



# Sistemas de Operação / Fundamentos de Sistemas Operativos

## Interprocess communication

Artur Pereira <artur@ua.pt>

DETI / Universidade de Aveiro

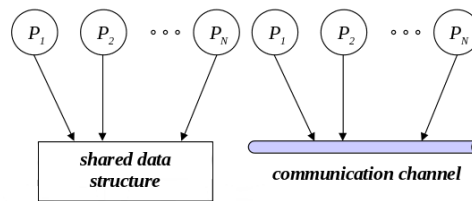
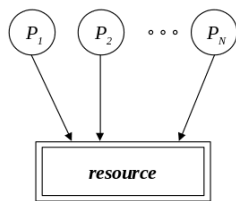
## Outline

- ① Concepts
- ② Dining philosophers
- ③ Access primitives
- ④ Software solutions
- ⑤ Hardware solutions
- ⑥ Semaphores
- ⑦ Monitors
- ⑧ Message passing
- ⑨ Unix IPC primitives
- ⑩ Bibliography

# Concepts

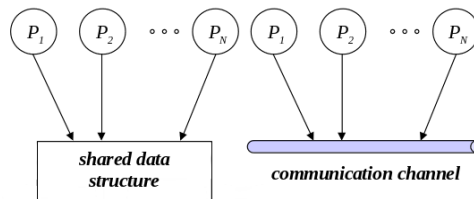
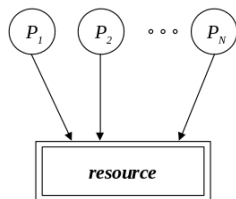
## Independent and collaborative processes

- In a multiprogrammed environment, two or more processes can be:
  - **independent** – if they, from their creation to their termination, never explicitly interact
    - actually, there is an implicit interaction, as they compete for system resources
    - ex: jobs in a batch system; processes from different users
  - **cooperative** – if they share information or explicitly communicate
    - the **sharing** requires a **common address space**
    - **communication** can be done through a common address space or a **communication channel** connecting them



# Concepts

## Independent and collaborative processes (2)



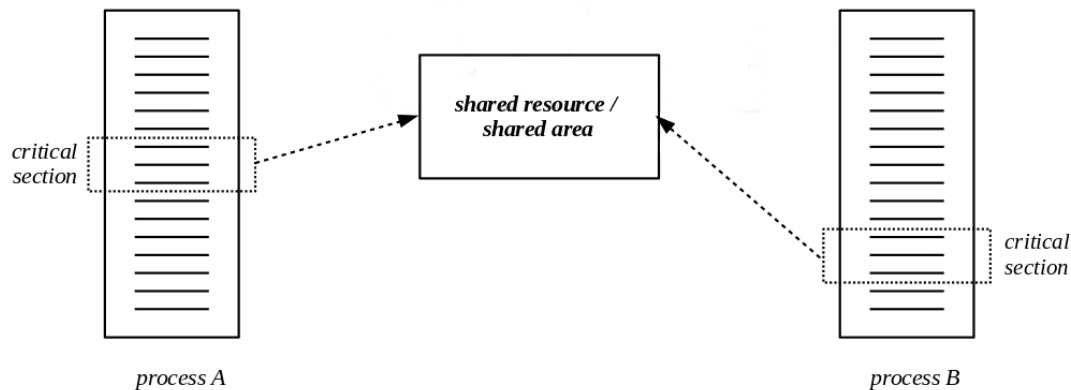
- **Independent processes** competing for a resource
- It is the **responsibility of the OS** to ensure the assignment of resources to processes is done in a controlled way, such that no information loss occurs
- In general, this imposes that only one process can use the resource at a time – **mutual exclusive access**

- **Cooperative processes** sharing information or communicating
- It is the **responsibility of the processes** to ensure that access to the shared area is done in a controlled way, such that no information loss occurs
- In general, this imposes that only one process can access the shared area at a time – **mutual exclusive access**
- The communication channel is typically a system resource, so processes compete for it

## Concepts

### Critical section

- Having access to a resource or to a shared area actually means **executing the code** that does the access
- This section of code, if not properly protected, can result in **race conditions**
  - which can result in lost of information
  - It is called **critical section**
- Critical sections should execute in **mutual exclusion**



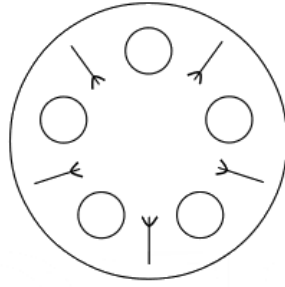
## Concepts

### Deadlock and starvation

- Mutual exclusion in the access to a resource or shared area can result in
  - **deadlock** – when two or more processes are waiting forever to access to their respective critical section, waiting for events that can be demonstrated will never happen
    - operations are blocked
  - **starvation** – when one or more processes compete for access to a critical section and, due to a conjunction of circumstances in which new processes that exceed them continually arise, access is successively deferred
    - operations are continuously postponed

