

Research Meeting

UK Biobank Working Task, Polygenic Risk Scores, Low Rank Mixup
Augmentations, and Imputation of FC

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Outline

1 UK Biobank

- Working Task
- Polygenic Risk Scores (PRS)

2 Low Rank Mixup Augmentations for Contrastive Learning

3 Imputation of FC Within and Between Datasets



UK Biobank



Working Task



Working Task Scan

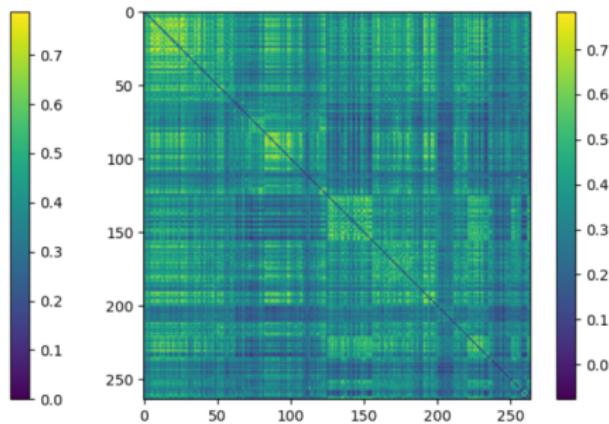
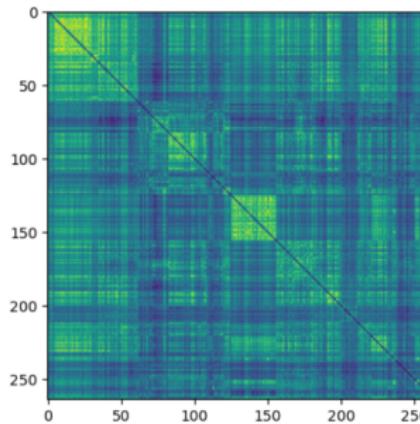
- We have finished pre-processing the UKB working task scan
- Hariri faces/shapes “emotion” task [Hariri et al. 2002, Barch et al. 2013]¹
 - ▶ 34,898 subjects with first working task scan
 - ▶ 2,550 subjects with second working task scan
 - ▶ 40,627 subjects with first resting state scan
 - ▶ 2,867 subjects with second resting state scan
- These are the subjects for which our SPM12 pipeline succeeded and we have MNI-space volumes/timeseries
 - ▶ Resting state scan: 20227-2/3
 - ▶ Working task scan: 20249-2/3



¹UK Biobank Brain Imaging Documentation Version 1.9 September 2022

Rest and Working Mean FC First Scan

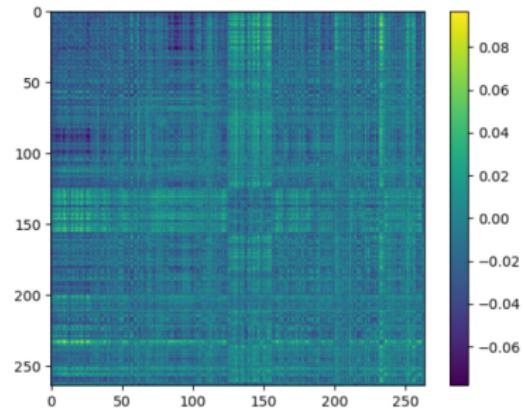
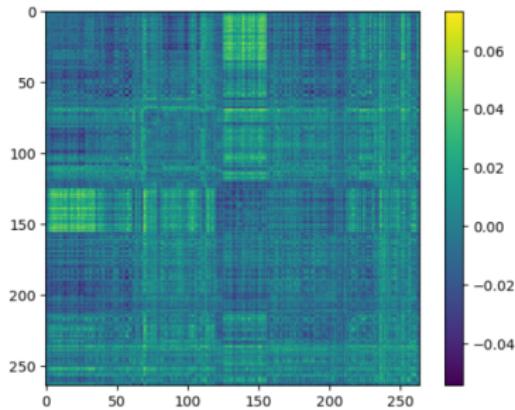
- Average resting state and working task FC for first scan
- Left: resting state
- Right: working task



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Difference Between First and Second Scan

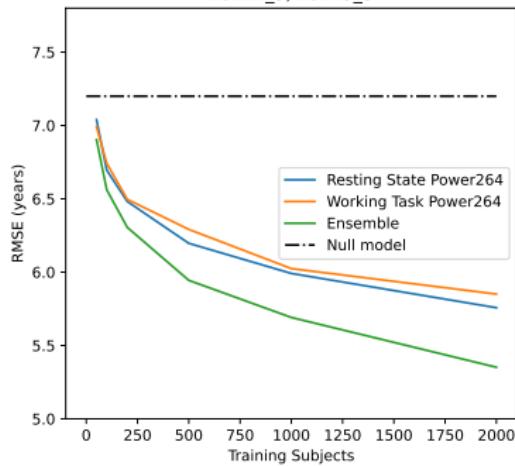
- Difference in mean FC between longitudinal scans
- Left: resting state
- Right: working task
- Both tasks show difference between first and second longitudinal scans, with somewhat similar patterns



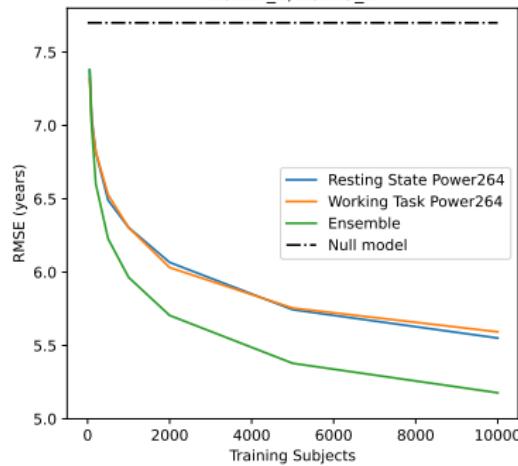
Working Task Age Prediction

Age Prediction from FC Ridge $\alpha=1000$ 10 Iterations

20227_3, 20249_3



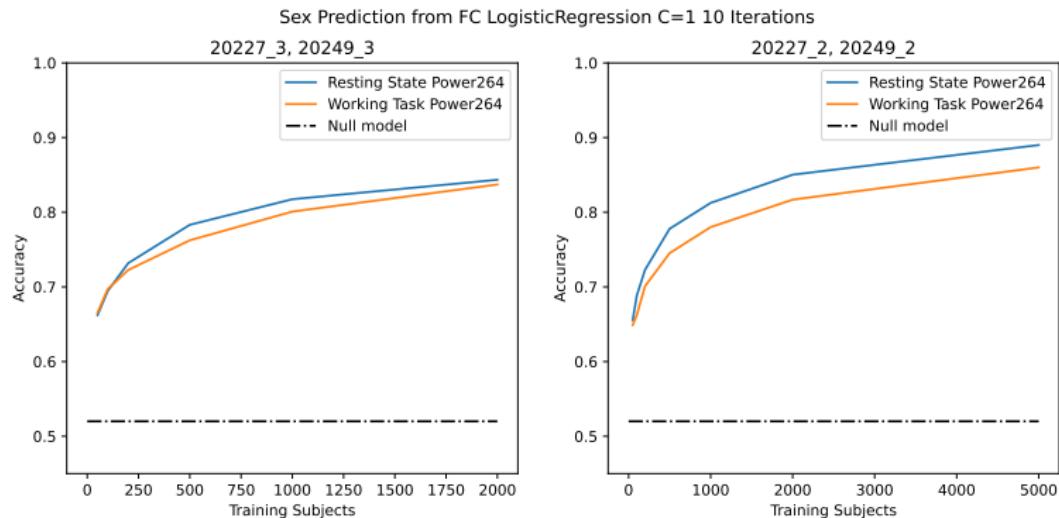
20227_2, 20249_2



- Second scan (left) and first scan (right)
- Ensemble of resting and work significantly better
- May possibly be able to make ensemble with ICA55 FC and PC



Working Task Sex Prediction

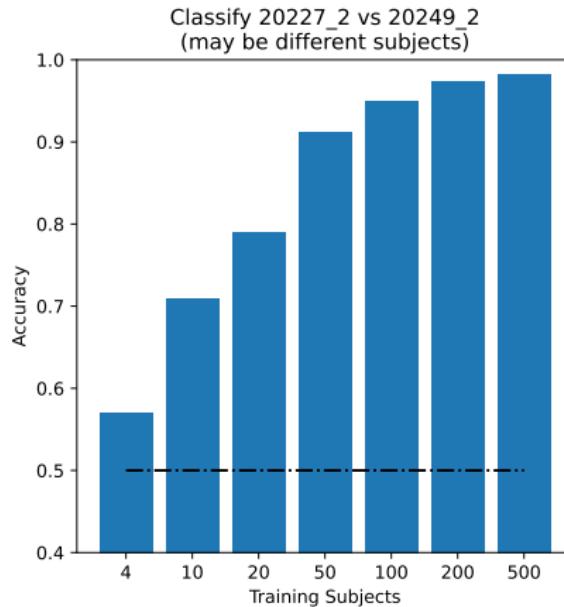


- Working task slightly worse than resting state
- Less clear ensemble would improve classification
 - ▶ More to say on this in later section



