# Towards consistent Electroweak Precision Data constraints in the SMEFT

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ABSTRACT: We discuss the impact of many previously neglected effects of higher dimensional operators when fitting to Electroweak Precision data (EWPD) in the Standard Model Effective Field Theory (SMEFT). We calculate the general case of  $2 \to 2$  fermion scattering in the SMEFT to order  $\mathcal{O}(\bar{v}_T^2/\Lambda^2)$  valid on and off the Z pole, in the massless fermion limit. We demonstrate that previously neglected corrections scale as  $\Gamma_Z M_Z/\bar{v}_T^2$  in the partial widths extracted from measured cross sections at LEPI, compared to the leading effect of dimension six operators in anomalous Z couplings. Further, constraints on leading effects of anomalous Z couplings are also modified by neglected perturbative corrections and dimension eight operators. We perform a minimal EWPD fit to illustrate the size of the error these corrections induce, when bounding leading effects. These considerations relax bounds compared to a naive leading order analysis, and show that constraints that rise above the percent level are subject to substantial theoretical uncertanties. We also argue that renormalization group running global constraints expressed through  $\chi^2$  functions to a common scale, and then minimizing and performing a global fit of all data allows more consistent constraints to be obtained in the SMEFT.

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## 1 Introduction

The discovery of a Higgs like scalar at LHC, with couplings to the W<sup>±</sup>, Z in rough agreement with the Standard Model (SM) expectation, allows the cut off scale of the Standard Model Effective Field Theory (SMEFT) to be further separated from the electroweak vaccum expectation value ( $\bar{v}_T$ ) in the SMEFT. Nevertheless, expectations of naturalness still motivate precision studies of the SMEFT. The aim is to search for patterns of deviations that could be present as the low energy footprint of beyond the Standard Model (BSM) physics. Further, a precise knowledge of the global constraint picture of the SMEFT is crucially important to place any discovered state at LHC into the proper experimental context, including the discovered 0<sup>+</sup> scalar. The purpose of this paper is to advance this effort, by further developing the analysis of model independent global constraints on the SMEFT.

Determining the global constraint picture in the general linear SMEFT is a challenge, due to the complicated nature of this theory.<sup>2</sup> The linear SMEFT is defined by the assumption that the low energy limit of BSM physics is adequately described by an EFT that assumes the observed  $0^+$  scalar is embedded in the Higgs doublet, with the addition of higher dimensional operators  $(\mathcal{L}^{(5)} + \mathcal{L}^{(6)} + \cdots)$  constructed out of the SU(3) × SU(2) × U(1) invariant SM fields. This is the assumption we adopt in this paper. Based on this choice,  $\mathcal{L}^{(6)}$  has been classified in Refs. [14, 16]. Recently,  $\mathcal{L}^{(7)}$  has been classified in Ref [17]. We will restrict our attention to the dimension six lepton and baryon number conserving operator corrections to the linear SMEFT in this paper, except when dimension eight operators are used to characterize theoretical errors. Note that the dimension seven operators violate Lepton number [17], as does  $\mathcal{L}^{(5)}$ , and as such, these operators can be neglected for our purposes, and not included in theoretical error estimates.

In this paper we advance the understanding of the global constraints on the linear SMEFT due to near Z pole data.<sup>3</sup> We calculate  $d\sigma(\ell^+\ell^- \to \bar{f}f)/d\cos\theta$  where  $f = \{e, \mu, \tau, u, c, b, s, d\}$  on and off the Z pole in the massless limit, to order  $\mathcal{O}(\bar{v}_T^2/\Lambda^2)$  in the SMEFT. We emphasize the need for consistency in how these processes are treated, and point out several corrections of  $\mathcal{L}^{(6)}$  to the SM that have been neglected in past global constraint efforts.

Our main point is the following. When considering constraints on  $\mathcal{L}^{(6)}$ , theoretical calculations are never performed to arbitrary precision. As a result, bounds on  $\mathcal{L}^{(6)}$  in a purely leading order analysis (of BSM effects) can not rise to an arbitrary level of constraint in a self consistent way. Terms that are sub-leading in the power counting of the EFT are neglected.

<sup>&</sup>lt;sup>1</sup>Compared to the case where no 0<sup>+</sup> scalar is present.

<sup>&</sup>lt;sup>2</sup>For some past global constraint analyses and comments relevant to this work see Ref [1–3, 3–13]. The complexity of the theory is perhaps best illustrated by the fact that the non redundant basis of dimension-six operators in the (linear) SMEFT given in Ref. [14] has 2499 parameters [15].

<sup>&</sup>lt;sup>3</sup>The qualifier "near" the Z pole is important as some interference effects vanish when data is taken exactly on the Z pole. At LEPI a significant fraction of data (approximately 1/4th) is taken off the Z pole to fit for the Z mass, total width and cross section as a function of center of mass collision energy s. The combined data set includes this off pole data (approximately corrected to account for off pole  $\gamma - Z$  interference effects in the SM). See Ref. [18] for a description of the LEPI program. LEPII was run far off the Z pole.

Loop corrections involving higher dimensional operators are also generally neglected when considering Electroweak precision data (EWPD). Further, the contributions of BSM effects in processes that are sub-leading *in the SM* have also been neglected.

All of these assumptions are potentially problematic for consistent analyses, when very strong bounds are argued to be obtained on  $\mathcal{L}^{(6)}$  in a naive leading order analysis. In this paper, we argue that EWPD bounds on anomalous Z couplings that exceed the percent level are challenged due to this litany of neglected corrections. The up side of considering subleading corrections more consistently in the SMEFT is a relaxing of bounds on  $\mathcal{L}^{(6)}$ , when a truly general analysis is performed.

We discuss these issues and a more consistent approach to EWPD on and off the Z pole in the SMEFT in Section 4. It is essential to eventually also include the less precise results of off Z pole data reported in LEPII in a global analysis of the SMEFT. Our results are general enough to perform this analysis for LEPII data. In such an effort, some of the interference effects that we highlight are only suppressed compared to the leading order terms by  $M_Z^2/\bar{v}_T^2$ . For near Z pole data these interference effects scale as  $\Gamma_Z^2/M_Z^2$  (for  $\gamma-Z$  corrections) and  $\Gamma_Z^2/\bar{v}_T^2$  (for  $\psi^4-Z$  corrections) in the  $2\to 2$  scattering cross sections. However, the latter effects lead to corrections relatively suppressed by  $\Gamma_Z M_Z/\bar{v}_T^2$ , compared to the leading effects of dimension six operators, in the partial widths inferred from these cross sections. These corrections vanish when the cross sections are measured exactly on the Z pole, which holds for the majority, but not the totality, of the global LEP1 data set.

The majority of our results are general enough that we need not impose a  $U(3)^5$  flavour symmetry assumption on the dimension six operators in the SMEFT. In some particular cases, we will make the simplifying assumption that any beyond the SM flavour violation follows a linear minimal flavour violation (MFV) hypothesis [19–22] consistent with  $U(3)^5$  flavour symmetry. In this case, the flavour structure of the dimension six operators of the SMEFT is trivialized down to the case where only 76 parameters are present [15].

The outline of this paper is as follows. In Section 1.1 we discuss the power counting we employ. In Sections 2,3 we review the reformulation of the input parameters used in predictions in the SMEFT. In Section 4.1 we report the differential cross sections for  $2 \to 2$  scattering consistently generalized into the SMEFT to leading order in dimension six operators. In Section 4.2 we discuss how the near pole cross sections used to infer partial widths, when generalized consistently in the SMEFT, receive corrections that are relevant to  $\mathcal{O}(10^{-3})$  bounds on  $\mathcal{L}^{(6)}$  effects that modify  $Z\bar{f}f$ . In Section 5 we illustrate the impact of these previously neglected corrections on extractions of the bounds on  $\mathcal{L}^{(6)}$ . We then argue that renormalization group (RG) running a global EWPD constraint function directly to the energy scales relevant for LHC processes is preferred, in order to obtain accurate constraints in the linear SMEFT. In Section 6 we conclude.

# 1.1 Power counting

The relative importance of various local operators in the SMEFT depends on the power counting, and the particular Wilson coefficient that an operator obtains when matching onto

an unknown BSM sector.<sup>4</sup> In the SMEFT, the most naive and general power counting is to assign each dimension six operator a suppression by  $1/\Lambda^2$  and to retain all operators up to a fixed order in  $1/\Lambda$ .

Alternate approaches to utilizing this naive power counting exist in the literature. A prominent example is the Naive Dimensional Analysis (NDA) approach laid out in Ref [23]. NDA was developed by examining the consistency of the chiral quark model, but has been found to be broadly applicable in other applications. NDA states that an operator generated at the scale  $\Lambda$  in an EFT can be written as

$$f^{2}\Lambda^{2} \left(\frac{H}{f}\right)^{A} \left(\frac{\psi}{f\sqrt{\Lambda}}\right)^{B} \left(\frac{gX}{\Lambda^{2}}\right)^{C} \left(\frac{D}{\Lambda}\right)^{D}, \tag{1.1}$$

with the approximate identification  $\Lambda \sim 4\pi f$ . Here H is a scalar field,  $\psi$  is a general chiral fermion field, X is a general gauge field strength tensor with corresponding gauge coupling g. The powers A, B, C, D correspond to the number of the corresponding fields present in a particular operator. Recently it has been shown that the NDA scheme is incomplete in some scenarios, but it can be consistently extended [24, 25]. In what follows, we emphasize the need for the consistent inclusion of four fermion ( $\psi^4$ ) operators in EWPD, and the effect of including these operators when bounds on terms in  $\mathcal{L}^{(6)}$  of the form  $HDH \psi^2$  are obtained. We note that both these operator classes have the same scaling in NDA.

Other schemes have also been proposed. For some weakly coupled renormalizable UV models generating higher dimensional operators, an analysis based on when operators can be obtained in a matching at tree or loop level was developed in Ref [26], and can be self-consistent. Yet another approach distinct from this classification is discussed in Ref [27]. For some discussion on the claims of this latter scheme, see Ref [28, 29].

A truly general power counting scheme that is valid for all possible UV models, covering the cases of both weakly and strongly interacting, and allowing the UV to be an EFT itself, would be suitable to utilize in the SMEFT. Due to the absence of such a scheme, we naively suppress all dimension six operators by  $1/\Lambda^2$ . With this power counting, the case  $\Lambda \sim \text{TeV}$  is of most interest, so that  $\bar{v}_T^2/\Lambda^2 \sim 10^{-2}$ . Naively incorporating a per-mille constraint in EWPD on a combination of dimension six Wilson coefficients, denoted  $c_6$ , corresponds to  $c_6 \bar{v}_T^2/\Lambda^2 \lesssim 10^{-3}$ , which gives  $c_6 \lesssim 0.1$  for  $\Lambda \sim 2.5 \,\text{TeV}$ . Such a bound generally neglects the effects of the large number of un-numerated (and even undefined) dimension eight operators in the SMEFT. So that schematically  $c_6 + 0.01 \, c_8 \lesssim 0.1$  for TeV cut off scales. Bounds of this form are difficult to consider as precise numerical limits on the inferred Wilson coefficients. We will return to this point in Section 5.

<sup>&</sup>lt;sup>4</sup>Conflating these two issues by suppressing operators by  $1/\bar{v}_T^2$  and absorbing all suppression into a modified Wilson coefficient is a challenge for any consistent power counting scheme. Such an approach can lead to the EFT being used beyond its regime of validity - set by the suppression scale present in the power counting,  $\Lambda$ .

Parameter	Input Value	Ref.
$\hat{m}_Z$	$91.1875 \pm 0.0021$	[18, 31, 32]
$\hat{G}_F$	$1.1663787(6) \times 10^{-5}$	[31, 32]
$\hat{lpha}_{ew}$	1/137.035999074(94)	[31, 32]

Table 1. Current best estimates of the core input parameters used to make predictions in the SMEFT.

## 2 Electroweak Parameters

The approach we take in this paper is to more consistently generalize the predictions in the SM to the SMEFT.<sup>5</sup> To construct theoretical predictions of EWPD, we take as core input parameters for the Electroweak sector the measured values of the fine structure constant  $\hat{\alpha}_{ew}$  from the low energy limit of electron Compton scattering, the Fermi decay constant in muon decays  $\hat{G}_F$  and the measured Z mass  $(\hat{m}_Z)$ . It is convenient to relate observables in terms of the parameters  $g_2, \sin^2 \theta = g_1^2/(g_1^2 + g_2^2)$  and the electroweak vacuum expectation value (vev) v. Defining at tree level the effective measured mixing angle

$$\sin^2 \hat{\theta} = \frac{1}{2} - \frac{1}{2} \sqrt{1 - \frac{4\pi \hat{\alpha}_{ew}}{\sqrt{2} \, \hat{G}_F \, \hat{m}_Z^2}},\tag{2.1}$$

then the measured value of the  $SU_L(2)$  gauge coupling can be inferred (at tree level) via

$$\hat{g}_2 \sin \hat{\theta} = 2\sqrt{\pi} \,\hat{\alpha}_{ew}^{1/2}. \tag{2.2}$$

The effective measured vacuum expectation value (vev) in the SM can be defined as  $\hat{v}^2 = 1/\sqrt{2}\,\hat{G}_F$ . All of these input parameters are redefined going from the SM to the SMEFT, and the resulting shifts are characterized in Section 2.1. We will consistently use the notation that the measured parameters, or inferred measured parameters (such as  $\sin^2\hat{\theta}, \hat{g}_2$ ), are denoted with a hat superscript. In relating predictions to these input parameters we will consistently only include corrections in the SMEFT that are suppressed by  $\bar{v}_T^2/\Lambda^2$ , neglecting  $\bar{v}_T^4/\Lambda^4$  contributions. For this reason SMEFT parameters multiplying insertions of higher dimensional operators can be traded for  $\hat{\alpha}_{ew}, \hat{v}^2, \hat{m}_Z$  using the SM relations.

