

# Quantum Nano engines (fix title)

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## **Abstract**

Make abstract Todo. 1. sinusodially spelling 2. add graphs for coherent and squeezed state. 3. add graphs for simulation

## Certificate

This is to certify that Archisman Panigrahi (SR No. 11-01-00-10-91-17-1-14503), undergraduate student at Indian Institute of Science, did his 2019 summer research project on *Quantum Heat Engines* under my guidance.

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# 1 Introduction

The classical limits of the maximum achievable efficiency of an ideal heat engine running between temperatures  $T_{hot}$  and  $T_{cold}$  is given by Carnot's formula  $\eta_{Carnot} = 1 - \frac{T_{cold}}{T_{hot}}$ . The efficiency of real engines are, however, a fraction of this ideal formula, as the reversible Carnot engine is infinitely slow, and there are frictional dissipations in the real world. Nano engines based on the principles of Quantum Mechanics can achieve much higher efficiencies than their classical counterparts and can have efficiency close to the Carnot limit. These engines have been realized in many forms including ion traps[ref], three level systems[ref], harmonic oscillators [ref]. It has been shown both theoretically[ref Abah] and experimentally[ref Klaers] that the efficiencies of engines realized using "squeezed harmonic oscillators" can even surpass the Carnot limit, without violating the second law of thermodynamics. In this report, it will be elaborated in which sense the Carnot limit is surpassed, and why the second law is not violated. To see how the experiment[ref Klaers] was done, let us recap squeezed states of harmonic oscillator and Brownian motion.

## 2 Theory of the for Brownian Harmonic oscillator

Before we discuss squeezed states, let us review “Coherent states” of a harmonic oscillator.

### 2.1 Coherent states of a quantum harmonic oscillator

The position and momentum of classical harmonic oscillator (a particle of mass  $m$  in potential  $\frac{1}{2}m\omega^2x^2$ ) oscillates with period  $\omega$ , its natural frequency. However, in quantum mechanics, the expectation value of position or momentum of an eigenstate of the Hamiltonian does not change with time.

For the harmonic oscillator, the Hamiltonian is  $\hat{H} = \frac{\hat{p}^2}{2m} + \frac{1}{2}m\omega^2\hat{x}^2$ .

Let the eigenstates of  $\hat{H}$  be denoted by  $|n\rangle$ . It can be shown (ref. Cohen Tannoudji) that it has energy  $E_n = (n + \frac{1}{2})\hbar\omega$ . The state evolves with time as  $|n, t\rangle = e^{-i(n+\frac{1}{2})\omega t} |n, t=0\rangle$ , and the position and momentum coordinate will share equal energies  $(n + \frac{1}{2})\frac{\hbar\omega}{2}$ . And, the expected values of position and momentum are 0 and do not change over time. There exist quantum states whose expected values of position and momentum oscillate sinusoidally with time, and the wave packet does not spread with time. These are known as “Coherent states”.

Let us define  $x_0 = \sqrt{\frac{\hbar}{m\omega}}$  and  $p_0 = \sqrt{\hbar m\omega}$ , and dimensionless operators  $\hat{X} = \frac{\hat{x}}{x_0}, \hat{P} = \frac{\hat{p}}{p_0}$ . Then we can rewrite

$$\hat{H} = \frac{\hbar\omega}{2}(\hat{X}^2 + \hat{P}^2) \quad (1)$$

. Let us define two non Hermitian operators  $\hat{a} = \frac{\hat{X} + i\hat{P}}{\sqrt{2}}$ , and  $\hat{a}^\dagger = \frac{\hat{X} - i\hat{P}}{\sqrt{2}}$ . Then,  $[\hat{a}, \hat{a}^\dagger] = 1$ , and the Hamiltonian can be written as  $\hat{H} = \hbar\omega(\hat{a}^\dagger\hat{a} + \frac{1}{2})$ .  $\hat{a}^\dagger$  and  $\hat{a}$  are known as “creation” and “annihilation” operators, respectively, because they act on the eigenstates as follows.

$$\hat{a}|n\rangle = \sqrt{n}|n-1\rangle \quad (2)$$

$$\hat{a}^\dagger|n\rangle = \sqrt{n+1}|n+1\rangle \quad (3)$$

We can define  $\hat{N} = \hat{a}^\dagger\hat{a}$ , and then,  $\hat{N}|n\rangle = n|n\rangle$ , denoting the number of excitations

(e.g. photons or phonons) in the system. The creation and annihilation operators can be viewed as operators which create or destroy such an excitation, respectively, hence their name.

The operator  $\hat{a}$  acts on  $|0\rangle$  (the vacuum state) to give the zero vector of the Hilbert space. However, there exist states which are eigenstates of the annihilation operator, and these states are known as the coherent states. (ref. Wikipedia)

Let

$$\hat{a}|\alpha\rangle = \alpha|\alpha\rangle \quad (4)$$

, where  $\alpha$  is a complex number, and  $|\alpha\rangle$  is a coherent state. Since  $|n\rangle$  form a basis of the Hilbert space,  $\exists c_n \in \mathbb{C}$ , such that

$$|\alpha\rangle = \sum_{n=0}^{\infty} c_n |n\rangle \quad (5)$$

From equations (4) and (5), we get,  $\sum_{n=0}^{\infty} c_n \hat{a} |n\rangle = \sum_{n=0}^{\infty} \alpha c_n |n\rangle$

$$\Rightarrow \sum_{n=1}^{\infty} c_n \sqrt{n} |n-1\rangle = \sum_{n=0}^{\infty} \alpha c_n |n\rangle$$

$$\Rightarrow \sum_{n=0}^{\infty} c_{n+1} \sqrt{n+1} |n\rangle = \sum_{n=0}^{\infty} \alpha c_n |n\rangle$$

$$\Rightarrow c_{n+1} \sqrt{n+1} = \alpha c_n$$

$$\Rightarrow \frac{c_{n+1} \sqrt{(n+1)!}}{\alpha^{n+1}} = \frac{c_n \sqrt{n!}}{\alpha^n}$$

$$= \frac{c_0 \sqrt{0!}}{\alpha^0}$$

$$\Rightarrow c_n = c_0 \frac{\alpha^n}{\sqrt{n!}}$$

Since  $\sum_{n=0}^{\infty} |c_n|^2 = 1 \Rightarrow c_0 = e^{-\frac{|\alpha|^2}{2}}$ . Finally we get  $|\alpha\rangle = e^{-\frac{|\alpha|^2}{2}} \sum_{n=0}^{\infty} \frac{\alpha^n}{\sqrt{n!}} |n\rangle$ , and

$|\alpha, t\rangle = e^{-\frac{|\alpha|^2 + i\omega t}{2}} \sum_{n=0}^{\infty} \frac{(\alpha e^{-i\omega t})^n}{\sqrt{n!}} |n\rangle$ . So the state remains a coherent state over time, only

the parameter  $\alpha$  evolves as  $\alpha(t) = \alpha(0)e^{-i\omega t}$ . Now,

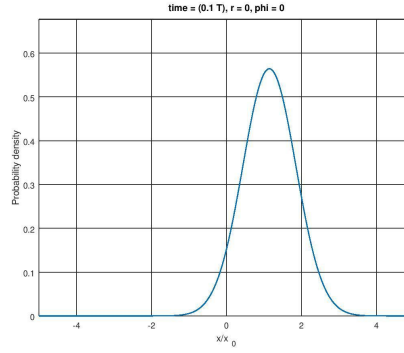
$$\left\{ \begin{array}{l} \langle \hat{a} \rangle = \alpha \\ \langle \hat{a}^\dagger \rangle = \alpha^* \\ \langle x \rangle = x_0 \left\langle \frac{\hat{a} + \hat{a}^\dagger}{2} \right\rangle = x_0 \frac{\alpha + \alpha^*}{2} \\ \langle p \rangle = p_0 \left\langle \frac{\hat{a} - \hat{a}^\dagger}{\sqrt{2}i} \right\rangle = p_0 \frac{\alpha - \alpha^*}{2i} \\ \langle \hat{x}^2 \rangle = x_0^2 \left\langle \frac{\hat{a}^2 + \hat{a}^{\dagger 2} + \hat{a}\hat{a}^\dagger + \hat{a}^\dagger\hat{a}}{2} \right\rangle = \frac{\alpha^2 + \alpha^{*2} + 2|\alpha|^2 + 1}{2} x_0^2 \\ \langle \hat{p}^2 \rangle = p_0^2 \left\langle \frac{\hat{a}^2 + \hat{a}^{\dagger 2} - \hat{a}\hat{a}^\dagger - \hat{a}^\dagger\hat{a}}{-2} \right\rangle = \frac{2|\alpha|^2 + 1 - \alpha^2 - \alpha^{*2}}{2} p_0^2 \\ \langle E \rangle = \frac{\hbar\omega}{2} (\hat{a}^\dagger\hat{a} + 1) = \frac{\hbar\omega}{2} (|\alpha|^2 + 1) \\ (\Delta x)^2 = \frac{x_0^2}{2} \\ (\Delta p)^2 = \frac{p_0^2}{2} \\ \Delta x \cdot \Delta p = x_0 p_0 = \frac{\hbar}{2} \end{array} \right. \quad (6)$$