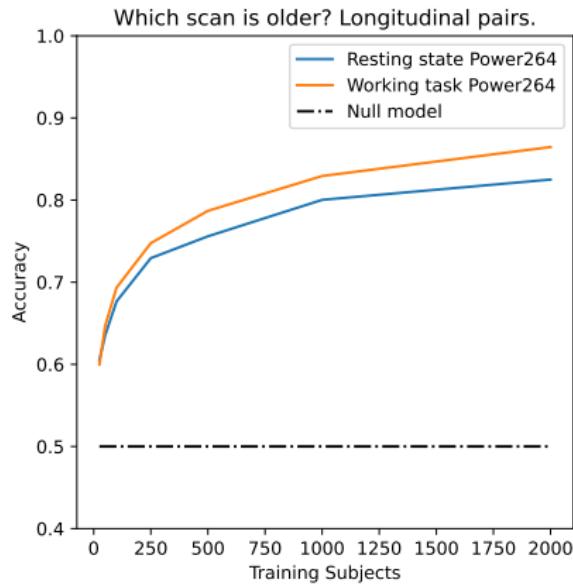
Distinguishing Resting State and Working Task

- We see that the easiest thing to do with fMRI (FC) is to distinguish between different scanner tasks



Longitudinal fMRI First vs Second Scan

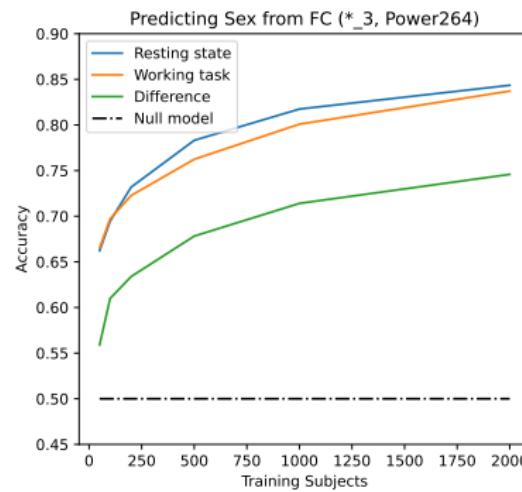
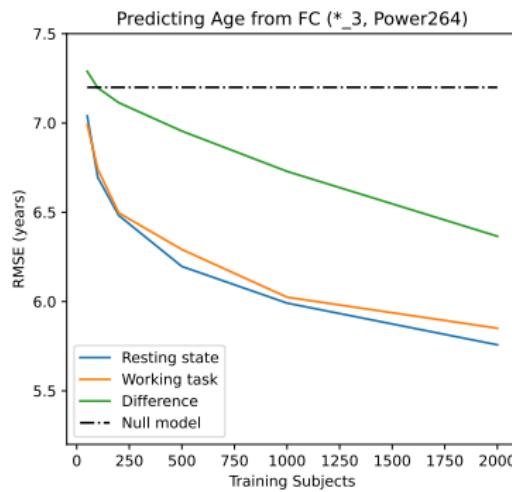
- As we found for the resting state, it is possible in most case to pick which of the working task scans of a pair is older
- Input is difference of FCs



Predicting Age and Sex from Difference of Scanner Task FCs

- Both age and sex can be moderately well predicted from the difference of scanner task FCs (age $\alpha = 1000$, sex $C = 1$)
- Not as well as from either of the original FCs
- May be possible to use in ensemble

Using Difference Between Task FCs for Prediction

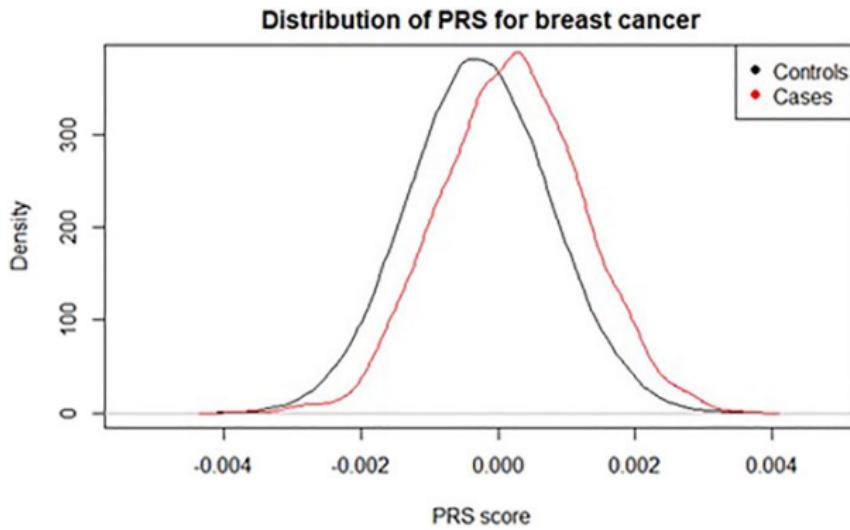


Polygenic Risk Scores (PRS)



Tutorials for Calculation of PRSs

- Many tutorials exist for conducting genome wide association studies and calculating PRSs (see figure below)²
- The UKB provides pre-calculated scores



²Collister et al. (2022) 10.3389/fgene.2022.818574

Almost 90 Risk Scores

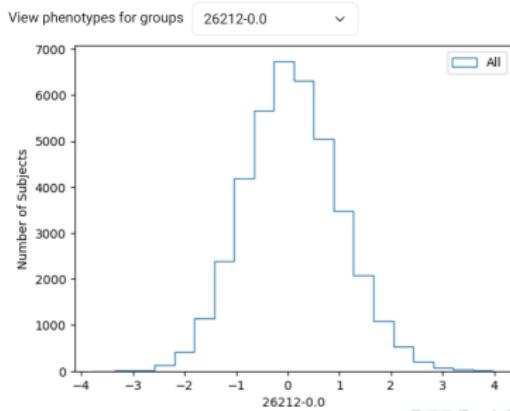
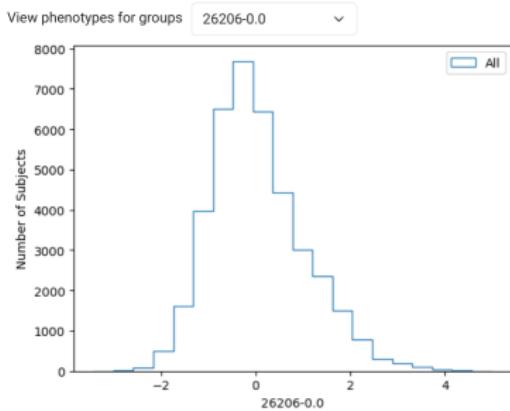
- UKB includes polygenic risk scores for almost 90 fields (26201-26290)
 - ▶ 28 diseases
 - ▶ 25 quantitative traits
 - ▶ "outperform a broad set of 81 published PRSs"³
- e.g., genetics principal component [ancestry] (26201), Alzheimer's (26206), height, obesity, schizophrenia risk
- Includes standard and enhanced risk scores, not overlapping subjects



³ Thompson et al. (2022) 10.1101/2022.06.16.22276246

Example of PRSs

- We correlate these per-subject scalar PRS scores with each functional connectivity feature (34,716 for each subject)
- Correcting for multiple comparisons (Bonferroni)
- Here we see PRS histograms for AD (26206) and atrial fibrillation (26212)



Most Significant FC-PRSSs

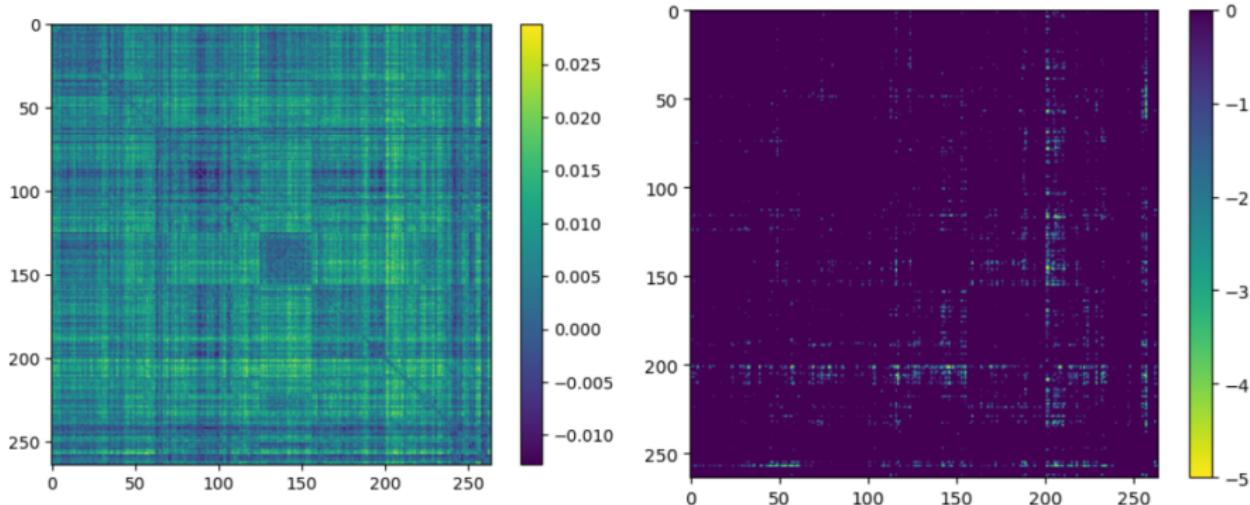
General Health Trend

Interestingly, many of the most highly FC-correlated features are indicative of general health or physical build

