Databases

Lab 06: A 'gentle' Introduction to SQL Indexing.

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1 Introduction

Efficient data retrieval is a fundamental aspect of database management, and indexing plays a crucial role in optimizing query performance. In this lab, we will explore the impact of indexing on query execution time by analyzing queries before and after the creation of indexes.

To accomplish this, we will work with a simulated dataset containing user accounts, conversation threads, and posts in a hypothetical forum. We will generate random data to populate the tables and execute a set of predefined queries to measure their performance. Using the EXPLAIN ANALYZE command in PostgreSQL, we will evaluate query execution times, compare results, and observe how indexing improves efficiency.

By the end of this lab, you will have a practical understanding of how indexing works, how it affects database performance, and how to apply indexing techniques to optimize query execution. This knowledge is essential for effective database administration and performance tuning in real-world applications.

This lab is based on the work presented in this video by Curtis Maloney¹. We will share the first part here, and then you can continue on your own.

So let's get it started

2 Generating Random Data

We first create a simple schema consisting of three tables to store information about user accounts, conversation threads, and posts made by users in a hypothetical website forum. You must create and connect to a database called posts. Once in the PostgreSQL prompt, you will create the schema for the tables as follows:

```
CREATE TABLE account (
         account_id SERIAL PRIMARY KEY,
2
         name TEXT NOT NULL,
         dob DATE
    );
5
    CREATE TABLE thread (
         thread id SERIAL PRIMARY KEY,
8
9
         account_id INTEGER NOT NULL REFERENCES account(account_id),
10
         title TEXT NOT NULL
    );
11
12
    CREATE TABLE post (
13
```

¹Curtis Maloney, 2018. PostgreSQL Indexing: How, Why, and When. PyCon Australia. Sydney, August 24–28 2018. Available at https://2018.pycon-au.org/talks/42913-postgresql-indexing-how-why-and-when/

```
post_id SERIAL PRIMARY KEY,
thread_id INTEGER NOT NULL REFERENCES thread(thread_id),
account_id INTEGER NOT NULL REFERENCES account(account_id),
created TIMESTAMP WITH TIME ZONE NOT NULL DEFAULT NOW(),
visible BOOLEAN NOT NULL DEFAULT TRUE,
comment TEXT NOT NULL
);
```

To simulate data for populating the tables, we will use the random() function available in PostgreSQL. In addition, we will use a file containing 1,000 frequently used words to generate text fields. You can download the words file from here and place it in a folder you have access to, ensuring that the filename remains unchanged as words.txt. Now, execute the following SQL code:

```
CREATE TABLE words (word TEXT);
COPY words(word) FROM '/usr/share/dict/words.txt';
```

Note: Be sure to update the full path to the words file according to its location on your system. Now, it's time to generate random data:

```
-- Creating 100 dummy accounts...
    INSERT INTO account (name, dob)
2
        SELECT
3
             substring('AEIOU', (random() * 4)::int + 1, 1) ||
             substring('bcdfghjklmnpqrstvwxyzbcdfghjklmnpqrstvwxyz', (random() * 21 * 2 + 1)::int, 2) ||
5
             substring('aeiou', (random() * 4 + 1)::int, 1) ||
6
             substring('bcdfghjklmnpqrstvwxyzbcdfghjklmnpqrstvwxyz', (random() * 21 * 2 + 1)::int, 2) ||
             substring('aeiou', (random() * 4 + 1)::int, 1),
8
             NOW() + ('1 days'::interval * random() * 365)
9
        FROM
10
             generate_series(1, 100);
11
12
     -- Creating 1000 random threads...
13
    INSERT INTO thread (account_id, title)
14
         SELECT
15
             random() * 99 + 1,
16
             (
17
                 SELECT
18
                     initcap(string_agg(word, ' '))
19
                 FROM
20
21
                     (TABLE words ORDER BY random() + n LIMIT 5) AS words (word)
             )
22
        FROM
23
             generate_series(1, 1000) AS s(n);
24
25
     -- Let's work with 100K random posts...
26
    INSERT INTO post (thread_id, account_id, created, visible, comment)
27
        SELECT
28
             random() * 999 + 1,
29
             random() * 99 + 1,
30
             NOW() - ('1 days'::interval * random() * 1000),
31
```

```
CASE WHEN random() > 0.1 THEN TRUE ELSE FALSE END,

(
SELECT string_agg(word, ' ') FROM (TABLE words ORDER BY random() * n LIMIT 20) AS words(word)

(
FROM

generate_series(1, 100000) AS s(n);
```

That will be enough. We are done here.

