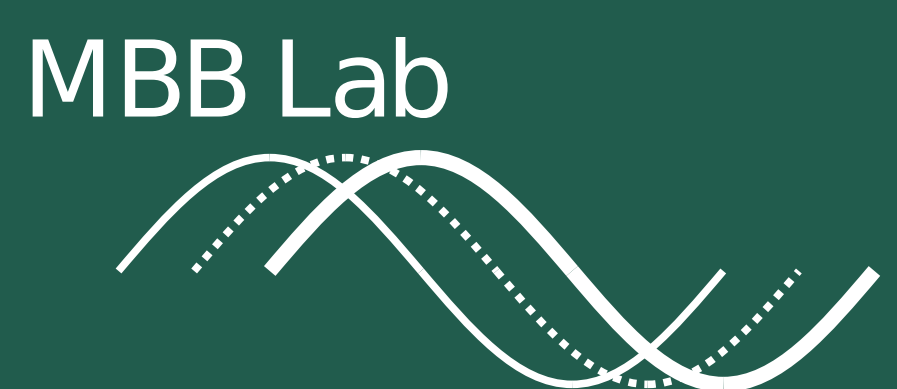


# Dynamic dictionary entries are rank-1 functional connectivity networks associated with maturation



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## Introduction: challenges and goals

### Challenges

- fMRI data usually has very high feature dimension but small sample size
- For instance, using a 264-region template, a subject's functional connectivity (FC) contains 34,716 unique entries; in contrast, most fMRI studies recruit fewer than 30 subjects
- FC captures pairwise relationships between two regions, and not network-level relationships
- FC captures the average connectivity over time and does not reveal brain state dynamics

### Goals

- Network-Level Differences** Identify differences at the level of networks and not individual FCs
- Dynamic States** Find representative states that make up the dynamic chronnectome and correlate with age, sex, ethnicity, and general intelligence
- Better Performance** Perform as well as or better at phenotype prediction than traditional decompositions such as PCA and kSVD

## Background on fMRI and functional connectivity

- fMRI is an endophenotype that has been used to predict age, sex, intelligence, and disease status
- The most common way to interpret fMRI for prediction is through functional connectivity (FC)
- FC is the Pearson correlation between the BOLD signal of different brain regions

## Dynamic dictionary formulation

The problem is formulated as estimating the instantaneous rank-1 FC of a subject at each time point:

$$\mathbf{S}^{(t)} = \mathbf{X}_{:,t} \mathbf{X}_{:,t}^T \quad (1)$$

where  $\mathbf{X} \in \mathbb{R}^{N_d \times N_t}$  is a data matrix of  $N_d$  ROIs by  $N_t$  time points, and  $\mathbf{S}^{(t)}$  is the true instantaneous FC at time  $t$ . A dictionary is constructed as:

$$\mathcal{D} = \{\mathbf{a}\mathbf{a}^T \mid \mathbf{a} \in \mathbb{R}^{N_d \times 1}\},$$

$$\hat{\mathbf{S}}^{(s,t)} = \sum_{i=0}^{N_c} w_i^{(s,t)} \mathcal{D}_i, \quad (2)$$

where  $\mathcal{D}$  is the FC dictionary,  $N_c = |\mathcal{D}|$  is the number of dictionary components,  $\mathcal{D}_i = \mathbf{a}\mathbf{a}^T$  is the  $i^{\text{th}}$  rank-1 connectivity component,  $w_i^{(s,t)}$  is a learned weight, and  $\hat{\mathbf{S}}^{(s,t)}$  is the estimated FC for subject  $s$  at time  $t$ .

We perform training on a subset of subjects. Reconstruction loss is minimized along with a smoothness-promoting regularizer  $\alpha$  inspired by time-varying graphical Lasso. Weights are constrained to be non-negative.

$$\min_{\mathcal{D}, w_i^{(s,t)}} \left( \sum_{s,t} \|\mathbf{S}^{(s,t)} - \hat{\mathbf{S}}^{(s,t)}\|_F^2 + \alpha \sum_{s,t} \|\hat{\mathbf{S}}^{(s,t)} - \hat{\mathbf{S}}^{(s,t-1)}\|_F^2 \right) \quad \text{s.t.} \quad w_i^{(s,t)} \geq 0, \quad (3)$$

Finally, we estimate component weights  $w_i^{(s,t)}$  for all subjects using L2 penalized least squares. The resulting estimated dynamic FC is averaged for predictive tasks.