- Atrial fibrillation (26212), BMI (26216/26217), bone mineral density (26234), height (26240/26241), HDL cholesterol (26242), hypertension (26244), ischemic stroke (26248), osteoporosis (26258/26259), type II diabetes (26285)
- Less significant: cardiovascular disease (26223), coronary artery disease (26227)
- Others: principal genetic component (26201), Parkinson's disease (26260), schizophrenia (26275/26276)



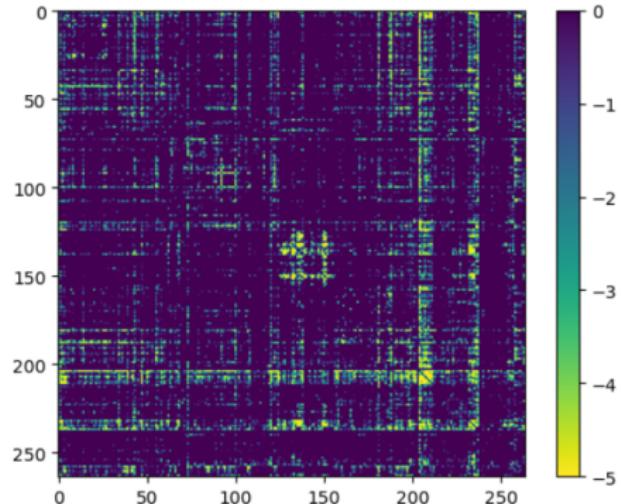
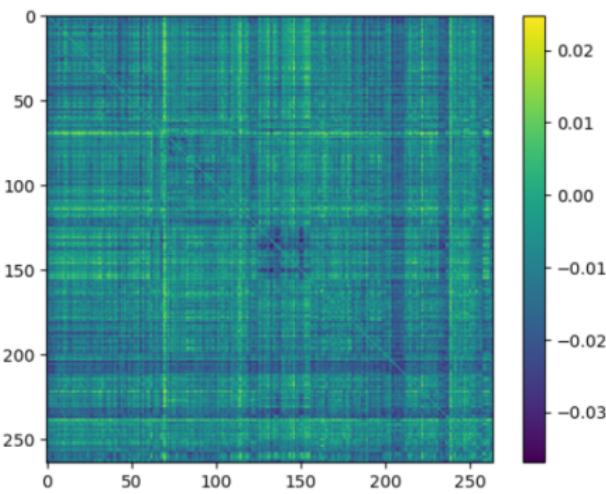
Atrial Fibrillation (26212)



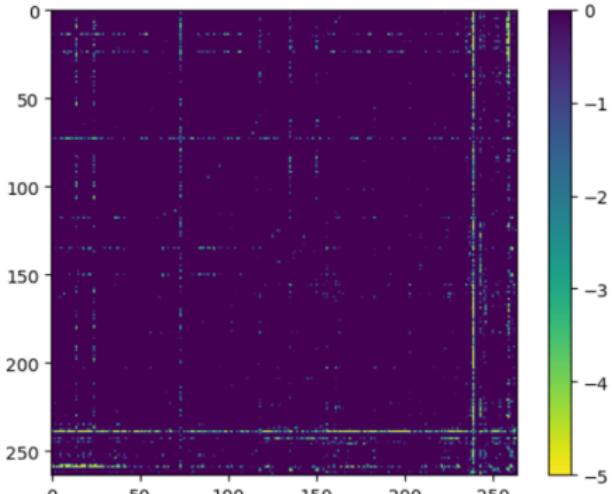
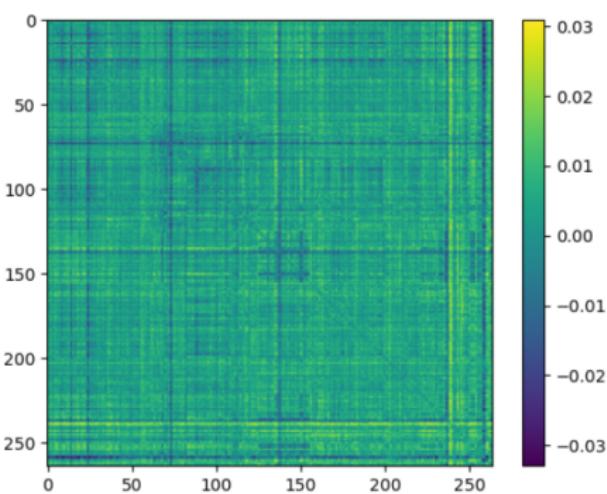
- Left: FC correlations with PRS
- Right: Log of p-value of correlations (clipped at -5)



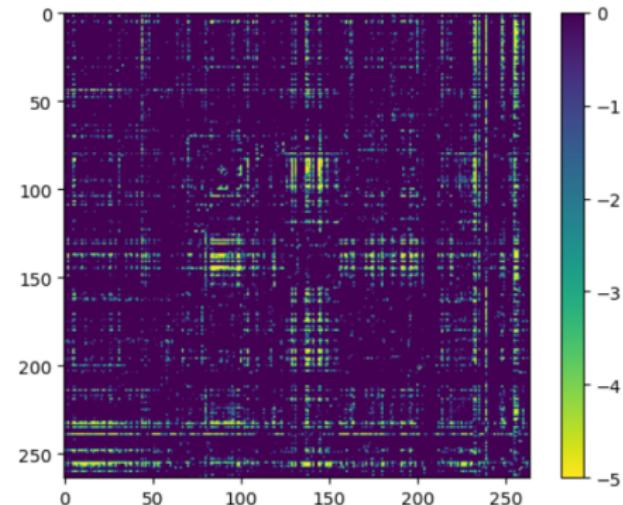
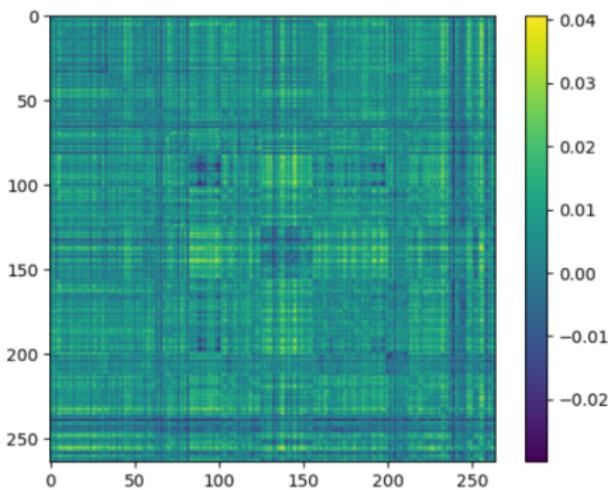
BMI (26216/26217)



Bone Mineral Density (26234)

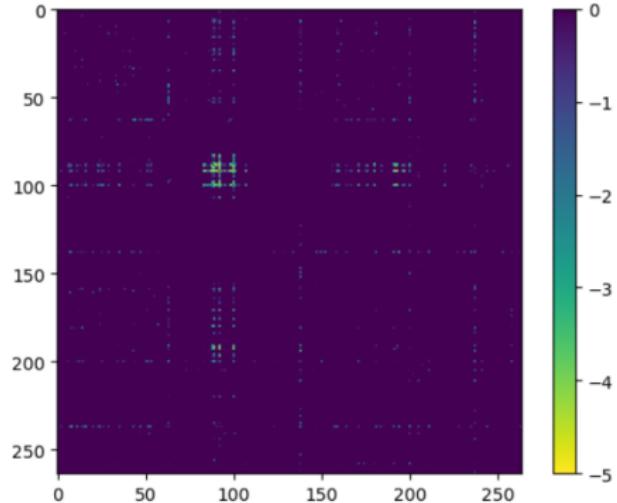
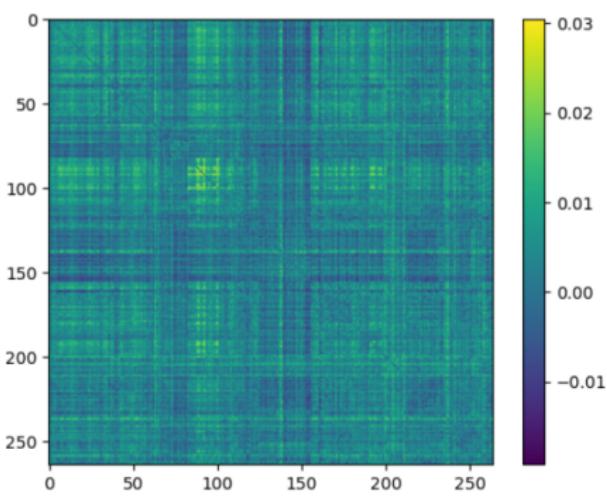


