

HW2 hand-writting

Problem 1 - Sort

1.

```
1  procedure boundary_cake(P, from, to)
2      set left to from
3      set mid to from + 1
4      set right to from + 2
5      set next to from + 3
6      while(next <= to + 1)
7          set tmp to pancake-god-oracle(P, P[left], P[mid],
P[right])
8          if tmp == P[right]
9              right = next
10         else if tmp == [left]
11             left = next
12         else if tmp == [mid]
13             mid = next
14         end if
15         set next to next + 1
16     end while
17
18     if right == next - 1
19         return P[mid], P[left]
20     else if mid == next - 1
21         return P[right], P[left]
22     else if left == next - 1
23         return P[mid], P[right]}
24     end if
25 end procedure
```
2.

```
1  procedure sort(P, front, end)
2      if(P.size > 1)
3          set mid to (front + end) / 2
4          sort(P, front, mid)
5          sort(P, mid + 1, end)
6          set bound to boundary_cake(P, from, end)
7          set left_index to front
8          set right_index to mid + 1
9          set tmp_index to 2
10         set array(end - front)
11         set array[1] to bound.first
12
13         while(left_index != mid + 1 and right_index != end + 1)
```

```

14         set tmp to pancake-god-oracle(P, P[left_index],
P[right_index], bound.second)
15         if tmp.second == P[left_index] or tmp.first ==
P[left_index]
16             set array[tmp_index] to P[left_index]
17             set left_index to left_index + 1
18         else
19             set array[tmp_index] to P[right_index]
20             set right_index to right_index + 1
21         end if
22         tmp_index to tmp_index + 1
23     end while
24
25     while(left_index != mid)
26         set arr[tmp_index] to P[left_index]
27         set left_index to left_index + 1
28         set tmp_index to tmp_index + 1
29     end while
30
31     while(right_index != end)
32         set arr[tmp_index] to P[right_index]
33         set right_index to right_index + 1
34         set tmp_index to tmp_index + 1
35     end while
36 end if
37 end procedure

```

3.

```

1 procedure insert(P, ins, left, right)
2     if P[left] == pancake-god-oracle(P, P[left], P[right], ins)
3         set mid to left
4         set tmp to P[mid]
5         set P[mid] to ins
6         for i ( mid + 1 to right + 1) do
7             set tmp2 to P[i]
8             set P[i] to tmp
9             set tmp to tmp2
10        end for
11        return
12    else if P[right] == pancake-god-oracle(P, P[left], P[right],
ins)
13        P[right + 1] = ins
14        return
15    end if
16
17    set orig_right to right
18    while left <= right
19        set mid to (left + right) / 2
20        if ins == pancake-god-oracle(P, P[right], P[mid], ins)

```

```

21         left = mid + 1
22     else if ins == pancake-god-oracle(P, P[left], P[mid],
    ins)
23         right = mid
24     else
25         break
26     end if
27 end while
28
29 set tmp to P[mid]
30 set P[mid] to ins
31 for i ( mid + 1 to orig_right + 1 ) do
32     set tmp2 to P[i]
33     set P[i] to tmp
34     set tmp to tmp2
35 end for
36
37 end procedure

```

```

4. 1 procedure sort(P)
    2     for i(2 to P.size()) do
    3         insert(P, P[i], 1, i - 1)
    4     end for
    5 end procedure

```

5. Definition of $f(x) = o(g(x))$ means $f(x) < cg(x)$ for any $x > x_0$

So for any comparison sort method if we want to find $f(x) = o(n \log(n))$ means there is a comparison sort method is faster than $n \log(n)$

but it is impossible.

Prove:

The n -permutation have $n!$ condition. So if we change one element to the right place at a time, the remain elements have $(n-1)!$ permutation, $\frac{n!}{2} \geq (n-1)!$ for $n \geq 2$, so after an exchange, we can at least decrease a half of permutation condition, so we can get $2^T \geq n! \Rightarrow T \geq \log_2 n!$, T is the total steps that we can at least finish sorting.

$$T \geq \log_2 n! = \log_2 n + \log_2(n-1) \dots + \log_2(1) \geq$$

$$\log\left(\frac{n}{2}\right) + \log\left(\frac{n}{2}\right) + \log\left(\frac{n}{2}\right) \dots + \log\left(\frac{n}{2}\right) (\text{total } \frac{n}{2} \text{ terms}) = \frac{n}{2} \log \frac{n}{2}$$

$$T \geq \frac{n}{2} \log \frac{n}{2} = \Omega(n \log(n))$$

So it is impossible to find $T < cn \log(n)$ because $T \geq n \log(n)$

Q.E.D

6. when $n = 1$, it is true because there is only one element.