#### 2.1 Input Parameters

Calculating expressions, we use the canonically normalized SMEFT in the basis of Ref. [14]. By canonically normalized, we mean that the kinetic terms of all propagating fields have

<sup>&</sup>lt;sup>5</sup>For the case of a minimal oblique parameter analysis of EWPD, the basic ideas of the approach we employ are reviewed in Ref [30].

<sup>&</sup>lt;sup>6</sup>As well as these core input parameters, we also note that the values of  $\{m_t, \alpha_s, m_H, m_c, m_b, m_\tau, V_{CKM}^{ij}, \Delta\alpha_{had}^{(5)}, \cdots\}$  are also required in a truly global EWPD analysis of all data.

been taken to a minimal form, with a field and  $v_T^2$  independent Wilson coefficient. Many of our results build upon the discussion in Ref.[15]. For example, the canonically normalized SMEFT Lagrangian parameters are denoted with bar superscripts, as defined in Ref.[15]. The SM Lagrangian parameters and theoretical predictions for observables in the SM will have no superscript (no hat and no bar) and if we stop at the leading order of the SM value we will add :  $(...)_{SM}$  to specify it. In the following Sections we will use the shorthand notation  $s_{\hat{\theta}}^2 = \sin^2 \hat{\theta}$ ,  $c_{\hat{\theta}}^2 = \cos^2 \hat{\theta}$ . The canonically normalized gauge fields introduce the gauge couplings given by  $g_{1,2} = \bar{g}_{1,2}(1+C_{H(B,W)}\bar{v}_T^2)$ . For completeness, we summarize the relation between the SMEFT Lagrangian parameters and the measured input parameters in this Section.

## **2.1.1** $G_F$

We define the local effective interaction for muon decay as

$$\mathcal{L}_{G_F} = -\frac{4\hat{\mathcal{G}}_F}{\sqrt{2}} \left( \bar{\nu}_{\mu} \gamma^{\mu} P_L \mu \right) \left( \bar{e} \gamma_{\mu} P_L \nu_e \right). \tag{2.3}$$

The parameter  $\hat{\mathcal{G}}_F$  is fixed by measuring the muon lifetime in the SM EFT,

$$-\frac{4\hat{\mathcal{G}}_F}{\sqrt{2}} = -\frac{2}{\bar{v}_T^2} + \left(C_{\substack{ll\\\mu ee\mu}} + C_{\substack{ll\\ee\mu}} + C_{\substack{ll\\ee}}\right) - 2\left(C_{\substack{Hl\\ee}}^{(3)} + C_{\substack{Hl\\\mu\mu}}^{(3)}\right). \tag{2.4}$$

In the limit of  $U(3)^5$  flavour symmetry, this expression simplifies to

$$\hat{G}_F = \frac{1}{\sqrt{2}\,\bar{v}_T^2} - \frac{1}{\sqrt{2}}\,C_{ll} + \sqrt{2}\,C_{Hl}^{(3)}.\tag{2.5}$$

We identify  $\hat{\mathcal{G}}_F$  with the measured value of the Fermi constant in the U(3)<sup>5</sup> limit as  $\hat{G}_F$  in this paper. Our notation is such that a  $1/\Lambda^2$  is implicit in each of the Wilson coefficients, and that  $\bar{v}_T$  is the vev in the SMEFT given by

$$\bar{v}_T = \left(1 + \frac{3C_H v^2}{8\lambda}\right) v. \tag{2.6}$$

Here  $\lambda$  is the coefficient of  $(H^{\dagger}H)^2$  in the SM, with a normalization defined in the Appendix.  $C_H$  is the Wilson coefficient of the  $(H^{\dagger}H)^3$  operator, and v is the SM vev in the limit  $C_H \to 0$ . Many expressions that follow have explicit dependence on  $\bar{v}_T$ , which is related to  $\bar{G}_F$  via Eqn 2.5 as

$$\bar{v}_T^2 = \frac{1}{\sqrt{2}\hat{G}_F} + \frac{\delta G_F}{\hat{G}_F}, \quad \text{when,} \quad \delta G_F = \frac{1}{\sqrt{2}\hat{G}_F} \left(\sqrt{2}C_{Hl}^{(3)} - \frac{C_{ll}}{\sqrt{2}}\right).$$
 (2.7)

In what follows we use  $\delta G_F$ , but note that the flavour dependence of this parameter is trivial to re-introduce, and this shift can be considered to be implicitly flavour dependent.

<sup>&</sup>lt;sup>7</sup>See the Appendix for a discussion of the notational conventions.

## 2.1.2 $M_Z$

The mass eigenstate of the Z boson is redefined as

$$\bar{M}_{Z}^{2} = \frac{\bar{v}_{T}^{2}}{4} (\bar{g}_{1}^{2} + \bar{g}_{2}^{2}) + \frac{1}{8} \bar{v}_{T}^{4} C_{HD} (\bar{g}_{1}^{2} + \bar{g}_{2}^{2}) + \frac{1}{2} \bar{v}_{T}^{4} \bar{g}_{1} \bar{g}_{2} C_{HWB}. \tag{2.8}$$

The difference between the  $\hat{M}_Z$  input parameter and the SM expression for the Z mass (in the SMEFT) defines  $\delta M_Z^2$  as

$$\delta M_Z^2 \equiv \hat{M}_Z^2 - \frac{\bar{v}_T^2}{4} (\bar{g}_1^2 + \bar{g}_2^2) = -\frac{1}{2\sqrt{2}} \frac{\hat{M}_Z^2}{\hat{\mathcal{G}}_F} C_{HD} - \frac{22^{1/4} \sqrt{\pi} \sqrt{\hat{\alpha}} \, \hat{M}_Z}{\hat{\mathcal{G}}_R^{3/2}} C_{HWB}. \tag{2.9}$$

Note that this difference is defined in terms of the vev in the SMEFT -  $\bar{v}_T$ . The SM relations between Lagrangian parameters and input parameters are used on the right hand side of Eqn 2.9, as the SMEFT corrections to these relations are higher order in  $\bar{v}_T^2/\Lambda^2$ .

# **2.1.3** $\sin^2 \theta$

The kinetic mixing introduced by the operator with Wilson coefficient  $C_{HWB}$  leads to a redefinition of the usual  $s_{\theta} = \sin \theta$  mixing angle of the SM given by

$$s_{\overline{\theta}}^{2} = \frac{\overline{g}_{1}^{2}}{\overline{g}_{2}^{2} + \overline{g}_{1}^{2}} + \frac{\overline{g}_{1}\overline{g}_{2}(\overline{g}_{2}^{2} - \overline{g}_{1}^{2})}{(\overline{g}_{1}^{2} + \overline{g}_{2}^{2})^{2}} v_{T}^{2} C_{HWB}. \tag{2.10}$$

Here  $s^2_{\theta}$  is used to rotate to the mass eigenstate fields in the SMEFT. As a short hand notation, we define

$$\delta s_{\theta}^{2} \equiv \sin^{2} \hat{\theta} - \sin^{2} \bar{\theta} = -\frac{s_{\hat{\theta}} c_{\hat{\theta}}}{2\sqrt{2} \hat{G}_{F} (1 - 2s_{\hat{\theta}}^{2})} \left[ s_{\hat{\theta}} c_{\hat{\theta}} \left( C_{HD} + 4 C_{H\ell}^{(3)} - 2 C_{ll} \right) + 2 C_{HWB} \right] (2.11)$$

# 2.2 Gauge couplings in the SMEFT: $\bar{g}_1, \bar{g}_2$

We relate the Lagrangian parameters  $\bar{g}_2, \bar{g}_1$  to the input parameters at tree level via

$$\bar{g}_1^2 + \bar{g}_2^2 = 4\sqrt{2}\,\hat{G}_F\,\hat{M}_Z^2\left(1 - \sqrt{2}\,\delta G_F - \frac{\delta M_Z^2}{\hat{M}_Z^2}\right),$$
 (2.12)

$$\bar{g}_{2}^{2} = \frac{4\pi\,\hat{\alpha}}{s_{\hat{\theta}}^{2}} \left[ 1 + \frac{\delta s_{\theta}^{2}}{s_{\hat{\theta}}^{2}} + \frac{\hat{c}_{\theta}}{\hat{s}_{\theta}} \frac{1}{\sqrt{2}\,\hat{G}_{F}} C_{HWB} \right]. \tag{2.13}$$

# 2.3 $M_W$ in the SMEFT

The mass of the W boson is redefined in the SMEFT as

$$\bar{M}_W^2 = \frac{\bar{g}_2^2 \bar{v}_T^2}{4}.\tag{2.14}$$

Expressing  $\bar{M}_W^2$  in terms of the inputs parameters we get:

$$\bar{M}_W^2 = M_W^2 \left( 1 + \frac{\delta s_{\hat{\theta}}^2}{s_{\hat{\theta}}^2} + \frac{c_{\hat{\theta}}}{s_{\hat{\theta}}\sqrt{2}\hat{G}_F} C_{HWB} + \sqrt{2}\delta G_F \right) = M_W^2 - \delta M_W^2, \tag{2.15}$$

where 
$$\delta M_W^2 = -M_W^2 \left( \frac{\delta s_{\hat{\theta}}^2}{s_{\hat{\theta}}^2} + \frac{c_{\hat{\theta}}}{s_{\hat{\theta}}\sqrt{2}\hat{G}_F} C_{HWB} + \sqrt{2}\delta G_F \right)$$
.

# 3 Redefinition of Vector Boson couplings

## 3.1 Neutral currents

# 3.1.1 Redefinition of Z couplings

The effective axial and vector couplings of the SMEFT Z boson are defined as follows

$$\mathcal{L}_{Z,eff} = 2 \, 2^{1/4} \, \sqrt{\hat{G}_F} \, \hat{M}_Z \left( J_\mu^{Z\ell} Z^\mu + J_\mu^{Z\nu} Z^\mu + J_\mu^{Zu} Z^\mu + J_\mu^{Zd} Z^\mu \right), \tag{3.1}$$

where  $(J_{\mu}^{Zx})^{pr} = \bar{x}_p \gamma_{\mu} \left[ (\bar{g}_V^x)_{eff}^{pr} - (\bar{g}_A^x)_{eff}^{pr} \gamma_5 \right] x_r$  for  $x = \{u, d, \ell, \nu\}$ . In general, these currents are matricies in flavour space. When we restrict our attention to the case of a minimal linear MFV scenario  $(J_{\mu}^{Zx})_{pr} \simeq (J_{\mu}^{Zx})\delta_{pr}$ . In the standard basis, the effective axial and vector couplings are modified from the SM values by a shift defined as

$$\delta(g_{V,A}^x)_{pr} = (\bar{g}_{V,A}^x)_{pr}^{eff} - (g_{V,A}^x)_{pr}^{SM}, \tag{3.2}$$

where

$$\delta(g_V^{\ell})_{pr} = -\frac{\delta G_F}{\sqrt{2}} - \frac{\delta M_Z^2}{2\hat{M}_Z^2} - \frac{1}{4\sqrt{2}\hat{G}_F} \left( -s_{\hat{\theta}}c_{\hat{\theta}}C_{HWB} - C_{He} - C_{H\ell}^{(1)} + C_{H\ell}^{(3)} \right) - \delta s_{\theta}^2, \quad (3.3)$$

$$\delta(g_A^{\ell})_{pr} = -\frac{\delta G_F}{\sqrt{2}} - \frac{\delta M_Z^2}{2\hat{M}_Z^2} + \frac{1}{4\sqrt{2}\hat{G}_F} \left( -s_{\hat{\theta}} c_{\hat{\theta}} C_{HWB} - C_{He} + C_{H\ell}^{(1)} - C_{H\ell}^{(3)} \right), \tag{3.4}$$

$$\delta(g_V^{\nu})_{pr} = -\frac{\delta G_F}{\sqrt{2}} - \frac{\delta M_Z^2}{2\hat{M}_Z^2} - \frac{1}{4\sqrt{2}\hat{G}_F} \left( -s_{\hat{\theta}} c_{\hat{\theta}} C_{HWB} - C_{H\ell}^{(1)} - C_{H\ell}^{(3)} \right), \tag{3.5}$$

$$\delta(g_A^{\nu})_{pr} = -\frac{\delta G_F}{\sqrt{2}} - \frac{\delta M_Z^2}{2\hat{M}_Z^2} - \frac{1}{4\sqrt{2}\hat{G}_F} \left( -s_{\hat{\theta}} c_{\hat{\theta}} C_{HWB} - C_{H\ell}^{(1)} - C_{H\ell}^{(3)} \right), \tag{3.6}$$

$$\delta(g_V^u)_{pr} = -\frac{\delta G_F}{\sqrt{2}} - \frac{\delta M_Z^2}{2\hat{M}_Z^2} + \frac{1}{4\sqrt{2}\hat{G}_F} \left( -\frac{s_{\hat{\theta}}c_{\hat{\theta}}}{3}C_{HWB} + C_{Hq}^{(1)} + C_{Hq}^{(3)} + C_{Hu} \right) + \frac{2}{3}\delta s_{\theta}^2, \tag{3.7}$$

$$\delta(g_A^u)_{pr} = -\frac{\delta G_F}{\sqrt{2}} - \frac{\delta M_Z^2}{2\hat{M}_Z^2} - \frac{1}{4\sqrt{2}\hat{G}_F} \left( -s_{\hat{\theta}} c_{\hat{\theta}} C_{HWB} - C_{Hq}^{(1)} - C_{Hq}^{(3)} + C_{Hu} \atop pr} \right), \quad (3.8)$$

$$\delta(g_V^d)_{pr} = -\frac{\delta G_F}{\sqrt{2}} - \frac{\delta M_Z^2}{2\hat{M}_Z^2} - \frac{1}{4\sqrt{2}\hat{G}_F} \left( +\frac{s_{\hat{\theta}}c_{\hat{\theta}}}{3}C_{HWB} - C_{Hq}^{(1)} + C_{Hq}^{(3)} - C_{Hd} \right) - \frac{1}{3}\delta s_{\theta}^2, \tag{3.9}$$

$$\delta(g_A^d)_{pr} = -\frac{\delta G_F}{\sqrt{2}} - \frac{\delta M_Z^2}{2\hat{M}_Z^2} + \frac{1}{4\sqrt{2}\hat{G}_F} \left( -s_{\hat{\theta}} c_{\hat{\theta}} C_{HWB} + C_{Hq}^{(1)} - C_{Hq}^{(3)} - C_{Hd}_{pr} \right). \tag{3.10}$$