## Dataset

### Philadelphia Neurodevelopmental Cohort (PNC)

- fMRI scans for 1,529 healthy adolescents, three scanner tasks: rest, nback, and emoid (4,343 total scans)
- 8-23 years old, 725 males, 804 females
- Dataset enriched for European (EA) and African (AA) ancestry
- fMRI bandpass filtered and **timeseries normalized to L2 norm of 1**

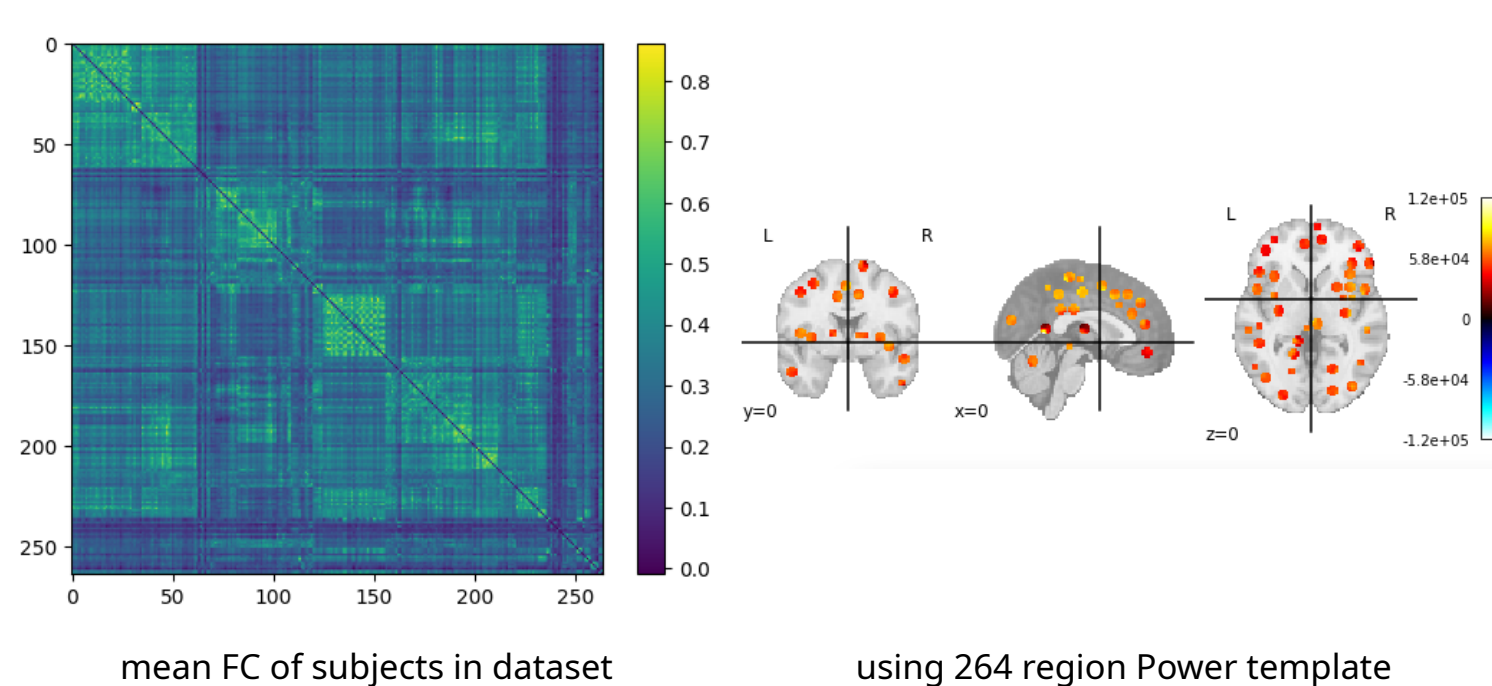


Figure 1. Mean FC of subjects in the PNC cohort, alongside the 264-region Power template used to parcellate normalized subject brain volumes.

