

A Proposal for Scaling the Scaling Laws

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Abstract

Scaling laws are predictable relations between the performance of AI systems and various scalable design choices such as model or dataset size. In order to keep predictions interpretable, scaling analysis has traditionally relied on heavy summarisation of both the system design and its performance. We argue this summarisation and aggregation is a major source of predictive inaccuracy and lack of generalisation. With a synthetic example we show how scaling analysis needs to be *instance-based* to accurately model realistic benchmark behaviour, highlighting the need for richer evaluation datasets and more complex inferential tools, for which we outline an actionable proposal.

1 Introduction

Analysing how AI systems *scale* – how their performance is affected by various design choices such as parameter count or dataset size – has become a fruitful empirical tool: it informs the design of new generations of (scaled-up) systems (Hoffmann et al., 2022), uncovers architectural limitations (McKenzie et al., 2023), and generally helps both industry and policy in planning for what the near future of AI might look like. For example, the concept of *scaling laws* (Hestness et al., 2017; Villalobos, 2023) deals with capturing predictable patterns in the relation between scale and performance into simple mathematical relations, from which data driven extrapolations and predictions about next-generation performance can then be made.

Despite the usefulness of scaling analysis, there are also several issues. A primary concern is generalisation. Scaling laws need to be tailored (i.e. fitted) to different domains, architectures, and often even to each set of model hyperparameters independently. There is no universal ‘scaling law’ (Abnar et al., 2021; Caballero et al., 2022). Insights that generalise across tasks and metrics are rare. A second notable issue is predictive accuracy. For

example, modelling breakpoints – changes in the behavioural trend – has proven difficult, partly because of the limited expressivity of the functional forms (Caballero et al., 2022), but also because new capabilities seemingly emerge out of the blue at certain scales (Wei et al., 2022)¹.

We argue that *oversummarisation* is a significant contributing factor to these issues. Firstly, the dimensions of scale and size capture only a small part of technological innovation, and are a rudimentary summary of the attributes that define and differentiate AI systems overall. Current methods typically consider only one or two scalable design choices. This is the **oversummarisation of systems**.

Secondly, the empirical aggregate performance metrics that act as the unit of analysis are, by construction, summary statistics. By not looking at the actual features of the task instances – like a researcher might – performance is treated as an abstract number, devoid of information that could explain differences. The detection of patterns underlying the relation between task features, system features, and performance is off the table from the start. For example, the aggregate metrics cannot capture any difference in scaling behaviour between subsets of the benchmark. This is the **oversummarisation of task performance**.

While this heavy summarisation is sensible in the light of interpretability or data scarcity, it comes at a cost of generalisation and predictive power. With major NLP evaluation efforts like BIG-Bench (Srivastava et al., 2022) and HELM (Liang et al., 2022) producing huge quantities of instance-level evaluation results across a plethora of different AI systems, it is time to capitalise on the available data, and much like we scale AI itself, to also *scale the inferential tools we use in our analysis of AI*.

¹Schaeffer et al. (2023) convincingly argue that this is due to the bluntness of the used metrics.