Let  $\alpha = |\alpha|e^{i\phi}$ . Then,  $\langle x \rangle(t) = \sqrt{2}x_0 \text{Re}\{\alpha(t)\} = \sqrt{2}x_0|\alpha| \cos(\phi - \omega t)$ , and  $\langle p \rangle(t) = \sqrt{2}p_0 \text{Im}\{\alpha(t)\} = \sqrt{2}p_0|\alpha| \sin(\phi - \omega t)$ . The total energy, the standard deviations in position and momentum remain constant, while the expected position and momentum vary sinusodially with time, just like a classical particle. Solving the first order differential equation obtained from (4) in position basis, it can be easily shown that the wave function  $\psi_\alpha(x) = \langle x|\alpha \rangle$  is a Gaussian, and its mean  $\langle x \rangle$  changes sinusodially with time.

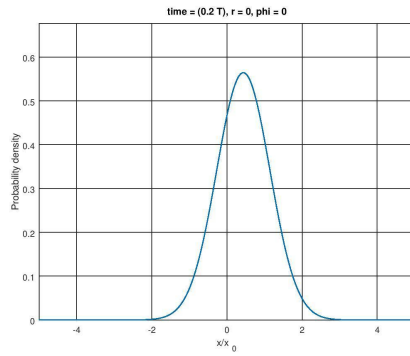
## 2.2 Squeezed states of a quantum harmonic oscillator

The coherent state behaves like a classical particle in a harmonic potential, and it has equal uncertainty in position and momentum. However, there exist states which have different values of standard deviation in position and momentum coordinates. In the next section, we will see that the uncertainty is related to the effective temperature in these coordinates, and this can be utilized to prepare efficient heat engines. Let us define

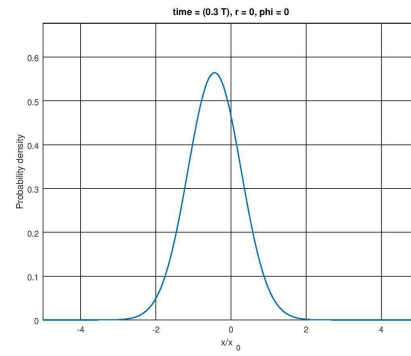
$$\hat{Q} = \lambda \hat{a} + \mu \hat{a}^\dagger \quad (7)$$



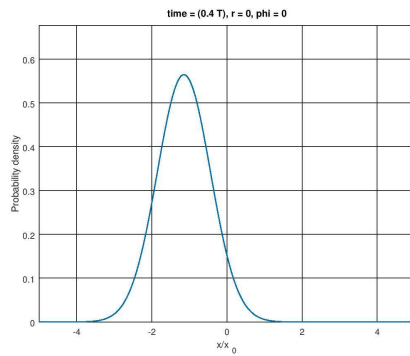
(a) Subfigure 1 caption



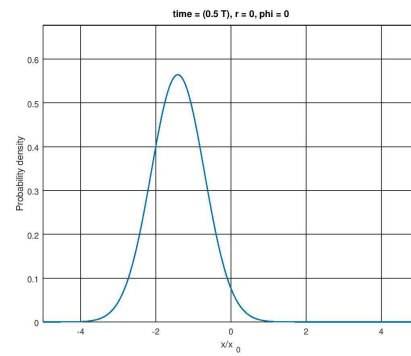
(b) Subfigure 2 caption



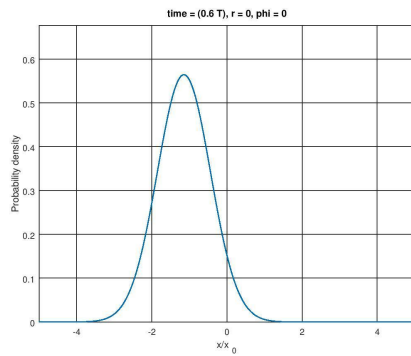
(c) Subfigure 3 caption



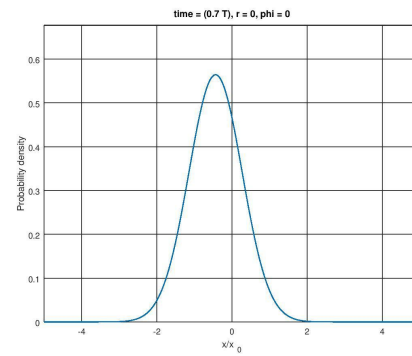
(d) Subfigure 4 caption



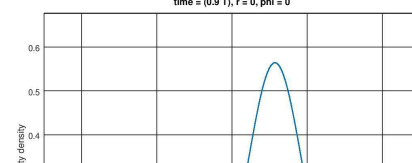
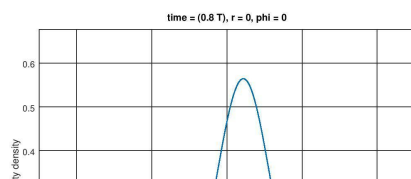
(e) Subfigure 2 caption



(f) Subfigure 3 caption



(g) Subfigure 3 caption





where  $|\lambda|^2 - |\mu|^2 = 1$ . Let  $\lambda = \cosh(r)$ ,  $\mu = \sinh(r)e^{i\phi}$ , where  $r, \phi \in \mathbb{R}$ . The eigenstates of  $\hat{Q}$  are defined as the squeezed states. (reference of squeezed state). We have,

$$\begin{pmatrix} \hat{Q} \\ \hat{Q}^\dagger \end{pmatrix} = \begin{pmatrix} \lambda & \mu \\ \mu^* & \lambda^* \end{pmatrix} \begin{pmatrix} \hat{a} \\ \hat{a}^\dagger \end{pmatrix}$$

Inverting, we get,

$$\begin{pmatrix} \hat{a} \\ \hat{a}^\dagger \end{pmatrix} = \begin{pmatrix} \lambda^* & -\mu \\ -\mu^* & \lambda \end{pmatrix} \begin{pmatrix} \hat{Q} \\ \hat{Q}^\dagger \end{pmatrix} \quad (8)$$

Therefore,  $\frac{\hat{x}}{x_0} = \frac{\lambda^* - \mu^*}{\sqrt{2}}\hat{Q} + \frac{\lambda - \mu}{\sqrt{2}}\hat{Q}^\dagger$  and  $\frac{\hat{p}}{p_0} = \frac{\lambda^* + \mu^*}{\sqrt{2}i}\hat{Q} - \frac{\lambda + \mu}{\sqrt{2}i}\hat{Q}^\dagger$ .

$$[\hat{Q}, \hat{Q}^\dagger] = [\lambda\hat{a} + \mu\hat{a}^\dagger, \lambda^*\hat{a}^\dagger + \mu^*\hat{a}] = |\lambda|^2 - |\mu|^2 = 1.$$

$$\left(\frac{\hat{x}}{x_0}\right)^2 = \left(\frac{\lambda^* - \mu^*}{\sqrt{2}}\right)^2 \hat{Q}^2 + \left(\frac{\lambda - \mu}{\sqrt{2}}\right)^2 \hat{Q}^{\dagger 2} + \frac{|\lambda - \mu|^2}{2}(2\hat{Q}^\dagger \hat{Q} + 1)$$

$$\left(\frac{\hat{p}}{p_0}\right)^2 = \frac{|\lambda + \mu|^2}{2}(2\hat{Q}^\dagger \hat{Q} + 1) - \left(\frac{\lambda^* + \mu^*}{\sqrt{2}}\right)^2 \hat{Q}^2 - \left(\frac{\lambda + \mu}{\sqrt{2}}\right)^2 \hat{Q}^{\dagger 2}$$