# 3.1.2 Redefinition of A couplings

For the electromagnetic current we define:

$$\mathcal{L}_{A,eff} = \sqrt{4\pi\hat{\alpha}} \left[ Q_x J_{\mu}^{A,x} \right] A^{\mu}. \tag{3.11}$$

for  $x = \ell, u, d$ . The measured effective electromagnetic coupling  $\hat{\alpha}$  is directly identified with the modified coupling present in the SMEFT:  $\bar{\alpha} = \bar{e}^2/4\pi$ , with  $\bar{e}$  given by

$$\bar{e} = \bar{g}_2 \, s_{\bar{\theta}} = \sqrt{4\pi\hat{\alpha}} \left[ 1 + \frac{c_{\hat{\theta}}}{s_{\hat{\theta}}} \, \frac{1}{2\sqrt{2}\hat{G}_F} \, C_{HWB} \right].$$
 (3.12)

This means the shift in the definition of  $\alpha$  given in the previous equation is unobservable, considering our chosen input parameters. As such we can trade  $\bar{\alpha}$  directly for  $\hat{\alpha}$ .

## 3.2 Charged currents

For the charged currents, we define

$$\mathcal{L}_{W,eff} = \frac{\sqrt{2 \pi \hat{\alpha}}}{s_{\hat{\theta}}} \left[ (J_{\mu}^{W_{\pm},\ell})_{pr} W_{\pm}^{\mu} + (J_{\mu}^{W_{\pm},q})_{pr} W_{\pm}^{\mu} \right], \tag{3.13}$$

where in the SM one has

$$(J_{\mu}^{W_{+},\ell})_{pr} = \bar{\nu}_{p} \gamma^{\mu} \left( \bar{g}_{V}^{W_{+},\ell} - \bar{g}_{A}^{W_{+},\ell} \gamma_{5} \right) \ell_{r}, \tag{3.14}$$

$$(J_{\mu}^{W_{-},\ell})_{pr} = \bar{\nu}_{p} \gamma^{\mu} \left( \bar{g}_{V}^{W_{-},\ell} - \bar{g}_{A}^{W_{-},\ell} \gamma_{5} \right) \ell_{r}. \tag{3.15}$$

In the SMEFT we note that in the flavour symmetric limit

$$\delta(g_V^{W_{\pm},\ell})_{rr} = \delta(g_A^{W_{\pm},\ell})_{rr} = \frac{1}{2\sqrt{2}\hat{G}_F} \left( C_{H\ell}^{(3)} + \frac{\hat{c}_{\theta}}{\hat{s}_{\theta}} C_{HWB} \right) + \frac{1}{2} \frac{\delta s_{\theta}^2}{s_{\hat{\theta}}^2}. \tag{3.16}$$

Note that although the corrections in the SMEFT shown preserve the left handed structure of the current for the lepton couplings, we introduce a separate axial and vector coupling for later convenience. For the quark charged currents one similarly finds

$$\delta(g_V^{W_{\pm},q})_{rr} = \delta(g_A^{W_{\pm},q})_{rr} = \frac{1}{2\sqrt{2}\hat{G}_F} \left( C_{Hq}^{(3)} + \frac{\hat{c}_{\theta}}{\hat{s}_{\theta}} C_{HWB} \right) + \frac{1}{2} \frac{\delta s_{\theta}^2}{s_{\hat{\rho}}^2}. \tag{3.17}$$

There is also dependence on the operator  $Q_{Hud}$  for the W quark current. When we assume linear MFV, the Wilson coefficient of this operator is suppressed by

$$C_{Hud} \propto \left[ Y_u Y_d^{\dagger} \right]_{rr},$$
 (3.18)

and in this case, this contribution is neglected for reasons of consistency. Light quark mass suppressed corrections are neglected in the SM predictions of many of the observables considered here, and also when higher dimensional operators are inserted.

## 4 Observables

Whenever possible, we express all observables in terms of shifts of the form

$$\delta G_F, \ \delta M_Z^2, \ \delta M_W^2, \ \delta s_\theta^2, \ \delta g_{V,A}^x, \ \delta g_{V,A}^{W\pm,y}.$$
 (4.1)

Here  $x = \ell, u, d$  and  $y = \ell, q$ . Added to these corrections for each observable are contributions due to explicit operator insertions that are not (easily) expressible in terms of these common shifts. These net shift variables do not correspond to a basis for  $\mathcal{L}^{(6)}$ , they are simply a convenient shorthand notation for some terms in the effective Lagrangian.



**Figure 1**. Diagrams contributing to near Z pole  $2 \to 2$  scattering in the SMEFT. The black box indicates the insertion of  $\mathcal{L}^{(6)}$ .

# 4.1 Differential cross section for $\ell^+\ell^- \to f\bar{f}$

Observables that are not limited to the Z pole are an important source of information on Wilson coefficients present in the SMEFT. Corrections to the  $2 \to 2$  differential spectrum predicts the total cross sections  $\sigma_{\ell^+\ell^-\to f\bar f}$  where  $f=\{\ell,u,c,b,d,s\}$  (here the final and initial state leptons are defined to not have the same flavour), as well as the differential and angular observables for these processes. A general expression in the SMEFT valid for on and off resonance scattering includes a contribution from Z and  $\gamma$  exchange as well as the effect of  $\psi^4$  operators and the interference of all of these terms, see Fig 1. Our discussion of this general expression in the SMEFT will largely build on the discussion in Ref [18] which itself borrows heavily from Ref [33].<sup>8</sup>

Up to leading order in the interference of the  $\psi^4$  operators with the SM contributions, the general differential expression for  $\ell^-\ell^+ \to f \bar{f}$  is as follows. Here we neglect initial and final state radiation (including possible  $\alpha_s$  corrections to final state fermions), initial and final state fermion masses are neglected, and the initial  $e^+, e^-$  are assumed to be unpolarized. The general s channel expression we find for the SMEFT is  $^9$ 

$$\frac{1}{N_{c}} \frac{d\sigma}{dc_{\theta}} = \hat{G}_{F}^{2} \hat{M}_{Z}^{4} \bar{\chi}(s) \left[ \left( |\bar{g}_{V}^{\ell}|^{2} + |\bar{g}_{A}^{\ell}|^{2} \right) \left( |\bar{g}_{V}^{f}|^{2} + |\bar{g}_{A}^{f}|^{2} \right) \left( 1 + c_{\theta}^{2} \right) - 8 \operatorname{Re} \left[ \bar{g}_{A}^{\ell} \bar{g}_{V}^{\ell, \star} \right] \operatorname{Re} \left[ \bar{g}_{A}^{f} \bar{g}_{V}^{f, \star} \right] c_{\theta} \right], 
+ \frac{|\hat{\alpha}|^{2} |Q_{\ell}|^{2} |Q_{f}|^{2} \pi}{2 s} \left( 1 + c_{\theta}^{2} \right) + \frac{\hat{G}_{F} \hat{M}_{Z}^{2} Q_{\ell} Q_{f}}{\sqrt{2}} \left[ \alpha^{\star} \frac{\bar{g}_{V}^{\ell} \bar{g}_{V}^{f} \left( 1 + c_{\theta}^{2} \right) + 2 c_{\theta} \bar{g}_{A}^{\ell} \bar{g}_{A}^{f}}{s - \bar{M}_{Z}^{2} + i \bar{w}(s)} + \operatorname{h.c.} \right], 
+ \frac{Q_{\ell} Q_{f}}{32} \left[ \alpha^{\star} C_{LL,RR}^{\ell,f} \left( 1 + c_{\theta} \right)^{2} + \operatorname{h.c.} \right] + \frac{Q_{\ell} Q_{f}}{32} \left[ \alpha^{\star} C_{LR}^{\ell,f} \left( 1 - c_{\theta} \right)^{2} + \operatorname{h.c.} \right], 
+ \left( \frac{\hat{G}_{F} \hat{M}_{Z}^{2}}{16 \sqrt{2} \pi} \right) \left[ \left( \frac{s}{s - \bar{M}_{Z}^{2} + i \bar{w}(s)} \right) C_{LL,RR,LR}^{\ell,f,\star} (\bar{g}_{V}^{\ell} \pm \bar{g}_{A}^{\ell}) (\bar{g}_{V}^{f} \pm \bar{g}_{A}^{f}) \left( 1 + c_{\theta}^{2} \right) + \operatorname{h.c.} \right], 
+ \left( \frac{\hat{G}_{F} \hat{M}_{Z}^{2}}{16 \sqrt{2} \pi} \right) \left[ \left( \frac{s}{s - \bar{M}_{Z}^{2} + i \bar{w}(s)} \right) C_{LL,RR,LR}^{\ell,f,\star} (\bar{g}_{A}^{\ell} \pm \bar{g}_{V}^{\ell}) (\bar{g}_{A}^{f} \pm \bar{g}_{V}^{f}) 2 c_{\theta} + \operatorname{h.c.} \right].$$

We have used in the expression

$$\bar{\chi}(s) = \frac{s}{(s - \bar{M}_Z^2)^2 + |\bar{w}(s)|^2}.$$
(4.3)

<sup>&</sup>lt;sup>8</sup>For classic related results, that are outside of the systematic SMEFT analysis presented here, see Ref [34].

<sup>&</sup>lt;sup>9</sup>In this expression we have used Feynman gauge.

The Breit-Wigner distribution [35] is introduced as  $\bar{w}(s)$ , and we treat this as a possibly s dependent function to maintain generality. A possible choice for the Breit-Wigner distribution is the use of an s dependent width  $(\bar{w}(s) = s \bar{\Gamma}_Z/\bar{M}_Z)$ , which is the approach used at LEP, as discussed in Ref [18, 36]. Alternatively the real part of the complex pole can be directly used introducing  $\bar{w}(s) = \bar{\Gamma}_Z \bar{M}_Z$  for the Breit-Wigner distribution. These prescriptions can be mapped to one another in the SM, see Ref [37]. The latter pole specification is strongly preferred in our view, we simply introduce  $\bar{w}(s)$  to remain as general as possible as a notation convention.

Four fermion operators that interfere and contribute are denoted  $C_{LL,RR,LR}$ , and are in the classes LL, RR and LR for the operator basis specified in Ref. [14]. In Eqn. 4.2 the +/- expressions for the  $\ell$ , f couplings correspond to the case of the L/R projectors present in the  $\psi^4$  operators respectively. In Eqn. 4.2 we have suppressed flavour indicies on the  $\psi^4$  operator Wilson coefficients and the effective gauge couplings. Reintroducing the flavour indicies on the  $\psi^4$  operators, one finds  $C^* \to C^*_{\ell\ell ff}$ ,  $C^*_{\ell ff\ell}$ ,  $C^*_{\ell\ell ff}$  for  $C^*_{LL,RR}$ . For the LR operators  $C^* \to C^*_{\ell\ell ff}$  is as in the previous chirality cases, while the cases  $C^*_{\ell ff\ell}$ ,  $C^*_{f\ell\ell f}$  vanish.

The parameter  $c_{\theta}$  is the angle between the incoming  $\ell^-$  and the outgoing  $\bar{f}$ , and  $s = (p_{\ell^+} + p_{\ell^-})^2$ .  $N_C$  is the dimension of the SU(3) group of the produced fermion f. Note that  $\alpha$  can obtain a small imaginary contribution in the running of this coupling. The theoretical prediction of this expression also depends on  $\bar{M}_Z, \bar{\Gamma}_Z, \bar{g}_{A,V}^{\ell,f}$  which are the theoretical effective mass, width and couplings in the SMEFT.

When considering  $\ell^-\ell^+ \to \ell^-\ell^+$  for differential and total cross section observables, t channel contributions are also present, and the interference effects of the  $\psi^4$  operators are modified. We restrict our attention initially to  $\ell^-\ell^+ \to f\bar{f}$  where f is defined to not be the same state as the initial state fermion. The case when all of the initial and final states are the same fermion is discussed in Section 4.1.4

In Eqn. 4.2 we have neglected interference effects with operators of the form LRRL, LRLR that are proportional to SM Yukawas (and hence light quark masses) in the case of  $U(3)^5$  symmetry being assumed in the SMEFT.

