \\ \implies T(n) = \Theta(n^{\log_b a} \lg^{k+1} n).$$

Case 3

$$f(n) = \Omega\left(n^{\log_b(a) + \varepsilon}\right), \text{ constant } \varepsilon > 0, \text{ and regularity condition} \\ \implies T(n) = \Theta(f(n)).$$

Master Theorem (reprise)

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

Case 1

$$\begin{aligned} f(n) &= O\left(n^{\log_b a - \varepsilon}\right), \text{ constant } \varepsilon > 0 \\ \implies T(n) &= \Theta(n^{\log_b a}). \end{aligned}$$

Case 2

$$\begin{aligned} f(n) &= \Theta\left(n^{\log_b a} \lg^k n\right), \text{ constant } k \geq 0 \\ \implies T(n) &= \Theta(n^{\log_b a} \lg^{k+1} n). \end{aligned}$$

Case 3

$$\begin{aligned} f(n) &= \Omega\left(n^{\log_b(a) + \varepsilon}\right), \text{ constant } \varepsilon > 0, \text{ and regularity condition} \\ \implies T(n) &= \Theta(f(n)). \end{aligned}$$

$$\begin{aligned} \text{MERGE-SORT: } a = 2, b = 2 &\implies n^{\log_b a} = n^{\log_2 2} = n \\ \implies \text{Case 2 } (k = 0) &\implies T(n) = \Theta(n \lg n). \end{aligned}$$

Plan

Binary Search

Powering a Number

Fibonacci Numbers

Matrix Multiplication

Strassen's Algorithm

VLSI Tree Layout

Binary Search

Find an element in a sorted array:

1. **Divide:** Check middle element.
2. **Conquer:** Recursively search 1 subarray.
3. **Combine:** Trivial.

Binary Search

Find an element in a sorted array:

1. **Divide:** Check middle element.
2. **Conquer:** Recursively search 1 subarray.
3. **Combine:** Trivial.

Example:

Find 9

3	5	7	8	9	12	15
---	---	---	---	---	----	----

Binary Search

Find an element in a sorted array:

1. **Divide:** Check middle element.
2. **Conquer:** Recursively search 1 subarray.
3. **Combine:** Trivial.

Example:

Find 9

3	5	7	8	9	12	15
---	---	---	---	---	----	----

Binary Search

Find an element in a sorted array:

1. **Divide:** Check middle element.
2. **Conquer:** Recursively search 1 subarray.
3. **Combine:** Trivial.

Example:

Find 9

3	5	7	8	9	12	15
---	---	---	---	---	----	----

Binary Search

Find an element in a sorted array:

1. **Divide:** Check middle element.
2. **Conquer:** Recursively search 1 subarray.
3. **Combine:** Trivial.

Example:

Find 9

3	5	7	8	9	12	15
---	---	---	---	---	----	----

Binary Search

Find an element in a sorted array:

1. **Divide:** Check middle element.
2. **Conquer:** Recursively search 1 subarray.
3. **Combine:** Trivial.

Example:

Find 9

3	5	7	8	9	12	15
---	---	---	---	---	----	----

Binary Search

Find an element in a sorted array:

1. **Divide:** Check middle element.
2. **Conquer:** Recursively search 1 subarray.
3. **Combine:** Trivial.

Example:

Find 9

3	5	7	8	9	12	15
---	---	---	---	---	----	----

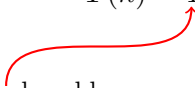
Recurrence for Binary Search

$$T(n) = 1T\left(\frac{n}{2}\right) + \Theta(1)$$

Recurrence for Binary Search

$$T(n) = 1T\left(\frac{n}{2}\right) + \Theta(1)$$

Number of subproblems.



Recurrence for Binary Search

$$T(n) = 1T\left(\frac{n}{2}\right) + \Theta(1)$$

Number of subproblems.

Subproblem size.

Recurrence for Binary Search

$$T(n) = 1T\left(\frac{n}{2}\right) + \Theta(1)$$

Number of subproblems.

Subproblem size.

Work dividing and combining.

Recurrence for Binary Search

$$T(n) = 1T\left(\frac{n}{2}\right) + \Theta(1)$$

Number of subproblems.

Subproblem size.

Work dividing and combining.

The diagram illustrates the recurrence relation $T(n) = 1T\left(\frac{n}{2}\right) + \Theta(1)$. A red arrow points from the text "Number of subproblems." to the coefficient "1". A blue arrow points from the text "Subproblem size." to the term $\left(\frac{n}{2}\right)$. An orange arrow points from the text "Work dividing and combining." to the $\Theta(1)$ term.

Recurrence for Binary Search

$$T(n) = 1T\left(\frac{n}{2}\right) + \Theta(1)$$

Number of subproblems.

Subproblem size.

Work dividing and combining.

$$\begin{aligned}\text{BINARY SEARCH: } a = 1, b = 2 &\implies n^{\log_b a} = n^{\log_2 1} = n^0 = 1 \\ &\implies \text{Case 2 } (k = 0) \implies T(n) = \Theta(\lg n).\end{aligned}$$

Plan

Binary Search

Powering a Number

Fibonacci Numbers

Matrix Multiplication

Strassen's Algorithm

VLSI Tree Layout

Powering a Number

Problem:

Compute a^n , where $n \in \mathbb{N}$.

