

# Introduction to Algorithms

## Bonus Lecture: Proof by Induction

et al.

March 5, 2025

# Proof by Induction

- ▶ A powerful mathematical technique.
- ▶ Prove that a statement is true for all natural numbers (or some sequence of numbers).
- ▶ It's like knocking over a line of dominoes...

# How Induction Works

## Principle of Mathematical Induction:

- ▶ **Base Case:** Show the statement holds for the first value (usually  $n = 1$ ).
- ▶ **Inductive Hypothesis:** Assume the statement holds for some arbitrary  $n = k$ .
- ▶ **Inductive Step:** Prove it holds for  $n = k + 1$ .

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- ▶ **Inductive Step:** Prove it holds for  $n = k + 1$ .

If those steps hold, the statement is true for all  $n$ .

## Example

Prove that:

$$1 + 2 + 3 + \cdots + n = \frac{n(n+1)}{2}$$

for all  $n \geq 1$ .

# Example

## Step 1: Base Case

For  $n = 1$ :

$$1 = \frac{1(1+1)}{2} = \frac{2}{2} = 1$$

✓ **True!**

## Example

### Step 2: Inductive Hypothesis

Assume that for some  $n = k$ , the formula holds:

$$1 + 2 + 3 + \cdots + k = \frac{k(k+1)}{2}$$

(This is our assumption or “*inductive hypothesis*”.)

## Example

### Step 3: Inductive Step

We must prove it holds for  $n = k + 1$ , meaning:

$$1 + 2 + 3 + \cdots + k + (k + 1) = \frac{(k + 1)(k + 2)}{2}$$



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Using the inductive hypothesis:

$$\left( \frac{k(k + 1)}{2} \right) + (k + 1)$$

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Factor  $k + 1$  out:

$$\frac{k(k + 1) + 2(k + 1)}{2} = \frac{(k + 1)(k + 2)}{2}$$

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Factor  $k + 1$  out:

$$\frac{k(k + 1) + 2(k + 1)}{2} = \frac{(k + 1)(k + 2)}{2}$$

This matches the formula for  $n = k + 1$ , so the statement holds!



# Conclusion

By induction, the formula is true for all natural numbers  $n$ .

WHY DOES THIS WORK?

Think of induction like climbing an infinite ladder:

- ▶ The **base case** puts your foot on the first rung.
- ▶ The **inductive hypothesis** and the **inductive step** shows that if you can reach one step, you can reach the next.

Since they are true, you can climb forever!

■<sup>1</sup>

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<sup>1</sup>■ = Q.E.D. which means “*quod erat demonstrandum*”.

# Example

Proof by Induction:

$$2^n \geq n + 1$$

for all  $n \geq 1$ .

## Step 1: Base Case

For  $n = 1$ :

$$2^1 = 2, \quad \text{left side}$$

$$1 + 1 = 2. \quad \text{right side}$$

Since  $2 \geq 2$ , the base case holds. ✓

## Step 2: Inductive Hypothesis

Assume the statement is true for  $n = k$ :

$$2^k \geq k + 1.$$

This assumption is the *inductive hypothesis*.

## Step 3: Inductive Step

We need to prove the statement holds for  $n = k + 1$ :

$$2^{k+1} \geq (k + 1) + 1.$$



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Start with the left-hand side:

$$2^{k+1} = 2 \cdot 2^k.$$

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Using the inductive hypothesis  $2^k \geq k + 1$ :

$$2^{k+1} \geq 2 \cdot (k + 1) = 2k + 2.$$

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Using the inductive hypothesis  $2^k \geq k + 1$ :

$$2^{k+1} \geq 2 \cdot (k + 1) = 2k + 2.$$

Since  $2k + 2 \geq k + 2$ , the statement holds for  $n = k + 1$ .



# Conclusion

By mathematical induction, we have proven that:

$$2^n \geq n + 1 \quad \text{for all } n \geq 1.$$

**Induction helps us prove statements for infinitely many cases!**

## Another Example

We will prove that the sum of the first  $n$  odd numbers is given by:

$$1 + 3 + 5 + \cdots + (2n - 1) = n^2.$$

## Step 1: Base Case

For  $n = 1$ :

$$1 = 1^2.$$

Since both sides are equal, the base case holds. ✓

## Step 2: Inductive Hypothesis

Assume the statement is true for  $n = k$ :

$$1 + 3 + 5 + \cdots + (2k - 1) = k^2.$$

The *inductive hypothesis*.

## Step 3: Inductive Step

We need to prove the statement holds for  $n = k + 1$ :

$$1 + 3 + 5 + \cdots + (2k - 1) + (2(k + 1) - 1) = (k + 1)^2.$$



## Step 3: Inductive Step

We need to prove the statement holds for  $n = k + 1$ :

$$1 + 3 + 5 + \cdots + (2k - 1) + (2(k + 1) - 1) = (k + 1)^2.$$

Using the *inductive hypothesis*:

$$k^2 + (2(k + 1) - 1).$$

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Using the *inductive hypothesis*:

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Expanding the term:

$$k^2 + (2k + 1) = (k + 1)^2.$$

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Expanding the term:

$$k^2 + (2k + 1) = (k + 1)^2.$$

Since both sides match, the statement holds for  $n = k + 1$ .