## 4.1.1 Scaling of SMEFT corrections

The scaling of the corrections on and off the Z pole is of interest. Near the Z pole, the contributions due to  $\mathcal{L}^{(6)}$  interfering with the SM in Eqn. 4.2 have the general scaling:

$$Z - Z :\sim \frac{\bar{v}_T^2}{\Gamma_Z^2 \Lambda^2}, \quad \gamma - \gamma :\sim \frac{\bar{v}_T^2}{M_Z^2 \Lambda^2}, \quad Z - \gamma :\sim \frac{\bar{v}_T^2}{M_Z^2 \Lambda^2},$$

$$\psi^4 - Z :\sim \frac{1}{\Lambda^2}, \quad \psi^4 - \gamma :\sim \frac{1}{\Lambda^2}.$$

$$(4.4)$$

Here  $Z, \gamma$  corresponds to a Gauge boson exchange and  $\psi^4$  corresponds to a four fermion operator in  $\mathcal{L}^{(6)}$ . A few comments are in order considering these estimates. The usual choices of Breit-Wigner distribution used in Eqn 4.2 do not change these scaling estimates. Exactly on the Z pole the interference due to  $\gamma - Z$  and  $Z - \psi^4$  contributions vanish. A large

fraction of LEPI data is taken at  $\sqrt{s} - M_Z \sim \Gamma_Z$ , where these sub-leading terms scale as in Eqn 4.4. The combined LEPI data set analysis, with on and off pole Z data, determines EWPD parameters. It is tempting to conclude that the subdominant contributions can be completely neglected for near Z pole data as  $\Gamma_Z^2/\bar{v}_T^2 \sim \mathcal{O}(10^{-3})$ . However, the scaling of these suppressed contributions in the partial widths extracted from LEPI data is relatively suppressed by  $\Gamma_Z M_Z/\bar{v}_T^2$  compared to the leading effect of dimension six operators, as we will show.

Further, for measurements at LEPII taken at  $\sqrt{s} \sim 2M_z$ , these corrections have the scaling

$$Z - Z :\sim \frac{\bar{v}_T^2}{M_Z^2 \Lambda^2}, \quad \gamma - \gamma :\sim \frac{\bar{v}_T^2}{M_Z^2 \Lambda^2}, \quad Z - \gamma :\sim \frac{\bar{v}_T^2}{M_Z^2 \Lambda^2},$$

$$\psi^4 - Z :\sim \frac{1}{\Lambda^2}, \quad \psi^4 - \gamma :\sim \frac{1}{\Lambda^2}. \tag{4.5}$$

In these measurements the subdominant contributions of  $\psi^4$  operators are only suppressed by  $M_Z^2/v_T^2$  and must be included. At the LHC, the EW process  $\bar{f}\,f\to\ell^-\ell^+$  is potentially accessible at larger s. Assuming  $s\gg M_Z^2$  one has the scaling

$$Z - Z :\sim \frac{\bar{v}_T^2}{s \Lambda^2}, \quad \gamma - \gamma :\sim \frac{\bar{v}_T^2}{s \Lambda^2}, \quad Z - \gamma :\sim \frac{\bar{v}_T^2}{s \Lambda^2},$$

$$\psi^4 - Z :\sim \frac{1}{\Lambda^2}, \quad \psi^4 - \gamma :\sim \frac{1}{\Lambda^2}.$$

$$(4.6)$$

The assumption that  $s \ll \Lambda^2$  is implicit, but can be challenged, particularly for larger s measurements at LHC. When the expansion in local operators breaks down, the operators can be resumed into effective form factors<sup>10</sup> which can be extracted from differential distributions, or at fixed s. These simple scaling estimates neglect order one factors, but make clear the requirement that a global analysis including LEPII data and LHC data include these corrections when precise (and accurate) bounds are of interest in the SMEFT.<sup>11</sup>

# **4.1.2** $\psi^4$ operators and U(3)<sup>5</sup>

The  $\psi^4$  operators that can contribute significantly to offshell  $\ell^+\ell^- \to f\bar{f}$  and  $\ell^+\ell^- \to \ell^+\ell^-$  are

$$\mathcal{L}_{\psi^{4}} = C_{\ell\ell} Q_{\ell\ell} Q_{\ell\ell} + C_{\ell q}^{(1)} Q_{\ell q}^{(1)} + C_{\ell q}^{(3)} Q_{\ell q}^{(3)} + C_{ee} Q_{ee} Q_{prst} + C_{eu} Q_{prst} Q_{prst} + C_{ed} Q_{prst} Q_{prst} + C_{ed} Q_{prst} Q_{prst} Q_{prst} + C_{ed} Q_{prst} Q_{prst} Q_{prst} Q_{prst} + C_{ed} Q_{prst} Q_{pr$$

 $<sup>^{10}</sup>$ See Refs [38–40] for some discussion.

<sup>&</sup>lt;sup>11</sup>These subdominant corrections are also suppressed by some function of the off pole data in the total data set, compared to the data taken exactly on the pole. The most naive such scaling yields a factor of  $\sim 2/10$ .

These operators are in general not Hermitian in flavour space and can have complex Wilson coefficients. Nevertheless the interference effect of the operators with the SM tree level processes vanishes for the complex part of the Wilson coefficients, as there are no flavour changing neutral currents at tree level in the SM.

Of these operators, the following are not suppressed by the insertion of light fermion masses when  $U(3)^5$  is assumed

$$Q_{\ prst}^{\ell\ell} = (\overline{\ell}_p \gamma_\mu \ell_r)(\overline{\ell}_s \gamma^\mu \ell_t), \qquad Q_{\ \ell q}^{(1)} = (\overline{\ell}_p \gamma_\mu \ell_r)(\overline{q}_s \gamma^\mu q_t), \qquad (4.8)$$

$$Q_{\ \ell q}^{(3)} = (\overline{\ell}_p \gamma_\mu \tau_i \ell_r)(\overline{q}_s \gamma^\mu \tau_i q_t), \qquad Q_{\ prst}^{ee} = (\overline{e}_p \gamma_\mu e_r)(\overline{e}_s \gamma^\mu e_t), \qquad (4.9)$$

$$Q_{\ prst}^{eu} = (\overline{e}_p \gamma_\mu e_r)(\overline{u}_s \gamma^\mu u_t), \qquad Q_{\ prst}^{ed} = (\overline{e}_p \gamma_\mu e_r)(\overline{d}_s \gamma^\mu d_t), \qquad (4.10)$$

$$Q_{\ \ell e} = (\overline{\ell}_p \gamma_\mu \ell_r)(\overline{e}_s \gamma^\mu e_t), \qquad Q_{\ prst}^{\ell u} = (\overline{\ell}_p \gamma_\mu \ell_r)(\overline{u}_s \gamma^\mu u_t), \qquad (4.11)$$

$$Q_{\ \ell d} = (\overline{\ell}_p \gamma_\mu \ell_r)(\overline{d}_s \gamma^\mu d_t), \qquad Q_{\ prst}^{qe} = (\overline{q}_p \gamma_\mu q_r)(\overline{e}_s \gamma^\mu e_t). \qquad (4.12)$$

$$Q_{\substack{\ell \, q \\ prst}}^{(3)} = (\overline{\ell}_p \gamma_\mu \tau_i \ell_r)(\overline{q}_s \gamma^\mu \tau_i q_t), \qquad Q_{\substack{ee \\ prst}}^{ee} = (\overline{e}_p \gamma_\mu e_r)(\overline{e}_s \gamma^\mu e_t), \tag{4.9}$$

$$Q_{\substack{prst}\\prst} = (\overline{e}_p \gamma_\mu e_r)(\overline{u}_s \gamma^\mu u_t), \qquad Q_{\substack{ed\\prst}} = (\overline{e}_p \gamma_\mu e_r)(\overline{d}_s \gamma^\mu d_t), \qquad (4.10)$$

$$Q_{\substack{\ell e \\ prst}} = (\overline{\ell}_p \gamma_\mu \ell_r)(\overline{e}_s \gamma^\mu e_t), \qquad Q_{\substack{\ell u \\ prst}} = (\overline{\ell}_p \gamma_\mu \ell_r)(\overline{u}_s \gamma^\mu u_t), \tag{4.11}$$

$$Q_{\substack{\ell d \\ prst}} = (\overline{\ell}_p \gamma_\mu \ell_r)(\overline{d}_s \gamma^\mu d_t), \qquad Q_{\substack{q e \\ prst}} = (\overline{q}_p \gamma_\mu q_r)(\overline{e}_s \gamma^\mu e_t). \tag{4.12}$$

When  $U(3)^5$  symmetry is assumed for  $\mathcal{L}^{(6)}$ , the Wilson coefficients of the operators in Eqn 4.8-4.12 are all proportional to  $\delta_{pr} \delta_{st}$ . These operators add three unknown parameters into constraints obtained from purely leptonic EWPD far off the Z pole. Precision electroweak data involving final state up quarks depends on four extra parameters off the Z pole due to these  $\psi^4$  operators, as does precision data involving final state down quarks. Two of these parameters (due to  $C^{(1)}_{\ell q}$  and  $C_{ppss}^{qe}$ ) are common for the final state quark cases. The remaining  $\psi^4$  operators that are proportional to light quark masses (in a U(3)<sup>5</sup>

scenario) are

$$Q_{\substack{\ell \, e \, q \, u \ prst}}^{(1)} = (\overline{\ell}_p^i e_r) \epsilon_{ij} (\overline{q}_s^j u_t), \qquad Q_{\substack{\ell \, e \, q \, u \ prst}}^{(3)} = (\overline{\ell}_p^i \sigma_{\mu \, \nu} \, e_r) \epsilon_{ij} (\overline{q}_s^j \, \sigma^{\mu \, \nu} \, u_t), \qquad (4.13)$$

$$Q_{\substack{\ell \, e \, d \, q \ prst}} = (\overline{\ell}_p^i e_r) (\overline{d}_s q_{t,i}).$$

$$Q_{\ell e dq} = (\overline{\ell}_p^i e_r)(\overline{d}_s q_{t,i}). \tag{4.14}$$

# 4.1.3 Shifts in differential $\ell^+\ell^- \to f\bar{f}$ spectra

The shift in the differential  $\ell^+\ell^- \to f\bar{f}$  spectra in the SMEFT  $\frac{1}{N_c}\delta\left(\frac{d\sigma}{dc_{\theta}}\right)$  is given by:

$$\begin{split} &\hat{G}_F^2 \hat{M}_Z^4 \, \chi(s) \left[ 2 \mathrm{Re} \left[ G_V^{\ell*} \, \delta g_V^\ell + G_A^{\ell*} \, \delta g_A^\ell \right] \, \left( |G_V^f|^2 + |G_A^f|^2 \right) \left( 1 + c_\theta^2 \right) + (\ell \leftrightarrow f) \right], \end{split} \tag{4.15} \\ &- 8 \hat{G}_F^2 \hat{M}_Z^4 \, \chi(s) \left[ \mathrm{Re} \left[ \delta g_A^\ell G_V^{\ell,*} + G_A^\ell \delta g_V^{\ell,*} \right] \, \mathrm{Re} \left[ G_A^f G_V^{f,*} \right] \, c_\theta + (\ell \leftrightarrow f) \right], \\ &+ \hat{G}_F^2 \hat{M}_Z^4 \, \delta \chi(s) \left[ \left( |G_V^\ell|^2 + |G_A^\ell|^2 \right) \left( |G_V^f|^2 + |G_A^f|^2 \right) \left( 1 + c_\theta^2 \right) - 8 \, \mathrm{Re} \left[ G_A^\ell G_V^{\ell,*} \right] \, \mathrm{Re} \left[ G_A^f G_V^{f,*} \right] \, c_\theta \right], \\ &+ \frac{\hat{G}_F \hat{M}_Z^2 Q_\ell \, Q_f}{\sqrt{2}} \left[ \alpha^* \chi_2(s) \, \frac{\left( \delta g_V^\ell \, G_V^f + G_V^\ell \, \delta g_V^f \right) \left( 1 + c_\theta^2 \right) + 2 \, c_\theta \, \left( \delta g_A^\ell \, G_A^f + G_A^\ell \, \delta g_A^f \right)}{s} + \mathrm{h.c.} \right], \\ &+ \frac{\hat{G}_F \hat{M}_Z^2 Q_\ell \, Q_f}{\sqrt{2}} \left[ \alpha^* \delta \chi_2(s) \, \frac{G_V^\ell \, G_V^f \left( 1 + c_\theta^2 \right) + 2 \, c_\theta \, G_A^\ell \, G_A^f}{s} + \mathrm{h.c.} \right], \\ &+ \frac{Q_\ell \, Q_f}{32} \left[ \alpha^* C_{LL,RR}^\ell \left( 1 + c_\theta \right)^2 + \mathrm{h.c.} \right] + \frac{Q_\ell \, Q_f}{32} \left[ \alpha^* C_{LR}^\ell \left( 1 - c_\theta \right)^2 + \mathrm{h.c.} \right], \\ &+ \left( \frac{\hat{G}_F \hat{M}_Z^2}{16 \, \sqrt{2} \, \pi} \right) \left[ \chi_2(s) C_{LL,RR,LR}^{\ell,f,*} \left( G_V^\ell \pm G_A^\ell \right) \left( G_V^f \pm G_A^f \right) \left( 1 + c_\theta^2 \right) + \mathrm{h.c.} \right], \\ &+ \left( \frac{\hat{G}_F \hat{M}_Z^2}{16 \, \sqrt{2} \, \pi} \right) \left[ \chi_2(s) C_{LL,RR,LR}^{\ell,f,*} \left( G_A^\ell \pm G_V^\ell \right) \left( G_A^f \pm G_V^f \right) 2 \, c_\theta + \mathrm{h.c.} \right]. \end{aligned}$$