Naive algorithm:

$\Theta(n)$.

Powering a Number

Problem:

Compute a^n , where $n \in \mathbb{N}$.

Naive algorithm:

$\Theta(n)$.

Divide-and-conquer algorithm:

$$a^n = \begin{cases} a^{\frac{n}{2}} \cdot a^{\frac{n}{2}} & \text{if } n \text{ is even;} \\ a^{\frac{n-1}{2}} \cdot a^{\frac{n-1}{2}} \cdot a & \text{if } n \text{ is odd.} \end{cases}$$

Powering a Number

Problem:

Compute a^n , where $n \in \mathbb{N}$.

Naive algorithm:

$\Theta(n)$.

Divide-and-conquer algorithm:

$$a^n = \begin{cases} a^{\frac{n}{2}} \cdot a^{\frac{n}{2}} & \text{if } n \text{ is even;} \\ a^{\frac{n-1}{2}} \cdot a^{\frac{n-1}{2}} \cdot a & \text{if } n \text{ is odd.} \end{cases}$$

$$T(n) = T\left(\frac{n}{2}\right) + \Theta(1) \dots$$

Powering a Number

Problem:

Compute a^n , where $n \in \mathbb{N}$.

Naive algorithm:

$\Theta(n)$.

Divide-and-conquer algorithm:

$$a^n = \begin{cases} a^{\frac{n}{2}} \cdot a^{\frac{n}{2}} & \text{if } n \text{ is even;} \\ a^{\frac{n-1}{2}} \cdot a^{\frac{n-1}{2}} \cdot a & \text{if } n \text{ is odd.} \end{cases}$$

$$T(n) = T\left(\frac{n}{2}\right) + \Theta(1) \implies T(n) = \Theta(\lg n).$$

Plan

Binary Search

Powering a Number

Fibonacci Numbers

Matrix Multiplication

Strassen's Algorithm

VLSI Tree Layout

Fibonacci Numbers

0 1 1 2 3 5 8 13 21 34 ...

Fibonacci Numbers

0 1 1 2 3 5 8 13 21 34 ...

Recursive definition:

$$F_n = \begin{cases} 0 & \text{if } n = 0; \\ 1 & \text{if } n = 1; \\ F_{n-1} + F_{n-2} & \text{if } n \geq 2. \end{cases}$$

Fibonacci Numbers

0 1 1 2 3 5 8 13 21 34 ...

Recursive definition:

$$F_n = \begin{cases} 0 & \text{if } n = 0; \\ 1 & \text{if } n = 1; \\ F_{n-1} + F_{n-2} & \text{if } n \geq 2. \end{cases}$$

Naive recursive algorithm:

Fibonacci Numbers

0 1 1 2 3 5 8 13 21 34 ...

Recursive definition:

$$F_n = \begin{cases} 0 & \text{if } n = 0; \\ 1 & \text{if } n = 1; \\ F_{n-1} + F_{n-2} & \text{if } n \geq 2. \end{cases}$$

Naive recursive algorithm:

$$\Omega(\phi^n)$$

Fibonacci Numbers

0 1 1 2 3 5 8 13 21 34 ...

Recursive definition:

$$F_n = \begin{cases} 0 & \text{if } n = 0; \\ 1 & \text{if } n = 1; \\ F_{n-1} + F_{n-2} & \text{if } n \geq 2. \end{cases}$$

Naive recursive algorithm:

$$\Omega(\phi^n)$$

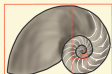
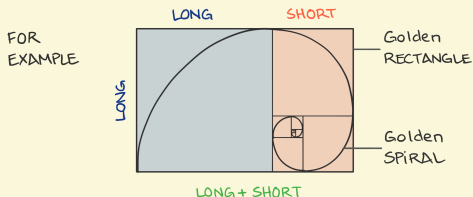
where $\phi = \frac{1+\sqrt{5}}{2}$ is the golden ratio.

The Golden Ratio (1.61803398875...)

THE GOLDEN RATIO

PLEASING PROPORTIONS FOUND IN NATURE

THE RATIO WHERE $\frac{\text{LONG}}{\text{SHORT}} = \frac{\text{LONG} + \text{SHORT}}{\text{LONG}} = 1.618...$



NATURE



ARCHITECTURE



ART

sketchplanations

sketchplanations.com/the-golden-ratio

Fibonacci Numbers

0 1 1 2 3 5 8 13 21 34 ...

Recursive definition:

$$F_n = \begin{cases} 0 & \text{if } n = 0; \\ 1 & \text{if } n = 1; \\ F_{n-1} + F_{n-2} & \text{if } n \geq 2. \end{cases}$$

Naive recursive algorithm:

$$\Omega(\phi^n)$$

where $\phi = \frac{1+\sqrt{5}}{2}$ is the golden ratio.

Fibonacci Numbers

0 1 1 2 3 5 8 13 21 34 ...

Recursive definition:

$$F_n = \begin{cases} 0 & \text{if } n = 0; \\ 1 & \text{if } n = 1; \\ F_{n-1} + F_{n-2} & \text{if } n \geq 2. \end{cases}$$

Naive recursive algorithm:

$$\Omega(\phi^n)$$

where $\phi = \frac{1+\sqrt{5}}{2}$ is the golden ratio.
(exponential time!)