# One More

Prove that:

$$\sum_{i=0}^n 3^i = \frac{3^{n+1} - 1}{2}$$

for all non negative integer  $n$ .

## Step 1: Base Case

For  $n = 0$ :

$$\sum_{i=0}^0 3^i = \frac{3^{0+1} - 1}{2}$$

$$3^0 = \frac{3^1 - 1}{2}$$

$$1 = \frac{3 - 1}{2}$$

$$1 = \frac{2}{2}$$

$$1 = 1$$

Since both sides are equal, the base case holds. ✓

## Step 1: Base Case

For  $n = 1$ :

$$\begin{aligned}\sum_{i=0}^1 3^i &= \frac{3^{1+1} - 1}{2} \\ 3^0 + 3^1 &= \frac{3^2 - 1}{2} \\ 1 + 3 &= \frac{9 - 1}{2} \\ 4 &= \frac{8}{2} \\ 4 &= 4\end{aligned}$$

Since both sides are equal, the base case holds. ✓

## Step 2: Inductive Hypothesis

Assume the statement is true for  $n = k$ :

$$\sum_{i=0}^k 3^i = \frac{3^{k+1} - 1}{2}$$

The *inductive hypothesis*.

## Step 3: Inductive Step

We need to prove the statement holds for  $n = k + 1$ :

$$\sum_{i=0}^{k+1} 3^i = \frac{3^{(k+1)+1} - 1}{2}$$



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By  $\sum$  definition:

$$\sum_{i=0}^k 3^i + 3^{k+1} = \frac{3^{(k+1)+1} - 1}{2}$$

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Using the *inductive hypothesis*:

$$\frac{3^{k+1} - 1}{2} + 3^{k+1} = \frac{3^{(k+1)+1} - 1}{2}$$

Expanding the term:

$$\begin{aligned} \frac{3^{k+1}}{2} - \frac{1}{2} + \frac{2 \cdot 3^{k+1}}{2} &= \frac{3^{(k+1)+1} - 1}{2} \\ \frac{3^{k+1} - 1 + 2 \cdot 3^{k+1}}{2} &= \frac{3^{(k+1)+1} - 1}{2} \end{aligned}$$

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Since both sides match, the statement holds for  $n = k + 1$ .



# More Examples

Use mathematical induction to show that:

$$1 + 2 + 2^2 + \cdots + 2^n = 2^{n+1} - 1$$

for all non negative integers  $n$ .

1. **Basis case:** For  $n = 0$ ,  $2^0 = 1 = 2^1 - 1$  ✓

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1. **Basis case:** For  $n = 0$ ,  $2^0 = 1 = 2^1 - 1$  ✓
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holds...

3. **Inductive step:** Let's solve for  $n = k + 1$ ,

$$1 + 2 + 2^2 + \cdots + 2^{k+1} = 2^{(k+1)+1} - 1$$



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$$2 \cdot 2^{k+1} - 1 \stackrel{?}{=} 2^{k+2} - 1$$

$$2^{k+2} - 1 = 2^{k+2} - 1$$



## Example 2

Prove the following statement by induction:

$$1 + 2^2 + 3^2 + \cdots + n^2 = \frac{n \cdot (n + 1) \cdot (2n + 1)}{6}$$

1. **Basis step:** For  $n = 1$ ,  $1 = \frac{1 \times 2 \times 3}{6}$  is true!

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2. **Assumption step:** Let  $n = k$ , so

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$$\frac{k \cdot (k + 1) \cdot (2k + 1) + 6(k + 1)^2}{6} \stackrel{?}{=} \frac{(k + 1) \cdot (k + 2) \cdot (2k + 3)}{6}$$

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$$(k + 1) \cdot (k \cdot (2k + 1) + 6(k + 1)) \stackrel{?}{=} (k + 1) \cdot (k + 2) \cdot (2k + 3)$$

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# Theorems

## Theorem

*Let  $b$  be a positive real number and  $x$  and  $y$  real numbers. Then,*

1.  $b^{x+y} = b^x \cdot b^y$ , and
2.  $(b^x)^y = b^{x \cdot y}$ .

# Theorems

## Theorem

*Let  $b$  be a real number greater than 1. Then,*

- 1.  $\log_b(xy) = \log_b x + \log_b y$  whenever  $x$  and  $y$  are positive real numbers, and*
- 2.  $\log_b(x^y) = y \log_b x$  whenever  $x$  is a positive real number and  $y$  is a real number.*

# Theorems

## Theorem

*Let  $a$  and  $b$  be real numbers greater than 1, and let  $x$  be a positive real number. Then,*

1.  $\log_a x = \frac{\log_b x}{\log_b a}.$