Here we have introduced the notation  $G_{A,V}^{\ell,f}$  which corresponds to the leading order prediction of an Z axial or vector coupling in the SM, for the state  $\ell, f$ . We have also introduced

$$\chi(s) = |\Xi(s)|^2 / s, \qquad \delta \chi(s) = \frac{1}{s} \left[ \Xi(s) \, \delta \Xi^*(s) + \delta \Xi(s) \, \Xi^*(s) \right], \tag{4.16}$$

$$\chi_2(s) = \Xi(s), \qquad \delta \chi_2(s) = \delta \Xi(s), \qquad (4.17)$$

where the  $(\cdots)_{SM}$  expressions are defined to be the leading order SM theoretical predictions of the quantities in the parenthesis and

$$\Xi(s) = \frac{s}{s - \hat{M}_Z^2 + i(w(s))_{SM}},\tag{4.18}$$

$$\delta\Xi(s) = \frac{s}{[s - \hat{M}_Z^2 + i(w(s))_{SM}]^2} \left[ -\delta M_Z^2 - i\delta w(s) \right]. \tag{4.19}$$

With: 
$$\bar{w}(s) = s \frac{\bar{\Gamma}_Z}{\bar{M}_Z}$$
 we get:  $\delta w(s) = s \left(\frac{(\Gamma_Z)_{SM}}{\hat{M}_Z}\right) \left(\frac{\delta \Gamma_Z}{(\Gamma_Z)_{SM}} + \frac{1}{2} \frac{\delta M_Z^2}{\hat{M}_Z^2}\right)$ . (4.20)

With: 
$$\bar{w}(s) = \bar{\Gamma}_Z \bar{M}_Z$$
 we get:  $\delta w(s) = (\Gamma_Z)_{SM} \hat{M}_Z \left( \frac{\delta \Gamma_Z}{(\Gamma_Z)_{SM}} - \frac{1}{2} \frac{\delta M_Z^2}{\hat{M}_Z^2} \right)$ . (4.21)

# 4.1.4 Differential cross section for $\bar{F} F \rightarrow \bar{F} F$

The case  $\bar{F} F \to \bar{F} F$  where F is a fermion and the initial and final states are identical has two kinematic channels, s and t, present. Of particular interest considering LEP data, is the

case  $\bar{\ell}\ell \to \bar{\ell}\ell$  where  $\ell = e$ . Adopting the same set of approximations and assumptions as in Section 4.1, Bhabba scattering  $(e^+ e^- \to e^+ e^-)$  in the SMEFT is given by

$$\frac{d\sigma}{dc_{\theta}} = \frac{2\hat{G}_{F}^{2}\hat{M}_{Z}^{4}}{\pi s} \left[ (|\bar{g}_{V}^{\ell}|^{2} + |\bar{g}_{A}^{\ell}|^{2})^{2} \left( \frac{u^{2} + s^{2}}{(t - \bar{M}_{Z}^{2})^{2}} + \frac{\bar{\chi}(s)}{s} \left( u^{2} + t^{2} \right) + 2\bar{\chi}(s) \frac{u^{2}(1 - \bar{M}_{Z}^{2}/s)}{t - \bar{M}_{Z}^{2}} \right), \\
-4 \operatorname{Re} \left[ \bar{g}_{V}^{\ell *} \bar{g}_{A}^{\ell} \right]^{2} \left( \frac{s^{2} - u^{2}}{(t - \bar{M}_{Z}^{2})^{2}} + \frac{\bar{\chi}(s)}{s} \left( u^{2} - t^{2} \right) - 2\bar{\chi}(s) \frac{u^{2}(1 - \bar{M}_{Z}^{2}/s)}{t - \bar{M}_{Z}^{2}} \right) \right], \\
+ \frac{\sqrt{2} \hat{G}_{F} \hat{M}_{Z}^{2}}{s} \left[ \hat{\alpha}^{*} \frac{(\bar{g}_{V}^{\ell})^{2}(u^{2} + t^{2}) + (\bar{g}_{A}^{\ell})^{2}(u^{2} - t^{2})}{s \left( s - \bar{M}_{Z}^{2} + i\bar{w}(s) \right)} + \hat{\alpha}^{*} \frac{(\bar{g}_{V}^{\ell})^{2}(u^{2} + s^{2}) + (\bar{g}_{A}^{\ell})^{2}(u^{2} - s^{2})}{t \left( t - \bar{M}_{Z}^{2} \right)} + h.c. \right], \\
+ \frac{\sqrt{2} \hat{G}_{F} \hat{M}_{Z}^{2}}{s} \left[ \frac{u^{2} + s^{2}}{t} + \frac{u^{2} + t^{2}}{(s - \bar{M}_{Z}^{2} + i\bar{w}(s))} + \frac{\hat{\alpha}}{s} \frac{(\bar{g}_{V}^{\ell})^{2} + (\bar{g}_{A}^{\ell})^{2}}{t \left( t - \bar{M}_{Z}^{2} \right)} \right], \\
+ \frac{2\pi \hat{\alpha}^{2}}{s} \left[ \frac{u^{2} + s^{2}}{t^{2}} + \frac{u^{2} + t^{2}}{s^{2}} + \frac{2u^{2}}{ts} \right] + \frac{\hat{\alpha}}{4s} \left[ 2 \left( \frac{u^{2}}{s} + \frac{u^{2}}{t} \right) C_{LL,RR}^{*} + \left( \frac{t^{2}}{s} + \frac{s^{2}}{t} \right) C_{LR}^{*} + h.c \right], \\
+ \frac{\hat{G}_{F} \hat{M}_{Z}^{2}}{4\sqrt{2}\pi s} \left[ \frac{4u^{2} \left( \bar{g}_{A}^{\ell} \pm \bar{g}_{V}^{\ell} \right)^{2} C_{LL,RR}^{*} + 2s^{2} \left( (\bar{g}_{V}^{\ell})^{2} - (\bar{g}_{A}^{\ell})^{2} \right) C_{LR}^{*}}{t - \bar{M}_{Z}^{2}} + h.c \right], \\
+ \frac{\hat{G}_{F} \hat{M}_{Z}^{2}}{4\sqrt{2}\pi s} \left[ \frac{4u^{2} \left( \bar{g}_{A}^{\ell} \pm \bar{g}_{V}^{\ell} \right)^{2} C_{LL,RR}^{*} + 2s^{2} \left( (\bar{g}_{V}^{\ell})^{2} - (\bar{g}_{A}^{\ell})^{2} \right) C_{LR}^{*}}{t - \bar{M}_{Z}^{2}} + h.c \right].$$

$$(4.22)$$

In the last two terms the +/- in the expressions correspond to the left and right handed operators respectively.

## 4.2 Partial widths extractions near and far from the Z pole

Measured  $e^+e^- \to \bar{f}fX$ ,  $e^+e^- \to e^+e^-X$  inclusive processes at LEP are used to extract values for the Z decay partial widths assuming the SM. Here X indicates the possible presence of photon or other final state emissions that are not removed with hard isolation cuts. The strategy at LEP was to fit for the total width of the Z,  $(\Gamma_Z)$  the Z mass  $(M_Z^2)$ , and a pole cross section  $(\sigma_0)$  as a function of center of mass energy scanning through the Z pole. Subsequently, ratios of cross sections are used to obtain partial decay widths for the Z. This approach is manifestly successful as a hypothesis test of the SM. There is no statistically significant evidence that the SM breaks down in the EWPD program when the SM is assumed.

When considering partial widths extracted from LEP data in the SM at the Z pole,  $\sigma_{e^+e^-\to had}$  has the theoretical expression

$$\overline{\sigma}_h^0 = 3\pi \, \frac{\overline{\Gamma}_{Z \to e\bar{e}} \overline{\Gamma}_{Z \to Had}}{|\overline{\omega}(M_Z^2)|^2},\tag{4.23}$$

with  $\overline{\Gamma}_{Z\to e\bar{e}}$ ,  $\overline{\Gamma}_{Z\to Had}$  the decay in the SM. With the choice  $\overline{\omega}(M_Z^2) = \overline{M}_Z \,\overline{\Gamma}_Z$ , and the partial width taking on SM values, this expression simplifies to the well known SM result.<sup>12</sup>

<sup>&</sup>lt;sup>12</sup> Note that the SM result itself is neglecting contributions from the pure photon pole contribution, that are  $\alpha_{ew}^2 \Gamma_Z^2/M_Z^2$  suppressed.

#### 4.2.1 Partial widths in the SMEFT

If one assumes that the SM does break down in the multi-TeV region and considers the general linear SMEFT, the analysis path followed at LEP receives a number of corrections. These corrections include corrections of  $\psi^4$  operators interfering with the SM processes at tree level, and modifying the extracted Z widths in the global data set.

The general correction to  $\hat{\sigma}_h^0$  near the Z pole  $(s - M_Z^2 \equiv \Delta)$  in the SMEFT is

$$\frac{\delta\sigma_h^0}{\sigma_h^0} \simeq \frac{\delta\Gamma_{Z\to\ell\bar{\ell}}}{\Gamma_{Z\to\ell\bar{\ell}}} + \frac{\delta\Gamma_{Z\to Had}}{\Gamma_{Z\to Had}} - \frac{\delta\omega(M_Z^2)}{\omega(M_Z^2)} - \frac{\delta\omega^{\star}(M_Z^2)}{\omega\star(M_Z^2)}. \tag{4.24}$$

where terms like :  $\delta \sigma_{h,\psi^4}^0$ ,  $\delta \sigma_{h,\gamma-Z}$ , and  $-2(\sigma_h^0)_{SM}\delta \omega/\omega$  are included into  $\delta \sigma_h^0$ . For the near Z pole hadronic cross section  $\sigma(s)$  we have defined

$$\delta\sigma_{h,\psi^4}^0 = \left(2\delta\sigma_{e^+e^-\to u\bar{u},\psi^4} + 3\delta\sigma_{e^+e^-\to d\bar{d},\psi^4}\right),\tag{4.25}$$

where

$$\delta\sigma_{e^{+}e^{-}\to u\bar{u},\psi^{4}} \simeq \frac{N_{c}\hat{G}_{F}\hat{M}_{Z}^{4}}{6\sqrt{2}\pi} \left[ \frac{\left(C_{\ell q}^{(1),\star} - C_{\ell q}^{(3),\star}\right) \left(G_{V}^{\ell} + G_{A}^{\ell}\right) \left(G_{V}^{u} + G_{A}^{u}\right)}{\Delta + i\,\omega(M_{Z}^{2})}, + \frac{\left[\left(C_{eu}^{\star} + C_{\ell u}^{\star}\right)G_{V}^{\ell} + \left(C_{\ell u}^{\star} - C_{eu}^{\star}\right)G_{A}^{\ell}\right] \left(G_{V}^{u} - G_{A}^{u}\right)}{\Delta + i\,\omega(M_{Z}^{2})} + h.c. \right],$$

$$\delta\sigma_{e^{+}e^{-}\to d\bar{d},\psi^{4}} \simeq \frac{N_{c}\hat{G}_{F}\hat{M}_{Z}^{4}}{6\sqrt{2}\pi} \left[ \frac{\left(C_{\ell q}^{(1),\star} + C_{\ell q}^{(3),\star}\right) \left(G_{V}^{\ell} + G_{A}^{\ell}\right) \left(G_{V}^{d} + G_{A}^{d}\right)}{\Delta + i\,\omega(M_{Z}^{2})}, + \frac{\left[\left(C_{ed}^{\star} + C_{\ell d}^{\star}\right)G_{V}^{\ell} + \left(C_{\ell d}^{\star} - C_{ed}^{\star}\right)G_{A}^{\ell}\right] \left(G_{V}^{d} - G_{A}^{d}\right)}{\Delta + i\,\omega(M_{Z}^{2})} + h.c. \right].$$

$$(4.26)$$

Here  $G_{A/V}^f$  are the leading order predictions in the SM. Reintroducing flavour indicies is trivial in this case, one finds eeuu in all terms in the up quark case for example. Less trivial flavour indicies are present in the cases with final state leptons and we find

$$\delta\sigma_{e_{i}^{+}e_{i}^{-}\to\nu_{j}\bar{\nu}_{j},\psi^{4}} = \frac{N_{c}\hat{G}_{F}\hat{M}_{Z}^{4}}{6\sqrt{2}\pi} \left[ \frac{\left(G_{V}^{\ell}+G_{A}^{\ell}\right)}{\Delta+i\omega(M_{Z}^{2})} \left(G_{V}^{\nu}+G_{A}^{\nu}\right) \left(C_{iijj}^{\star}+C_{\ell\ell}^{\star}+C_{\ell\ell}^{\star}\right) + C_{\ell\ell}^{\star}}{2ijj} + C_{jiij}^{\star} \right], \qquad (4.28)$$

$$+ \frac{\left(G_{V}^{\ell}-G_{A}^{\ell}\right)}{\Delta+i\omega(M_{Z}^{2})} \left(G_{V}^{\nu}+G_{A}^{\nu}\right) C_{iijj}^{\star} + h.c \right],$$

$$\delta\sigma_{e^{+}e^{-}\to e^{+}e^{-},\psi^{4}} = \frac{N_{c}\hat{G}_{F}\hat{M}_{Z}^{4}}{3\pi\sqrt{2}} \left[ 2\frac{\left(G_{V}^{\ell}+G_{A}^{\ell}\right)^{2}}{\Delta+i\omega(M_{Z}^{2})} \left(C_{iijj}^{\star}+C_{ijji}^{\star}+C_{jiij}^{\star}\right), \qquad (4.29)$$

$$+ 2\frac{\left(G_{V}^{\ell}-G_{A}^{\ell}\right)^{2}}{\Delta+i\omega(M_{Z}^{2})} \left(C_{iijj}^{\star}+C_{jiij}^{\star}+C_{jiij}^{\star}\right) + \frac{\left(G_{V}^{\ell}\right)^{2}-\left(G_{A}^{\ell}\right)^{2}}{\Delta+i\omega(M_{Z}^{2})} C_{iijj}^{\star} + h.c \right].$$

The correction  $\delta \sigma_{h,\gamma-Z}$  is directly derivable from the previous results. As the effects of anomalous  $\gamma-Z$  interference terms have been studied in the literature to a larger degree, we do not discuss these corrections in detail here.