Computing Fibonacci Numbers

Bottom-up:

- ▶ Compute $F_0, F_1, F_2, \dots, F_n$ in order, forming each number by summing the two previous.
- ▶ Running time: $\Theta(n)$.

¹Computer Floating-Point Arithmetic and round-off errors, Kaluarachchi, 2022.

Computing Fibonacci Numbers

Bottom-up:

- ▶ Compute $F_0, F_1, F_2, \dots, F_n$ in order, forming each number by summing the two previous.
- ▶ Running time: $\Theta(n)$.

Naive recursive squaring:

$F_n = \frac{\phi^n}{\sqrt{5}}$ rounded to the nearest integer.

¹Computer Floating-Point Arithmetic and round-off errors, Kaluarachchi, 2022.

Computing Fibonacci Numbers

Bottom-up:

- ▶ Compute $F_0, F_1, F_2, \dots, F_n$ in order, forming each number by summing the two previous.
- ▶ Running time: $\Theta(n)$.

Naïve recursive squaring:

$F_n = \frac{\phi^n}{\sqrt{5}}$ rounded to the nearest integer.

- ▶ Recursive squaring: $\Theta(\lg n)$ time.

¹Computer Floating-Point Arithmetic and round-off errors, Kaluarachchi, 2022.

Computing Fibonacci Numbers

Bottom-up:

- ▶ Compute $F_0, F_1, F_2, \dots, F_n$ in order, forming each number by summing the two previous.
- ▶ Running time: $\Theta(n)$.

Naïve recursive squaring:

$F_n = \frac{\phi^n}{\sqrt{5}}$ rounded to the nearest integer.

- ▶ Recursive squaring: $\Theta(\lg n)$ time.
- ▶ This method is unreliable, since floating-point arithmetic is prone to round-off errors¹.

¹Computer Floating-Point Arithmetic and round-off errors, Kaluarachchi, 2022.

Recursive Squaring

Theorem:

Recursive Squaring

Theorem:

$$\begin{bmatrix} F_{n+1} & F_n \\ F_n & F_{n-1} \end{bmatrix} = \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix}^n$$

Recursive Squaring

Theorem:

$$\begin{bmatrix} F_{n+1} & F_n \\ F_n & F_{n-1} \end{bmatrix} = \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix}^n$$

Algorithm:

Recursive squaring.

Time = $\Theta(\lg n)$.

Recursive Squaring

Theorem:

$$\begin{bmatrix} F_{n+1} & F_n \\ F_n & F_{n-1} \end{bmatrix} = \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix}^n$$

Algorithm:

Recursive squaring.

Time = $\Theta(\lg n)$.

Proof of theorem.

Recursive Squaring

Theorem:

$$\begin{bmatrix} F_{n+1} & F_n \\ F_n & F_{n-1} \end{bmatrix} = \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix}^n$$

Algorithm:

Recursive squaring.

Time = $\Theta(\lg n)$.

Proof of theorem. (Induction on n .)

Recursive Squaring

Theorem:

$$\begin{bmatrix} F_{n+1} & F_n \\ F_n & F_{n-1} \end{bmatrix} = \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix}^n$$

Algorithm:

Recursive squaring.

Time = $\Theta(\lg n)$.

Proof of theorem. (Induction on n .)

Base case ($n = 1$).

Recursive Squaring

Theorem:

$$\begin{bmatrix} F_{n+1} & F_n \\ F_n & F_{n-1} \end{bmatrix} = \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix}^n$$

Algorithm:

Recursive squaring.

Time = $\Theta(\lg n)$.

Proof of theorem. (Induction on n .)

Base case ($n = 1$).

Inductive Hypothesis ($n = k$).

Recursive Squaring

Theorem:

$$\begin{bmatrix} F_{n+1} & F_n \\ F_n & F_{n-1} \end{bmatrix} = \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix}^n$$

Algorithm:

Recursive squaring.

Time = $\Theta(\lg n)$.

Proof of theorem. (Induction on n .)

Base case ($n = 1$).

Inductive Hypothesis ($n = k$).

Inductive Step ($n = k + 1$).

Recursive Squaring

Theorem:

$$A^n = \begin{bmatrix} F_{n+1} & F_n \\ F_n & F_{n-1} \end{bmatrix}, A = \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix}, n \geq 1.$$

Proof of theorem. (Induction on n .)

Base case ($n = 1$):

Recursive Squaring

Theorem:

$$A^n = \begin{bmatrix} F_{n+1} & F_n \\ F_n & F_{n-1} \end{bmatrix}, A = \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix}, n \geq 1.$$

Proof of theorem. (Induction on n .)

Base case ($n = 1$):

$$A^1 = A = \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix} = \begin{bmatrix} F_2 & F_1 \\ F_1 & F_0 \end{bmatrix}$$

Recursive Squaring

Theorem:

$$A^n = \begin{bmatrix} F_{n+1} & F_n \\ F_n & F_{n-1} \end{bmatrix}, A = \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix}, n \geq 1.$$

Proof of theorem. (Induction on n .)

Inductive Hypothesis ($n = k$):

Recursive Squaring

Theorem:

$$A^n = \begin{bmatrix} F_{n+1} & F_n \\ F_n & F_{n-1} \end{bmatrix}, A = \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix}, n \geq 1.$$

Proof of theorem. (Induction on n .)