Let  $\hat{Q}|s\rangle = s|s\rangle$  be a squeezed state. For this state, we get the following results.

$$\left\langle \frac{\hat{x}}{x_0} \right\rangle = \frac{\lambda^* - \mu^*}{\sqrt{2}}s + \frac{\lambda - \mu}{\sqrt{2}}s^*$$

$$\left\langle \frac{\hat{p}}{p_0} \right\rangle = \frac{\lambda^* + \mu^*}{\sqrt{2}i}s - \frac{\lambda + \mu}{\sqrt{2}i}s^*$$

$$\left\langle \frac{\hat{x}^2}{x_0^2} \right\rangle = \left(\frac{\lambda^* - \mu^*}{\sqrt{2}}\right)^2 s^2 + \left(\frac{\lambda - \mu}{\sqrt{2}}\right)^2 s^{*2} + \frac{|\lambda - \mu|^2}{2}(2|s|^2 + 1)$$

$$\left\langle \frac{\hat{p}^2}{p_0^2} \right\rangle = \frac{|\lambda + \mu|^2}{2}(2|s|^2 + 1) - \left(\frac{\lambda^* + \mu^*}{\sqrt{2}}\right)^2 s^2 - \left(\frac{\lambda + \mu}{\sqrt{2}}\right)^2 s^{*2}$$

$$\left. \begin{aligned} \left(\frac{\Delta x}{x_0}\right)^2 &= \frac{|\lambda - \mu|^2}{2} \\ \left(\frac{\Delta p}{p_0}\right)^2 &= \frac{|\lambda + \mu|^2}{2} \end{aligned} \right\} \text{(independent of } s)$$

We see that the (dimensionless) uncertainties in position and momentum are different, so are the energies. In the next section, we prove that for a squeezed state,  $\lambda$  and  $\mu$  must evolve as  $\lambda(t) = \lambda(0)e^{i\omega t} = \cosh(r)e^{i\omega t}$  and  $\mu(t) = \mu e^{-i\omega t} = \sinh(r)e^{i(\omega t - \phi)}$ , while  $s = |s|e^{i\theta}$  does not change.

Then, after some simplification, we get,

$$\left\{ \begin{array}{l} \left\langle \frac{\hat{x}}{x_0} \right\rangle = 2 \operatorname{Re} \left\{ \frac{\lambda(t) - \mu(t)}{\sqrt{2}} s^* \right\} = \sqrt{2} |s| [\cosh(r) \cos(\omega t - \theta) - \sinh(r) \sin(\omega t + \theta - \phi)] \\ \left\langle \frac{\hat{p}}{p_0} \right\rangle = 2 \operatorname{Im} \left\{ \frac{\lambda(t)^* + \mu(t)^*}{\sqrt{2}} s \right\} = \sqrt{2} |s| [\cosh(r) \sin(\theta - \omega t) + \sinh(r) \sin(\omega t + \theta + \phi)] \\ \frac{(\Delta x)^2}{x_0^2} = \frac{|\cosh(r)e^{i\omega t} - \sinh(r)e^{i(\phi - \omega t)}|^2}{2} = \frac{\cosh(2\tilde{r}) - \sinh(2\tilde{r}) \cos(2\omega t - \phi)}{2} \\ \frac{(\Delta p)^2}{p_0^2} = \frac{|\cosh(r)e^{i\omega t} + \sinh(r)e^{i(\phi - \omega t)}|^2}{2} = \frac{\cosh(2\tilde{r}) + \sinh(2\tilde{r}) \cos(2\omega t - \phi)}{2} \end{array} \right. \quad (9)$$

We can solve  $\hat{Q}|s\rangle = s|s\rangle$  in position basis, and show that the wave function is a Gaussian peaked at  $\langle \hat{x} \rangle$  with standard deviation  $\Delta x$ , both of which are sinusoidal functions of time. When  $s = 0$ , the state is called squeezed vacuum, where the wave function is a Gaussian peaked at  $x = 0$ , but the standard deviation oscillates with time. For  $r = 0$ , we recover the coherent state.

### 2.2.1 Expansion of squeezed state in eigenstate basis and time evolution

$$\begin{aligned} \text{Let } |s\rangle &= \sum_{n=0}^{\infty} c_n |n\rangle. \text{ Then, } \hat{Q}|s\rangle = s|s\rangle \implies \hat{Q} \sum_{n=0}^{\infty} c_n |n\rangle = g \sum_{n=0}^{\infty} c_n |n\rangle \\ \implies \sum_{n=0}^{\infty} c_n \lambda \sqrt{n} |n-1\rangle + \mu \sqrt{n+1} |n+1\rangle &= s \sum_{n=0}^{\infty} c_n |n\rangle \\ \implies s \sum_{n=0}^{\infty} c_n |n\rangle &= \sum_{n=0}^{\infty} (c_{n+1} \lambda \sqrt{n+1} + c_{n-1} \mu \sqrt{n}) |n\rangle \\ \implies s c_n &= \lambda \sqrt{n+1} c_{n+1} + \mu \sqrt{n} c_{n-1} \end{aligned} \quad (10)$$

We need to solve this recursion relation.

**Case  $s \neq 0$**

From (10),  $c_n = a\sqrt{n+1}c_{n+1} + b\sqrt{n}c_{n-1}$  (where  $a = \frac{\lambda}{s}$ ,  $b = \frac{\mu}{s}$ ). Let  $c_n = d_n \sqrt{n!}$  (reference of this substitution - math stack exchange)

$$\implies d_n \sqrt{n!} = a d_{n+1} (n+1) \sqrt{n!} + b d_{n-1} \sqrt{n!}$$

$$\implies c_n = d_n \sqrt{n!}$$

$$\implies d_n = a d_{n+1} (n+1) + b d_{n-1}. \text{ Let } f(x) = \sum_{n=0}^{\infty} d_n x^n$$

$$\begin{aligned}
\Rightarrow f(x) &= a \sum_{n=0}^n d_{n+1}(n+1)x^n + b \sum_{n=0}^{\infty} d_{n-1}x^n \\
&= a \sum_{n=1}^{\infty} d_n n x^{n-1} + b x f(x) \\
&= a \sum_{n=0}^{\infty} n d_n x^{n-1} + b x f(x) \\
&= a x f'(x) + b x f(x)
\end{aligned}$$

$$\Rightarrow a f'(x) = f(x)(1 - bx)$$

$$\Rightarrow \frac{f'(x)}{f(x)} = \frac{1}{a} - \frac{b}{a}x$$

$$\Rightarrow f(x) = C e^{\frac{x}{a} - \frac{bx^2}{2a}}$$

We know  $e^{2ty-y^2} = \sum_{n=0}^{\infty} H_n(t) \frac{y^n}{n!}$ , where  $H_n(t)$  is the Hermite polynomial of  $n$ th order.(ref.

Arfken, Weber, Harris). Here  $y = x\sqrt{\frac{b}{2a}}$  and  $t = \frac{1}{\sqrt{2ab}}$ .

Therefore,  $e^{\frac{x}{a} - \frac{bx^2}{2a}} = \sum_{n=0}^{\infty} H_n\left(\frac{1}{\sqrt{2ab}}\right) \frac{x^n \left(\frac{b}{2a}\right)^{n/2}}{n!}$ , and

$$\begin{aligned}
c_n = d_n \sqrt{n!} &= \frac{H_n\left(\frac{1}{\sqrt{2ab}}\right) \left(\frac{b}{a}\right)^{n/2}}{\sqrt{2^n n!}} \\
&= \frac{H_n\left(\frac{s}{\sqrt{2\mu\lambda}}\right) \left(\frac{\mu}{\lambda}\right)^{n/2}}{\sqrt{2^n n!}}
\end{aligned}$$

Thus,  $|s, t\rangle = C \sum_{n=0}^{\infty} \frac{H_n\left(\frac{s}{\sqrt{2\mu\lambda}}\right) \left(\frac{\mu}{\lambda}\right)^{n/2}}{\sqrt{2^n n!}} e^{-i(n+\frac{1}{2})\omega t} |n, 0\rangle$  ( $C$  is a normalization constant)

$$= C e^{-\frac{i\omega t}{2}} \sum_{n=0}^{\infty} \frac{H_n\left(\frac{s}{\sqrt{2(\mu e^{-i\omega t})(\lambda e^{i\omega t})}}\right) \left(\frac{\mu e^{-i\omega t}}{\lambda e^{i\omega t}}\right)^{n/2}}{\sqrt{2^n n!}} |n, 0\rangle$$

Therefore, the state evolves as if it remains a squeezed state with the same value of  $s$ , while  $\lambda$  and  $\mu$  evolve as  $\lambda e^{i\omega t}$  and  $\mu e^{-i\omega t}$ , respectively.