Now consider the effect of the  $\delta\sigma$  corrections due to  $\psi^4$  operators in the combined global LEP data set, that includes  $\sim 40\,pb^{-1}$  of data off the Z peak, as well as  $\sim 155\,pb^{-1}$  of data at the Z pole [18]. These  $\psi^4$  corrections propagate into the extracted partial widths and introduce theoretical errors when fits are performed in the SMEFT.

To illustrate these effects consider the expression for  $\sigma_h^0$ , where we can infer  $\Gamma_{Z\to Had}$ , assuming  $\Gamma_{Z\to e^+e^-}$  is a theoretical input. In this case

$$\delta\Gamma_{Z\to Had,\psi^4} = \frac{M_Z^2(\Gamma_Z^2)_{SM}}{3\pi(\Gamma_{Z\to\ell\bar{\ell}})_{SM}} \delta\sigma_{h,\psi^4}^{(0)}.$$
 (4.30)

Using  $\omega = M_Z \Gamma_Z$  one finds a correction to  $\Gamma_{Z \to Had}$  of the form

$$\delta\Gamma_{Z \to Had, \psi^4} \simeq \left(\frac{(\Gamma_Z)_{SM} M_Z}{\bar{v}_T^2}\right) \frac{M_Z}{6 \pi^2 \operatorname{Br}(Z \to e^+ e^-)} \frac{M_Z^2}{\bar{v}_T^2} C^{\psi^4} \frac{\bar{v}_T^2}{\Lambda^2},$$
 (4.31)

$$\simeq 0.02 \,\text{GeV} \, C^{\psi^4} \, \frac{\bar{v}_T^2}{\Lambda^2} \tag{4.32}$$

Considering  $\bar{v}_T^2/\Lambda^2 \sim 10^{-2}$  suppresses this correction to the order of the theoretical errors quoted for partial widths. This indicates that the theoretical error introduced from such corrections in the SMEFT should not be completely neglected when precise bounds are of interest.

The leading effect of anomalous Z couplings  $(C^{\delta Z})$  introduce corrections to the partial widths that scale as

$$\delta\Gamma_{Z\to Had} \simeq \frac{\sqrt{2}\,\hat{G}_F\hat{M}_Z^3}{3\pi}C^{\delta Z}\,\frac{\bar{v}_T^2}{\Lambda^2},$$
(4.33)

$$\simeq 1.33 \,\mathrm{GeV} \, C^{\delta Z} \, \frac{\bar{v}_T^2}{\Lambda^2}$$
 (4.34)

leading to a relative correction of the form

$$\frac{\delta\Gamma_{Z\to Had,\psi^4}}{\delta\Gamma_{Z\to Had}} \simeq \left(\frac{(\Gamma_Z)_{SM} M_Z}{\bar{v}_T^2}\right) \frac{1}{2\pi} \frac{C^{\psi^4}}{C^{\delta Z}}.$$
 (4.35)

## 4.2.2 Partial widths and ratios of cross sections

The strategy employed at LEP is to extract partial widths in a global fit of EWPD pseudoobservables. The global fit utilizes ratios of cross sections constructed out of the global data set, which includes off pole data. The effect of  $\delta\sigma$  corrections on this procedure can be characterized as introducing a correction of the form

$$\delta \frac{\sigma_{A \to B}}{\sigma_{C \to D}} \simeq \frac{|\omega|^2}{\Gamma_C \Gamma_D} \delta \sigma_{AB}^{\psi^4} - \frac{|\omega|^2 \Gamma_A \Gamma_B}{\Gamma_C^2 \Gamma_D^2} \delta \sigma_{CD}^{\psi^4}. \tag{4.36}$$

Here  $\sigma_{AB}$  is an inclusive  $A \to B$  cross section measurement which is constucted from data near the Z pole. Schematically  $\Gamma_{A,B,C,D}$  are the partial decay widths inferred for the Z from the ratios of cross sections, and  $C_{AB}$  stands for a  $\psi^4$  operator that contributes. Using  $\omega = \Gamma_Z M_Z$ and the scaling

$$\delta \sigma_{AB}^{\psi^4} \simeq \frac{N_c M_Z^2}{3\pi \, \bar{v}_T^4} \frac{C_{AB} \, \bar{v}_T^2}{\Lambda^2},$$
 (4.37)

one finds corrections to the extracted partial widths that are

$$\delta \frac{\sigma_{A \to B}}{\sigma_{C \to D}} \simeq \frac{N_c M_Z^4}{3 \pi \bar{v}_T^4} \frac{1}{\text{Br}(Z \to C) \text{Br}(Z \to D)} \left[ C_{AB} - \frac{\Gamma_A \Gamma_B}{\Gamma_C \Gamma_D} C_{CD} \right] \frac{\bar{v}_T^2}{\Lambda^2},$$

$$\simeq 0.59 \left( C_{AB} - C_{CD} \right) \frac{\bar{v}_T^2}{\Lambda^2} \tag{4.38}$$

In the last step above we have taken all of the partial widths  $\Gamma_{A,B,C,D}$  similar in size and the corresponding branching ratios  $\sim 10\%$  for illustrative purposes. Despite this dependence on  $\psi^4$  operator Wilson coefficients, we emphasize the exact correction feeding into EWPD bounds is very difficult to precisely quantify considering public data. We stress that this effect should not be over estimated. Although the presence of unknown Wilson coefficients could contain hierarchies in some particular UV models, it is unlikely that these corrections are significantly enhanced due to large Wilson coefficients. The reason for this is the consistency checks at LEP included tests of anomalous  $\gamma - Z$  interference terms. As described in Ref [18] these consistency checks includes fitting for a nuisance parameter characterizing an anomalous  $\gamma - Z$  interference term in off peak data at LEP. Further a joint analysis was performed including lower energy  $(\sqrt{s} = 58 \,\mathrm{GeV})$  data far off the Z peak [41–43]. There is no evidence in these results for large corrections to the Z resonance shape. These consistency checks strongly imply that in the case of the full SMEFT with anomalous  $Z-\gamma$  interference and also  $Z-\psi^4$  interference, these terms are subdominant to leading order effects in possible anomalous Z couplings to fermions. The consistency checks reported by LEP on anomalous  $\gamma - Z$  interference do not place strong enough bounds on the anomalous interactions to neglect these terms entirely in theoretical error estimates. See Refs. [18, 44–46] for further discussion.

We emphasize that our view is that this correction should be included as a theoretical error feeding into a theoretical prediction in the SMEFT. The reasons for this are multifold. Firstly, for the SMEFT it is reasonable to assume that

$$\frac{\bar{v}_T^2}{\Lambda^2} \sim \frac{\Gamma_Z M_Z}{\bar{v}_T^2}.\tag{4.39}$$

As such, neglected dimension eight operators would make directly fitting for  $\psi^4$  operators in the near Z peak data suspect. Further perturbative corrections to the higher dimensional operators are also comparable in size to corrections of this form. We also emphasize that this correction is also further suppressed roughly by the fraction of off peak to Z peak data

included in the global EWPD data set. For these reasons, it is not advisable to fit for the  $\psi^4$  operators in near Z pole data directly.

However, as all of these corrections are present in the SMEFT, this makes introducing an extra theoretical error in fits and adding it in quadrature with *the SM theoretical error* very well motivated. In Section 5 we perform such a minimal EWPD fit.

# 4.2.3 Near Z pole observables

In the SMEFT, at tree level, one has

$$\bar{\Gamma} \left( Z \to f \bar{f} \right) = \frac{2\sqrt{2} \, \hat{G}_F \hat{M}_Z^3 \, N_c}{3\pi} \left( |\bar{g}_V^f|^2 + |\bar{g}_A^f|^2 \right), \tag{4.40}$$

$$\bar{\Gamma}(Z \to \text{Had}) = 2\bar{\Gamma}(Z \to u\bar{u}) + 3\bar{\Gamma}(Z \to d\bar{d}).$$
 (4.41)

With our chosen normalization of  $\bar{g}_V^x = T_3/2 - Q^x \bar{s}_\theta^2$ ,  $\bar{g}_A = T_3/2$  where  $T_3 = 1/2$  for  $u_i, \nu_i$  and  $T_3 = -1/2$  for  $d_i, \ell_i$  and  $Q^x = \{-1, 2/3, -1/3\}$  for  $x = \{\ell, u, d\}$ . The modification of the decay widths in the SMEFT compared to the situation in the SM introduces corrections of the form:

$$\delta\Gamma_{Z\to\ell\bar{\ell}} = \frac{\sqrt{2}\,\hat{G}_F\hat{M}_Z^3}{3\pi} \left[ -\delta g_A^\ell + \left( -1 + 4s_{\hat{\theta}}^2 \right) \delta g_V^\ell \right] + \delta\Gamma_{Z\to\bar{\ell}\,\ell,\psi^4},\tag{4.42}$$

$$\delta\Gamma_{Z\to\nu\bar{\nu}} = \frac{\sqrt{2}\,\hat{G}_F \hat{M}_Z^3}{3\pi} \left[\delta g_A^{\nu} + \delta g_V^{\nu}\right] + \delta\Gamma_{Z\to\nu\bar{\nu},\psi^4},\tag{4.43}$$

$$\delta\Gamma_{Z\to Had} = 2\,\delta\Gamma_{Z\bar{u}u} + 3\,\delta\Gamma_{Z\bar{d}d}, \tag{4.44}$$

$$= \frac{4\,\sqrt{2}\,\hat{G}_F\hat{M}_Z^3}{\pi} \left[ \frac{1}{2}\delta g_A^u - \frac{1}{6}\left(-3 + 8s_{\hat{\theta}}^2\right)\delta g_V^u - \frac{3}{4}\delta g_A^d + \frac{1}{4}\left(-3 + 4s_{\hat{\theta}}^2\right)\delta g_V^d \right],$$

$$+ \delta\Gamma_{Z\to Had,\psi^4}, \tag{4.45}$$

$$\delta\Gamma_{Z} = 3\delta\Gamma_{Z\to\ell\bar{\ell}} + 3\delta\Gamma_{Z\to\nu\bar{\nu}} + \delta\Gamma_{had}, \tag{4.46}$$

$$= \frac{4\sqrt{2}\,\hat{G}_{F}\hat{M}_{Z}^{3}}{3\,\pi} \left[ \frac{1}{4}\delta g_{A}^{\nu} + \frac{1}{4}\delta g_{V}^{\nu} - \frac{1}{4}\delta g_{A}^{\ell} + \frac{1}{4}\left(-1 + 4s_{\hat{\theta}}^{2}\right)\delta g_{V}^{\ell}, + \frac{1}{2}\delta g_{A}^{u} - \frac{1}{6}\left(-3 + 8s_{\hat{\theta}}^{2}\right)\delta g_{V}^{u} - \frac{3}{4}\delta g_{A}^{d} + \frac{1}{4}\left(-3 + 4s_{\hat{\theta}}^{2}\right)\delta g_{V}^{d} \right], + \delta\Gamma_{Z\to Had,\psi^{4}} + 3\delta\Gamma_{Z\to\ell\bar{\ell},\psi^{4}} + 3\delta\Gamma_{Z\to\nu\bar{\nu},\psi^{4}}. \tag{4.47}$$

So that:  $\bar{\Gamma}(Z \to f\bar{f}) = \Gamma_{Z \to f\bar{f}} + \delta\Gamma_{Z \to f\bar{f}}$  for all f and the same kind of relation holds for  $\bar{\Gamma}_Z$ . The shift of the ratios of decay rates defined in the SM as  $R_f^0 = \frac{\Gamma_{had}}{\Gamma_{Z\bar{f}f}}$  where f can be a charged lepton  $\ell$ , a neutrino or a quark follows from

$$\delta R_f^0 = \frac{1}{(\Gamma(Z \to f\bar{f})^2)_{SM}} \left[ \delta \Gamma_{Z \to Had} (\Gamma(Z \to f\bar{f}))_{SM} - \delta \Gamma_{Z \to f\bar{f}} (\Gamma(Z \to Had)_{SM}) \right], (4.48)$$

and we can then write that  $\bar{R}_f^0 = R_f^0 + \delta R_f^0$ .