Inductive Hypothesis ($n = k$):

$$A^k = \begin{bmatrix} F_{k+1} & F_k \\ F_k & F_{k-1} \end{bmatrix}$$

Recursive Squaring

Theorem:

$$A^n = \begin{bmatrix} F_{n+1} & F_n \\ F_n & F_{n-1} \end{bmatrix}, A = \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix}, n \geq 1.$$

Proof of theorem. (Induction on n .)

Inductive Step ($n = k + 1$):

Recursive Squaring

Theorem:

$$A^n = \begin{bmatrix} F_{n+1} & F_n \\ F_n & F_{n-1} \end{bmatrix}, A = \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix}, n \geq 1.$$

Proof of theorem. (Induction on n .)

Inductive Step ($n = k + 1$):

$$A^{k+1} = \begin{bmatrix} F_{k+2} & F_{k+1} \\ F_{k+1} & F_k \end{bmatrix}$$

$$A^k \cdot A = \begin{bmatrix} F_{k+2} & F_{k+1} \\ F_{k+1} & F_k \end{bmatrix}$$

$$\begin{bmatrix} F_{k+1} & F_k \\ F_k & F_{k-1} \end{bmatrix} \cdot \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix} = \begin{bmatrix} F_{k+2} & F_{k+1} \\ F_{k+1} & F_k \end{bmatrix}$$

Recursive Squaring

$$F_0, F_1, F_2, F_3, \dots, F_{k-2}, F_{k-1}, F_k, F_{k+1}, F_{k+2}, \dots$$

Proof of theorem. (Induction on n .)

Inductive Step ($n = k + 1$):

$$A^{k+1} = \begin{bmatrix} F_{k+2} & F_{k+1} \\ F_{k+1} & F_k \end{bmatrix}$$

$$A^k \cdot A = \begin{bmatrix} F_{k+2} & F_{k+1} \\ F_{k+1} & F_k \end{bmatrix}$$

$$\begin{bmatrix} F_{k+1} & F_k \\ F_k & F_{k-1} \end{bmatrix} \cdot \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix} = \begin{bmatrix} F_{k+2} & F_{k+1} \\ F_{k+1} & F_k \end{bmatrix}$$

$$(1, 1): F_{k+1} + F_k = F_{k+2}$$

$$(1, 2): F_{k+1} + 0 = F_{k+1}$$

$$(2, 1): F_k + F_{k-1} = F_{k+1}$$

$$(2, 2): F_k + 0 = F_k$$

■

Plan

Binary Search

Powering a Number

Fibonacci Numbers

Matrix Multiplication

Strassen's Algorithm

VLSI Tree Layout

Matrix Multiplication

Input: $A = [a_{ij}], B = [b_{ij}].$
Output: $C = [c_{ij}] = A \cdot B$ $\left. \vphantom{\begin{matrix} \text{Input:} \\ \text{Output:} \end{matrix}} \right\} i, j = 1, 2, \dots, n.$

Matrix Multiplication

Input: $A = [a_{ij}], B = [b_{ij}].$
Output: $C = [c_{ij}] = A \cdot B$ $\left. \vphantom{\begin{matrix} \text{Input} \\ \text{Output} \end{matrix}} \right\} i, j = 1, 2, \dots, n.$

$$\begin{bmatrix} c_{11} & c_{12} & \cdots & c_{1n} \\ c_{21} & c_{22} & \cdots & c_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ c_{n1} & c_{n2} & \cdots & c_{nn} \end{bmatrix} = \begin{bmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ a_{21} & a_{22} & \cdots & a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{n1} & a_{n2} & \cdots & a_{nn} \end{bmatrix} \cdot \begin{bmatrix} b_{11} & b_{12} & \cdots & b_{1n} \\ b_{21} & b_{22} & \cdots & b_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ b_{n1} & b_{n2} & \cdots & b_{nn} \end{bmatrix}$$

Matrix Multiplication

Input: $A = [a_{ij}], B = [b_{ij}]$.
Output: $C = [c_{ij}] = A \cdot B$ } $i, j = 1, 2, \dots, n$.

$$\begin{bmatrix} c_{11} & c_{12} & \cdots & c_{1n} \\ c_{21} & c_{22} & \cdots & c_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ c_{n1} & c_{n2} & \cdots & c_{nn} \end{bmatrix} = \begin{bmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ a_{21} & a_{22} & \cdots & a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{n1} & a_{n2} & \cdots & a_{nn} \end{bmatrix} \cdot \begin{bmatrix} b_{11} & b_{12} & \cdots & b_{1n} \\ b_{21} & b_{22} & \cdots & b_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ b_{n1} & b_{n2} & \cdots & b_{nn} \end{bmatrix}$$

$$c_{ij} = \sum_{k=1}^n a_{ik} \cdot b_{kj}$$

Standard Algorithm

```
for  $i \leftarrow 1$  to  $n$  do  
  for  $j \leftarrow 1$  to  $n$  do  
     $c_{ij} \leftarrow 0$   
    for  $k \leftarrow 1$  to  $n$  do  
       $c_{ij} \leftarrow c_{ij} + a_{ik} \cdot b_{kj}$   
    end for  
  end for  
end for
```

Running time $= \Theta(n^3)$

Divide-and-Conquer Algorithm

IDEA:

$n \times n$ matrix = 2×2 matrix of $\left(\frac{n}{2}\right) \times \left(\frac{n}{2}\right)$ submatrices:

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64
65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128
129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192
193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Divide-and-Conquer Algorithm

IDEA:

$n \times n$ matrix = 2×2 matrix of $\left(\frac{n}{2}\right) \times \left(\frac{n}{2}\right)$ submatrices:

$$\begin{bmatrix} r & s \\ t & u \end{bmatrix} = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \cdot \begin{bmatrix} e & f \\ g & h \end{bmatrix}$$
$$C = A \cdot B$$

$$\left. \begin{array}{lcl} r & = & ae + bg \\ s & = & af + bh \\ t & = & ce + dg \\ u & = & cf + dh \end{array} \right\}$$

Divide-and-Conquer Algorithm

IDEA:

$n \times n$ matrix = 2×2 matrix of $\left(\frac{n}{2}\right) \times \left(\frac{n}{2}\right)$ submatrices:

$$\begin{bmatrix} r & s \\ t & u \end{bmatrix} = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \cdot \begin{bmatrix} e & f \\ g & h \end{bmatrix}$$
$$C = A \cdot B$$

$$\left. \begin{array}{lcl} r & = & ae + bg \\ s & = & af + bh \\ t & = & ce + dg \\ u & = & cf + dh \end{array} \right\} \begin{array}{l} \text{recursive} \\ \uparrow \\ 8 \text{ mults of } \left(\frac{n}{2}\right) \times \left(\frac{n}{2}\right) \text{ submatrices.} \\ 4 \text{ adds of } \left(\frac{n}{2}\right) \times \left(\frac{n}{2}\right) \text{ submatrices.} \end{array}$$

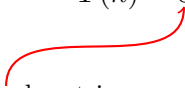
Analysis of D&C Algorithm

$$T(n) = 8T\left(\frac{n}{2}\right) + \Theta(n^2)$$

Analysis of D&C Algorithm

$$T(n) = 8T\left(\frac{n}{2}\right) + \Theta(n^2)$$

Number of submatrices.



Analysis of D&C Algorithm

$$T(n) = 8T\left(\frac{n}{2}\right) + \Theta(n^2)$$

Number of submatrices.

Submatrix size.

Analysis of D&C Algorithm

$$T(n) = 8T\left(\frac{n}{2}\right) + \Theta(n^2)$$

Number of submatrices.

Submatrix size.

Work adding submatrices.

Analysis of D&C Algorithm

$$T(n) = 8T\left(\frac{n}{2}\right) + \Theta(n^2)$$

Number of submatrices.

Submatrix size.

Work adding submatrices.

Analysis of D&C Algorithm

$$T(n) = 8T\left(\frac{n}{2}\right) + \Theta(n^2)$$

Number of submatrices. Submatrix size. Work adding submatrices.

$$n^{\log_b a} = n^{\log_2 8} = n^3 \implies \text{Case 1} \implies T(n) = \Theta(n^3).$$

Analysis of D&C Algorithm

$$T(n) = 8T\left(\frac{n}{2}\right) + \Theta(n^2)$$

Diagram illustrating the recurrence relation $T(n) = 8T\left(\frac{n}{2}\right) + \Theta(n^2)$ with annotations:

- Number of submatrices. (Red arrow pointing to the coefficient 8)
- Submatrix size. (Blue arrow pointing to the argument $\frac{n}{2}$)
- Work adding submatrices. (Orange arrow pointing to the $\Theta(n^2)$ term)

$$n^{\log_b a} = n^{\log_2 8} = n^3 \implies \text{Case 1} \implies T(n) = \Theta(n^3).$$

No better than the ordinary algorithm.

Plan

Binary Search

Powering a Number

Fibonacci Numbers

Matrix Multiplication

Strassen's Algorithm

VLSI Tree Layout

Strassen's Idea

- Multiply 2 matrices with only 7 recursive multiplications.

$$P_1 = a \cdot (f - h)$$

$$P_2 = (a + b) \cdot h$$

$$P_3 = (c + d) \cdot e$$

$$P_4 = d \cdot (g - e)$$

$$P_5 = (a + d) \cdot (e + h)$$

$$P_6 = (b - d) \cdot (g + h)$$

$$P_7 = (a - c) \cdot (e + f)$$

Strassen's Idea

- Multiply 2 matrices with only 7 recursive multiplications.

$$P_1 = a \cdot (f - h)$$

$$P_2 = (a + b) \cdot h$$

$$P_3 = (c + d) \cdot e$$

$$P_4 = d \cdot (g - e)$$

$$P_5 = (a + d) \cdot (e + h)$$

$$P_6 = (b - d) \cdot (g + h)$$

$$P_7 = (a - c) \cdot (e + f)$$

$$r = P_5 + P_4 - P_2 + P_6$$

$$s = P_1 + P_2$$

$$t = P_3 + P_4$$

$$u = P_5 + P_1 - P_3 - P_7$$

7 mults, 18 adds/subs.

NOTE:

No reliance on commutativity of multiplication!