Height (26240)

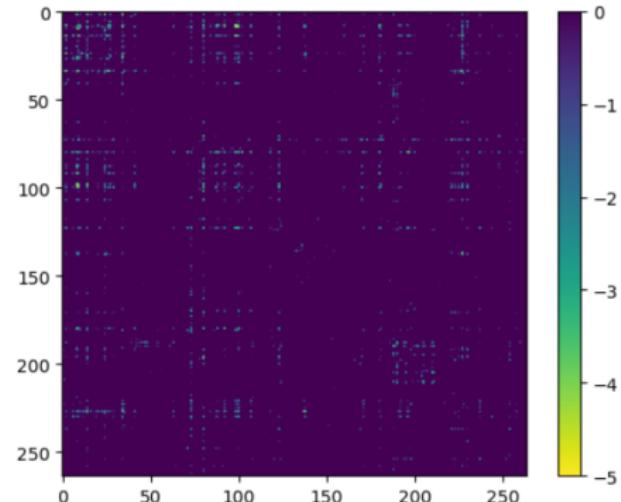
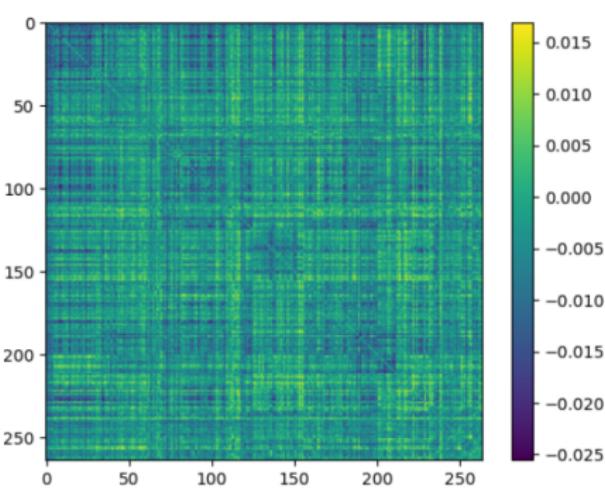


- Valid separately for males and females

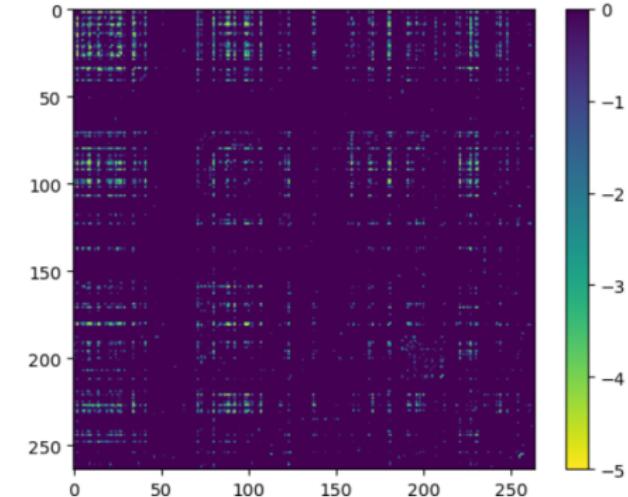
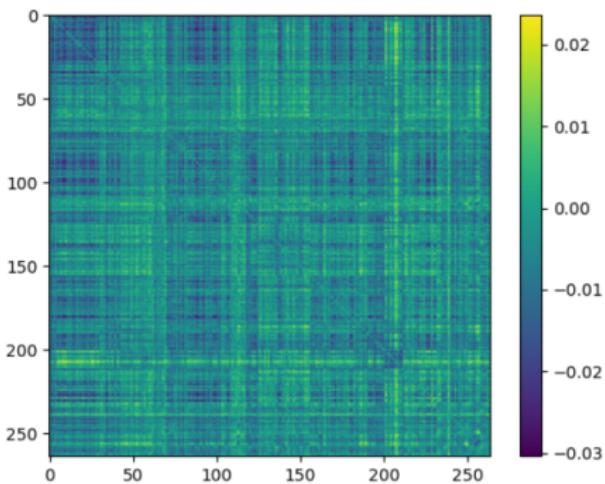
HDL Cholesterol (26242)



Hypertension (26244)

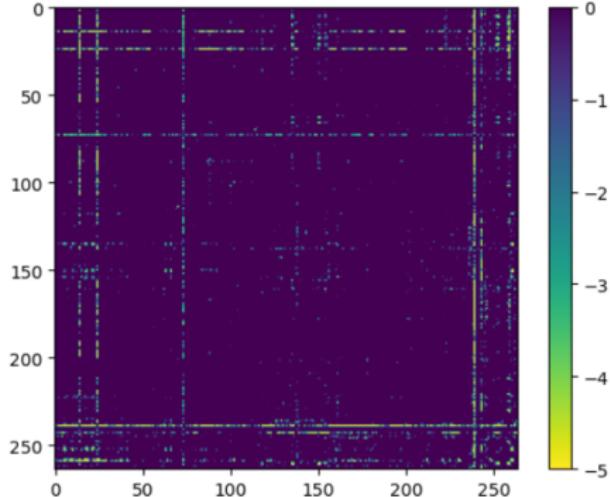
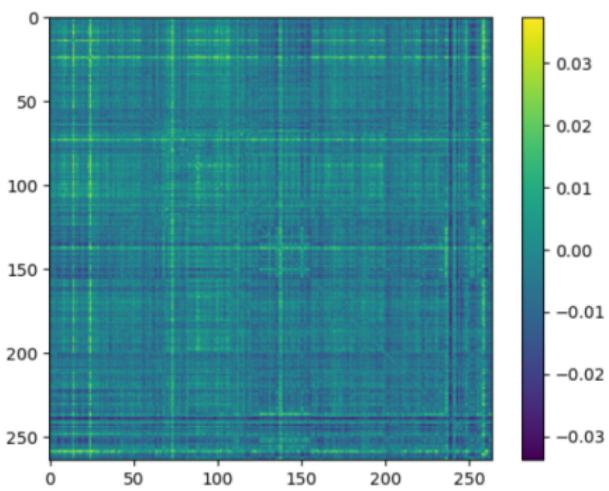


Ischemic Stroke (26248)



- Similar to hypertension above

Osteoporosis (26258)



- It seems there are about 5 ROIs that are consistently associated with osteoporosis (and BMD)
- Either increased or decreased connectivity

BMD and Osteoporosis Associated FCs

| Power264 ROI | AAL Name | MNI Coords | Network |
|--------------|----------------|---------------|-------------|
| 15 | Postcentral_L | (-38,-27,69) | Somatomotor |
| 25 | "undefined" | (-38,-15,69) | Somatomotor |
| 74 | Angular_L | (-39,-75,44) | DMN |
| 240 | Temporal_Inf_L | (-56,-45,-24) | Uncertain |
| 260 | Fusiform_L | (-31,-10,-36) | Uncertain |

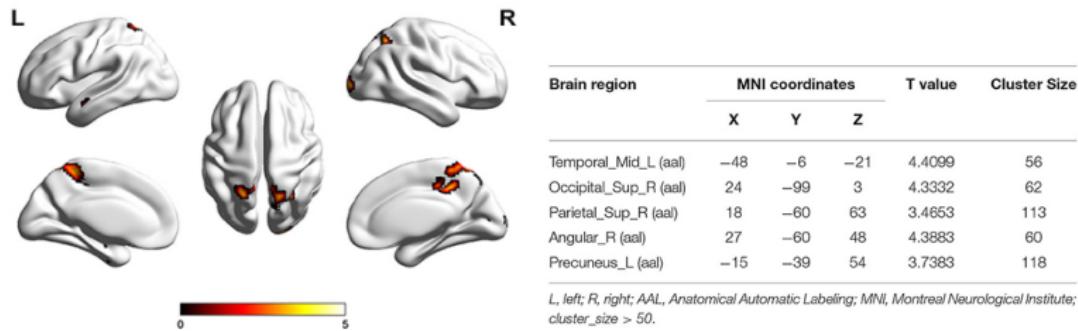
- There aren't many studies associating osteoporosis with FC
- Here is one on Diabetic Osteoporosis examined using Regional Homogeneity (ReHo)⁴



⁴Liu et al. (2022) 10.3389/fnagi.2022.851929

Significant Regions of ReHo Group Differences from that Study

- Their reported significant differences



L, left; R, right; AAL, Anatomical Automatic Labeling; MNI, Montreal Neurological Institute; cluster_size > 50.