**Case**  $s = 0$ ,  $\mu \neq 0$ , squeezed vacuum

From (10),  $\lambda\sqrt{n+1}c_{n+1} + \mu\sqrt{n}c_{n-1} = 0$ .

Let  $e_n = c_n \sqrt{n!}$

$$\Rightarrow e_{n+1} = -\frac{\mu}{\lambda} n e_{n-1}$$

$$\Rightarrow e_{n+2} = -\frac{\mu}{\lambda} (n+1) e_n$$

$$\begin{aligned} \Rightarrow \frac{e_{2n}}{e_0} &= \frac{e_{2n}}{e_{2n-2}} \frac{e_{2n-2}}{e_{2n-4}} \dots \frac{e_2}{e_0} \\ &= \left(-\frac{\mu}{\lambda}\right) (2n-1) \left(-\frac{\mu}{\lambda}\right) (2n-3) \dots \left(-\frac{\mu}{\lambda}\right) 1 \\ &= \left(-\frac{\mu}{\lambda}\right)^n \cdot (2n-1)(2n-3) \dots 1 \\ &= (-1)^n \left(\frac{\mu}{\lambda}\right)^n \frac{(2n)(2n-1) \dots 2 \cdot 1}{2^n \cdot n!} \\ &= (-1)^n \left(\frac{\mu}{\lambda}\right)^n \frac{(2n)!}{2^n \cdot n!} \end{aligned}$$

$$\begin{aligned} \text{Similarly, } \frac{e_{2n+1}}{e_1} &= \frac{e_{2n+1}}{e_{2n-1}} \frac{e_{2n-1}}{e_{2n-3}} \dots \frac{e_3}{e_1} \\ &= \left(-\frac{\mu}{\lambda}\right)^n \cdot (2n)(2n-2) \dots 2. \text{ By ratio test, it can be shown that } \sum_{n=0}^{\infty} |c_{2n+1}|^2 \\ &= (-1)^n \left(\frac{\mu}{\lambda}\right)^n 2^n \cdot n! \end{aligned}$$

does not converge, and hence,  $c_1$  must be zero. Therefore, squeezed vacuum is only composed of even eigenstates of Hamiltonian.

## 2.3 Classical Brownian motion under harmonic potential

### 2.3.1 Langevin equation

It turns out that the motion of a classical particle undergoing Brownian motion can mimic a squeezed state, under special circumstances. The dynamics of a particle undergoing Brownian motion is governed by the Langevin equation,

$$m\ddot{x} = -\frac{dV(x)}{dx} - b\dot{x} + m\xi(t) \quad (11)$$

where  $-\frac{dV(x)}{dx}$  is the force acting on the particle due to potential  $V(x)$ ,  $-b\dot{x}$  is the effective frictional force acting on the particle due to viscosity of the medium, and  $\xi(t)$  is a time dependent stochastic force. For the Brownian motion of a particle in a normal heat bath of temperature  $T$ , the stochastic force is a white noise (reference. wikipedia page of white noise, and some source e.g. Chandrashekhar's paper that the force is indeed white noise),

and  $\overline{\xi(t_1)\xi(t_2)} = a\delta(t_1 - t_2)$ , where the overhead line denotes the average over distribution of  $\xi(t)$ .

### 2.3.2 Explanation of the nature of correlation of the stochastic force

To see why this happens, we note that when  $t_1 \neq t_2$ , the two forces are uncorrelated, and the average of their product is zero. When the two times are equal, the force acts like a Dirac delta function because the velocity of the particle changes instantaneously after being hit by another particle. Now we show that for  $a = \frac{2\gamma kT}{m}$ , where  $k$  is the Boltzmann's constant.

**Proof:** When  $V(x) = 0$ ,

$$m\dot{v} + m\gamma v = m\xi(t) \quad (12)$$

$$\begin{aligned} \implies e^{\gamma t}(\dot{v} + \gamma v) &= e^{\gamma t}\xi(t) \\ \implies \frac{d(v e^{\gamma t})}{dt} &= e^{\gamma t}\xi(t) \\ \implies v e^{\gamma t} - v(0) &= \int_0^t e^{\gamma s}\xi(s) ds \\ \implies v(t_1)v(t_2) &= v(0)^2 e^{-\gamma(t_1+t_2)} + v(0)a e^{-\gamma(t_1+t_2)} \left[ \int_0^{t_1} e^{\gamma s}\xi(s) ds + \int_0^{t_2} e^{\gamma s}\xi(s) ds \right] \\ &\quad + e^{-\gamma(t_1+t_2)} \int_0^{t_1} \int_0^{t_2} e^{\gamma(s_1+s_2)} \xi(s_1)\xi(s_2) ds_1 ds_2 \end{aligned}$$

Taking averages over the distribution,

$$\begin{aligned} \overline{v(t_1)v(t_2)} &= v(0)^2 e^{-\gamma(t_1+t_2)} + v(0)a e^{-\gamma(t_1+t_2)} \left[ \int_0^{t_1} e^{\gamma s}\overline{\xi(s)} ds + \int_0^{t_2} e^{\gamma s}\overline{\xi(s)} ds \right] \\ &\quad + e^{-\gamma(t_1+t_2)} \int_0^{t_1} \int_0^{t_2} e^{\gamma(s_1+s_2)} \overline{\xi(s_1)\xi(s_2)} ds_1 ds_2 \\ &= v(0)^2 e^{-\gamma(t_1+t_2)} + e^{-\gamma(t_1+t_2)} \int_0^{t_1} \int_0^{t_2} e^{\gamma(s_1+s_2)} a\delta(s_1 - s_2) ds_1 ds_2 \\ &= v(0)^2 e^{-\gamma(t_1+t_2)} + a e^{-\gamma(t_1+t_2)} \int_0^{\min(t_1, t_2)} e^{\gamma(2s_1)} a ds_1 \end{aligned}$$

Therefore,  $\overline{v(t)^2} = v(0)^2 e^{-2\gamma t} + a e^{-2\gamma t} \frac{e^{2\gamma t} - 1}{2\gamma}$ . When  $t \rightarrow \infty$ ,  $\overline{v(t)^2} = \frac{a}{2\gamma}$ . But, in a thermal reservoir,  $\frac{mv^2}{2} = \frac{kT}{2} \implies \overline{v^2} = \frac{kT}{m}$ . Hence,  $a = \frac{2\gamma kT}{m}$ . To prove this, we could also Fourier transform the Langevin equation (12), solve it algebraically, and

inverse transform it. This method is illustrated in the next section.

### 2.3.3 Fluctuation dissipation theorem and the claim

Using this value of  $a$  and this method, for a harmonic potential, it can be proved that  $\frac{m\omega^2\overline{x^2}}{2} = \frac{kT}{2}$ . These results are known as “Fluctuation dissipation theorem” (Ref. Chandrasekhar), which basically shows whatever may be the initial position and velocity of the particle, random thermal fluctuations will make each quadratic degree of freedom have energy  $\frac{kT}{2}$ , in average.

It is claimed in the article by Klaers et. al (Reference. paper by Klaers) that a certain kind of stochastic force will lead to different variance (hence, different effective temperature) in position and momentum coordinates, as seen in a rotating frame.

