Building Java ProgramsChapter 13

Searching and Sorting

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Sequential search

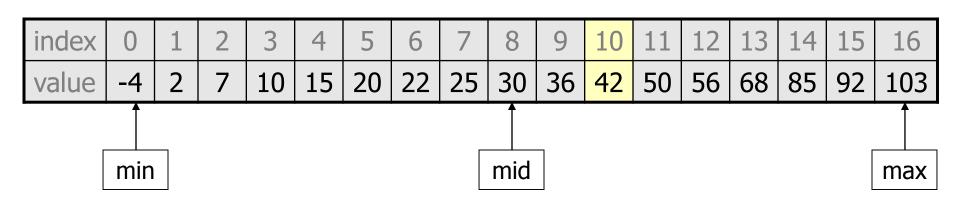
- sequential search: Locates a target value in an array/list by examining each element from start to finish.
 - How many elements will it need to examine?
 - Example: Searching the array below for the value 42:

index	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
value	-4	2	7	10	15	20	22	25	30	36	42	50	56	68	85	92	103

– Notice that the array is sorted. Could we take advantage of this?

Binary search (13.1)

- **binary search**: Locates a target value in a *sorted* array/list by successively eliminating half of the array from consideration.
 - How many elements will it need to examine?
 - Example: Searching the array below for the value 42:



The Arrays class

• Class Arrays in java.util has many useful array methods:

Method name	Description
binarySearch(array, value)	returns the index of the given value in a <i>sorted</i> array (or < 0 if not found)
binarySearch(array, minIndex, maxIndex, value)	returns index of given value in a <i>sorted</i> array between indexes <i>min max</i> - 1 (< 0 if not found)
copyOf (array, length)	returns a new resized copy of an array
equals(array1, array2)	returns true if the two arrays contain same elements in the same order
fill(array, value)	sets every element to the given value
sort(array)	arranges the elements into sorted order
toString(array)	returns a string representing the array, such as "[10, 30, -25, 17]"

• Syntax: Arrays.methodName(parameters)

Arrays.binarySearch

```
// searches an entire sorted array for a given value
// returns its index if found; a negative number if not found
// Precondition: array is sorted
Arrays.binarySearch(array, value)

// searches given portion of a sorted array for a given value
// examines minIndex (inclusive) through maxIndex (exclusive)
// returns its index if found; a negative number if not found
// Precondition: array is sorted
Arrays.binarySearch(array, minIndex, maxIndex, value)
```

- The binarySearch method in the Arrays class searches an array very efficiently if the array is sorted.
 - You can search the entire array, or just a range of indexes (useful for "unfilled" arrays)
 - If the array is not sorted, you may need to sort it first

Using binarySearch

```
// index 0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15
int[] a = {-4, 2, 7, 9, 15, 19, 25, 28, 30, 36, 42, 50, 56, 68, 85, 92};
int index1 = Arrays.binarySearch(a, 0, 16, 42);  // index1 is 10
int index2 = Arrays.binarySearch(a, 0, 16, 21);  // index2 is -7
```

- binarySearch returns the index where the value is found
- if the value is not found, binarySearch returns:

```
-(insertionPoint + 1)
```

- where insertionPoint is the index where the element would have been, if it had been in the array in sorted order.
- To insert the value into the array, negate insertionPoint + 1

```
int indexToInsert21 = -(index2 + 1); // 6
```

Binary search code

```
// Returns the index of an occurrence of target in a,
// or a negative number if the target is not found.
// Precondition: elements of a are in sorted order
public static int binarySearch(int[] a, int target) {
    int min = 0;
    int max = a.length - 1;
    while (min <= max) {</pre>
        int mid = (min + max) / 2;
        if (a[mid] < target) {</pre>
            min = mid + 1;
        } else if (a[mid] > target) {
            max = mid - 1;
        } else {
            return mid; // target found
    return - (min + 1); // target not found
```

Recursive binary search (13.3)