Strassen's Idea

- Multiply 2 matrices with only 7 recursive multiplications.

$$P_1 = a \cdot (f - h)$$

$$P_2 = (a + b) \cdot h$$

$$P_3 = (c + d) \cdot e$$

$$P_4 = d \cdot (g - e)$$

$$P_5 = (a + d) \cdot (e + h)$$

$$P_6 = (b - d) \cdot (g + h)$$

$$P_7 = (a - c) \cdot (e + f)$$

$$r = P_5 + P_4 - P_2 + P_6$$

$$= (a + d)(e + h)$$

$$+ d(g - e) - (a + b)h$$

$$+ (b - d)(g + h)$$

$$= ae + ah + de + dh$$

$$+ dg - de - ah - bh$$

$$+ bg + bh - dg - dn$$

$$= ae + bg$$

Strassen's Idea

- ▶ Multiply 2 matrices with only 7 recursive multiplications.

$$P_1 = a \cdot (f - h)$$

$$P_2 = (a + b) \cdot h$$

$$P_3 = (c + d) \cdot e$$

$$P_4 = d \cdot (g - e)$$

$$P_5 = (a + d) \cdot (e + h)$$

$$P_6 = (b - d) \cdot (g + h)$$

$$P_7 = (a - c) \cdot (e + f)$$

$$\begin{aligned} t &= P_3 + P_4 \\ &= (c + d)e + d(g - e) \\ &= ce + de + dg - de \\ &= ce + dg \end{aligned}$$

Strassen's Algorithm

1. **Divide:** Partition A and B into $\frac{n}{2} \times \frac{n}{2}$ submatrices. Form terms to be multiplied using $+$ and $-$.
2. **Conquer:** Perform 7 multiplications of $\frac{n}{2} \times \frac{n}{2}$ submatrices recursively.
3. **Combine:** Form C using $+$ and $-$ on $\frac{n}{2} \times \frac{n}{2}$ submatrices.

Strassen's Algorithm

1. **Divide:** Partition A and B into $\frac{n}{2} \times \frac{n}{2}$ submatrices. Form terms to be multiplied using $+$ and $-$.
2. **Conquer:** Perform 7 multiplications of $\frac{n}{2} \times \frac{n}{2}$ submatrices recursively.
3. **Combine:** Form C using $+$ and $-$ on $\frac{n}{2} \times \frac{n}{2}$ submatrices.

$$T(n) = 7T\left(\frac{n}{2}\right) + \Theta(n^2)$$

Analysis of Strassen

$$T(n) = 7T\left(\frac{n}{2}\right) + \Theta(n^2)$$

Analysis of Strassen

$$T(n) = 7T\left(\frac{n}{2}\right) + \Theta(n^2)$$

$$n^{\log_b a} = n^{\log_2 7} = n^{2.81} \implies \text{Case 1} \implies T(n) = \Theta(n^{\lg 7}).$$

Analysis of Strassen

$$T(n) = 7T\left(\frac{n}{2}\right) + \Theta(n^2)$$

$$n^{\log_b a} = n^{\log_2 7} = n^{2.81} \implies \text{Case 1} \implies T(n) = \Theta(n^{\lg 7}).$$

Note:

The number 2.81 may not seem much smaller than 3, but because the difference is in the exponent, the impact on running time is significant.

Theoretical Notes

- ▶ Strassen's algorithm was the first to beat $O(n^3)$ time.
- ▶ Coppersmith–Winograd algorithm runs in $O(n^{2.376})$ time.
- ▶ Current best asymptotic bound (not practical): $O(n^{2.37286})$.

Practical Issues with Strassen's Algorithm

- ▶ Higher constant factor than the naive $O(n^3)$ method.
- ▶ Performs poorly on sparse matrices.
- ▶ Not numerically stable — larger error accumulation.
- ▶ Submatrices consume extra space, especially with copying.

Additional Considerations

- ▶ Numerical stability problem is less severe than previously thought.
- ▶ Index calculations can reduce space requirements.
- ▶ Researchers have sought the crossover point where Strassen outperforms the naive method — results vary.

Plan

Binary Search

Powering a Number

Fibonacci Numbers

Matrix Multiplication

Strassen's Algorithm

VLSI Tree Layout

VLSI Layout

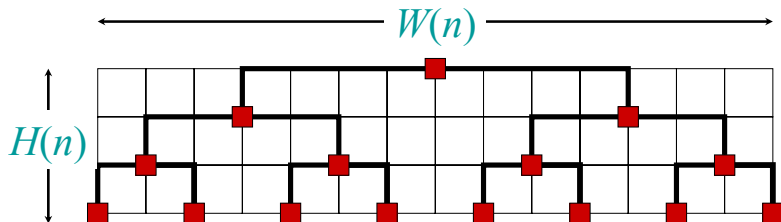
Problem:

Embed a complete binary tree with n leaves in a grid using minimal area.

VLSI Layout

Problem:

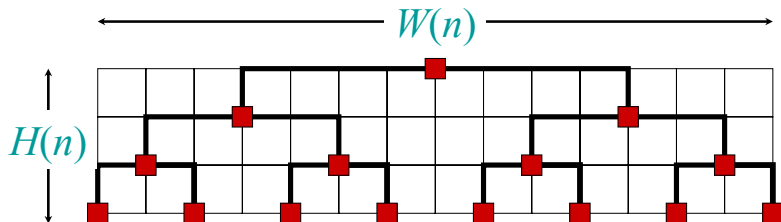
Embed a complete binary tree with n leaves in a grid using minimal area.