## 3 Proof of the claim

Consider a particle in a Harmonic potential, undergoing Brownian motion for which

$$\xi(t) = a_0[e^{\tilde{r}}\xi_1(t)\cos(\omega t) + e^{-\tilde{r}}\xi_2(t)\sin(\omega t)] \quad (13)$$

where  $a_0^2 = \frac{2\gamma kT}{m}$ , and  $\xi_1(t)$  and  $\xi_2(t)$  are two uncorrelated stochastic forces which satisfy  $\overline{\xi_i(t_1)\xi_j(t_2)} = \delta_{ij}\delta(t_1 - t_2)$ .  $r$  is a real number. Thus, the equations of motion are,

$$m\dot{x} = p \quad (14)$$

$$\dot{p} = -m\omega_0^2 x^2 - m\gamma\dot{x} + m\xi(t) \quad (15)$$

Recall that  $x_0 = \sqrt{\frac{\hbar}{m\omega_0}}$  and  $p_0 = \sqrt{\hbar m\omega_0}$ . We can write (14) and (15) in terms of dimensionless variables  $X = \frac{x}{x_0}$  and  $P = \frac{p}{p_0}$ , and then the equations become

$$\dot{X} = \omega_0 P \quad (16)$$

$$\dot{P} = -\omega_0 X - \frac{\gamma}{\omega_0}\dot{X} + \frac{m}{p_0}\xi(t) \quad (17)$$

Fourier transforming the above equations, we get,

$$-i\omega\tilde{X}(\omega) = \omega_0\tilde{P}(\omega) \quad (18)$$

$$-i\omega\tilde{P}(\omega) = -\omega_0\tilde{X}(\omega) + i\frac{\gamma}{\omega_0}\tilde{X}(\omega) + \frac{m}{p_0}\tilde{\xi}(\omega) \quad (19)$$

### 3.0.1 Preliminaries

Let us introduce the notation  $\int_y f(y)$  to denote  $\int_{-\infty}^{\infty} f(y) dy$ .

$$\begin{aligned} \text{We know } \overline{\xi_1(t_1)\xi_1(t_2)} &= \delta(t_1 - t_2). \text{ Then, } \overline{\tilde{\xi}_1(\omega_1)\tilde{\xi}_1(\omega_2)} = \frac{1}{2\pi} \int_{t_1} \int_{t_2} \overline{\xi_1(t_1)\xi_1(t_2)} e^{i\omega_1 t_1} e^{i\omega_2 t_2} \\ &= \frac{1}{2\pi} \int_{t_1} \int_{t_2} \delta(t_1 - t_2) e^{i\omega_1 t_1} e^{i\omega_2 t_2} \\ &= \frac{1}{2\pi} \int_{t_1} e^{i\omega_1 t_1} e^{i\omega_2 t_1} \\ &= \delta(\omega_1 + \omega_2) \end{aligned}$$

Therefore,

$$\overline{\tilde{\xi}_i(\omega_1)\tilde{\xi}_j(\omega_2)} = \delta_{ij}\delta(\omega_1 + \omega_2) \quad (20)$$

We also make use of the following integrals (valid when  $\omega_D^2 = \omega_0^2 - \frac{\gamma^2}{4} > 0$ , i.e. an underdamped oscillator)

$$I_1(\omega_0, \gamma) = \int_{\omega} \frac{1}{(\omega_0^2 - \omega^2)^2 + \omega^2 \gamma^2} = \frac{\pi}{\omega_0^2 \gamma} \quad (21)$$

$$I_2(\omega_0, \gamma) = \int_{\omega} \frac{\omega^2}{(\omega_0^2 - \omega^2)^2 + \omega^2 \gamma^2} = \frac{\pi}{\gamma} \quad (22)$$

$$I_3(\omega_0, \gamma) = \int_{\omega} \frac{1}{(\omega_0^2 - \omega^2 - i\omega\gamma)[(\omega_0^2 - (\omega + 2\omega_0)^2 + i(\omega + 2\omega_0)\gamma)]} = \frac{-\pi(\omega_0 + \frac{i\gamma}{2})}{2\gamma\omega_0(\omega_0^2 + \frac{\gamma^2}{4})} \quad (23)$$

$$I_4(\omega_0, \gamma) = \int_{\omega} \frac{\omega}{(\omega_0^2 - \omega^2 - i\omega\gamma)[(\omega_0^2 - (\omega + 2\omega_0)^2 + i(\omega + 2\omega_0)\gamma)]} = \frac{\pi(\omega_0 + \frac{i\gamma}{2})}{2\gamma(\omega_0^2 + \frac{\gamma^2}{4})} \quad (24)$$

$$\begin{aligned} I_5(\omega_0, \gamma) &= \int_{\omega} \frac{\omega(\omega + 2\omega_0)}{(\omega_0^2 - \omega^2 - i\omega\gamma)[(\omega_0^2 - (\omega + 2\omega_0)^2 + i(\omega + 2\omega_0)\gamma)]} = \frac{\pi}{2\gamma} + \frac{\pi(-\frac{i\gamma}{2})(\omega_0 + \frac{i\gamma}{2})}{2\gamma(\omega_0^2 + \frac{\gamma^2}{4})} \\ &= \frac{\pi(\omega_0^2 + \frac{\gamma^2}{2} - \frac{i\gamma\omega_0}{2})}{2\gamma(\omega_0^2 + \frac{\gamma^2}{4})} \end{aligned} \quad (25)$$

These can be proved using contour integration. If  $f(t)$  is a function of  $t$  having a Fourier transform  $\tilde{f}(\omega)$ , then, the Fourier transform of the function  $f(t) \cos(\omega_0 t)$  is,  $\frac{1}{2\pi} \int_t f(t) \cos(\omega_0 t) e^{i\omega t} = \frac{1}{2\pi} \int_t f(t) \frac{e^{i(\omega+\omega_0)t} + e^{i(\omega-\omega_0)t}}{2} = \frac{\tilde{f}(\omega + \omega_0) + \tilde{f}(\omega - \omega_0)}{2}$ . Similarly, the Fourier transform of  $f(t) \sin(\omega_0 t)$  is  $\frac{\tilde{f}(\omega + \omega_0) - \tilde{f}(\omega - \omega_0)}{2i}$ .

Then, from (13),

$$\tilde{\xi}(\omega) = \frac{a_0}{2} [e^{\tilde{r}} \tilde{\xi}_1(\omega + \omega_1) + e^{\tilde{r}} \tilde{\xi}_1(\omega - \omega_1) - ie^{-\tilde{r}} \tilde{\xi}_2(\omega + \omega_1) + ie^{-\tilde{r}} \tilde{\xi}_2(\omega - \omega_1)] \quad (26)$$

$$\begin{aligned} \text{Therefore, } \overline{\tilde{\xi}(\omega_1) \tilde{\xi}(\omega_2)} &= \frac{a_0^2}{4} \left[ e^{2r} \overline{\left\{ \tilde{\xi}_1(\omega_1 + \omega_0) + \tilde{\xi}_1(\omega_1 - \omega_0) \right\} \left\{ \tilde{\xi}_1(\omega_2 + \omega_0) + \tilde{\xi}_1(\omega_2 - \omega_0) \right\}} \right] \\ &\quad - \frac{a_0^2}{4} \left[ e^{-2r} \overline{\left\{ \tilde{\xi}_2(\omega_1 + \omega_0) + \tilde{\xi}_2(\omega_1 - \omega_0) \right\} \left\{ \tilde{\xi}_2(\omega_2 + \omega_0) + \tilde{\xi}_2(\omega_2 - \omega_0) \right\}} \right] \\ &\quad (\text{cross terms are 0 in average}) \\ &= \frac{a_0^2}{4} \left[ e^{2r} \{ \delta(\omega_1 + \omega_2 + 2\omega_0) + 2\delta(\omega_1 + \omega_2) + \delta(\omega_1 + \omega_2 - 2\omega_0) \} \right] \\ &\quad - \frac{a_0^2}{4} \left[ e^{-2r} \{ \delta(\omega_1 + \omega_2 + 2\omega_0) + 2\delta(\omega_1 + \omega_2) + \delta(\omega_1 + \omega_2 - 2\omega_0) \} \right] \\ &= \frac{a_0^2}{2} [\sinh(2\tilde{r}) \{ \delta(\omega_1 + \omega_2 + 2\omega_0) + \delta(\omega_1 + \omega_2 - 2\omega_0) \} + 2 \cosh(2\tilde{r}) \delta(\omega_1 + \omega_2)] \end{aligned} \quad (27)$$