- Write a recursive binarySearch method.
 - If the target value is not found, return its negative insertion point.

index	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
value	4	2	7	10	15	20	22	25	30	36	42	50	56	68	85	92	103

```
int index = binarySearch(data, 42); // 10
int index2 = binarySearch(data, 66); // -14
```

Exercise solution

```
// Returns the index of an occurrence of the given value in
// the given array, or a negative number if not found.
// Precondition: elements of a are in sorted order
public static int binarySearch(int[] a, int target) {
    return binarySearch(a, target, 0, a.length - 1);
// Recursive helper to implement search behavior.
private static int binarySearch(int[] a, int target,
                                int min, int max) {
    if (min > max) {
        return -1; // target not found
    } else {
        int mid = (min + max) / 2;
        if (a[mid] < target) {</pre>
                                      // too small; go right
            return binarySearch(a, target, mid + 1, max);
        } else if (a[mid] > target) { // too large; go left
            return binarySearch(a, target, min, mid - 1);
        } else {
            return mid; // target found; a[mid] == target
```

Binary search and objects

- Can we binarySearch an array of Strings?
 - Operators like < and > do not work with String objects.
 - But we do think of strings as having an alphabetical ordering.

• **natural ordering**: Rules governing the relative placement of all values of a given type.

• **comparison function**: Code that, when given two values *A* and *B* of a given type, decides their relative ordering:

$$- A < B$$
, $A == B$, $A > B$

The compareTo method (10.2)

- The standard way for a Java class to define a comparison function for its objects is to define a compareTo method.
 - Example: in the String class, there is a method: public int compareTo(String other)

```
    A call of A.compareTo(B) will return:
    a value < 0 if A comes "before" B in the ordering,</li>
    a value > 0 if A comes "after" B in the ordering,
    or 0 if A and B are considered "equal" in the ordering.
```

Runtime Efficiency (13.2)

- efficiency: A measure of the use of computing resources by code.
 - can be relative to speed (time), memory (space), etc.
 - most commonly refers to run time
- Assume the following:
 - Any single Java statement takes the same amount of time to run.
 - A method call's runtime is measured by the total of the statements inside the method's body.
 - A loop's runtime, if the loop repeats N times, is N times the runtime of the statements in its body.

Efficiency examples

```
statement1;
statement2; > 3
statement3;
for (int i = 1; i <= N; i++) {
   statement5;
   statement6;
   statement7;
```

Efficiency examples 2

```
for (int i = 1; i <= N; i++) { for (int j = 1; j <= N; j++) { \cap{N}^2
          statement1;
for (int i = 1; i \le N; i++) {
     statement2;
     statement3;
     statement4;
     statement5;
```

• How many statements will execute if N = 10? If N = 1000?

Algorithm growth rates (13.2)

- We measure runtime in proportion to the input data size, N.
 - growth rate: Change in runtime as N changes.
- Say an algorithm runs $0.4N^3 + 25N^2 + 8N + 17$ statements.
 - Consider the runtime when N is extremely large.
 - We ignore constants like 25 because they are tiny next to N.
 - The highest-order term (N³) dominates the overall runtime.

- We say that this algorithm runs "on the order of" N³.
- or O(N³) for short ("Big-Oh of N cubed")

Complexity classes

• **complexity class**: A category of algorithm efficiency based on the algorithm's relationship to the input size N.

Class	Big-Oh	If you double N,	Example
constant	O(1)	unchanged	10ms
logarithmic	O(log ₂ N)	increases slightly	175ms
linear	O(N)	doubles	3.2 sec
log-linear	O(N log ₂ N)	slightly more than doubles	6 sec
quadratic	O(N ²)	quadruples	1 min 42 sec
cubic	O(N ₃)	multiplies by 8	55 min
		•••	•••
exponential	O(2 ^N)	multiplies drastically	5 * 10 ⁶¹ years

Binary search (13.1, 13.3)