# Dining philosophers

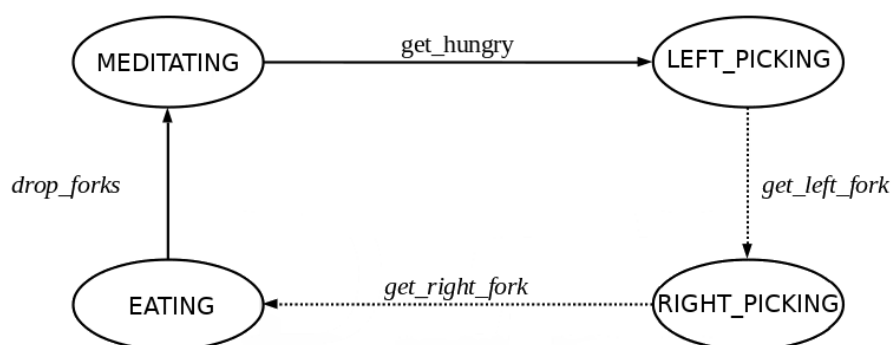
## Problem statement



- 5 philosophers are seated around a table, with food in front of them
  - To eat, every philosopher needs two forks, the ones at her/his left and right sides
  - Every philosopher alternates periods in which she/he meditates with periods in which she/he eats
- Modeling every philosopher as a **different process or thread** and the forks as resources, **design a solution for the problem**

# Dining philosophers

## A solution – state diagram



- This is a possible solution for the dining-philosopher problem
  - when a philosopher gets hungry, he/she first gets the left fork and then holds it while waits for the right one
- Let's look at an implementations of this solution!

# Dining philosophers

## A solution – code

```
enum PHILO_STATE { MEDITATING, LEFT_PICKING, RIGHT_PICKING, EATING };

typedef struct TablePlace
{
    int state;
} TablePlace;

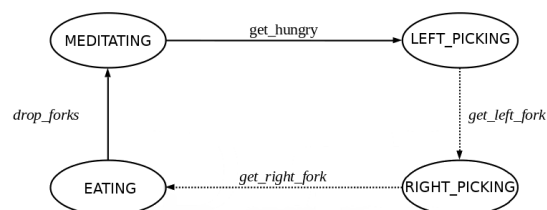
typedef struct Table
{
    int nplaces;
    TablePlace place[0];
} Table;

int set_table(unsigned int n);
int get_hungry(unsigned int f);
int get_left_fork(unsigned int f);
int get_right_fork(unsigned int f);
int drop_forks(unsigned int f);
```

- Let's execute the code

# Dining philosophers

## A solution – a race condition



- This solution may work some times, but in general suffers from race conditions
- Let's look at a code snippet:
  - `get_right_fork`:

```
while (table->place[right(f)].state == EATING or
       table->place[right(f)].state == RIGHT_PICKING);
```

# Access primitives

## Access to a resource or to a shared area

```
/* processes competing for a resource -  $p = 0, 1, \dots, N-1$  */  
void mainLoop (unsigned int p)  
{  
    forever  
    {  
        do_something();  
        access_resource(p);  
        do_something_else();  
    }  
}
```

→ {  
    enter\_critical\_section(p);  
    use\_resource();  
    leave\_critical\_section(p);  
} ← critical section

```
/* shared data structure */  
shared DATA d;  
/* processes sharing data -  $p = 0, 1, \dots, N-1$  */  
void mainLoop (unsigned int p)  
{  
    forever  
    {  
        do_something();  
        access_shared_area(p);  
        do_something_else();  
    }  
}
```

→ {  
    enter\_critical\_section(p);  
    manipulate\_shared\_area();  
    leave\_critical\_section(p);  
} ← critical section

# Access primitives

## Requirements

- Requirements that should be observed in accessing a critical section:
  - **Effective mutual exclusion** – access to the critical sections associated with the same resource, or shared area, can only be allowed to one process at a time, among all processes that compete for access
  - **Independence** on the number of intervening processes or on their relative speed of execution
  - a process **outside its critical section** cannot prevent another process from entering its own critical section
  - **No starvation** – a process requiring access to its critical section should not have to wait indefinitely
  - Length of stay inside a critical section should be necessarily **finite**

# Access primitives

## Types of solutions

- In general, a **memory location** is used to control access to the critical section
  - it works as a **binary flag**
- Two types of solutions: **software solutions** and **hardware solutions**
- **software solutions** – solutions that are based on the typical instructions used to access memory location
  - read and write are done by different instructions
  - interruption can occur between read and write
- **hardware solutions** – solutions that are based on special instructions to access the memory location
  - these instructions allow to read and then write a memory location in an atomic (uninterruptible) way