### 3.0.2 Calculation of Fourier transformed position and momentum

From (18) and (19),

$$\tilde{X}(\omega) = \frac{m\omega_0}{p_0} \frac{\tilde{\xi}(\omega)}{\omega_0^2 - \omega^2 - i\omega\gamma} \quad (28)$$

$$\tilde{P}(\omega) = -i \frac{m\omega}{p_0} \frac{\tilde{\xi}(\omega)}{\omega_0^2 - \omega^2 - i\omega\gamma} \quad (29)$$

Using (27), (28), and (29), we get the following results.

$$\begin{aligned} \overline{\tilde{X}(\omega_1) \tilde{X}(\omega_2)} &= \left( \frac{m\omega_0}{p_0} \right)^2 \frac{\overline{\tilde{\xi}(\omega_1) \tilde{\xi}(\omega_2)}}{(\omega_0^2 - \omega_1^2 - i\omega_1\gamma)(\omega_0^2 - \omega_2^2 - i\omega_2\gamma)} \\ &= \frac{1}{2} \left( \frac{m\omega_0 a_0}{p_0} \right)^2 \frac{[\sinh(2\tilde{r}) \{ \delta(\omega_1 + \omega_2 + 2\omega_0) + \delta(\omega_1 + \omega_2 - 2\omega_0) \} + 2 \cosh(2\tilde{r}) \delta(\omega_1 + \omega_2)]}{(\omega_0^2 - \omega_1^2 - i\omega_1\gamma)(\omega_0^2 - \omega_2^2 - i\omega_2\gamma)} \end{aligned} \quad (30)$$



$$\begin{aligned} \overline{\tilde{X}(\omega_1)\tilde{P}(\omega_2)} &= \frac{-i\omega_2}{2\omega_0} \left( \frac{m\omega_0 a_0}{p_0} \right)^2 \\ &\times \frac{[\sinh(2\tilde{r}) \{ \delta(\omega_1 + \omega_2 + 2\omega_0) + \delta(\omega_1 + \omega_2 - 2\omega_0) \} + 2 \cosh(2\tilde{r}) \delta(\omega_1 + \omega_2)]}{(\omega_0^2 - \omega_1^2 - i\omega_1\gamma)(\omega_0^2 - \omega_2^2 - i\omega_2\gamma)} \end{aligned} \quad (31)$$

$$\begin{aligned} \overline{\tilde{P}(\omega_1)\tilde{P}(\omega_2)} &= -\frac{1}{2} \frac{\omega_1\omega_2}{\omega_0^2} \left( \frac{m\omega_0 a_0}{p_0} \right)^2 \\ &\times \frac{[\sinh(2\tilde{r}) \{ \delta(\omega_1 + \omega_2 + 2\omega_0) + \delta(\omega_1 + \omega_2 - 2\omega_0) \} + 2 \cosh(2\tilde{r}) \delta(\omega_1 + \omega_2)]}{(\omega_0^2 - \omega_1^2 - i\omega_1\gamma)(\omega_0^2 - \omega_2^2 - i\omega_2\gamma)} \end{aligned} \quad (32)$$

### 3.1 Calculation of variance in position

We inverse tranform (30) to time domain, to get the variance of position, averaged over the distribution of the stochastic force. We also note that the average position is zero, as the average stochastic force is zero (hence, its fourier transform is zero, hence from (28), average of Fourier transform of position is zero, from which this result follows).

$$\begin{aligned} \overline{X^2(t)} &= \frac{1}{2\pi} \int_{\omega_1} \int_{\omega_2} \overline{\tilde{X}(\omega_1)\tilde{X}(\omega_2)} e^{-i\omega_1 t} e^{-i\omega_2 t} \\ &= \frac{1}{4\pi} \left( \frac{m\omega_0 a_0}{p_0} \right)^2 \left[ 2 \cosh(2\tilde{r}) \int_{\omega_1} \frac{1}{(\omega_0^2 - \omega_1^2)^2 + \omega_1^2 \gamma^2} \right] \\ &+ \frac{1}{4\pi} \left( \frac{m\omega_0 a_0}{p_0} \right)^2 \left[ \sinh(2\tilde{r}) \int_{\omega_1} \frac{e^{2i\omega_0 t}}{(\omega_0^2 - \omega_1^2 - i\omega_1\gamma)[(\omega_0^2 - (\omega_1 + 2\omega_0)^2 + i(\omega_1 + 2\omega_0)\gamma)]} \right] \\ &+ \frac{1}{4\pi} \left( \frac{m\omega_0 a_0}{p_0} \right)^2 \left[ \sinh(2\tilde{r}) \int_{\omega_1} \frac{e^{-2i\omega_0 t}}{(\omega_0^2 - \omega_1^2 - i\omega_1\gamma)[(\omega_0^2 - (\omega_1 - 2\omega_0)^2 + i(\omega_1 - 2\omega_0)\gamma)]} \right] \\ &= \frac{1}{4\pi} \left( \frac{m\omega_0 a_0}{p_0} \right)^2 \left[ 2 \cosh(2\tilde{r}) I_1(\omega_0, \gamma) + \sinh(2\tilde{r}) \{ e^{2i\omega_0 t} I_3(\omega_0, \gamma) + e^{-2i\omega_0 t} I_3(-\omega_0, \gamma) \} \right] \\ e^{2i\omega_0 t} I_3(\omega_0, \gamma) + e^{-2i\omega_0 t} I_3(-\omega_0, \gamma) &= \frac{-\pi}{2\gamma(\omega_0^2 + \frac{\gamma^2}{4})} \left\{ \left( 1 + \frac{i\gamma}{2\omega_0} \right) e^{2i\omega_0 t} + \left( 1 - \frac{i\gamma}{\gamma\omega_0} \right) e^{-2i\omega_0 t} \right\} \\ &= \frac{-\pi}{2\gamma(\omega_0^2 + \frac{\gamma^2}{4})} \left\{ 2 \cos(2\omega_0 t) + \frac{i\gamma}{2\omega_0} 2i \sin(2\omega_0 t) \right\} \\ &= \frac{-\pi}{\gamma(\omega_0^2 + \frac{\gamma^2}{4})} \left\{ \cos(2\omega_0 t) - \frac{\gamma}{2\omega_0} \sin(2\omega_0 t) \right\} \end{aligned}$$

Substituting this expression in the expression of variance in position, we get,

$$\begin{aligned}
\overline{X^2(t)} &= \frac{1}{4\pi} \left( \frac{m\omega_0 a_0}{p_0} \right)^2 \left[ 2 \cosh(2\tilde{r}) \frac{\pi}{\omega_0^2 \gamma} - \frac{\pi \sinh(2\tilde{r})}{\omega_0^2 + \frac{\gamma^2}{4}} \left\{ \cos(2\omega_0 t) - \frac{\gamma}{2\omega_0} \sin(2\omega_0 t) \right\} \right] \\
&= \frac{1}{4\pi} \frac{m^2 \omega_0^2}{m \hbar \omega_0} \frac{\pi}{\omega_0^2 \gamma} \frac{2\gamma kT}{m} \left[ 2 \cosh(2\tilde{r}) - \frac{\sinh(2\tilde{r})}{1 + \frac{\gamma^2}{4\omega_0^2}} \left\{ \cos(2\omega_0 t) - \frac{\gamma}{2\omega_0} \sin(2\omega_0 t) \right\} \right] \\
&= \frac{kT}{2\hbar \omega_0} \left[ 2 \cosh(2\tilde{r}) - \frac{\sinh(2\tilde{r})}{1 + \frac{\gamma^2}{4\omega_0^2}} \left\{ \cos(2\omega_0 t) - \frac{\gamma}{2\omega_0} \sin(2\omega_0 t) \right\} \right]
\end{aligned} \tag{33}$$

### 3.2 Calculation of covariance in position and momentum

Similarly, we inverse transform the covariance of position and momentum to time domain.