## 4.2.4 Forward Backward asymmetry

The forward backward asymmetry for 2-2 scattering is defined as

$$A_{FB} = \frac{\sigma_F - \sigma_B}{\sigma_F + \sigma_B}. (4.49)$$

Here  $\sigma_F$  is defined by  $\theta \in [0, \pi/2]$  and  $\sigma_B$  is defined by  $\theta \in [\pi/2, \pi]$  with  $\theta$  defined as in Section 4.1. In the SM, it can be shown that the forward backward asymmetry for leptons is just

$$A_{FB}^{0,f} = \frac{3}{4} A_e A_f, \quad A_e = 2 \frac{g_V^{\ell} g_A^{\ell}}{(g_V^{\ell})^2 + (g_A^{\ell})^2}, \quad A_f = 2 \frac{g_V^{f} g_A^{f}}{(g_V^{f})^2 + (g_A^{f})^2}. \tag{4.50}$$

As we move to the SMEFT, the Z couplings receive corrections bringing corrections to  $A_{FB}^{0,f}$ .  $A_{FB}^{0,f}$  also receive corrections from  $\omega$  redefinition in general, and from  $\psi^4$  operators. All of these corrections can be derived from Eqn 4.2, but we note the following simplified expressions. In the SMEFT  $\bar{A}_f$  can be written as

$$\bar{A}_f = \frac{2\bar{r}_f}{1 + \bar{r}_f^2},\tag{4.51}$$

where  $\bar{r}_f = \frac{\bar{g}_V^f}{\bar{g}_A^f}$ . The redefinition of the Z coupling then leads to a shift of  $\bar{A}_f$  such that  $\bar{A}_f = (A_f)_{SM} \left(1 + \frac{\delta A_f}{(A_f)_{SM}}\right)$  where

$$\frac{\delta A_f}{(A_f)_{SM}} = \delta r_f \left( 1 - \frac{2(r_f^2)_{SM}}{1 + (r_f^2)_{SM}} \right). \tag{4.52}$$

Here  $\delta r_f$  is defined by  $r_f = (r_f)_{SM} (1 + \delta r_f)$  with  $\delta r_f = \delta g_V^f / G_V^f - \delta g_A^f / G_A^f$ . We again use :  $(...)_{SM}$  for leading order SM predictions and  $G_{A,V}^f$  for leading order SM predictions for the couplings. Then the corrections to  $A_{FB}^{0,f}$  from the shifts in the effective couplings are

$$\delta A_{FB}^{0,f} = \frac{3}{4} \left[ \delta A_{\ell} (A_f)_{SM} + (A_{\ell})_{SM} \, \delta A_f \right]. \tag{4.53}$$

The corrections due to  $\psi^4$  operators  $\delta(A^{0,f}_{FB})_{\psi^4}$  and the redefinition of  $\omega$  can be extracted from:

$$\frac{3}{4}(A_{\ell}A_{f})_{SM}\left(\frac{\delta\left(\sigma_{F}-\sigma_{B}\right)}{\left(\sigma_{F}-\sigma_{B}\right)_{SM}}-\frac{\delta\left(\sigma_{F}+\sigma_{B}\right)}{\left(\sigma_{F}+\sigma_{B}\right)_{SM}}\right),\tag{4.54}$$

where the contributions  $\delta\left(\sigma_F - \sigma_B\right)$ ,  $\delta\left(\sigma_F + \sigma_B\right)$  that depend on  $\psi^4$  operators, are derived directly from Eqn 4.2. As the forward backward asymmetry measurements are direct cross section measurements, the scaling of Section 4.4 holds and these  $\psi^4$  corrections can be neglected for near Z pole analyses. Far off the Z pole, these corrections cannot be neglected. In particular, in interpreting reported  $A_{FB}$  measurements reported with LEPII data, these corrections are not suppressed compared to the effects of anomalous Z couplings.

## 5 Numerics

In this Section we perform some minimal EWPD fits. The results presented here are not intended to be a global analysis of all possible data. Our purpose is to make clear a number of challenges present in such fit efforts in the SMEFT that have not been discussed in the literature, including the neglect of the effects we have discussed in some detail in Section 4.2.1. We then suggest an approach to circumvent a number of these challenges in Section 5.3. The Wilson coefficients (naively) present in the set of observables we examine are

$$C_{fit} = \frac{v_T^2}{\Lambda^2} \{ C_{Hq}^{(1)}, C_{Hq}^{(3)}, C_{Hu}C_{Hd}, C_{H\ell}^{(1)}, C_{H\ell}^{(3)}, C_{He}, C_{ll}, C_{HD}, C_{HWB} \}.$$
 (5.1)

In the U(3)<sup>5</sup> limit, there are ten parameters in the set of nine measurements given in Table 2. Field redefinitions to remove an operator do not effect physical measurements, and cannot lead to a more constrained field theory. We do not attempt to remove parameters by field redefinitions to match the number of parameters and measurements<sup>13</sup>, but simply construct the  $\chi^2$  directly.

We construct a  $\chi^2$  for a EWPD fit in the following way. We define a matrix  $\mathcal{C}$  as the covariance matrix of the observables, the experimental values of which are obtained from Ref. [18] .  $\Delta \theta_i$  as a vector of the difference in the observed and predicted value of an observable, as a function of the unknown Wilson coefficients. The  $\chi^2$  is then given by

$$\chi_{EW}^2 = (\Delta \theta_i)^T (\mathcal{C}^{-1})_{i,j} (\Delta \theta_j). \tag{5.2}$$

The minimum  $\chi^2_{EW,min}$  is determined, and the 65%, 90% and 99% best fit confidence level regions ( $\Delta\chi^2_{EW}$ ) are defined by the cumulative distribution function for a multi-parameter fit. The confidence level regions are then given by  $\chi^2_{EW} = \chi^2_{EW,min} + \Delta\chi^2_{EW}$ .

For theoretical predictions in the SM, we use the results supplied by the updated 2013 PDG [31] and Ref.[47]. We do not use as SM predictions the results of a fit to EWPD observables. Minimized fit results of this form for the SM (with a number of SM parameters floated as in [5]) is a valid procedure for hypothesis testing the SM. When considering a fit in the SMEFT, using such fit values as the SM theoretical predictions is only valid if the corrections due to unknown Wilson coefficients enter into the combined  $\chi^2$  in a manner that does not depend on the SM parameters fit to themselves. This is an unvalidated assumption in the SMEFT, and as such we use the SM predictions supplied by [31, 47].

#### 5.1 Prior dependence

We find that obtaining a global minimum, and hence a detailed fit space for the unknown Wilson coefficients  $(C_{fit})$  is numerically unstable and strongly depends on the seed imposed

<sup>&</sup>lt;sup>13</sup>Such a choice is meaningless in the SMEFT, which has an infinite number of parameters in general.

Observable	Experimental Value	Ref.	SM Theoretical Value	Ref.
$\hat{m}_Z[{ m MeV}]$	$91.1875 \pm 0.0021$	[18]	-	-
$\hat{m}_W[{ m MeV}]$	$80.385 \pm 0.015$	[48]	$80.365 \pm 0.004$	[49]
$\Gamma_Z[{ m MeV}]$	$2.4952 \pm 0.0023$	[18]	$2.4942 \pm 0.0005$	[47]
$R_\ell^0$	$20.767 \pm 0.025$	[18]	$20.751 \pm 0.005$	[47]
$R_c^0$	$0.1721 \pm 0.0030$	[18]	$0.17223 \pm 0.00005$	[47]
$R_b^0$	$0.21629 \pm 0.00066$	[18]	$0.21580 \pm 0.00015$	[47]
$\sigma_h^0$ [nb]	$41.540 \pm 0.037$	[18]	$41.488 \pm 0.006$	[47]
$A_{FB}^{\ell}$	$0.0171 \pm 0.0010$	[18]	$0.01616 \pm 0.00008$	[31]
$A_{FB}^c$	$0.0707 \pm 0.0035$	[18]	$0.0735 \pm 0.0002$	[31]
$A^b_{FB}$	$0.0992 \pm 0.0016$	[18]	$0.1029 \pm 0.0003$	[31]

Table 2. Experimental and theoretical values of the observables used in the illustrative fits.

in the search and the priors used.<sup>14</sup> This is not surprising as the number of unknown Wilson coefficients present in the SMEFT is large.

For example, a set of reasonable prior conditions to impose is that the power counting expansion of the theory is under control, and that each individual observable falls within  $N\sigma$  of each measurement, so that

$$C_{fit} < 0.1, \qquad \hat{\theta}_i - \theta_i(C_{fit}^{min}) < N \,\delta\theta_i$$
 (5.3)

with  $\delta\theta_i$  the total combined error on an observable  $\theta_i$ . The value of N chosen in these conditions dictates the specific global minimum found in the  $\chi^2$  minimization. In particular the presence of the  $A_{FB}^b$  anomaly that deviates at the  $\sim 2.5\,\sigma$  level from the SM predictions indicates that N>2.5 as a minimization condition is reasonable to not bias the global minimum in favour of non-vanishing  $C_{fit}^{min}$ . Choosing N=2.8, and seeding a minimization with  $C_{fit}^{min}=0$ , we find

$$C_{fit}^{min} = \{-3.0, 7.9, 12, 87, -14, 3.4, -11 \times 10^{1}, 9.2, 0.13, -1.4 \times 10^{-2}\} \times 10^{-4}.$$
 (5.4)

It is interesting to note that with this procedure the least constrained entries in  $C_{fit}^{min}$  corresponds to operators that lead to vertex corrections of the Z boson to fermions.

However, we stress the arbitrariness of the conditions imposed to obtain this minima and that it does not hold any particular physical significance. For example, another reasonable prior condition can be constructed based on noting that one can group the  $C_i$  into subgroups that strongly mix under RG evolution (see Ref.[15, 50–52] for the relevant RGE results). Such Wilson coefficients will tend to flow together in value under RG evolution. This can motivate

 $<sup>^{14}</sup>$ A further very basic problem for consistency in the SMEFT is for any minima to be obtained, cross terms of order  $v^4/\Lambda^4$  need to be included in the  $\chi^2_{EW}$ . This is while terms from dimension eight operators are neglected, that can appear. As we argue, including an extra theoretical error for these neglected terms is more consistent than effectively treating the SMEFT as exactly  $\mathcal{L}_{SM} + \mathcal{L}^{(6)}$ .

grouping the operators into classes of the form

$$C_q = \{C_{Hq}^{(1)}, C_{Hq}^{(3)}, C_{Hu}C_{Hd}\}, \qquad C_\ell = \{C_{H\ell}^{(1)}, C_{H\ell}^{(3)}, C_{He}\}.$$

$$(5.5)$$

Then imposing the conditions in Eqn.5.3 gives a minimum with these grouped Wilson coefficients  $\mathcal{O}(10^{-3})$  and  $C_{HWB} \sim \mathcal{O}(10^{-5})$ . The individual minima, with two different prior conditions significantly differ. The allowed fit space is also highly prior dependent. As such, fitting for a best fit value of an individual Wilson coefficient only allows weak conclusions to be drawn. Marginalizing over all unknown Wilson coefficients in EWPD, or a subset of measurements, introduces further prior dependence. In reasonable UV scenarios, the unknown Wilson coefficients are expected to be extremely highly correlated. Using a prior condition to remove cases where correlations between Wilson coefficients allow larger values in the unknown parameters is poorly motivated for this reason. Unfortunately, at the same time, the particular correlations in  $\mathcal{L}^{(6)}$  for all possible UV models is unknown.

# 5.2 Theoretical Errors in the SMEFT

The fit space of allowed Wilson coefficients is strongly prior dependent. In particular, we find that the condition that  $\hat{\theta}_i - \theta_i(C_{fit}^{min}) < N \,\delta\theta_i$  implicitly or explicitly being imposed strongly dictates the allowed Wilson coefficient space. For this reason, a precise specification of the theoretical error when fitting in the SMEFT is critical.

It is essential to distinguish between the cases of fitting to EWPD as a hypothesis test of the SM itself, and fitting to EWPD assuming the SMEFT as a theoretical framework. When using EWPD to hypothesis test the SM, theoretical errors for unknown higher order SM corrections are specified and included in a fit. Adding higher dimensional operators to a fit of this form can also be interpreted as a (less efficient) hypothesis test of the SM, if no extra theoretical error is added. For sample fits of this form see Ref [12, 13].

Conversely if the theory assumed in an EWPD fit is the SMEFT, the theoretical error differs from the SM. Extra theoretical errors should be added in quadrature to the SM theoretical errors when bounds on Wilson coefficients are extracted. This is particularly required if constraints on Wilson coefficients are to be used at LHC as a test of the linear SMEFT formalism itself.<sup>15</sup> The SMEFT is subject to substantial theoretical errors of this form. There are three major sources of error:

• The full dependence of EWPD  $2 \to 2$  scattering processes in the SMEFT is now systematically characterized to leading order in  $1/\Lambda^2$ , with the results in Section 4. We have shown this introduces dependence on higher dimensional operators suppressed by  $\Gamma_Z M_Z/\bar{v}_T^2$  compared to the leading order effect suppressed by  $\bar{v}_T^2/\Lambda^2$  in extracted partial widths. This error does not effect all processes equally in EWPD, which distorts  $\chi^2_{SMEFT}$  compared to  $\chi^2_{SM}$ . Similar comments hold for the effect of  $Z - \gamma$  interference in near pole Z data.

<sup>&</sup>lt;sup>15</sup>An important example of studies of this form is the constraints from EWPD projected onto the  $h \to V F$  spectra, which are de-correlated in the case of the nonlinear EFT from LEP measurements[39, 53].

• Neglected perturbative corrections in the SMEFT. Although the full RGE results of the SMEFT dimension six operators are now known, perturbative corrections in EWPD for higher dimensional operators are generally neglected. The neglected perturbative corrections are of the order

$$\frac{\bar{v}_T^2}{\Lambda^2} \frac{\bar{g}^2}{16\pi^2} \sim \mathcal{O}(10^{-3}) \frac{\bar{v}_T^2}{\Lambda^2}$$
 (5.6)

for  $\bar{g}_1, \bar{g}_2$  corrections in the SMEFT. The corrections are an order of magnitude larger for QCD effects. Perturbative corrections to the Wilson coefficients in  $C_{fit}$  can be absorbed into the unknown Wilson coefficient. However, perturbative corrections of this form also introduce a dependence on a large number of higher dimensional operators that are not in the set  $C_{fit}$ . These corrections should be treated as a theoretical error when extracting bounds on  $C_{fit}$  to use in other measurements.

• Neglect of dimension eight operators introduces theoretical errors of the order

$$\frac{\bar{v}_T^4}{\Lambda^4} \sim \mathcal{O}(10^{-2}) \frac{\bar{v}_T^2}{\Lambda^2} \tag{5.7}$$

for  $\Lambda \sim \text{TeV}$ . These corrections cannot be simply absorbed into a set of effective  $C_{fit}$  parameters if the bounds obtained in EWPD are to be used in another process.