- binary search successively eliminates half of the elements.
 - Algorithm: Examine the middle element of the array.
 - If it is too big, eliminate the right half of the array and repeat.
 - If it is too small, eliminate the left half of the array and repeat.
 - Else it is the value we're searching for, so stop.
 - Which indexes does the algorithm examine to find value 22?
 - What is the runtime complexity class of binary search?

index	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14
value	-4	-1	0	2	3	5	6	8	11	14	22	29	31	37	56

Binary search runtime

- For an array of size N, it eliminates ½ until 1 element remains.
 N, N/2, N/4, N/8, ..., 4, 2, 1
 - How many divisions does it take?
- Think of it from the other direction:
 - How many times do I have to multiply by 2 to reach N?
 1, 2, 4, 8, ..., N/4, N/2, N
 - Call this number of multiplications "x".

$$2^{x} = N$$

 $x = log_{2} N$

Binary search is in the logarithmic complexity class.

Range algorithm

What complexity class is this algorithm? Can it be improved?

```
// returns the range of values in the given array;
// the difference between elements furthest apart
// example: range({17, 29, 11, 4, 20, 8}) is 25
public static int range(int[] numbers) {
    int maxDiff = 0; // look at each pair of values
    for (int i = 0; i < numbers.length; <math>i++) {
        for (int j = 0; j < numbers.length; <math>j++) {
            int diff = Math.abs(numbers[j] - numbers[i]);
            if (diff > maxDiff) {
                maxDiff = diff;
    return diff;
```

Range algorithm 2

The algorithm is $O(N^2)$. A slightly better version:

```
// returns the range of values in the given array;
// the difference between elements furthest apart
// example: range({17, 29, 11, 4, 20, 8}) is 25
public static int range(int[] numbers) {
    int maxDiff = 0; // look at each pair of values
    for (int i = 0; i < numbers.length; <math>i++) {
        for (int j = i + 1; j < numbers.length; <math>j++) {
            int diff = Math.abs(numbers[j] - numbers[i]);
            if (diff > maxDiff) {
                maxDiff = diff;
    return diff;
```

Range algorithm 3

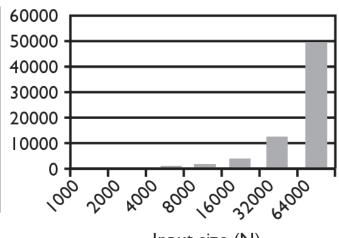
This final version is O(N). It runs MUCH faster:

```
// returns the range of values in the given array;
// example: range({17, 29, 11, 4, 20, 8}) is 25
public static int range(int[] numbers) {
    int max = numbers[0];  // find max/min values
    int min = max;
    for (int i = 1; i < numbers.length; i++) {</pre>
        if (numbers[i] < min) {</pre>
            min = numbers[i];
        if (numbers[i] > max) {
            max = numbers[i];
    return max - min;
```

Runtime of first 2 versions

• Version 1:

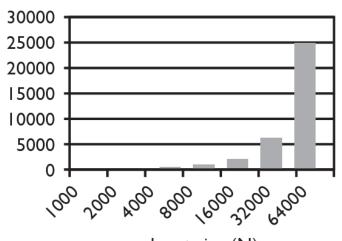
N	Runtime (ms)
1000	15
2000	47
4000	203
8000	781
16000	3110
32000	12563
64000	49937



Input size (N)

• Version 2:

N	Runtime (ms)
1000	16
2000	16
4000	110
8000	406
16000	1578
32000	6265
64000	25031

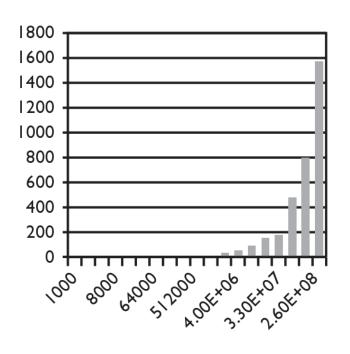


Input size (N)

Runtime of 3rd version

• Version 3:

N	Runtime (ms)
1000	0
2000	0
4000	0
8000	0
16000	0
32000	0
64000	0
128000	0
256000	0
512000	0
le6	0
2e6	16
4e6	31
8e6	47
1.67e7	94
3.3e7	188
6.5e7	453
1.3e8	797
2.6e8	1578



Input size (N)

Sorting

- **sorting**: Rearranging the values in an array or collection into a specific order (usually into their "natural ordering").
 - one of the fundamental problems in computer science
 - can be solved in many ways:
 - there are many sorting algorithms
 - some are faster/slower than others
 - some use more/less memory than others
 - some work better with specific kinds of data
 - some can utilize multiple computers / processors, ...
 - comparison-based sorting: determining order by comparing pairs of elements:
 - <, >, compareTo, ...

Sorting methods in Java

• The Arrays and Collections classes in java.util have a static method sort that sorts the elements of an array/list

```
String[] words = {"foo", "bar", "baz", "ball"};
Arrays.sort(words);
System.out.println(Arrays.toString(words));
// [ball, bar, baz, foo]
List<String> words2 = new ArrayList<String>();
for (String word: words) {
    words2.add(word);
Collections.sort(words2);
System.out.println(words2);
// [ball, bar, baz, foo]
```

Collections class

Method name	Description
binarySearch(list, value)	returns the index of the given value in a sorted list (< 0 if not found)
copy(listTo, listFrom)	copies listFrom 's elements to listTo
<pre>emptyList(), emptyMap(), emptySet()</pre>	returns a read-only collection of the given type that has no elements
fill(list, value)	sets every element in the list to have the given value
max(collection), min(collection)	returns largest/smallest element
replaceAll(list, old, new)	replaces an element value with another
reverse(list)	reverses the order of a list's elements
shuffle(list)	arranges elements into a random order
sort(list)	arranges elements into ascending order

Sorting algorithms

- **bogo sort**: shuffle and pray
- **bubble sort**: swap adjacent pairs that are out of order
- **selection sort**: look for the smallest element, move to front
- insertion sort: build an increasingly large sorted front portion
- merge sort: recursively divide the array in half and sort it
- **heap sort**: place the values into a sorted tree structure
- quick sort: recursively partition array based on a middle value

other specialized sorting algorithms:

- bucket sort: cluster elements into smaller groups, sort them
- radix sort: sort integers by last digit, then 2nd to last, then ...

• ...

Bogo sort

- **bogo sort**: Orders a list of values by repetitively shuffling them and checking if they are sorted.
 - name comes from the word "bogus"

The algorithm:

- Scan the list, seeing if it is sorted. If so, stop.
- Else, shuffle the values in the list and repeat.

This sorting algorithm (obviously) has terrible performance!

Bogo sort code

```
// Places the elements of a into sorted order.
public static void bogoSort(int[] a) {
    while (!isSorted(a)) {
        shuffle(a);
// Returns true if a's elements are in sorted order.
public static boolean isSorted(int[] a) {
    for (int i = 0; i < a.length - 1; i++) {
        if (a[i] > a[i + 1]) {
            return false:
    return true;
```

Bogo sort code, cont'd.

```
// Shuffles an array of ints by randomly swapping each
// element with an element ahead of it in the array.
public static void shuffle(int[] a) {
    for (int i = 0; i < a.length - 1; i++) {
        // pick a random index in [i+1, a.length-1]
        int range = a.length - 1 - (i + 1) + 1;
        int j = (int) (Math.random() * range + (i + 1));
        swap(a, i, j);
// Swaps a[i] with a[j].
public static void swap(int[] a, int i, int j) {
    if (i != j) {
        int temp = a[i];
        a[i] = a[j];
        a[j] = temp;
```

Selection sort

• **selection sort**: Orders a list of values by repeatedly putting the smallest or largest unplaced value into its final position.

The algorithm:

- Look through the list to find the smallest value.
- Swap it so that it is at index 0.
- Look through the list to find the second-smallest value.
- Swap it so that it is at index 1.

...