## Age prediction results

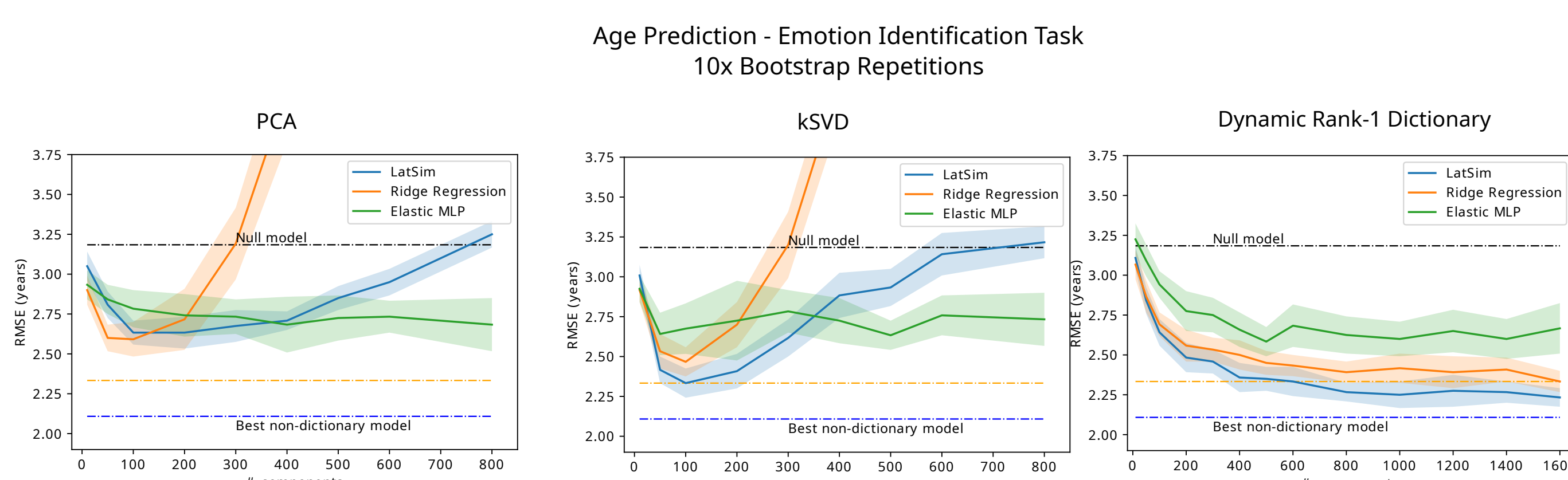


Figure 2. Comparison of age prediction error after dimensionality reduction by PCA, kSVD, and our dynamic rank-1 dictionary. Prediction was carried out using ridge regression, elastic MLP, and our Latent Similarity model.

## Connectivity in children vs young adults

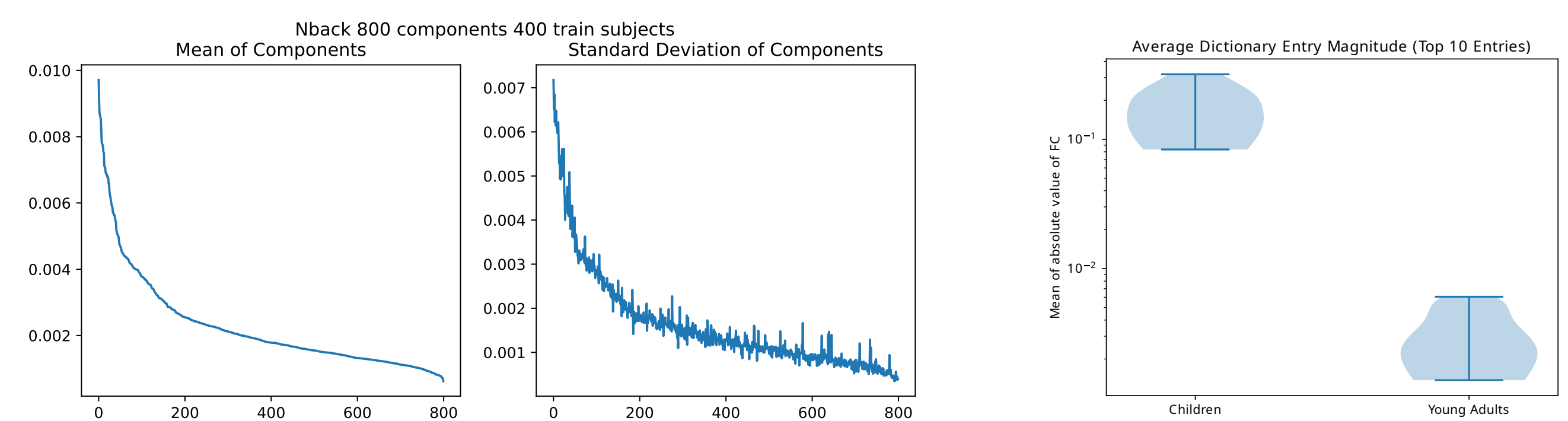


Figure 3. Weight magnitude distribution for each component (left) and within-component average FC for top components associated with children vs. young adults (right). We see that children have much higher average connectivity than young adults; see also Figure 4.