# Software solutions

## Constructing a solution - strict alternation

```
/* control data structure */
#define R    ...    /* process id = 0, 1, ..., R-1 */
shared unsigned int access_turn = 0;
void enter_critical_section(unsigned int own_pid)
{
    while (own_pid != access_turn);
}
void leave_critical_section(unsigned int own_pid)
{
    if (own_pid == access_turn)
        access_turn = (access_turn + 1) % R;
}
```

- Not a valid solution
  - Dependence on the relative speed of execution of the intervening processes
    - The process with less accesses imposes its rhythm to the others
  - A process outside the critical section can prevent another from entering there
    - If it is not its turn, a process has to wait, until its predecessor enters and give it access on leaving

# Software solutions

## Constructing a solution - 1st step

```
/* control data structure */
#define R 2 /* process id = 0, 1 */
shared bool is_in[R] = {false, false};
void enter_critical_section(unsigned int own_pid)
{
    unsigned int other_pid = 1 - own_pid;
    while (is_in[other_pid]);
    is_in[own_pid] = true;
}
void leave_critical_section(unsigned int own_pid)
{
    is_in[own_pid] = false;
}
```

- Not a valid solution
  - Mutual exclusion is not guaranteed

# Software solutions

## Constructing a solution - 1st step

```
/* control data structure */
#define R 2 /* process id = 0, 1 */
shared bool is_in[R] = {false, false};
void enter_critical_section(unsigned int own_pid)
{
    unsigned int other_pid = 1 - own_pid;
    while (is_in[other_pid]);
    is_in[own_pid] = true;
}
void leave_critical_section(unsigned int own_pid)
{
    is_in[own_pid] = false;
}
```

```
/* control data structure */
#define R 2 /* process id = 0, 1 */
shared bool is_in[R] = {false, false};
void enter_critical_section(unsigned int own_pid)
{
    unsigned int other_pid = 1 - own_pid;
    while (is_in[other_pid]);
    is_in[own_pid] = true;
}
void leave_critical_section(unsigned int own_pid)
{
    is_in[own_pid] = false;
}
```

- Assume the following sequence of execution:
  - $P_0$  enters `enter_critical_section` and tests `is_in[1]` as being false
  - $P_1$  enters `enter_critical_section` and tests `is_in[0]` as being false
  - $P_1$  changes `is_in[1]` to true and enters its critical section
  - $P_0$  changes `is_in[0]` to true and enters its critical section
- Thus, both processes enter their critical sections
- It seems that the failure is a result of testing first the other's control variable and then change its own variable



# Software solutions

## Constructing a solution - 2nd step

```
/* control data structure */
#define R 2 /* process pid = 0, 1 */
shared bool want_enter[R] = {false, false};
void enter_critical_section (unsigned int own_pid)
{
    unsigned int other_pid = 1 - own_pid;
    want_enter[own_pid] = true;
    while (want_enter[other_pid]);
}
void leave_critical_section (unsigned int own_pid)
{
    want_enter[own_pid] = false;
}
```

- Not a valid solution
  - Mutual exclusion is guaranteed, but deadlock can occur

# Software solutions

## Constructing a solution - 2nd step

```
/* control data structure */
#define R 2 /* process pid = 0, 1 */
shared bool want_enter[R] = {false, false};
void enter_critical_section (unsigned int own_pid)
{
    unsigned int other_pid = 1 - own_pid;
    want_enter[own_pid] = true;
    while (want_enter[other_pid]);
}
void leave_critical_section (unsigned int own_pid)
{
    want_enter[own_pid] = false;
}
```

```
/* control data structure */
#define R 2 /* process pid = 0, 1 */
shared bool want_enter[R] = {false, false};
void enter_critical_section (unsigned int own_pid)
{
    unsigned int other_pid = 1 - own_pid;
    want_enter[own_pid] = true;
    while (want_enter[other_pid]);
}
void leave_critical_section (unsigned int own_pid)
{
    want_enter[own_pid] = false;
}
```

- Assume that:
  - $P_0$  enters `enter_critical_section` and sets `want_enter[0]` to true
  - $P_1$  enters `enter_critical_section` and sets `want_enter[1]` to true
  - $P_1$  tests `want_enter[0]` and, because it is true, keeps waiting to enter its critical section
  - $P_0$  tests `want_enter[1]` and, because it is true, keeps waiting to enter its critical section
- Thus, both processes enter deadlock
- To solve the deadlock at least one of the processes have to go back

# Software solutions

## Constructing a solution - 3rd step

```
/* control data structure */
#define R 2 /* process id = 0, 1 */
shared bool want_enter[R] = {false, false};
void enter_critical_section(unsigned int own_pid)
{
    unsigned int other_pid = 1 - own_pid;
    want_enter[own_pid] = true;
    while (want_enter[other_pid])
    {
        want_enter[own_pid] = false;
        random_delay();
        want_enter[own_pid] = true;
    }
}
void leave_critical_section(unsigned int own_pid)
{
    want_enter[own_pid] = false;
}
```