As an illustrative example of the importance of including the theoretical error of the SMEFT consistently, consider the case of near pole corrections due to  $\psi^4$  operators. These corrections modify extracted partial widths. Including a universal extra theoretical error  $\delta_E$  in the partial widths one finds

$$\frac{\delta \chi_{EW}^2}{\delta_E^2} + 10^7 = 10^6 \frac{\bar{v}_T^2}{\Lambda^2} \left( 1.2 \times 10^8 \, C_{HWB} - 5.1 \times 10^5 \, C_{Hq}^{(3)} + 3.8 \times 10^7 \, C_{HD} - 2.9 \times 10^4 \, C_{H\ell}^{(1)}, \right. \\
+ 1.2 \times 10^6 \, C_{\ell\ell} - 1.8 \times 10^4 \, C_{Hd} - 5.0 \times 10^3 \, C_{Hu} - 3.0 \times 10^4 \, C_{He}, \\
+ 6.7 \times 10^5 \, C_{Hq}^{(1)} - 3.1 \times 10^6 \, C_{H\ell}^{(3)} + \cdots \right), \tag{5.8}$$

even though  $\delta_E \sim 10^{-3}$  corrections of this form significantly modify any extracted constraints. This is easily seen by direct inspection of the leading terms in the  $\chi^2$ , which are

$$\chi_{EW}^{2} - 11 = 10^{6} \frac{\bar{v}_{T}^{2}}{\Lambda^{2}} \left( 1.1 \times 10^{2} C_{HWB} - 4.7 \times 10^{-1} C_{Hq}^{(3)} + 3.4 \times 10^{1} C_{HD} - 2.7 \times 10^{-2} C_{H\ell}^{(1)}, +5.5 \times 10^{-2} C_{\ell\ell}, -8.9 \times 10^{-3} C_{Hd} + 1.2 \times 10^{-2} C_{Hu} - 2.6 \times 10^{-2} C_{He}, +8.9 \times 10^{-2} C_{Hq}^{(1)} - 6.7 \times 10^{-1} C_{H\ell}^{(3)} + \cdots \right).$$

$$(5.9)$$

These corrections change the vector of  $C_{fit}$  that is constrained by EWPD. For this reason it is important to carefully account for theoretical error when fitting in the SMEFT to explore patterns of allowed deviations.  $\delta_E$  is not a universal shift in the SMEFT in a full analysis, but depends on different  $\psi^4$  operators. This can change the the vector of  $C_{fit}$  that is constrained by

EWPD in an even more dramatic fashion. For this reason, it is important to also incorporate correlated constraints on  $\psi^4$  operators in the SMEFT in global fits.

All of these corrections introduce theoretical errors in the SMEFT and can be enhanced by unknown order one Wilson coefficients. For all of these reasons leading order bounds on  $c_i \bar{v}_T^2/\Lambda^2$  that exceed the  $\mathcal{O}(10^{-2})$  level are challenging to interpret as consistent constraints on parameters in  $\mathcal{L}^{(6)}$ .

# 5.3 Relating EW $\chi^2$ constraints to LHC processes

Due to the challenges we have discussed on the usual procedure to fit to parameters in  $\mathcal{L}^{(6)}$  it is of interest to have a viable alternative to project EW precision constraints onto the LHC program. In this Section, we argue that such an alternative is supplied by directly running the  $\chi^2_{EW}$  constraint to LHC energies and then imposing it on a related processes in the linear SMEFT.

Naively one might argue that the running of the Wilson coefficients can be neglected as such perturbative corrections are on unknown parameters. However, when running the  $-\chi^2_{EW}$  function — this argument fails by direct inspection of the  $\chi^2_{EW}$  dependence on the Wilson coefficients. As can be seen in Eqn 5.9 the numerical factors that multiply the unknown Wilson coefficients are strongly hierarchical and differ by four orders of magnitude. As such interpreting an EWPD constraint as

$$\chi_{EW}^2(M_Z) \equiv \chi_{EW}^2(m_h) \tag{5.10}$$

for the sake of the constrained Wilson coefficients at the scale  $m_h$  is inaccurate and actually constrains the wrong set of parameters.

Alternatively, consider running  $\chi^2_{EW}$  as a constraint vector in the Wilson coefficient space to LHC energies. This shows that the constraint vector then depends on different unknown Wilson coefficients, with a comparable numerical pre-factor to the coefficients present in  $C_{fit}$  at the scale  $M_Z$ . In other words, the directions in Wilson coefficients space constrained at LEP are rotated evolving up to LHC energies and this rotation does not leave the constraint vector on the same Wilson coefficient Hypersurface. The large hierarchies in the numerical coefficients that define the  $\chi^2$  enhance the effects of RGE running even from  $M_Z$  to  $M_h$  when considering constraints on Wilson coefficients, and as a result this is not a negligible effect.

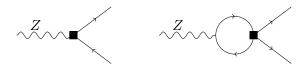
For example, consider the running of  $C_{HWB}$  due to  $y_t$ . Using the results in Ref [51]

$$\mu \frac{d C_{HWB}}{d \mu} = -\frac{2 g_1 N_c(y_q + y_u) y_t}{16 \pi^2} \text{Re}(C_{uW}) + \cdots$$
 (5.11)

with  $y_q, y_u$  the q and u hypercharges. This introduces dependence on  $\text{Re}(C_{uW})$  of the form

$$\Delta \chi_{EW}^2(m_h) \sim 10^6 \frac{\bar{v}_T^2}{\Lambda^2} \left( 10^{-2} \operatorname{Re}(C_{uW}) \cdots \right)$$
 (5.12)

into  $\chi^2(m_h)$ . Such dependence is comparable, or dominant over the dependence of a number of the remaining Wilson coefficients in  $C_{fit}$  in  $\chi^2_{EW}(m_Z)$ . As a further illustrative example,



**Figure 2**. Mixing of a  $\psi^4$  operator into an effective Z coupling to fermions.

consider running an effective Z coupling to fermions, such as  $\delta g_{V,A}^x$ . This interaction receives further four quark operator corrections at the one loop level as shown in Fig 2. Extracting the related result for the leading log running directly from Ref [15]

$$\mu \frac{d}{d\mu} C_{Hl}^{(1)} = \frac{1}{48 \pi^2} g_1^2 y_H \left( y_H C_{Hl}^{(1)} + N_c y_d C_{ld} + y_e C_{le} + 2 y_l C_{ll} + 2 y_l C_{ll} + 2$$

Taking into account such effects in running  $\chi^2_{EW}(M_Z)$  to  $\chi^2_{EW}(m_h)$  makes clear it is essential to perform a global analysis, including constraints on  $\psi^4$  operators if one is interested in projecting EW constraints to LHC processes.<sup>16</sup> At the scale  $m_h$  a prior dependent minimization, and possibly a marginalization of the Wilson coefficients subject to  $\chi^2_{EW}$  is still required. However this approach allows multiple measurements at different scales to be evolved and directly combined into a global constraint  $\chi^2$ . This occurs before one global minimization and marginalization is preformed, minimizing the prior dependence.

## 6 Conclusions

The SMEFT has many unknown parameters that have been probed in particular combinations at many different energy scales. It is important to incorporate the bounds from EWPD when searching for deviations from the SM in the LHC program. However, at the same time it is important to consistently incorporate constraints from EWPD, and to not artificially increasing the strength of bounds in an inconsistent analysis. For this reason, it is essential to include theoretical error for the SMEFT itself in fitting to  $\mathcal{L}^{(6)}$  to explore patterns of allowed deviations. This is the case if the assumption is that the theory being constrained is the SMEFT. We have shown that constraints that rise to above the percent level are subject to theoretical uncertainties that have been neglected in previous analyses. Our general results for LEPI and LEPII scattering cross sections enable global EWPD constraint efforts in the SMEFT to advance further, and help characterize this theoretical error.

We have discussed some challenges present in naively utilizing EWPD fit constraints in the SMEFT. We have also argued for running a constraint  $\chi^2_{EW}$  using RG evolution and directly applying it to related processes occurring at different energy scales. This is preferred over

<sup>&</sup>lt;sup>16</sup>For some studies of constraints on  $\psi^4$  operators see Ref [54]. It is interesting to note that operators of the form considered in detail for off-pole  $\psi^4$  corrections do not induce anomalous magnetic moments of leptons due to their chirality.

minimizing and marginalizing to fit for individual Wilson coefficients at the scale  $m_Z$ , when ignoring perturbative corrections in the SMEFT. The requirement to construct a consistent global constrain picture of the linear SMEFT remains urgent as the LHC physics program advances.

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# A Operators and Notation Used

The operators that have contributed to corrections in the SMEFT, that were not explicitly defined in the body of the paper are

$$Q_H = (H^{\dagger} H)^3, \qquad Q_{HD} = \left(H^{\dagger} D_{\mu} H\right)^* \left(H^{\dagger} D_{\mu} H\right), \qquad (A.1)$$

$$Q_{HW} = H^{\dagger} H W_{\mu\nu}^{I} W^{I\mu\nu}, \qquad Q_{HWB} = H^{\dagger} \tau^{I} H W_{\mu\nu}^{I} B^{\mu\nu}, \qquad (A.2)$$

$$Q_{Hl}^{(1)} = (H^{\dagger}i \overleftrightarrow{D}_{\mu} H)(\bar{l}_p \gamma^{\mu} l_r), \qquad \qquad Q_{Hl}^{(3)} = (H^{\dagger}i \overleftrightarrow{D}_{\mu}^I H)(\bar{l}_p \tau^I \gamma^{\mu} l_r), \qquad (A.3)$$

$$Q_{\stackrel{He}{pr}} = (H^{\dagger}i \overleftrightarrow{D}_{\mu} H)(\bar{e}_{p} \gamma^{\mu} e_{r}), \qquad Q_{\stackrel{Hq}{pr}}^{(1)} = (H^{\dagger}i \overleftrightarrow{D}_{\mu} H)(\bar{q}_{p} \gamma^{\mu} q_{r}), \qquad (A.4)$$

$$Q_{Hq}^{(3)} = (H^{\dagger}i \overleftrightarrow{D}_{\mu}^{I} H)(\bar{q}_{p}\tau^{I}\gamma^{\mu}q_{r}), \qquad Q_{Hu} = H^{\dagger}i \overleftrightarrow{D}_{\mu} H)(\bar{u}_{p}\gamma^{\mu}u_{r}), \qquad (A.5)$$

$$Q_{Hd} = (H^{\dagger} i \overleftrightarrow{D}_{\mu} H)(\bar{d}_p \gamma^{\mu} d_r), \qquad Q_{Hud} = i(\widetilde{H}^{\dagger} D_{\mu} H)(\bar{u}_p \gamma^{\mu} d_r), \qquad (A.6)$$

$$Q_{uW} = (\bar{q}_p \sigma^{\mu\nu} u_r) \tau^I \tilde{H} W^I_{\mu\nu}. \tag{A.7}$$

Here we have used the derivative notation

$$H^{\dagger} i \overleftrightarrow{D}_{\beta} H = i H^{\dagger} (D_{\beta} H) - i (D_{\beta} H)^{\dagger} H, \tag{A.8}$$

$$H^{\dagger} i \overleftrightarrow{D}_{\beta}^{I} H = i H^{\dagger} \tau^{I} (D_{\beta} H) - i (D_{\beta} H)^{\dagger} \tau^{I} H. \tag{A.9}$$

The Lagrangian we use is given by  $\mathcal{L} = \mathcal{L}_{\text{SM}} + \mathcal{L}^{(5)} + \mathcal{L}^{(6)} + \cdots$ , where  $\mathcal{L}^{(6)} = \Sigma_i C_i Q_i$ . To establish notation, we note H is an SU(2) scalar doublet with hypercharge  $y_H = 1/2$ . The Higgs boson mass is given as  $m_H^2 = 2\lambda \bar{v}_T^2$ , with  $\bar{v}_T \sim 246$  GeV. The covariant derivative is  $D_\mu = \partial_\mu + i g_3 T^A A_\mu^A + i g_2 t^I W_\mu^I + i g_1 y B_\mu$ . Here  $T^A$  are SU(3) generators,  $t^I = \tau^I/2$  are SU(2), and y is the U(1) Hypercharge generator.  $\tilde{H}$  is defined by  $H_j = \epsilon_{jk} H^{\dagger k}$  where the SU(2) invariant tensor  $\epsilon_{jk}$  is defined by  $\epsilon_{12} = 1$  and  $\epsilon_{jk} = -\epsilon_{kj}$ , j,k=1,2. Fermion fields q and l are left-handed fields, and l and l are right-handed fields. We use l l l for flavor

indices. The effective mixing angles are defined as

$$\sin \overline{\theta} = \frac{\overline{g}_1}{\sqrt{\overline{g}_1^2 + \overline{g}_2^2}} \left[ 1 + \frac{\overline{v}_T^2}{2} \frac{\overline{g}_2}{\overline{g}_1} \frac{\overline{g}_2^2 - \overline{g}_1^2}{\overline{g}_2^2 + \overline{g}_1^2} C_{HWB} \right], \tag{A.10}$$

$$\cos \overline{\theta} = \frac{\overline{g}_2}{\sqrt{\overline{g}_1^2 + \overline{g}_2^2}} \left[ 1 - \frac{\overline{v}_T^2}{2} \frac{\overline{g}_1}{\overline{g}_2} \frac{\overline{g}_2^2 - \overline{g}_1^2}{\overline{g}_2^2 + \overline{g}_1^2} C_{HWB} \right]. \tag{A.11}$$

The formalism of the paper for the SMEFT, and some results used in Section 2.1 descend from Refs [15, 50].

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