VLSI Layout

Problem:

Embed a complete binary tree with n leaves in a grid using minimal area.

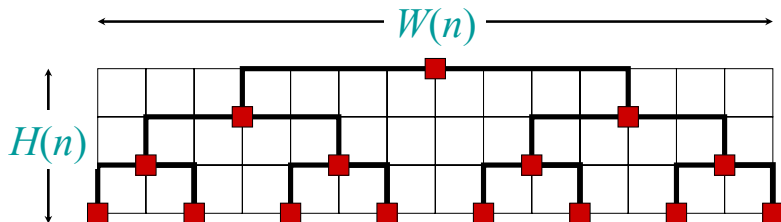


$$\begin{aligned} H(n) &= H\left(\frac{n}{2}\right) + \Theta(1) \\ &= \Theta(\lg n) \end{aligned}$$

VLSI Layout

Problem:

Embed a complete binary tree with n leaves in a grid using minimal area.



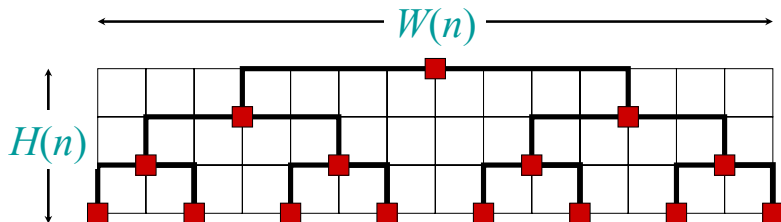
$$\begin{aligned} H(n) &= H\left(\frac{n}{2}\right) + \Theta(1) \\ &= \Theta(\lg n) \end{aligned}$$

$$\begin{aligned} W(n) &= 2W\left(\frac{n}{2}\right) + \Theta(1) \\ &= \Theta(n) \end{aligned}$$

VLSI Layout

Problem:

Embed a complete binary tree with n leaves in a grid using minimal area.

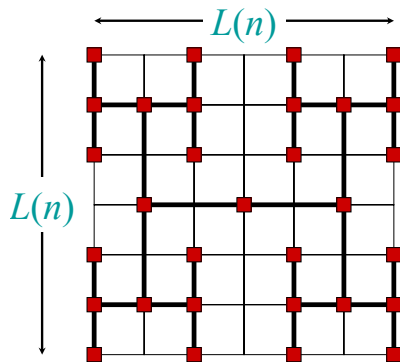


$$\begin{aligned} H(n) &= H\left(\frac{n}{2}\right) + \Theta(1) \\ &= \Theta(\lg n) \end{aligned}$$

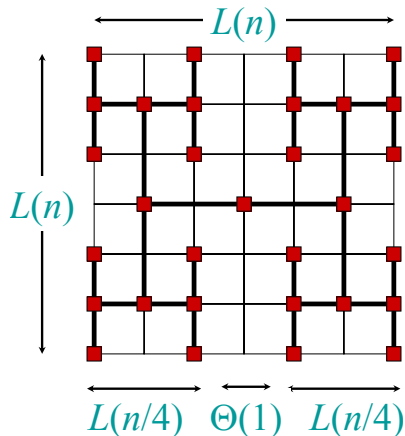
$$\begin{aligned} W(n) &= 2W\left(\frac{n}{2}\right) + \Theta(1) \\ &= \Theta(n) \end{aligned}$$

$$\mathbf{Area:} = \Theta(n \ln n)$$

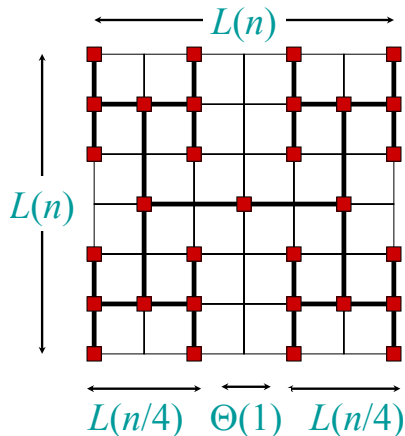
H-tree Embedding



H-tree Embedding

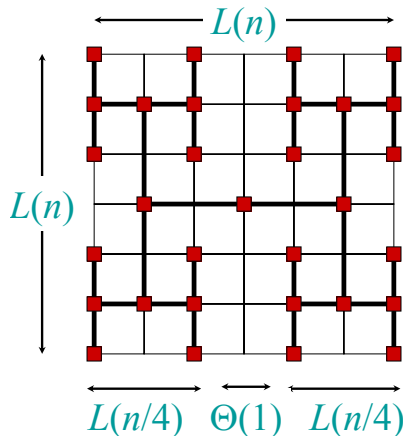


H-tree Embedding



$$\begin{aligned} L(n) &= 2L\left(\frac{n}{4}\right) + \Theta(1) \\ &= \Theta(\sqrt{n}) \end{aligned}$$

H-tree Embedding



$$\begin{aligned} L(n) &= 2L\left(\frac{n}{4}\right) + \Theta(1) \\ &= \Theta(\sqrt{n}) \end{aligned}$$

$$\text{Area} = \Theta(n)$$

Conclusions

- ▶ Divide and conquer is just one of several powerful techniques for algorithm design.
- ▶ Divide-and-conquer algorithms can be analyzed using recurrences and the master method (so practice this math).
- ▶ The divide-and-conquer strategy often leads to efficient algorithms.