- An almost valid solution
  - The Ethernet protocol uses a similar approach to control access to the communication medium

# Software solutions

## Constructing a solution - 3rd step

```
/* control data structure */
#define R 2 /* process id = 0, 1 */
shared bool want_enter[R] = {false, false};
void enter_critical_section(unsigned int own_pid)
{
    unsigned int other_pid = 1 - own_pid;
    want_enter[own_pid] = true;
    while (want_enter[other_pid])
    {
        want_enter[own_pid] = false;
        random_delay();
        want_enter[own_pid] = true;
    }
}
void leave_critical_section(unsigned int own_pid)
{
    want_enter[own_pid] = false;
}
```

- An almost valid solution
  - The Ethernet protocol uses a similar approach to control access to the communication medium
- But, still not completely valid
  - Even if unlikely, deadlock and starvation can still be present
- The solution needs to be deterministic, not random

# Software solutions

## Dekker algorithm (1965)

```
#define R    2    /* process id = 0, 1 */
shared bool want_enter[R] = {false, false};
shared uint p_w_priority = 0;
void enter_critical_section(uint own_pid)
{
    uint other_pid = 1 - own_pid;
    want_enter[own_pid] = true;
    while (want_enter[other_pid])
    {
        if (own_pid != p_w_priority)
        {
            want_enter[own_pid] = false;
            while (own_pid != p_w_priority);
            want_enter[own_pid] = true;
        }
    }
}
void leave_critical_section(uint own_pid)
{
    uint other_pid = 1 - own_pid;
    p_w_priority = other_pid;
    want_enter[own_pid] = false;
}
```

# Software solutions

## Dekker algorithm (1965)

```
#define R    2    /* process id = 0, 1 */
shared bool want_enter[R] = {false, false};
shared uint p_w_priority = 0;
void enter_critical_section(uint own_pid)
{
    uint other_pid = 1 - own_pid;
    want_enter[own_pid] = true;
    while (want_enter[other_pid])
    {
        if (own_pid != p_w_priority)
        {
            want_enter[own_pid] = false;
            while (own_pid != p_w_priority);
            want_enter[own_pid] = true;
        }
    }
}
void leave_critical_section(uint own_pid)
{
    uint other_pid = 1 - own_pid;
    p_w_priority = other_pid;
    want_enter[own_pid] = false;
}
```

- The algorithm uses an alternation mechanism (on the priority) to solve the conflict
- Mutual exclusion in the access to the critical section is guaranteed
- Deadlock and starvation are not present
- No assumptions are done in the relative speed of the intervening processes
- However, it can **not be generalized** to more than 2 processes, satisfying all the requirements

## Software solutions

### Dijkstra algorithm (1966)

```
#define R    ...    /* process id = 0, 1, ..., R-1 */
shared uint want_enter[R] = {NO, NO, ... , NO};
shared uint p_w_priority = 0;
void enter_critical_section(uint own_pid)
{
    uint n;
    do
    {
        want_enter[own_pid] = WANT;
        while (own_pid != p_w_priority)
            if (want_enter[p_w_priority] == NO)
                p_w_priority = own_pid;
        want_enter[own_pid] = DECIDED;
        for (n = 0; n < R; n++)
            if (n != own_pid && want_enter[n] == DECIDED)
                break;
    } while (n < R);
}
void leave_critical_section(uint own_pid)
{
    p_w_priority = (own_pid + 1) % R;
    want_enter[own_pid] = NO;
}
```

- Works, but can suffer from [starvation](#)

## Software solutions

### Peterson algorithm (1981)

```
#define R    2    /* process id = 0, 1 */
shared bool want_enter[R] = {false, false};
shared uint last;
void enter_critical_section(uint own_pid)
{
    uint other_pid = 1 - own_pid;
    want_enter[own_pid] = true;
    last = other_pid;
    while ((want_enter[other_pid]) && (last == other_pid));
}
void leave_critical_section(uint own_pid)
{
    want_enter[own_pid] = false;
}
```

- The Peterson algorithm uses the order of arrival to solve conflicts
  - Each process has to write the other's ID in a shared variable (last)
  - The subsequent reading allows to determine which was the last one

# Software solutions

## Peterson algorithm (1981)

```
#define R 2 /* process id = 0, 1 */
shared bool want_enter[R] = {false, false};
shared uint last;
void enter_critical_section(uint own_pid)
{
    uint other_pid = 1 - own_pid;
    want_enter[own_pid] = true;
    last = other_pid;
    while ((want_enter[other_pid]) && (last == other_pid));
}
void leave_critical_section(uint own_pid)
{
    want_enter[own_pid] = false;
}
```