Repeat until all values are in their proper places.

Selection sort example

• Initial array:

index	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
value	22	18	12	-4	27	30	36	50	7	68	91	56	2	85	42	98	25

After 1st, 2nd, and 3rd passes:

index	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
value	-4	18	12	22	27	30	36	50	7	68	91	56	2	85	42	98	25
index	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
value	-4	2	12	22	27	30	36	50	7	68	91	56	18	85	42	98	25
										-						-	_
index	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
value	-4	2	7	22	27	30	36	50	12	68	91	56	18	85	42	98	25

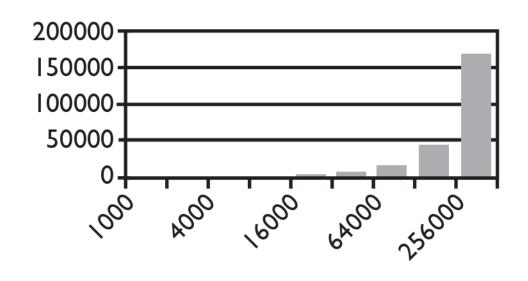
Selection sort code

```
// Rearranges the elements of a into sorted order using
// the selection sort algorithm.
public static void selectionSort(int[] a) {
    for (int i = 0; i < a.length - 1; i++) {
        // find index of smallest remaining value
        int min = i;
        for (int j = i + 1; j < a.length; j++) {
            if (a[j] < a[min]) {
                min = j;
        // swap smallest value its proper place, a[i]
        swap(a, i, min);
```

Selection sort runtime (Fig. 13.6)

What is the complexity class (Big-Oh) of selection sort?

N	Runtime (ms)
1000	0
2000	16
4000	47
8000	234
16000	657
32000	2562
64000	10265
128000	41141
256000	164985

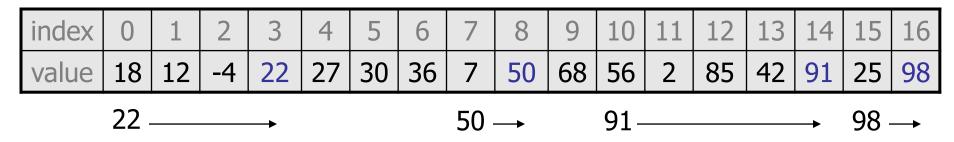


Input size (N)

Similar algorithms

index	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
value	22	18	12	-4	27	30	36	50	7	68	91	56	2	85	42	98	25

- bubble sort: Make repeated passes, swapping adjacent values
 - slower than selection sort (has to do more swaps)



- insertion sort: Shift each element into a sorted sub-array
 - faster than selection sort (examines fewer values)

index	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
value	-4	12	18	22	27	30	36	50	7	68	91	56	2	85	42	98	25

sorted sub-array (indexes 0-7)

Merge sort

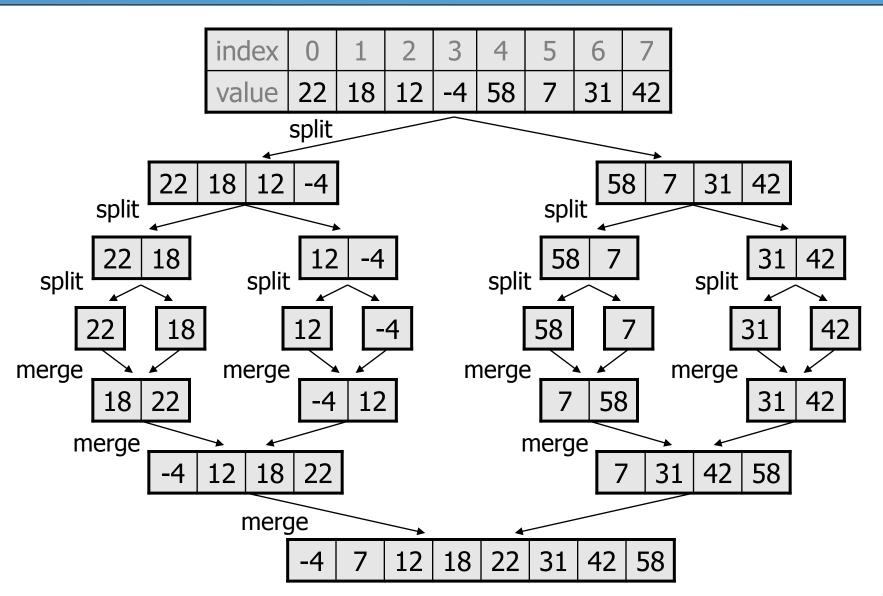
 merge sort: Repeatedly divides the data in half, sorts each half, and combines the sorted halves into a sorted whole.