When $n = 2$, it swap the number when the P_r is the larger number, so it cause the decreasing permutation.

Hypothesis: Assume that $n = k$ is true, which means ELF-SORT($P, 1, k$) can sorted the an array under $1, 2, 3, \dots, k$ elements in descending order.

Then when $n = k + 1$, $\triangle = \text{floor}(\frac{(k+1-1+1)}{3}) = \text{floor}(\frac{k+1}{3})$

1. it will call ELF-SORT($P, 1, k + 1 - \text{floor}(\frac{k+1}{3})$) which has at most $\frac{2k}{3} + 1$ elements that is no more than than k , so the first $\frac{2k}{3} + 1$ elements in the array can be sorted by hypothesis, but at that time the last $\frac{k}{3}$ elements still not be sorted.
2. And it will call ELF-SORT($P, 1 + \text{floor}(\frac{k+1}{3}), k + 1$) which has at most $\frac{2k}{3} + 1$ elements, so by the hypothesis, the last $\frac{2k}{3} + 1$ elements can be sorted, but at that time the middle $\frac{k}{3}$ elements are not sorted because it may contain the elements of the last $\frac{k}{3}$ in the 1 step.
3. In the end, it calls ELF-SORT($P, 1, k + 1 - \text{floor}(\frac{k+1}{3})$) again, which will make at most the first $\frac{2k}{3}$ be sorted and make the middle $\frac{n}{3}$ elements be sorted, also, by hypothesis, it is correct.
4. After the three steps, the array has been sorted.

Q.E.D

7. The problem is divide into three sub-problems and each of the sub-problem has $\frac{2n}{3}$ scale, and in the final step, is $\mathbb{O}(1)$ because we may need the exchange when $P_r > P_l$, so we can get the recursive function $T(n) = 3T(\frac{2n}{3}) + \mathbb{O}(1)$

8.

$$\begin{aligned} T(n) &= 3T(\frac{2n}{3}) + c = 3(3T(\frac{4n}{9}) + c) + c \dots = \sum_{i=0}^{\log_3 \frac{n}{2}} 3^i c \\ &= 3^{\log_3 \frac{n}{2}} + 3^{\log_3 \frac{n}{2} - 1} \dots + 1 = n^{\log_3 \frac{3}{2}} + (n - 1)^{\log_3 \frac{3}{2}} \dots + 1 = \mathbb{O}(n^{\log_3 \frac{3}{2}}) = \mathbb{O}(n^3) \end{aligned}$$

Problem 2 - Tree

```

1. 1 procedure find-prev(T)
    2     if T.parent == NIL
    3         return NIL
    4     end if
    5     if T.left != NIL
    6         set tmp to T.left
    7         while tmp.right != NIL
    8             tmp = T.left
    9         end while
    10    end if
    11    set prev to tmp
    12    if T.parent.right == T

```

```

13         if prev <= T.parent
14             prev = T.parent
15         else
16             if T.parent.left == T
17                 if T.parent.parent.right == T.parent.parent
18                     if prev <= T.parent.parent
19                         prev = T.parent.parent
20                     end if
21                 end if
22             end if
23         end if
24     end if
25
26     return prev
27 end procedure

```

2. BST has two nature:

Given a node T,

1. $T.val < T_i.val$ ($T_i, T_i \in T.right$),
2. $T.val > T_i.val$ ($T_i, T_i \in T.left$)
3. $T \in T.parent$

Prove:

If we want to find the preview node, which means we have to find

$\max(T_i.val)$, ($T_i.val < T.val$), we have four conditions.

- T.right: According to the first nature of BST, we couldn't find any node that its value is smaller than T itself in T.right.
- T.left: According to the second nature of BST, all the value in T.left is smaller than T. In these nodes of T.left subtree, we have to find the biggest number. So according to the first nature of BST, we have to find the rightmost node in T.left
- T is the right child of its parent ($T.parent.right == T$): According to the first and second natures of BST, $T.parent.left < T.parent < T$ and according to the three natures of BST, $T.parent.parent > T$ or $T.parent.parent < T.parent < T$, so the node in this branch must be T.parent.
- T is the left child of its parent ($T.parent.left == T$): According to the second nature of BST, $T.parent > T$, because the answer is not in T.parent, we have to extend to T.parent.parent.