- The Peterson algorithm uses the order of arrival to solve conflicts
  - Each process has to write the other's ID in a shared variable (last)
  - The subsequent reading allows to determine which was the last one
- It is a valid solution
  - Guarantees mutual exclusion
  - Avoids deadlock and starvation
  - Makes no assumption about the relative speed of intervening processes

# Software solutions

## Generalized Peterson algorithm (1981)

```
#define R ... /* process id = 0, 1, ..., R-1 */
shared int level[R] = {-1, -1, ..., -1};
shared int last[R-1];
void enter_critical_section(uint own_pid)
{
    for (uint i = 0; i < R-1; i++)
    {
        level[own_pid] = i;
        last[i] = own_pid;
        do
        {
            test = false;
            for (uint j = 0; j < R; j++)
                if (j != own_pid)
                    test = test || (level[j] >= i);
        } while (test && (last[i] == own_pid));
    }
}
void leave_critical_section(int own_pid)
{
    level[own_pid] = -1;
}
```

- Can be generalized to more than two processes
  - The general solution is similar to a waiting queue

# Hardware solutions

## disabling interrupts

- *Uniprocessor computational system*
  - The switching of processes, in a multiprogrammed environment, is always caused by an external device:
    - **real time clock (RTC)** – cause the time-out transition in preemptive systems
    - **device controller** – can cause the preempt transitions in case of waking up of a higher priority process
    - In any case, interruptions of the processor
  - Thus, access in mutual exclusion can be implemented disabling interrupts
  - Only valid in kernel
    - Malicious or buggy code can completely block the system
- *Multiprocessor computational system*
  - Disabling interrupts in one processor has no effect

# Hardware solutions

## special instructions – TAS

```
shared bool flag = false;

bool test_and_set(bool * flag)
{
    bool prev = *flag;
    *flag = true;
    return prev;
}

void lock(bool * flag)
{
    while (test_and_set(flag);
}

void unlock(bool * flag)
{
    *flag = false;
}
```

- The **test\_and\_set** function, if implemented **atomically** (without interruptions), can be used to construct the **lock** (**enter critical section**) primitive
- In the instruction set of some of the current processors, there is an atomic instruction implementing this behavior
- Surprisingly, it is often called **TAS** (**test and set**)

# Hardware solutions

## special instructions – CAS

```
shared int value = 0;

int compare_and_swap(int * value,
                    int expected, int new_value)
{
    int v = *value;
    if (*value == expected)
        *value = new_value;
    return v;
}

void lock(int * flag)
{
    while (compare_and_swap(&flag,
                            0, 1) != 0);
}

void unlock(bool * flag)
{
    *flag = 0;
}
```

- The `compare_and_swap` function, if implemented **atomically** (without interruptions), can be used to construct the **lock** (**enter critical section**) primitive
- In the instruction set of some of the current processors, there is an atomic instruction implementing that behavior
- In some instruction sets, there is a `compare_and_set` variant this returns a bool

# Hardware solutions

## Busy waiting

- The previous solutions suffer from **busy waiting**
  - The **lock** primitive is in the active state (using the CPU) while waiting
  - It is often referred to as a **spinlock**, as the process spins around the variable while waiting for access
- In **uniprocessor systems**, busy waiting is unwanted, as there is
  - **loss of efficiency** – the time quantum of a process is used for nothing
  - **risk of deadlock** – if a higher priority process calls lock while a lower priority process is inside its critical section, none of them can proceed
- In **multiprocessor systems** with shared memory, busy waiting can be less critical
  - switching processes cost time, that can be higher than the time spent by the other process inside its critical section

# Hardware solutions

## Block and wake up

- In general, at least in uniprocessor systems, there is the requirement of blocking a process while it is waiting for entering its critical section

```
#define R    ...    /* process id = 0, 1, ..., R-1 */
shared unsigned int access = 1;
void enter_critical_section(unsigned int own_pid)
{
    if (access == 0) block(own_pid);
    else access -= 1;
}
void leave_critical_section(unsigned int own_pid)
{
    if (there_are_blocked_processes) wake_up_one();
    else access += 1;
}
```

→ { *atomic operation*  
(can not be interrupted)

→ { *atomic operation*  
(can not be interrupted)

- Atomic operations are still required

# Semaphores

## Definition

- A **semaphore** is a synchronization mechanism, defined by a data type plus two atomic operations, **down** and **up**
- Data type:

```
typedef struct
{
    unsigned int val;    /* can not be negative */
    PROCESS *queue;    /* queue of waiting blocked processes */
} SEMAPHORE;
```

- Operations:
  - down**
    - block process if `val` is zero
    - decrement `val` otherwise
  - up**
    - if `queue` is not empty, wake up one waiting process (accordingly to a given policy)
    - increment `val` otherwise
- Note that `val` can only be manipulated through these operations
  - It is not possible to check the value of `val`



# Semaphores

## An implementation of semaphores

```
/* array of semaphores defined in kernel */
#define R ... /* semid = 0, 1, ..., R-1 */
static SEMAPHORE sem[R];
void sem_down(unsigned int semid)
{
    disable_interruptions;
    if (sem[semid].val == 0)
        block_on_sem(getpid(), semid);
    sem[semid].val -= 1;
    enable_interruptions;
}
void sem_up(unsigned int semid)
{
    disable_interruptions;
    sem[semid].val += 1;
    if (sem[semid].queue != NULL)
        wake_up_one_on_sem(semid);
    enable_interruptions;
}
```

- Internally, the `block_on_sem` function must enable interruptions
- This implementation is typical of uniprocessor systems. Why?