The algorithm:

- Divide the list into two roughly equal halves.
- Sort the left half.
- Sort the right half.
- Merge the two sorted halves into one sorted list.

- Often implemented recursively.
- An example of a "divide and conquer" algorithm.
 - Invented by John von Neumann in 1945

Merge sort example



Merging sorted halves

			Sub	arra	ys				Next include			١	1erge	d arra	ay		
0	1	2	3		0	1	2	3		0	1	2	3	4	5	6	7
14	32	67	76	2	23	41	58	85	I 4 from left	14							
il					i2				•	i							
14	32	67	76	2	23	41	58	85	23 from right	14	23						
	il				i2				ı		i						
14	32	67	76	2	23	41	58	85	32 from left	14	23	32					
	il					i2			•			i					
14	32	67	76	2	2.3	41	58	85	41 from right	14	23	32	41				
		il				i2							i				
14	32	67	76	2	23	41	58	85	58 from right	14	23	32	41	58			
		il					i2							i			
14	32	67	76	2	3	41	58	85	67 from left	14	23	3 2	41	58	67		
		iÌ					,	i2							i		
14	32	67	76	2	3	41	58	85	76 from left	14	23	32	41	58	67	76	
			il					i2								i	
14	32	67	76	2	3	41	58	85	85 from right	14	23	32	41	58	67	76	85
								i2									i

Merge halves code

```
// Merges the left/right elements into a sorted result.
// Precondition: left/right are sorted
public static void merge(int[] result, int[] left,
                                        int[] right) {
    int i1 = 0; // index into left array
    int i2 = 0; // index into right array
    for (int i = 0; i < result.length; <math>i++) {
        if (i2 >= right.length ||
           (i1 < left.length && left[i1] <= right[i2])) {</pre>
            result[i] = left[i1];  // take from left
            i1++;
        } else {
            result[i] = right[i2]; // take from right
            i2++;
```

Merge sort code

```
// Rearranges the elements of a into sorted order using
// the merge sort algorithm.
public static void mergeSort(int[] a) {
    // split array into two halves
    int[] left = Arrays.copyOfRange(a, 0, a.length/2);
    int[] right = Arrays.copyOfRange(a, a.length/2, a.length);
    // sort the two halves
    // merge the sorted halves into a sorted whole
    merge(a, left, right);
```

Merge sort code 2

```
// Rearranges the elements of a into sorted order using
// the merge sort algorithm (recursive).
public static void mergeSort(int[] a) {
    if (a.length >= 2) {
        // split array into two halves
        int[] left = Arrays.copyOfRange(a, 0, a.length/2);
        int[] right = Arrays.copyOfRange(a, a.length/2, a.length);
        // sort the two halves
        mergeSort(left);
        mergeSort(right);
        // merge the sorted halves into a sorted whole
        merge(a, left, right);
```

Merge sort runtime

What is the complexity class (Big-Oh) of merge sort?

Ν	Runtime (ms)
1000	0
2000	0
4000	0
8000	0
16000	0
32000	15
64000	16
128000	47
256000	125
512000	250
le6	532
2e6	1078
4e6	2265
8e6	4781
1.6e7	9828
3.3e7	20422
6.5e7	42406
1.3e8	88344