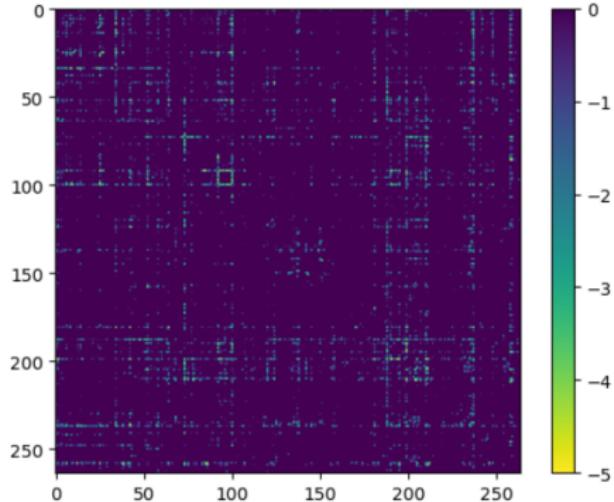
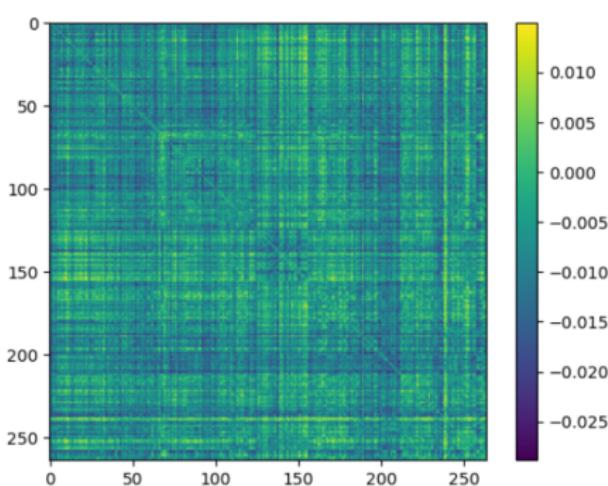


Most FC-Associated Regions On Edge of MNI Space

- All but one of the 5 regions we found are at the very edge of gray matter in MNI space
 - ▶ All except ROI-260
 - ▶ Likely registration effects or differences in gray matter thickness contribute
- Even discounting 4 edge regions there are still many FCs that reach significance threshold
- Web viewer for MNI space coordinates:
<https://bioimagesuiteweb.github.io/webapp/mni2tal.html>



Type II Diabetes (26285)

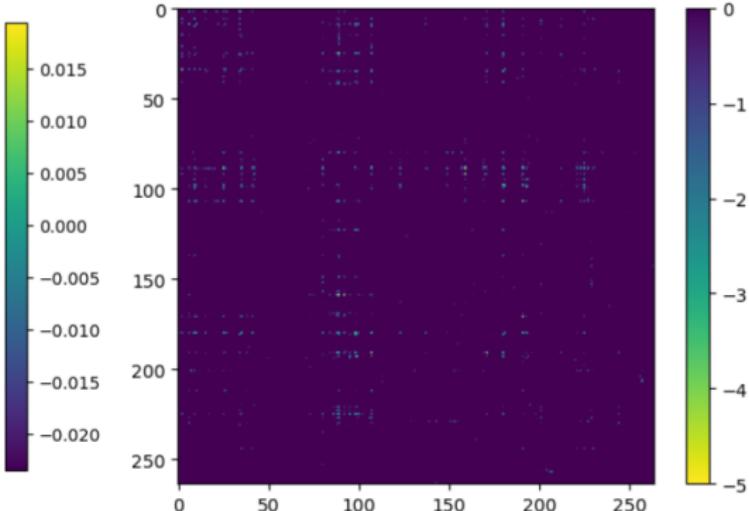
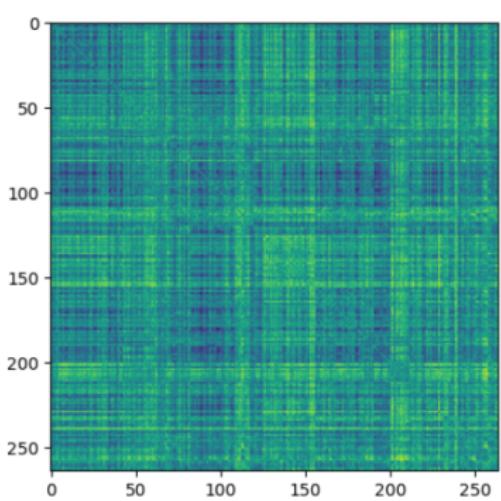


- Compare e.g. bottom right "box" around ROIs 200-250 with BMI plots (+SUB network)

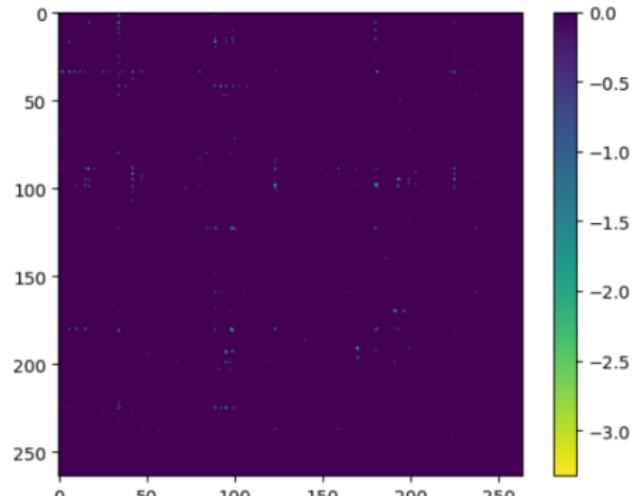
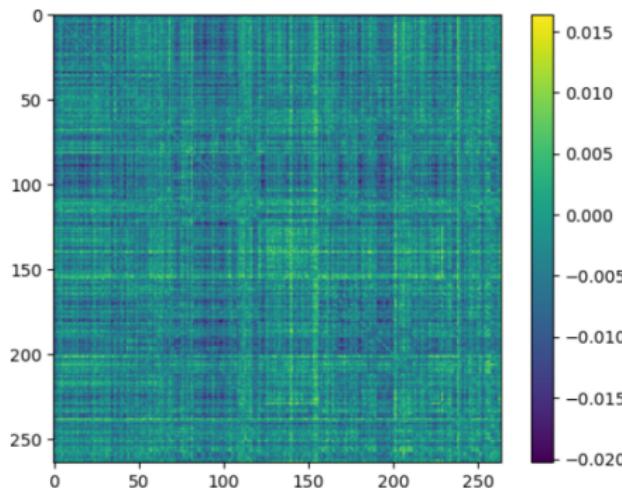


Cardiovascular Disease (26223)

- Now start the less significant general health correlates



Coronary Artery Disease (26227)

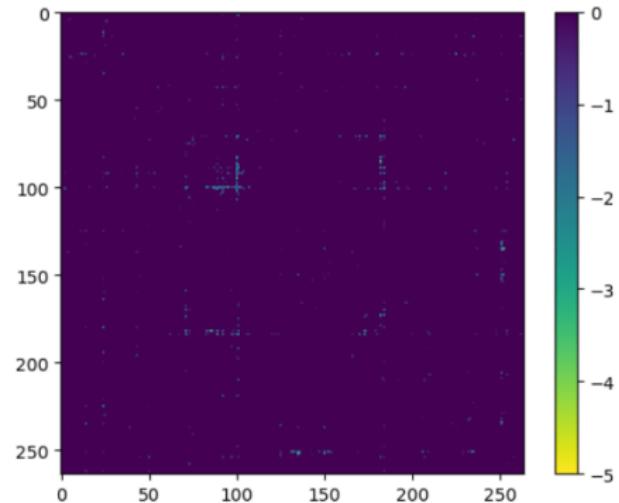
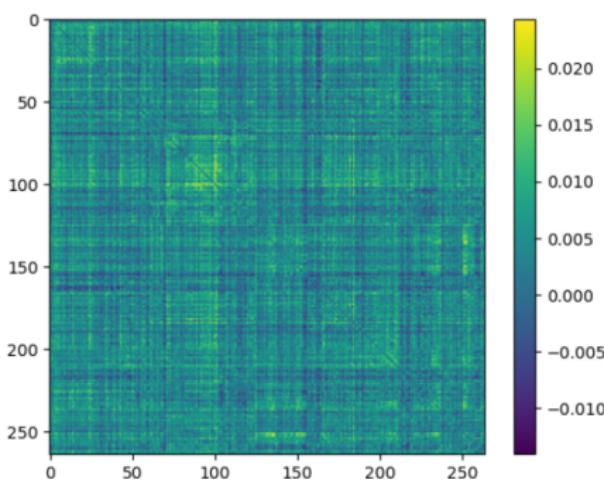