- Semaphores can be binary or not binary
- How to implement **mutual exclusion** using semaphores?
  - Using a **binary** semaphore

# Semaphores

## Analysis of semaphores

- Concurrent solutions based on semaphores have advantages and disadvantages
- **Advantages:**
  - **support at the operating system level**– operations on semaphores are implemented by the kernel and made available to programmers as system calls
  - **general**– they are low level constructions and so they are versatile, being able to be used in any type of solution
- **Disadvantages:**
  - **specialized knowledge**– the programmer must be aware of concurrent programming principles, as race conditions or deadlock can be easily introduced
    - See the previous example, as an illustration of this

# Monitors

## Introduction

- A problem with semaphores is that they are used both to implement **mutual exclusion** and for **synchronization** between processes
  - Being low level primitives, they are applied in a **bottom-up** perspective
    - if required conditions are not satisfied, processes are blocked before they enter their critical sections
    - this approach is prone to errors, mainly in complex situations, as synchronization points can be scattered throughout the program
  - A higher level approach should followed a **top-down** perspective
    - processes must first enter their critical sections and then block if continuation conditions are not satisfied
  - A solution is to introduce a (concurrent) construction at the programming language level that deals with mutual exclusion and synchronization separately
- 
- A **monitor** is a synchronization mechanism, independently proposed by Hoare and Brinch Hansen, supported by a (concurrent) programming language
    - It is composed of an internal data structure, initialization code and a number of accessing primitives

# Monitors

## Definition

```
monitor example
{
    /* internal shared data structure */
    DATA data;

    condition c; /* condition variable */

    /* access methods */
    method_1 (...)
    {
        ...
    }

    method_2 (...)
    {
        ...
    }

    ...

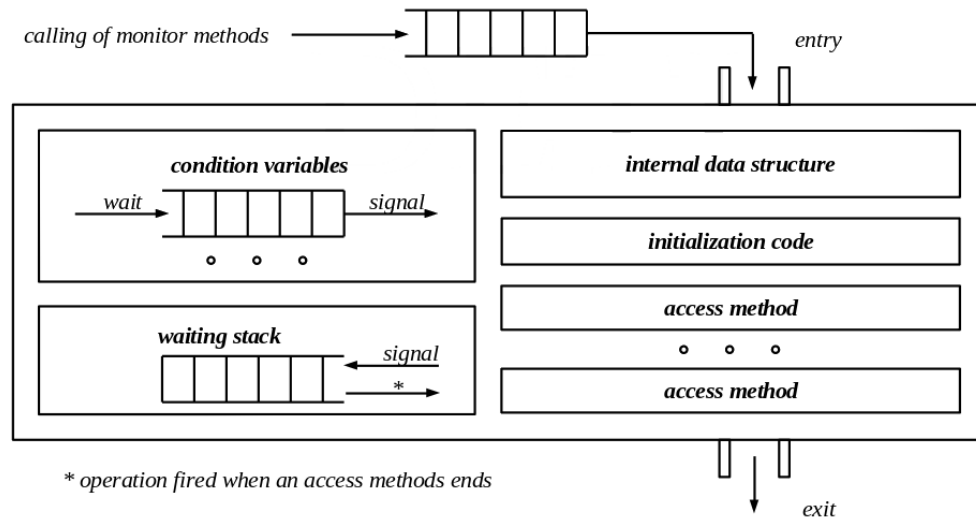
    /* initialization code */
    ...
}
```

- An application is seen as a set of threads that compete to access the **shared data** structure
- This shared data can only be accessed through the access methods
- Every method is executed in **mutual exclusion**
- If a thread calls an access method while another thread is inside another access method, its execution is blocked until the other leaves
- Synchronization between threads is possible through **condition variables**
- Two operation on them are possible:
  - **wait** – the thread is blocked and put outside the monitor
  - **signal** – if there are threads blocked, one is waked up. *Which one?*