$$\begin{aligned}
\overline{X(t)P(t)} &= \frac{1}{2\pi} \int_{\omega_1} \int_{\omega_2} \overline{\tilde{X}(\omega_1) \tilde{P}(\omega_2)} e^{-i\omega_1 t} e^{-i\omega_2 t} \\
&= \frac{-i}{4\pi\omega_0} \left( \frac{m\omega_0 a_0}{p_0} \right)^2 \left[ 2 \cosh(2\tilde{r}) \int_{\omega_1} \frac{\omega_1}{(\omega_0^2 - \omega_1^2)^2 + \omega_1^2 \gamma^2} \right] (= 0, \text{ as integrand is odd function}) \\
&+ \frac{-i}{4\pi\omega_0} \left( \frac{m\omega_0 a_0}{p_0} \right)^2 \left[ \sinh(2\tilde{r}) \int_{\omega_1} \int_{\omega_2} \frac{\omega_2 e^{-i(\omega_1 + \omega_2)t} \{ \delta(\omega_1 + \omega_2 + 2\omega_0) + \delta(\omega_1 + \omega_2 - 2\omega_0) \}}{(\omega_0^2 - \omega_1^2 - i\omega_1 \gamma)[(\omega_0^2 - (\omega_1 + 2\omega_0)^2 + i(\omega_1 + 2\omega_0)\gamma)]} \right] \\
&= \frac{-i\gamma kT}{2\pi\hbar} \sinh(2\tilde{r}) \left[ \int_{\omega_1} \frac{\omega_1 e^{2i\omega_0 t}}{(\omega_0^2 - \omega_1^2 - i\omega_1 \gamma)[(\omega_0^2 - (\omega_1 + 2\omega_0)^2 + i(\omega_1 + 2\omega_0)\gamma)]} \right] \\
&+ \frac{-i\gamma kT}{2\pi\hbar} \sinh(2\tilde{r}) \left[ \int_{\omega_1} \frac{\omega_1 e^{-2i\omega_0 t}}{(\omega_0^2 - \omega_1^2 - i\omega_1 \gamma)[(\omega_0^2 - (\omega_1 - 2\omega_0)^2 + i(\omega_1 - 2\omega_0)\gamma)]} \right] \\
&= \frac{-i\gamma kT}{2\pi\hbar} \sinh(2\tilde{r}) [I_4(\omega_0, \gamma) e^{2i\omega_0 t} + I_4(-\omega_0, \gamma) e^{-2i\omega_0 t}] \\
I_4(\omega_0, \gamma) e^{2i\omega_0 t} + I_4(-\omega_0, \gamma) e^{-2i\omega_0 t} &= \frac{\pi}{2\gamma(\omega_0^2 + \frac{\gamma^2}{4})} \left[ \omega_0 e^{2i\omega_0 t} - \omega_0 e^{-2i\omega_0 t} + \frac{i\gamma}{2} (e^{2i\omega_0 t} + e^{-2i\omega_0 t}) \right] \\
&= \frac{i\pi}{\gamma(\omega_0^2 + \frac{\gamma^2}{4})} \left[ \omega_0 \sin(2\omega_0 t) + \frac{\gamma}{2} \cos(2\omega_0 t) \right]
\end{aligned}$$

$$\begin{aligned}
\text{Thus, } \overline{X(t)P(t)} &= \frac{-i\gamma kT}{2\pi\hbar} \sinh(2\tilde{r}) \frac{i\pi}{\gamma(\omega_0^2 + \frac{\gamma^2}{4})} \left[ \omega_0 \sin(2\omega_0 t) + \frac{\gamma}{2} \cos(2\omega_0 t) \right] \\
&= \frac{kT}{2\hbar \omega_0} \frac{\sinh(2\tilde{r})}{(1 + \frac{\gamma^2}{4\omega_0^2})} \left[ \sin(2\omega_0 t) + \frac{\gamma}{2\omega_0} \cos(2\omega_0 t) \right]
\end{aligned} \tag{34}$$

### 3.3 Calculation of variance in momentum

$$\begin{aligned}
\overline{P^2(t)} &= \frac{1}{2\pi} \int_{\omega_1} \int_{\omega_2} \overline{\tilde{P}(\omega_1) \tilde{P}(\omega_2)} e^{-i\omega_1 t} e^{-i\omega_2 t} \\
&= -\frac{1}{4\pi} \left( \frac{ma_0}{p_0} \right)^2 \left[ 2 \cosh(2\tilde{r}) \int_{\omega_1} \frac{-\omega_1^2}{(\omega_0^2 - \omega_1^2)^2 + \omega_1^2 \gamma^2} \right] \\
&\quad - \frac{1}{4\pi} \left( \frac{ma_0}{p_0} \right)^2 \left[ \sinh(2\tilde{r}) \int_{\omega_1} \frac{\omega_1(-2\omega_0 - \omega_1)e^{2i\omega_0 t}}{(\omega_0^2 - \omega_1^2 - i\omega_1 \gamma)[(\omega_0^2 - (\omega_1 + 2\omega_0)^2 + i(\omega_1 + 2\omega_0)\gamma)]} \right] \\
&\quad - \frac{1}{4\pi} \left( \frac{ma_0}{p_0} \right)^2 \left[ \sinh(2\tilde{r}) \int_{\omega_1} \frac{\omega_1(2\omega_0 - \omega_1)e^{-2i\omega_0 t}}{(\omega_0^2 - \omega_1^2 - i\omega_1 \gamma)[(\omega_0^2 - (\omega_1 - 2\omega_0)^2 + i(\omega_1 - 2\omega_0)\gamma)]} \right] \\
&= \frac{1}{4\pi} \left( \frac{ma_0}{p_0} \right)^2 \left[ 2 \cosh(2\tilde{r}) I_2(\omega_0, \gamma) + \sinh(2\tilde{r}) \{ I_5(\omega_0, \gamma) e^{2i\omega_0 t} + I_5(-\omega_0, \gamma) e^{-2i\omega_0 t} \} \right] \\
&= \frac{1}{4\pi} \left( \frac{2\gamma kT}{\hbar\omega_0} \right) \left[ 2 \cosh(2\tilde{r}) I_2(\omega_0, \gamma) + \sinh(2\tilde{r}) \{ I_5(\omega_0, \gamma) e^{2i\omega_0 t} + I_5(-\omega_0, \gamma) e^{-2i\omega_0 t} \} \right] \\
I_5(\omega_0, \gamma) e^{2i\omega_0 t} + I_5(-\omega_0, \gamma) e^{-2i\omega_0 t} &= \frac{\pi}{2\gamma \left( \omega_0^2 + \frac{\gamma^2}{4} \right)} \left[ 2 \left( \omega_0^2 + \frac{\gamma^2}{2} \right) \cos(2\omega_0 t) - \frac{i\gamma}{2} \omega_0 e^{2i\omega_0 t} + \frac{i\gamma}{2} \omega_0 e^{-2i\omega_0 t} \right] \\
&= \frac{\pi}{\gamma \left( \omega_0^2 + \frac{\gamma^2}{4} \right)} \left[ \left( \omega_0^2 + \frac{\gamma^2}{2} \right) \cos(2\omega_0 t) + \frac{\gamma\omega_0}{2} \sin(2\omega_0 t) \right] \\
\text{Thus, } \overline{P^2(t)} &= \frac{1}{4\pi} \frac{\pi}{\gamma} \frac{2\gamma kT}{\hbar\omega_0} \left[ 2 \cosh(2\tilde{r}) + \frac{\sinh(2\tilde{r})}{1 + \frac{\gamma^2}{4\omega_0^2}} \left\{ \left( 1 + \frac{\gamma^2}{2\omega_0^2} \right) \cos(2\omega_0 t) + \frac{\gamma}{2\omega_0} \sin(2\omega_0 t) \right\} \right] \\
&= \frac{kT}{2\hbar\omega_0} \left[ 2 \cosh(2\tilde{r}) + \frac{\sinh(2\tilde{r})}{1 + \frac{\gamma^2}{4\omega_0^2}} \left\{ \left( 1 + \frac{\gamma^2}{2\omega_0^2} \right) \cos(2\omega_0 t) + \frac{\gamma}{2\omega_0} \sin(2\omega_0 t) \right\} \right] \quad (35)
\end{aligned}$$

### 3.4 Rotating coordinates

Let us define “rotating coordinates”,

$$\begin{aligned}
X_1 &= X \cos(\omega_0 t) - P \sin(\omega_0 t) \\
X_2 &= X \sin(\omega_0 t) + P \cos(\omega_0 t)
\end{aligned} \quad (36)$$

Therefore,  $X_1^2 = X^2 \cos^2(\omega_0 t) + P^2 \sin^2(\omega_0 t) - 2XP \sin(\omega_0 t) \cos(\omega_0 t)$

$$\Rightarrow X_1^2 = \frac{X^2}{2} + \frac{P^2}{2} + \frac{X^2}{2} \cos(2\omega_0 t) - \frac{P^2}{2} \cos(2\omega_0 t) - XP \sin(2\omega_0 t) \quad (37)$$

Similarly,

$$X_2^2 = \frac{X^2}{2} + \frac{P^2}{2} - \frac{X^2}{2} \cos(2\omega_0 t) + \frac{P^2}{2} \cos(2\omega_0 t) + XP \sin(2\omega_0 t) \quad (38)$$

Now we use the fact that over a long time  $t(\gg \frac{1}{\omega_0})$ ,  $\langle \sin(n\omega_0 t) \rangle = \langle \cos(n\omega_0 t) \rangle = 0$ , and  $\langle \sin^2(n\omega_0 t) \rangle = \langle \cos^2(n\omega_0 t) \rangle = \frac{1}{2}$ , where  $\langle f(t) \rangle = \frac{\int_0^t f(s) ds}{t}$ , the time averaged value of  $f(t)$ .