End of Lecture 3.



Top 5 Fundamental Takeaways

Top 5 Fundamental Takeaways

- 5 **Applications Beyond Sorting and Searching:** Techniques like VLSI tree layout and H-tree embedding optimize spatial and computational complexity in fields like circuit design and geometry.

Top 5 Fundamental Takeaways

- 5 **Applications Beyond Sorting and Searching:** Techniques like VLSI tree layout and H-tree embedding optimize spatial and computational complexity in fields like circuit design and geometry.
- 4 **Optimized Computation Techniques:** Problems such as exponentiation ($O(\log n)$) and Fibonacci computation ($O(\log n)$) benefit from divide-and-conquer methods that replace naive exponential-time approaches.

Top 5 Fundamental Takeaways

- 5 **Applications Beyond Sorting and Searching:** Techniques like VLSI tree layout and H-tree embedding optimize spatial and computational complexity in fields like circuit design and geometry.
- 4 **Optimized Computation Techniques:** Problems such as exponentiation ($O(\log n)$) and Fibonacci computation ($O(\log n)$) benefit from divide-and-conquer methods that replace naive exponential-time approaches.
- 3 **Master Theorem for Complexity Analysis:** A formulaic method to determine the time complexity of divide-and-conquer algorithms based on recurrence relations.

Top 5 Fundamental Takeaways

- 5 Applications Beyond Sorting and Searching:** Techniques like VLSI tree layout and H-tree embedding optimize spatial and computational complexity in fields like circuit design and geometry.
- 4 Optimized Computation Techniques:** Problems such as exponentiation ($O(\log n)$) and Fibonacci computation ($O(\log n)$) benefit from divide-and-conquer methods that replace naive exponential-time approaches.
- 3 Master Theorem for Complexity Analysis:** A formulaic method to determine the time complexity of divide-and-conquer algorithms based on recurrence relations.
- 2 Efficient Algorithms Using Divide and Conquer:** Algorithms like merge sort ($O(n \log n)$), binary search ($O(\log n)$), and Strassen's matrix multiplication ($O(n^{2.81})$) leverage this paradigm for improved efficiency.

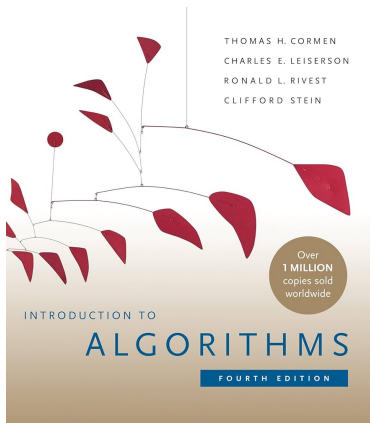
Top 5 Fundamental Takeaways

- 5 **Applications Beyond Sorting and Searching:** Techniques like VLSI tree layout and H-tree embedding optimize spatial and computational complexity in fields like circuit design and geometry.
- 4 **Optimized Computation Techniques:** Problems such as exponentiation ($O(\log n)$) and Fibonacci computation ($O(\log n)$) benefit from divide-and-conquer methods that replace naive exponential-time approaches.
- 3 **Master Theorem for Complexity Analysis:** A formulaic method to determine the time complexity of divide-and-conquer algorithms based on recurrence relations.
- 2 **Efficient Algorithms Using Divide and Conquer:** Algorithms like merge sort ($O(n \log n)$), binary search ($O(\log n)$), and Strassen's matrix multiplication ($O(n^{2.81})$) leverage this paradigm for improved efficiency.
- 1 **Divide and Conquer Paradigm:** This algorithmic approach breaks a problem into smaller subproblems, solves them recursively, and combines the results efficiently.

Top 5 Fundamental Takeaways

- 5 **Applications Beyond Sorting and Searching:** Techniques like VLSI tree layout and H-tree embedding optimize spatial and computational complexity in fields like circuit design and geometry.
- 4 **Optimized Computation Techniques:** Problems such as exponentiation ($O(\log n)$) and Fibonacci computation ($O(\log n)$) benefit from divide-and-conquer methods that replace naive exponential-time approaches.
- 3 **Master Theorem for Complexity Analysis:** A formulaic method to determine the time complexity of divide-and-conquer algorithms based on recurrence relations.
- 2 **Efficient Algorithms Using Divide and Conquer:** Algorithms like merge sort ($O(n \log n)$), binary search ($O(\log n)$), and Strassen's matrix multiplication ($O(n^{2.81})$) leverage this paradigm for improved efficiency.
- 1 **Divide and Conquer Paradigm:** This algorithmic approach breaks a problem into smaller subproblems, solves them recursively, and combines the results efficiently.

Introduction to Algorithms



Content has been extracted from *Introduction to Algorithms*, Fourth Edition, by Cormen, Leiserson, Rivest, and Stein. MIT Press. 2022.

Visit <https://mitpress.mit.edu/9780262046305/introduction-to-algorithms/>.

Original slides from *Introduction to Algorithms 6.046J/18.401J*, Fall 2005 Class by Prof. Charles Leiserson and Prof. Erik Demaine. MIT OpenCourseWare Initiative available at <https://ocw.mit.edu/courses/6-046j-introduction-to-algorithms-sma-5503-fall-2005/>.