# Monitors

## Hoare monitor

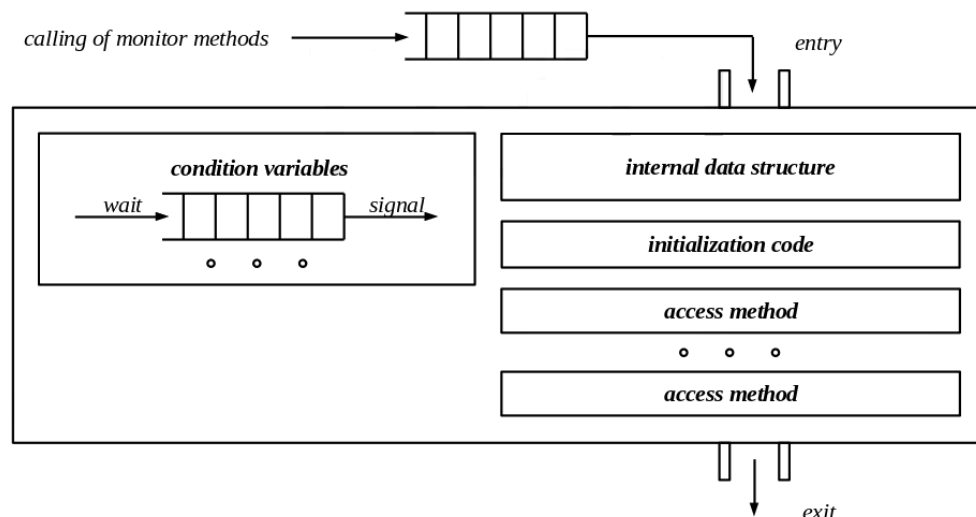
- What to do when **signal** occurs?
- **Hoare monitor** – the thread calling signal is put out of the monitor, so the just waked up thread can proceed
  - quite general, but its implementation requires a stack where the blocked thread is put



# Monitors

## Brinch Hansen monitor

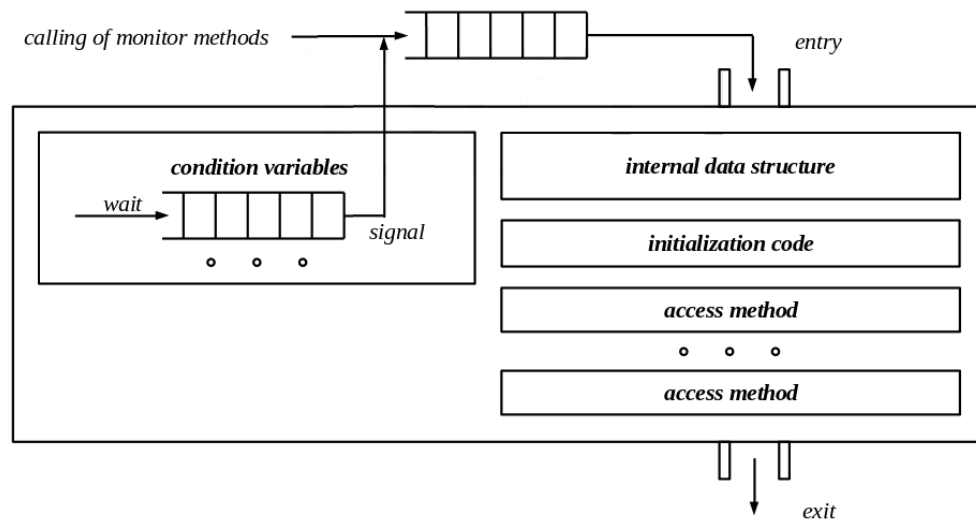
- What to do when **signal** occurs?
- **Brinch Hansen monitor** – the thread calling signal immediately leaves the monitor (signal is the last instruction of the monitor method)
  - easy to implement, but quite restrictive (only one signal allowed in a method)



# Monitors

## Lampson / Redell monitor

- What to do when **signal** occurs?
- **Lampson / Redell monitor** – the thread calling signal continues its execution and the just waked up thread is kept outside the monitor, competing for access
  - easy to implement, but can cause starvation



# Message-passing

## Introduction

- Processes can communicate exchanging messages
  - A general communication mechanism, not requiring explicit shared memory, that includes both communication and synchronization
  - Valid for uniprocessor and multiprocessor systems
- Two operations are required:
  - **send** and **receive**
- A communication link is required
  - That can be categorized in different ways:
    - Direct or indirect communication
    - Synchronous or asynchronous communication
    - Type of buffering

# Message-passing

## Direct and indirect communication

- **Symmetric direct communication**

- A process that wants to communicate must explicitly name the receiver or sender
  - `send(P, msg)` – send message `msg` to process `P`
  - `receive(P, msg)` – receive message `msg` from process `P`
- A communication link in this scheme has the following properties:
  - it is established automatically between a pair of communicating processes
  - it is associated with exactly two processes
  - between a pair of communicating processes there exist exactly one link

- **Asymmetric direct communication**

- Only the sender must explicitly name the receiver
  - `send(P, msg)` – send message `msg` to process `P`
  - `receive(id, msg)` – receive message `msg` from any process

# Message-passing

## Direct and indirect communication

- **Indirect communication**

- The messages are sent to and received from mailboxes, or ports
  - `send(M, msg)` – send message `msg` to mailbox `M`
  - `receive(M, msg)` – receive message `msg` from mailbox `M`
- A communication link in this scheme has the following properties:
  - it is only established if the pair of communicating processes has a shared mailbox
  - it may be associated with more than two processes
  - between a pair of processes there may exist more than one link (a mailbox per each)
- The problem of two or more processes trying to receive a message from the same mailbox
  - Is it allowed?
  - If allowed, which one will succeed?