Using (33), (34), (35) and (37), we get,

$$\begin{aligned} \langle \overline{X_1^2} \rangle &= \frac{kT}{4\hbar\omega_0} \left[ 4 \cosh(2\tilde{r}) - \frac{\sinh(2\tilde{r})}{1 + \frac{\gamma^2}{4\omega_0^2}} \cdot \frac{1}{2} - \frac{\sinh(2\tilde{r})}{1 + \frac{\gamma^2}{4\omega_0^2}} \cdot \frac{1}{2} \cdot \left( 1 + \frac{\gamma^2}{2\omega_0^2} \right) - \frac{\sinh(2\tilde{r})}{1 + \frac{\gamma^2}{4\omega_0^2}} \cdot \frac{1}{2} \cdot 2 \right] \\ &= \frac{kT}{4\hbar\omega_0} \left[ 4 \cosh(2\tilde{r}) - 2 \frac{\sinh(2\tilde{r})}{1 + \frac{\gamma^2}{4\omega_0^2}} \left( 1 + \frac{\gamma^2}{8\omega_0^2} \right) \right] \\ &\approx \frac{kT}{\hbar\omega_0} \left[ \cosh(2\tilde{r}) - \frac{\sinh(2\tilde{r})}{2} \right] \left( \text{in the limit of low damping, i.e. } \frac{\gamma}{\omega_0} \ll 1 \right) \end{aligned}$$

Similarly, using (33), (34), (35) and (38), we get,

$$\begin{aligned} \langle \overline{X_2^2} \rangle &= \frac{kT}{4\hbar\omega_0} \left[ 4 \cosh(2\tilde{r}) + \frac{\sinh(2\tilde{r})}{1 + \frac{\gamma^2}{4\omega_0^2}} \cdot \frac{1}{2} \cdot \left( 1 + \frac{\gamma^2}{2\omega_0^2} \right) + \frac{\sinh(2\tilde{r})}{1 + \frac{\gamma^2}{4\omega_0^2}} \cdot \frac{1}{2} + \frac{\sinh(2\tilde{r})}{1 + \frac{\gamma^2}{4\omega_0^2}} \cdot \frac{1}{2} \cdot 2 \right] \\ &= \frac{kT}{4\hbar\omega_0} \left[ 4 \cosh(2\tilde{r}) + 2 \frac{\sinh(2\tilde{r})}{1 + \frac{\gamma^2}{4\omega_0^2}} \left( 1 + \frac{\gamma^2}{8\omega_0^2} \right) \right] \\ &\approx \frac{kT}{\hbar\omega_0} \left[ \cosh(2\tilde{r}) + \frac{\sinh(2\tilde{r})}{2} \right] \left( \text{in the limit of low damping, i.e. } \frac{\gamma}{\omega_0} \ll 1 \right) \end{aligned}$$

From (1), the energy in a quadrant  $X$  is,  $\frac{\hbar\omega_0}{2} \langle \overline{X^2} \rangle = \frac{kT^*}{2}$ , where  $T^*$  is the effective temperature of the quadrant.

Therefore, the effective temperatures in the two rotating coordinates are,

$$\begin{aligned} T_1^* &= T \left[ \cosh(2\tilde{r}) - \frac{\sinh(2\tilde{r})}{2} \right] \\ T_2^* &= T \left[ \cosh(2\tilde{r}) + \frac{\sinh(2\tilde{r})}{2} \right] \end{aligned} \quad (39)$$

where  $T$  is the temperature of the heat bath to which the harmonic oscillator is coupled and  $r$  is the parameter in the stochastic force (13).

**Note:** It is claimed in paper by Klaers et al. (reference Klaers), the stochastic force

$\xi(t) = a_0[e^{\tilde{r}}\xi_1(t)\cos(\omega t) + e^{-\tilde{r}}\xi_2(t)\sin(\omega t)]$  gives rise to effective temperatures  $T_{1,2}^* = Te^{\pm 2r}$ . The relation between  $r$  and  $\tilde{r}$  is not mentioned. This can be explained if the relation  $e^{4r} = \frac{\cosh(2\tilde{r}) - \frac{\sinh(2\tilde{r})}{2}}{\cosh(2\tilde{r}) + \frac{\sinh(2\tilde{r})}{2}}$ , i.e.  $r = \frac{1}{4}\ln\left(\frac{e^{\tilde{r}} + 3}{3e^{4\tilde{r}} + 1}\right)$  is satisfied.

## 4 Other methods to get this result

### 4.1 Directly integrating the equations in Mathematica

We can write (14) and (15) in matrix form, and diagonalize the matrices to formally solve for  $x(t)$  and  $v(t)$  in terms of  $\xi(t)$ . Then we can calculate of  $\overline{x(t_1)x(t_2)}$ ,  $\overline{x(t_1)v(t_2)}$ , and  $\overline{v(t_1)v(t_2)}$  just like subsection 2.3.2, and find the variance of the rotating coordinates. I did this calculation (reference - [link to the code](#)) in Mathematica and got the same results as in section 3.4, under the limit of low damping.

### 4.2 Verification using a simulation

I created a C program ([ref - link to code](#)) to simulate the dynamics of this particle. The delta function force is simulated with a random force of very high magnitude (high compared to the spring force). The following graphs show that the energy in rotating coordinates are different, and the ratio of average energies is very close to the ratio of  $T_1^*$  and  $T_2^*$  obtained from (39). This also shows that  $r$  and  $\tilde{r}$  are not equal and the relation between them is given by the expression in the previous section.

## 5 How the Heat Engine works

## 6 Explanation of different effective temperatures and the reason for surpassing the second law

In 2.3.2 we saw that the effective temperature of the system is proportional to the square of the magnitude of the stochastic force. The force  $\xi(t) = a_0[e^{\tilde{r}}\xi_1(t)\cos(\omega t) + e^{-\tilde{r}}\xi_2(t)\sin(\omega t)]$  can be viewed as a combination of two forces with magnitudes  $a_1 = a_0e^{\tilde{r}}$  and  $a_2 = a_0e^{-\tilde{r}}$ . This is as if, the system is simultaneously coupled to two heat baths of temperatures  $Te^{2\tilde{r}}$  and  $Te^{-2\tilde{r}}$ . This gives rise to the different temperatures in the two rotating coordinates. Note that the temperatures in (39) are just linear combinations of the temperatures of these two heat baths.

As mentioned in papers by Klaers et. al (reference klaers), we can only say that Carnot efficiency has been surpassed if squeezing can be obtained as a free resource. If we have two engines, one of which creates the squeezed force, and the other utilizes it, then the efficiency of the whole system will not be greater than the Carnot limit.

There is a notion of generalized Carnot limit given by  $\eta = 1 - \frac{T_{high}^*}{T_{low}^*}$  (where  $T^*$  denotes the effective temperature). It is seen that the experimental efficiency is still less than this limit, although it is more than the standard Carnot limit  $1 - \frac{T_{high}}{T_{low}}$ .

When we calculate the change in entropy, we need to take into account the effective temperatures  $T_{high}^*$  and  $T_{low}^*$  of the heat baths, and that is why, the second law of thermodynamics should not be violated. When we use this special stochastic force, the system is really coupled to two heat baths of different temperatures, and we really would not compare its efficiency to the “formula” of standard Carnot efficiency, with some intermediate temperature  $T_{high}$  and  $T_{low}$ .

## 7 Conclusion

## References