## Correlation of dictionary entries with phenotype

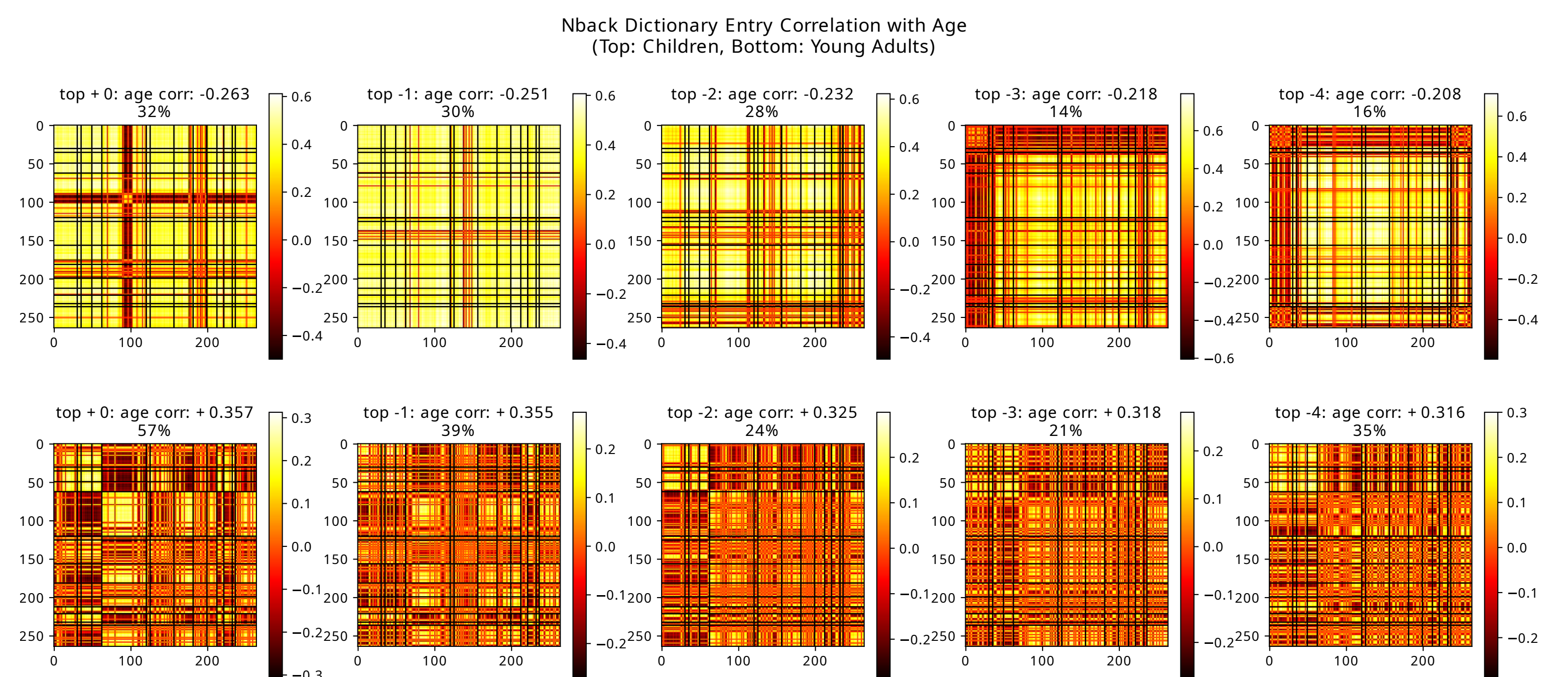


Figure 4. Correlation of dictionary entries with age. Working memory (nback) task. Note the scale of the colorbars: components correlated with young age have much higher average connectivity.