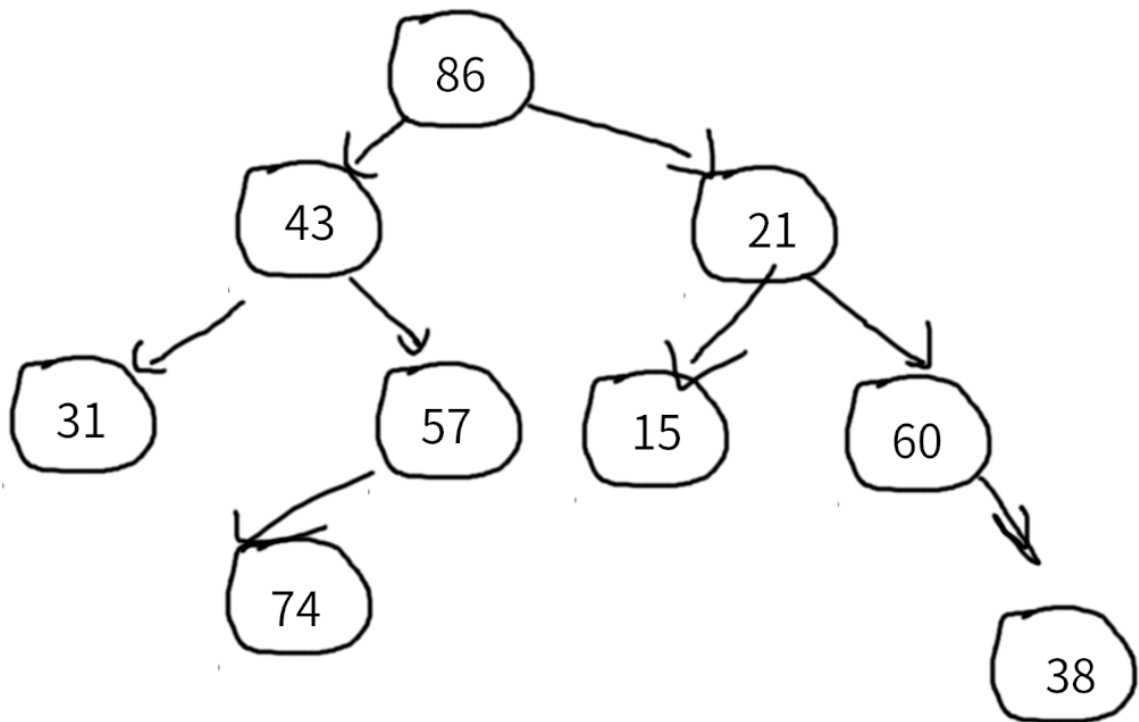
According to the three natures of BST, $T.parent.parent > T$ (No because $prev_node < T$) or $T.parent.parent < T < T.parent$, we only the second condition which means T.parent is the right child of T.parent.parent.

So $T.parent.parent < T$. According to the three natures of BST,
 $T.parent.parent.left < T.parent.parent < T$ and $T.parent.parent.parent > T >$
 $T.parent.parent$ or $T.parent.parent.parent < T.parent.parent < T$. So
 $T.parent.parent.parent$ and its parent must not be the answer.

The result in this branch is $T.parent.parent$ while $T.parent.parent$ exist and
 $T.parent.parent.right = T.parent.parent$.

- Compare the one result of $T.left$ and two result of $T.parent$, the answer must the biggest one.

3.



4. No

Prove:

Inorder: left->mid->right

Preorder: mid->left->right

We can build a binary tree with three conditions

- a node has two child: If we have only inorder array, we can create another tree by letting the the left-most array to the root, and other node is create by the order of mid->right->parent recursively. So if we have preorder, we can ensure the left and mid order. In advance, the right node is ensure. So we can create a unique permutation.
- a node has one child: If we have only one child, if we have only preorder or inorder array, we will create two permutation because (NIL-parent-right_child) and (left_child-parent-NIL) will have the same preorder array, so we need inorder to ensure our only permutation
- a node has no child need not be consider because there is only one node's value enter the inorder and preorder tree.

By consider three condition, we can create a unique binary tree.

```
5. 1 assume root is a tree
    2 procedure buildTree(inorder, preorder)
    3     root.val = preorder[1]
    4
    5     set middle to 0
    6     while inorder[i] != preorder[1]
    7         set middle to middle + 1
    8     end while
    9
   10     root.left = buildTree(inorder[0 : middle - 1], preorder[2:
preorder.length()])
   11     root.right = buildTree(inorder[middle + 1: inorder.length()],
preorder[2: preorder.length()])
   12
   13     return root
   14 end procedure
```