- Significant regions similar to the last plot

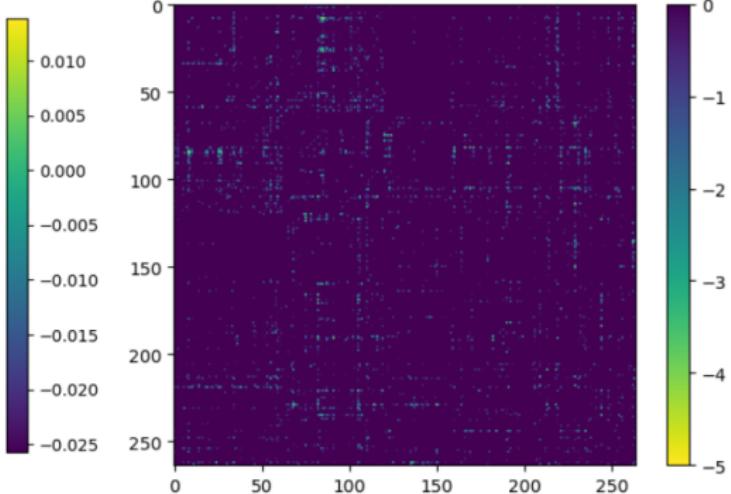
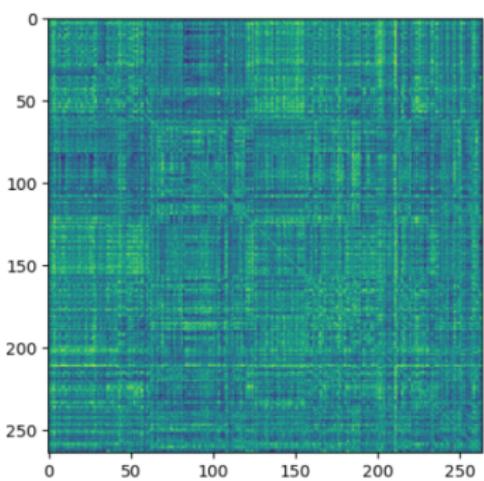


Parkinson's Disease (26260)

- Last two significant PRS fields don't fit under the umbrella of general health or physique



Schizophrenia (26275)

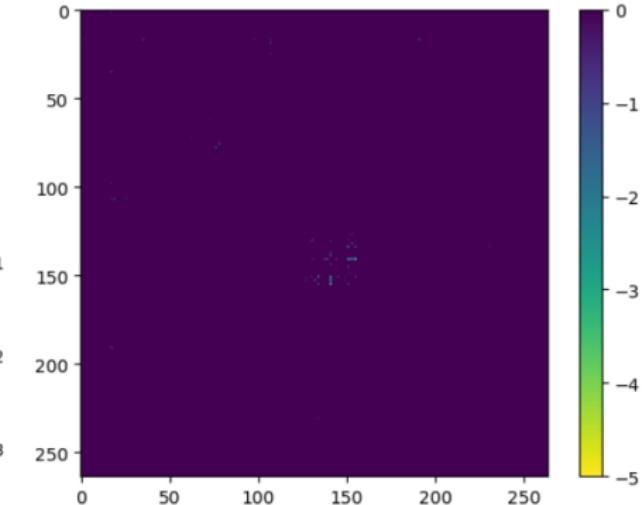
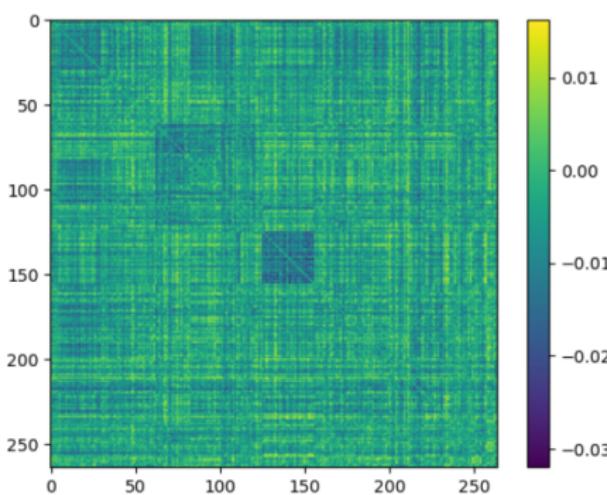


- SZ is one of the easiest traits to predict, but its correlation with FC is weaker than some of the other PRS field correlations



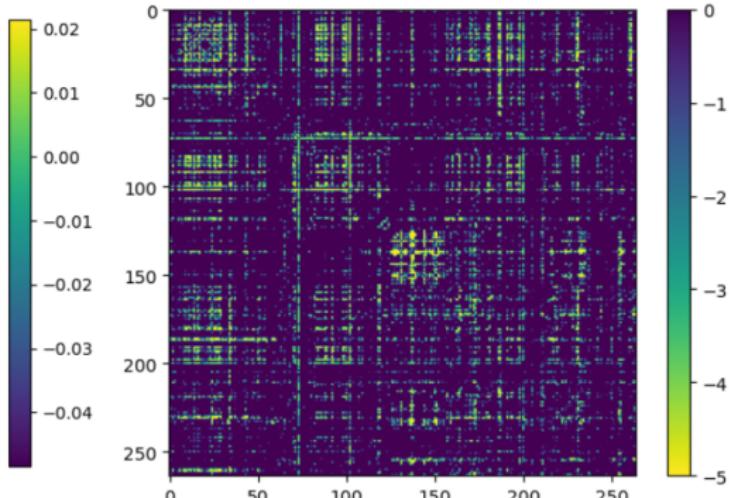
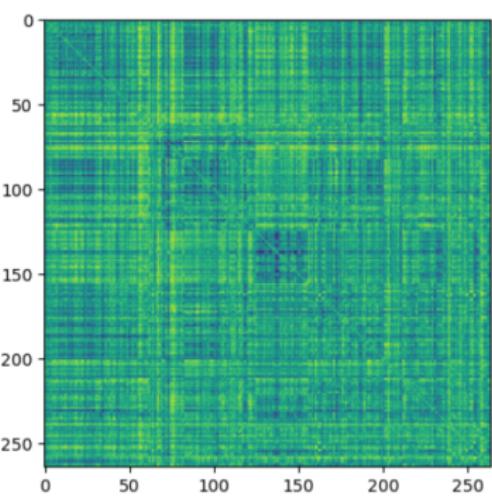
Alzheimer's PRS Score (26206)

- We did find significant association between some resting state VIS-VIS FCs and Alzheimer's PRS
- Not as large overall correlation as most significant PRS fields



Principal Genetic Component [Ancestry] (26201)

- Most significant of all
- Not surprising, given strength of race signal in FC⁵⁶
- However, UKB is approximately 98% Caucasian



⁵Orlichenko et al. (2023) 10.11016/j.ynirp.2023.100191

⁶Li et al. (2023) 10.1126/sciadv.abj1812

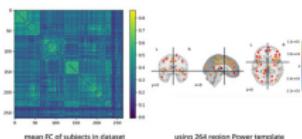
ImageNomer + Race Confound

- ImageNomer paper describing race signal in FC has been published
- Working on a new, quicker version of the interface
 - ▶ Required because of large subject number in UKB
 - ▶ Many graphs in this section created on the new version

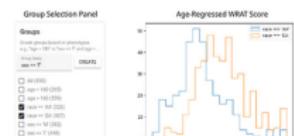
A. Our ImageNomer Software



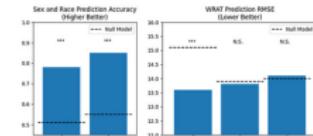
B. Using fMRI Functional Connectivity (FC)



C. Finds Ethnic Bias in WRAT Score

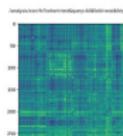


D. FC Prediction of WRAT Actually Predicts Race

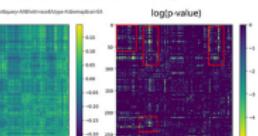


E. Makes the Case Against Unbiased Achievement-Related Features in FC

FC Correlation with WRAT Score



FC Correlation with European Ancestry



Collaboration on AD Correlated with Blood Transfusion

- A medical student at Tulane was inspired by a recent study on Intracerebral Hemorrhage and Blood Transfusion related to amyloid⁷
- Wanted to take a look at correlation between blood transfusion and AD in the "All of Us" and UKB datasets
- She stated the All of Us dataset included 815 patients with blood transfusion but only 18 with AD + blood transfusion
- Likewise, the overlap is low in the UKB (68 subject intersection)