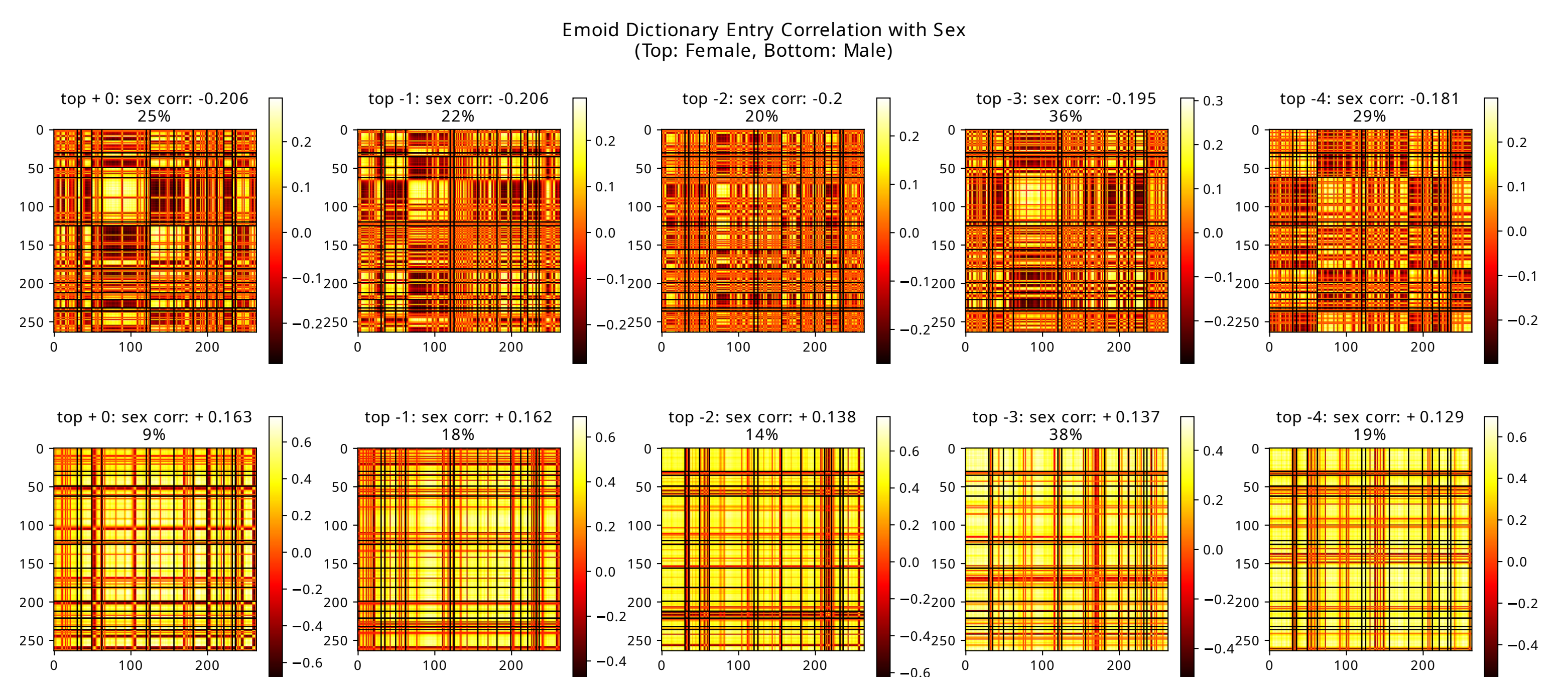


Figure 5. Correlation of dictionary entries with sex. Emotion identification (emoid) task. Note the high intra-DMN connectivity in females and flat, high magnitude connectivity in males.

### Functional Networks

ROIs		ROIs	
0-29	Somatomotor Hand	156-180	Frontoparietal
30-34	Somatomotor Mouth	181-198	Salience
35-48	Cinguloopercular	199-211	Subcortical
49-61	Auditory	212-220	Ventral Attention
62-119	Default Mode (DMN)	221-231	Dorsal Attention
120-124	Memory	232-235	Cerebellar
125-155	Visual	236-263	Uncertain

### Phenotype Signal in Dynamic Dict

Phenotype	Min Entry Corr	Max Entry Corr	Scanner Task
Age	-0.263	+0.357	nback
Sex	-0.206	+0.163	emoid
Race	-0.246	+0.198	emoid
Intelligence	-0.151	+0.159	nback

Table 1. Power atlas regions (left) and minimum/maximum correlation of components with phenotype (right) - higher absolute values indicate stronger signal.

## Summary of findings

- Dynamic dictionary decomposition has somewhat **better prediction** compared to PCA and kSVD
- Our decomposition does not suffer from the **U-shaped error curve**, as seen with PCA and kSVD (see Figure 2).
- Components associated with males and children have **much higher average connectivity** and the connectivity is constant for almost connections
- Young adult and female-associated components display **higher modularity**, in particular **high intra-DMN connectivity**