Problem 3 - Heap

Reference for how to write array in pseudo code:

```
1. 1 procedure modify(x, v)
    2     x.val = v
    3     if x.val > v
    4         while x.left != NIL and x.right != NIL and x.val <
max(x.left.val, x.right.val)
    5             if max(x.left.val, x.right.val) == x.left.val
    6                 swap(x.val, x.left.val)
    7                 x = x.left
    8             else if max(x.left.val, x.right.val) == x.right.val
    9                 swap(x.val, x.right.val)
   10                 x = x.right
   11             end if
   12         end while
   13     else if x.val < v
   14         while x.parent != NIL and x.parent.val < x.val
   15             swap(x.parent.val, x.val)
   16             x = x.parent
   17         end while
   18     end if
   19 end procedure
   20
   21 procedure delete(x)
   22     set min to extractMin()
   23     modify(x, min.val - 1)
   24     extractMin()
```

```

25 |     insert(min)
26 | end procedure

```

Prove:

1. Modify: In the two branch of if

We only change the x node to it's parent or it's child, which means the number we at most need to traverse is it's height

So in the two branch it will meet the requirement of $\mathcal{O}(\text{height-of-heap}) \leq \mathcal{O}(\lg h)$ (by consider it is a normal binary heap(balanced))

Q.E.D

2. delete: by the problem describe, it cost

$$\mathcal{O}(\lg h) + \mathcal{O}(\lg h) + \mathcal{O}(\lg h) + \mathcal{O}(\lg h) = \mathcal{O}(\lg h)$$

Q.E.D

2.

1.

NAn	NAn	NAn	NAn
NAn	NAn	NAn	NAn
NAn	NAn	NAn	1
4	NAn	NAn	2

2.

NAn	NAn	NAn	NAn
NAn	NAn	NAn	NAn
NAn	NAn	NAn	1
4	NAn	NAn	NAn

3.

NAn	NAn	NAn	NAn
NAn	NAn	NAn	3
NAn	NAn	NAn	1
4	NAn	NAn	NAn

4.

NAn	NAn	NAn	NAn
NAn	NAn	NAn	3
NAn	NAn	NAn	NAn
4	NAn	NAn	NAn

5.

NAn	NAn	NAn	NAn
NAn	NAn	NAn	NAn
NAn	NAn	NAn	NAn
4	NAn	NAn	NAn

3. The heap in this problem store the index of the array and therefore the top value is the smallest value's index in A instead of the smallest value.

```

1  assume row_heap is a heap(N)
2  assume col_heap is a heap(M)
3
4  struct D
5      row_heap
6      col_heap
7  end struct
8
9  procedure add(i, j, v)
10     set A[i][j] to v
11     row_heap[i].insert(j)
12     col_heap[j].insert(i)
13 end procedure
14
15 procedure extractMinRow(i)
16     set index to row_heap[i].extractMin()
17     set A[i][index] to 0
18     col_heap[index].delete(i)
19 end procedure
20
21 procedure extractMinCol(j)
22     set index to col_heap[j].extractMin()
23     set A[index][j] to 0
24     row_heap[index].delete(j)
25 end procedure
26
27 procedure delete(i, j)
28     set A[i][j] to 0
29     col_heap[i].delete(j)

```

```

30     row_heap[j].delete(i)
31 end procedure

```

4. ◦ add: insert in a heap cost $\mathcal{O}(\lg(x))$ which x is the element numbers of the heap. So the total element in the row_heap[i] will not exceed N because it store a column of a 2D array. And similarly, the total element in the col_heap[j] will not exceed M , so the total time cost is
- $$\mathcal{O}(1) + \mathcal{O}(\lg(N)) + \mathcal{O}(\lg(M)) = \mathcal{O}(\lg(MN))$$
- Q.E.D
- extractMinRow: according the description on the top of the problem, delete a node and extractMin in a heap is $\mathcal{O}(\lg(x))$ which x is the element numbers in the heap. So the total time cost is $\mathcal{O}(N) + \mathcal{O}(1) + \mathcal{O}(M) = \mathcal{O}(\lg(MN))$
- Q.E.D
- extractMinCol: according to the description on the top of the problem, delete a node and extractMin in a heap is $\mathcal{O}(\lg(x))$ which x is the element numbers in the heap. So the total time cost is $\mathcal{O}(M) + \mathcal{O}(1) + \mathcal{O}(N) = \mathcal{O}(\lg(MN))$
- delete: according to the description on the top of the problem, delete a node in a heap is $\mathcal{O}(\lg(x))$ which x is the element numbers in the heap. So the total time cost is $\mathcal{O}(1) + \mathcal{O}(N) + \mathcal{O}(M) = \mathcal{O}(\lg(MN))$