3 Measuring Performance Without and With Indexes

We will now address the following queries and evaluate their response times. We will assume that my account ID is 1.

- Q1. View all my posts.
- Q2. How many posts have I made?
- Q3. View all current posts in a thread.
- Q4. How many posts have I made in a thread?
- Q5. View all current posts in a thread for this month, in order.

The purpose of this tutorial is to evaluate query execution performance before and after creating an index. For instance, for Q1, we will measure the current performance using only the default indexing (i.e., the indexes automatically created for primary keys). Now, let's execute the query using the EXPLAIN ANALYZE command to capture the execution time and relevant statistics:

```
EXPLAIN ANALYZE

SELECT

**

FROM

post

WHERE

account_id = 1;
```

We should obtain the following response. Pay special attention to the Execution Time in the last line of the output:

```
posts=# EXPLAIN ANALYZE

SELECT

*

FROM

post

WHERE

account_id = 1;

QUERY PLAN

Seq Scan on post (cost=0.00..3395.00 rows=493 width=138) (actual time=0.034..10.786 rows=474 loops=1)

Filter: (account_id = 1)
```

```
Rows Removed by Filter: 99526
Planning Time: 0.513 ms
Execution Time: 10.857 ms
(5 rows)
```

Now, we will create an index on the account id column:

```
CREATE INDEX ON post (account_id);
```

Then, let's re-run EXPLAIN ANALYZE and examine the updated results:

```
QUERY PLAN

Bitmap Heap Scan on post (cost=8.11..1182.31 rows=493 width=138) (actual time=0.106..0.641 rows=474 loops=1)

Recheck Cond: (account_id = 1)

Heap Blocks: exact=424

-> Bitmap Index Scan on post_account_id_idx (cost=0.00..7.99 rows=493 width=0) (actual time=0.062..0.062 rows=474 loops=1)

Index Cond: (account_id = 1)

Planning Time: 0.255 ms

Execution Time: 0.694 ms

(7 rows)
```

Not bad at all! From here, you can continue watching the video and complete the remaining four queries. As the deliverable for this section, you will measure the execution time of the four remaining queries (Q2–Q5) both **before** and **after** index creation. Then, you will create a graph to visually compare their performance. In the video, several types of index implementations are presented –just select **the most effective one** for your analysis and plotting. Use your preferred statistical software (e.g., R, Octave, etc.) to generate the graphs.

- The four queries (Q2 Q5) on the **X-axis**.
- The execution time on the **Y-axis**.

Your final plot should include:

• Two bars per query: one representing the execution time before index creation and the other after index creation.

4 Individual Work

You will read a few sections from Chapter 13 – Performance Tuning – of the book "PostgreSQL for Jobseekers" by Sonia Valeja and David Gonzales. You can download versions in English and Spanish. As you can see at the end, there are several types of indexes you can set when creating an index. For example, the following syntax will create an index named *account_id_hash_index* on the column *account_id* from the table post using the HASH index type:

```
CREATE INDEX account_id_hash_index ON post USING HASH (account_id);
```

Table 1 compares the different types of indexes in PostgreSQL. For the next exercise, you will work only with the following index types: B-tree, Hash, and BRIN. To do this, you will pick **just one** of the previous queries and measure the performance of the selected index types. As before, you will present your results by plotting them, where the X-axis represents the types of indexes, and the Y-axis represents the execution time as measured by the EXPLAIN ANALYZE command.

Index Type	Best For	Supports Range Queries	Supports Full-Text Search	Ideal Data Types
B-Tree (default)	General use, unique keys	Yes	No	Numbers, Text, Dates
Hash	Exact match searches	No	No	Numbers, Text
GIN	Full-text search, JSONB, Arrays	No	Yes	Arrays, JSONB, tsvector
\mathbf{GiST}	Geospatial, Range queries	Yes	Yes	Geometries, Text
BRIN	Very large tables with ordered data	Yes	No	Large Time-Series, Logs
SP-GiST	Hierarchical & partitioned data	Yes	Yes	Trees, Text
Bloom	Multi-column searches	Yes	No	Multiple Columns

Table 1: Comparison of Index Types in PostgreSQL $\,$

We expect you to submit your report through Brightspace $^{\rm TM}$ no later than September 24, 2025, before the lab session on that day.

Happy Hacking!