# Message-passing

## Synchronization

- From a synchronization point of view, there are different design options for implementing **send** and **receive**
  - **Blocking send**– the sending process blocks until the message is received by the receiving process or by the mailbox
  - **Nonblocking send**– the sending process sends the message and resumes operation
  - **Blocking receive**– the receiver blocks until a message is available
  - **Nonblocking receive**– the receiver retrieves either a valid message or the indication that no one exists
- Different combinations of send and receive are possible

# Message-passing

## Buffering

- There are different design options for implementing the link supporting the communication
  - **Zero capacity** – there is no queue
    - the sender must block until the recipient receives the message
  - **Bounded capacity** – the queue has finite length
    - if the queue is full, the sender must block until space is available
  - **Unbounded capacity** – the queue has (potentially) infinite length

# Message-passing

## Bounded-buffer problem – solving using messages

**shared** MailBox mbox;

```
/* producers -  $p = 0, 1, \dots, N-1$  */  
void producer(unsigned int p)  
{  
    DATA data;  
    MESSAGE msg;  
  
    forever  
    {  
        produce_data(&data);  
        make_message(msg, data);  
        send(msg, mbox);  
        do_something_else();  
    }  
}
```

```
/* consumers -  $c = 0, 1, \dots, M-1$  */  
void consumer(unsigned int c)  
{  
    DATA data;  
    MESSAGE msg;  
  
    forever  
    {  
        receive(msg, mbox);  
        extract_data(data, msg);  
        consume_data(data);  
        do_something_else();  
    }  
}
```

- There is no need to deal with mutual exclusion and synchronization explicitly
  - the **send** and **receive** primitives take care of it

# Unix IPC primitives

## Shared memory

- Address spaces of processes are independent
- But address spaces are virtual
- The same physical region can be mapped into two or more virtual regions
- This is managed as a resource by the operating system
- **System V shared memory**
  - creation – **shmget**
  - mapping and unmapping – **shmat**, **shmdt**
  - other operations – **shmctl**
- **POSIX shared memory**
  - creation - **shm\_open**, **ftruncate**
  - mapping and unmapping - **mmap**, **munmap**
  - other operations - **close**, **shm\_unlink**, **fchmod**, ...

# Unix IPC primitives

## POSIX support for monitor implementation

- Standard POSIX, IEEE 1003.1c, defines a programming interface (API) for the creation and synchronization of threads
  - In unix, this interface is implemented by the `pthread` library
- It allows for the implementation of monitors in C/C++
  - Using mutexes and condition variables
  - Note that they are of the [Lampson / Redell](#) type
- Some of the available functions:
  - `pthread_create` – creates a new thread; similar to `fork`
  - `pthread_exit` – equivalent to `exit`
  - `pthread_join` – equivalent a `waitpid`
  - `pthread_self` – equivalent a `getpid()`
  - `pthread_mutex_*` – manipulation of mutexes
  - `pthread_cond_*` – manipulation of condition variables
  - `pthread_once` – initialization

# Unix IPC primitives

## Semaphores

- **System V semaphores**
  - creation: `semget`
  - down and up: `semop`
  - other operations: `semctl`
- **POSIX semaphores**
  - down and up
    - `sem_wait`, `sem_trywait`, `sem_timedwait`, `sem_post`
  - Two types: named and unnamed semaphores
  - Named semaphores
    - `sem_open`, `sem_close`, `sem_unlink`
    - created in a virtual filesystem (e.g., `/dev/sem`)
  - unnamed semaphores – memory based
    - `sem_init`, `sem_destroy`
  - execute `man sem_overview` for an overview



# Unix IPC primitives

## Message-passing

- **System V implementation**
  - Defines a message queue where messages of different types (a positive integer) can be stored
  - The send operation blocks if space is not available
  - The receive operation has an argument to specify the type of message to receive: a given type, any type or a range of types
    - The oldest message of given type(s) is retrieved
    - Can be blocking or nonblocking
  - see system calls: `msgget`, `msgsnd`, `msgrcv`, and `msgctl`
- **POSIX message queue**
  - Defines a priority queue
  - The send operation blocks if space is not available
  - The receive operation removes the oldest message with the highest priority
    - Can be blocking or nonblocking
  - see functions: `mq_open`, `mq_send`, `mq_receive`, ...

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