⁷ Zhao et al. (2023) 10.1001/jama.2023.14445

AD Seems to be Independent of Blood Transfusion

- Assuming independence of the clinical variables, we expect 69.3 subjects to have both AD and Transfusion codes
- Appears to be no correlation
- However, UKB has ICD10, ICD9, OPCS4, and OPCS3 codes for many subjects, so additional correlates may be easy to explore
- Especially with FC or using novel methods

| | | Alzheimer's | |
|-------------|---------|-------------|-----|
| Transfusion | | No | Yes |
| No | 488,600 | 2,976 | |
| | 10,767 | 68 | |



Low Rank Mixup Augmentations for Contrastive Learning



Motivation for Augmentations

- fMRI and functional connectivity (FC) allow for unmatched analysis of human cognition *in vivo*
- Contrastive learning has achieved state of the art results in computer vision and medical imaging

Problem

Most contrastive learning frameworks require large numbers of subjects or data augmentations

- Most fMRI studies recruit fewer than 100 subjects



Low Rank FC Augmentations

Solution

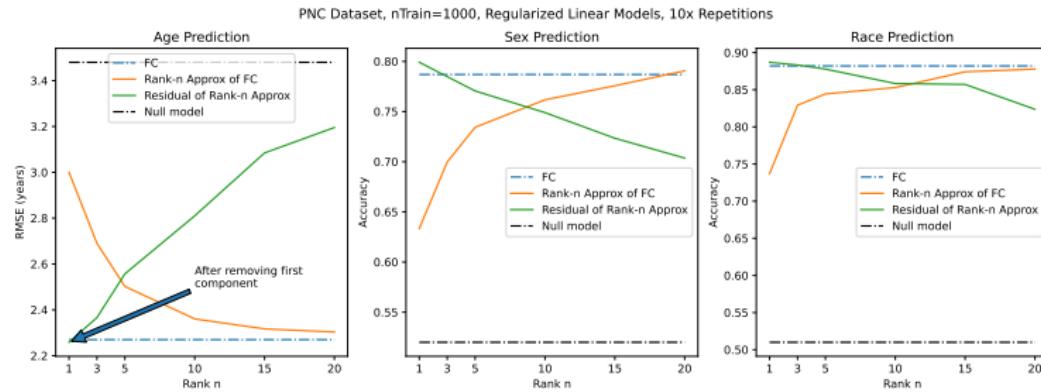
We create an augmentation strategy for FC based on mixup of the rank-1 approximation of FC (first component)

- Based on the fact that the first component is not effective for phenotype prediction



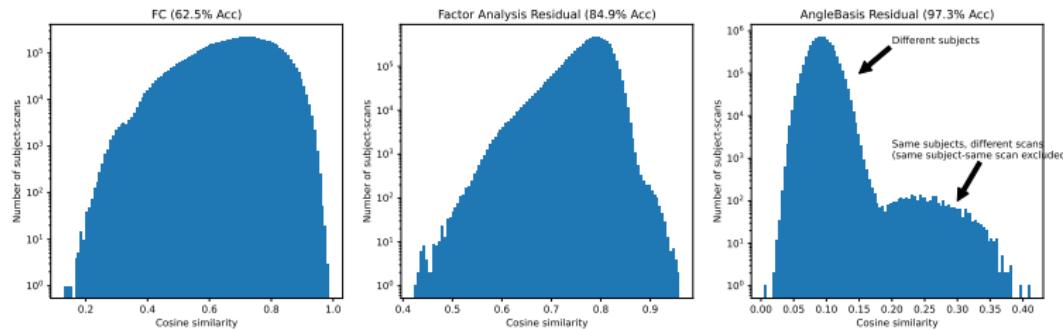
First Component is Not Effective for Prediction (But Residual Is)

- First component can be used for weak prediction
- Removing the first component (rank 1 approximation of FC) yields a residual with equal or slightly better predictive accuracy
- L-BGFS convergence is also (much) faster with residual



Residual Also Greatly Increases Fingerprinting Accuracy

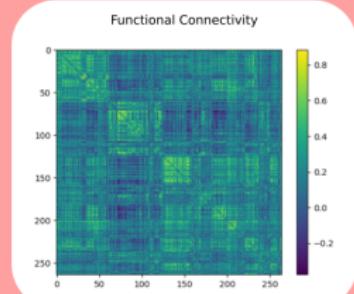
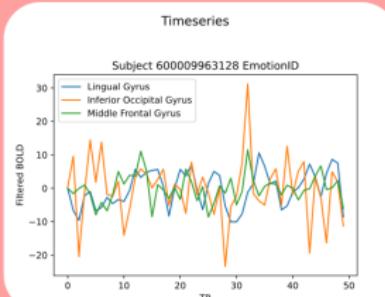
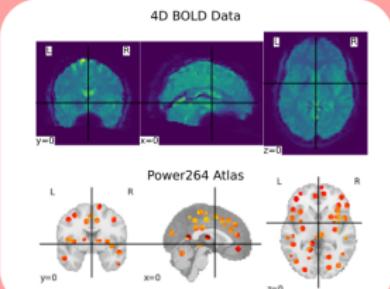
- In the PNC dataset, residual can identify different scan of same subject from 1000+ peers with nearly 100% accuracy
- Compared to around 60% accuracy for FC
- Figure is for AngleBasis, similar finding for residual based on low-rank decomposition



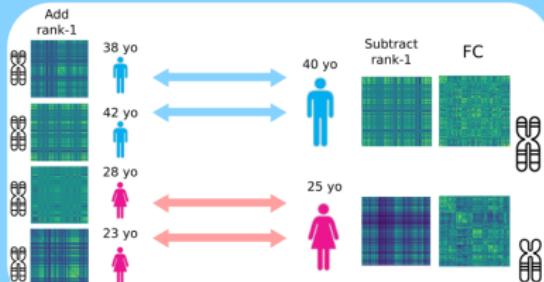
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Overview of Framework

Preprocessing

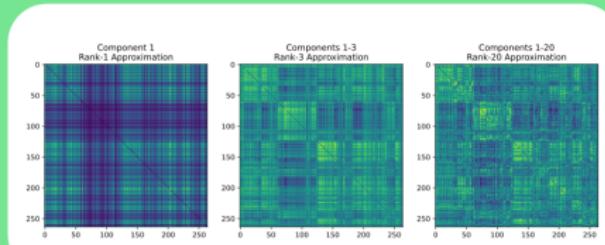


Demographic-Matched Low Rank Mixup



Eigen-Decomposition

$$\mathbf{X} = \mathbf{V}\Lambda\mathbf{V}^T$$
$$\hat{\lambda}_{ii} = \begin{cases} \lambda_{ii}, & i \leq 20 \\ 0, & \text{otherwise} \end{cases}$$
$$\hat{\mathbf{X}} = \mathbf{V}\hat{\Lambda}\mathbf{V}^T$$



TY

Construction of Rank-n Approximation to FC

- Construct low rank approximation to FC \mathbf{X} using the eigendecomposition
- Only keep the top N eigenvalues; set the rest to zero
- Λ and \mathbf{V} are sorted by eigenvalue magnitude
- Note that FC is a PSD (probably PD) matrix

$$\mathbf{X} = \mathbf{V} \Lambda \mathbf{V}^T$$
$$\hat{\lambda}_{ii} = \begin{cases} \lambda_{ii}, & i \leq N \\ 0, & \text{otherwise} \end{cases} \quad (1)$$
$$\mathbf{X}^{(N)} = \mathbf{V} \hat{\Lambda} \mathbf{V}^T$$



Construction of Positive Samples for Contrastive Learning

- Subjects are augmented by subtracting first component of subject and adding first component of donor
- Donors drawn from a categorical distribution based on phenotype similarity
- $\mathbb{I}[\cdot]$ is an indicator function for (approximate) phenotype equivalence

$$m_{y,i}(j) = \mathbb{I}[y_i == y_j]$$

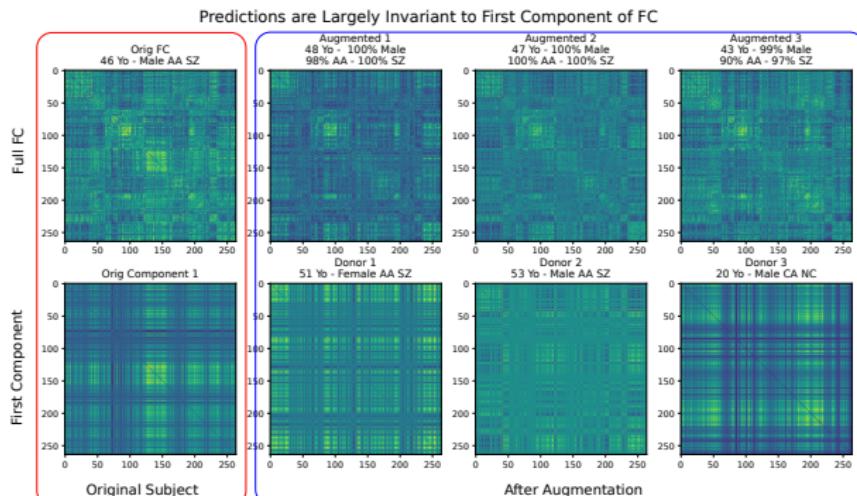
$$\mathbf{p}_{y,i} = \text{Softmax}(\mathbf{m}_{y,i}), \quad \mathbf{p}_i = \text{Softmax}\left(\prod_y \mathbf{p}_{y,i}\right) \quad (2)$$

$$\tilde{\mathbf{X}}_i = \mathbf{X}_i - \mathbf{X}_i^{(1)} + \mathbf{X}_j^{(1)}, \quad j \sim \text{Categorical}(\mathbf{p}_i)$$



Examples of Positive Samples

- Positive samples are labeled “Augmented 1”, “Augmented 2”, and “Augmented 3”
- Negative samples are original FCs of other subjects



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Training

- Network is trained by minimizing 3 losses
 - ▶ InfoNCE for contrastive learning
 - ▶ BinaryCrossEntropy for binary variable prediction
 - ▶ RMSE for continuous variable prediction

$$\begin{aligned}\mathcal{L}_{NCE} &= -\frac{1}{NM} \sum_{n,i}^{N,M} \log \frac{e^{\mathbf{q}_i^\top \mathbf{k}_i^+ / \tau}}{e^{\mathbf{q}_i^\top \mathbf{k}_i^+ / \tau} + \sum_{j=1}^K e^{\mathbf{q}_i^\top \mathbf{k}_{i,j}^- / \tau}} \\ \mathcal{L}_{CE} &= -\frac{1}{N} \sum_i^N (y_{i,0} \log(p_{i,0}) + y_{i,1} \log(p_{i,1})) \\ \mathcal{L}_{RMSE} &= \left(\frac{1}{N} \sum_i^N (y_i - \hat{y}_i)^2 \right)^{1/2}\end{aligned}\tag{3}$$



Moderately Improved Prediction Results

- Prediction results are improved 2-10% over MLP and GCN models not using augmentations
- Using PNC and BSNIP datasets

| Dataset | Phenotype | Metric | MLP | GCN | CL+LRAug | p-value |
|---------|-----------|-----------|------------------|------------------|------------------|---------|
| BSNIP | Age | RMSE (yr) | 11.82 ± 0.62 | 11.07 ± 0.67 | 10.25 ± 0.64 | <0.001 |
| BSNIP | Sex | Accuracy | 68.1 ± 4.6 | 66.4 ± 6.1 | 71.7 ± 5.1 | 0.003 |
| BSNIP | Race | Accuracy | 76.0 ± 3.8 | 72.4 ± 6.1 | 77.7 ± 4.4 | 0.026 |
| BSNIP | SZ | Accuracy | 75.2 ± 3.6 | 71.2 ± 6.7 | 76.9 ± 4.4 | 0.017 |
| PNC | Age | RMSE (yr) | 2.62 ± 0.14 | 2.44 ± 0.12 | 2.18 ± 0.07 | <0.001 |
| PNC | Sex | Accuracy | 77.9 ± 2.0 | 73.7 ± 9.8 | 79.7 ± 2.2 | <0.001 |
| PNC | Race | Accuracy | 87.7 ± 1.6 | 86.6 ± 3.8 | 89.8 ± 1.9 | <0.001 |

- Work will be presented at a NeurIPS 2023 Workshop
 - ▶ Medical Imaging Meets NeurIPS



Other Uses of Low-Rank Residual: Voting

- Assume N independent voters having $p = 0.8$ accuracy
- Then the accuracy of their overall decision is given below

| # of Voters | 1 | 2 | 3 | 4 | 5 |
|------------------|-----|-----|-------|-------|---------|
| Overall Accuracy | 0.8 | 0.8 | 0.896 | 0.896 | 0.94208 |

$$p_{tot} = \sum_{i=0}^{\lceil N/2 \rceil} \binom{N}{i} p^{N-i} (1-p)^i, \quad N \text{ odd}$$

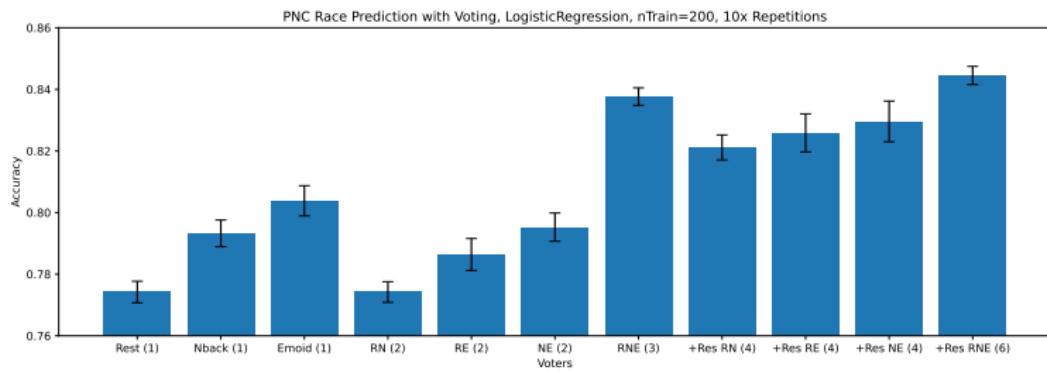
$$p_{tot} = \sum_{i=0}^{N/2-1} \binom{N}{i} p^{N-i} (1-p)^i, \quad N \text{ even} \tag{4}$$

$$+ \frac{1}{2} \binom{N}{N/2} p^{N/2} (1-p)^{N/2}$$



Validation on PNC Race

- To validate with “ground truth,” we can use the PNC dataset
- Three scanner tasks: rest, nback, and emoid
- Neither these tasks nor their residuals are truly independent from each other
- We still see accuracy increases at odd voter number boundaries



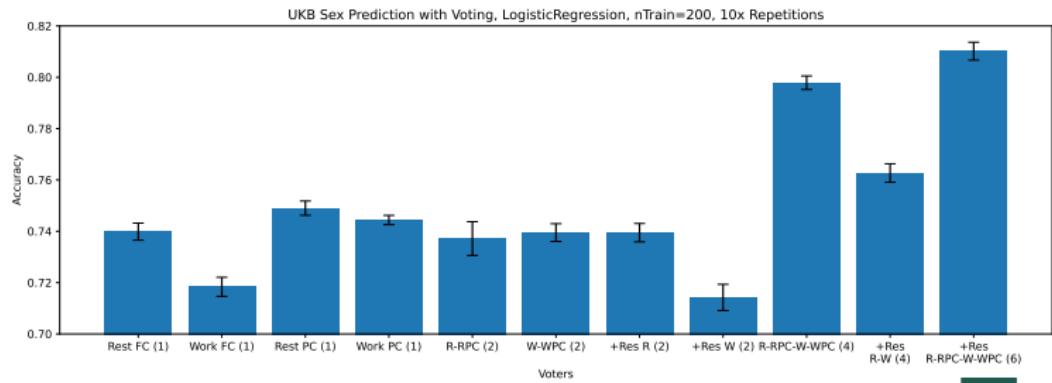
UKB Voting for Binary Classification

- We have two scanner tasks in the UKB, which would be insufficient for an ensemble to increase accuracy in binary classification
- Using low rank residual, we can increase the number of voters to 4
- Although none of the 4 data sources are truly independent
- Previous slide showed that including residual in voting improves performance, though not as much as a separate task
- Can also accomplish through, e.g., FC and PC



UKB Voting Increases Sex Prediction Accuracy

- As before, combining any two modalities does not increase prediction accuracy
 - Combining three or more does
- PC greater benefit in voting than residual
- Residual still has some benefit when added to FC-PC ensemble



Imputation of FC Within and Between Datasets



Motivation: Missing Data

Problem of Missing Data

Oftentimes in large datasets we have one or more scanner tasks that are missing

- In PNC, not all subjects with fMRI have rest, nback, and emoid scans
- PNC has 9000+ subjects with SNP data but only slightly more than 1500 with fMRI data



Motivation: Small Datasets

Problem of Small Datasets

Most new fMRI studies recruit fewer than 100 subjects

- Two studies with data on OpenNeuro, Fibromyalgia^a and VicariousPunishment^b, enrolled only female subjects
- VicariousPunishment enrolled only young college-age subjects

^ads004144 Balducci et al. (2022)

^bds004775 Weber et al. (2023)

- Can we attempt to extrapolate any of these results to males or older subjects/children?



Naive Method: Train a Deep Model (PNC)

- We have a ground truth of rest, nback, and emoid scans for 1300+ subjects
- Train an MLP to reconstruct nback scan FC from rest scan FC
- Null model is basically Gaussian noise
- Clearly, a more well thought-out procedure must be used

| Null Model RMSE | Train RMSE | Test RMSE |
|-----------------|------------|-----------|
| 0.28 | 0.09-0.07 | 0.25-0.23 |



Future Work

Idea

Generate a distribution of candidates and cull unsuitable ones

- Also use contrastive learning to move between SNPs and FC



Thank you!

- Any question?