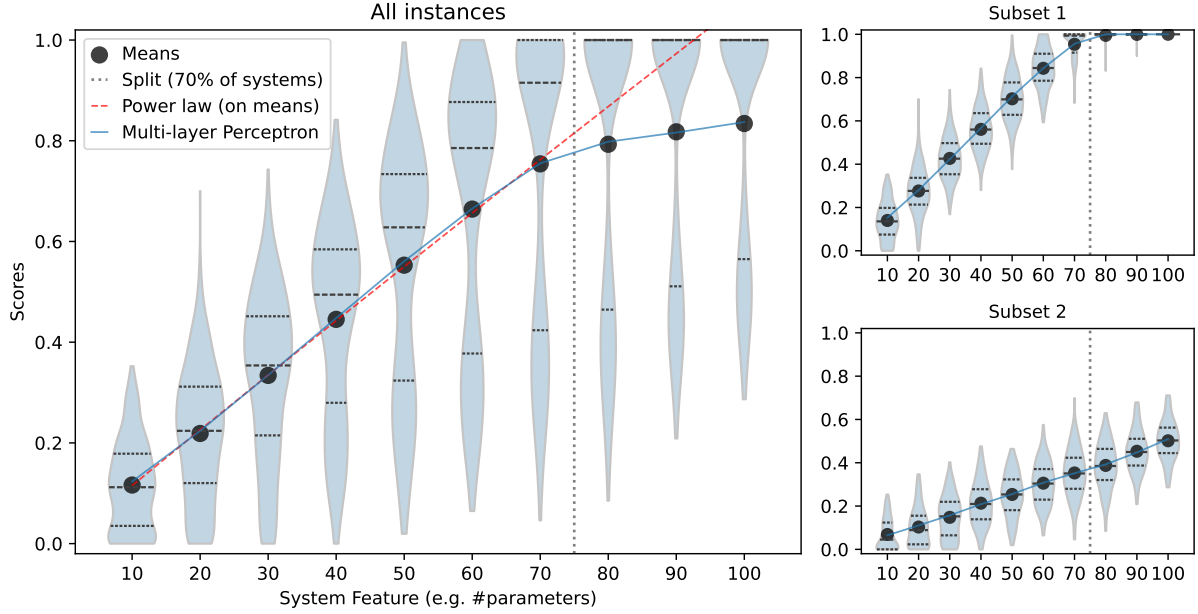


Figure 1: Synthetic example of task performance correlating with system scale which cannot be modelled from aggregate measures, while being completely regular from an instance-level perspective. The plot shows ten synthetic AI systems, whose synthetic evaluation scores are designed to be dependent on an abstract feature of the system. For example, system 2 has feature-value 20 (e.g. number of parameters), and has a mean score of about 0.2. The violin plots, with the quantiles marked, represent the distribution of scores of the respective systems. The red line is a power law fitted to the mean scores, while the blue line represents the aggregated predictions of a simple multi-layer perceptron (MLP) that predicts instance level scores. Both are trained/fitted on the smallest seven systems only. The last three systems then act as a test for the performance predictor.

2 Synthetic Example

To illustrate the challenges outlined earlier and to lay the foundation for our proposed methodology, we present a synthetic scenario where the scaling behaviour cannot be modelled from aggregate measures. The setup is as follows: we hypothesise ten AI systems, each of which scales up over the same (abstract) system feature, e.g. number of model parameters. We also devise a simple synthetic dataset consisting of 1000 instances divided into two subpopulations. The instances of the dataset synthetically have only one feature: a one-hot coded vector indicating which of the two different subgroups of the benchmark the instance belongs to.

To bring this to life, consider the task of sentiment classification of English text, whose domain would naturally contain a blend of English varieties, e.g. ‘standard English’, acting as subpopulation 1, and African-American Vernacular English (AAVE), acting as subpopulation 2. In this scenario, a one-hot vector indicating the subpopulation would not be provided explicitly, but actual features of the English variants would allow identifying the texts as belonging to different populations.

We now generate synthetic evaluation results, where we design the scores to be dependent on the scalable system feature. We simply let the mean score increase as the system feature scales. We also make this relation between scale and score differ between the two subpopulations, e.g. the sentiment of AVEE might be harder to classify than that of standard English, for example due to lower representation in training data. The scores are in the range $[0, 1]$, representing e.g. the probability assigned to the correct class.

Figure 1 illustrates the example. Observing only the mean scores, a conventional scaling analysis could sensibly only make a linear extrapolation (in red). On the other hand, an instance-based approach could discern the distinct subpopulations, noting that performance must saturate in the first group while increasing more gradually in the second. To exemplify this, we train a simple neural network² on the set of synthetic evaluation records³ to predict instance-level scores, that can correctly extrapolate to larger systems (blue curve).

²A scikit-learn MLPRegressor with default parameters, with outputs clipped between 0 and 1.

³Tuples $\langle \text{system feature, instance feature, score} \rangle$.

Evaluation Records			
System Features (id, #params, #tokens)	Instance Features (same as the systems gets)	Model Outputs (optional)	Score (number)
GPT4, 1.8T, 2T	What movie do these emojis represent? 🍿👤🍷👤		1
GPT4, 1.8T, 2T	Translate "Can I have the bill please?" into Italian.		0.7
Bard, 350G, 1.5T	What movie do these emojis represent? 🍿👤🍷👤		0
...

Figure 2: Example dataset of evaluation records.

While the example is obviously exaggerated and idealistic, benchmark subgroups are not uncommon (Swayamdipta et al., 2020; Siddiqui et al., 2022). In a high-dimensional problem space like NLP, the subgroups are however not as crisp as in our example, and identifying them is far from straightforward; this complexity is precisely why we need more sophisticated statistical methods beyond simple aggregate measures. In general, it is hard to isolate a single capability in benchmark design (AREA et al., 2014; Hernández-Orallo, 2017), if that even makes sense for novel kinds of intelligence like LLMs. In reality, there will be a mixture of (meta-)features of both system and instance that influence the scores in complicated ways. Example instance features that the literature has shown to be impactful are input length or grammatical complexity (Graesser et al., 2011; Kazemnejad et al., 2023); Clever Hans phenomena and general confounding (Martínez-Plumed et al., 2022); mislabelling (Northcutt et al., 2021; Kreutzer et al., 2022), label disagreement (Aroyo and Welty, 2015; Pavlick and Kwiatkowski, 2019), or task ambiguity (Liu et al., 2023); or general dependence on other skills, e.g. for dealing with numeric values (Amini et al., 2019), negation (She et al., 2023), or social understanding (Sap et al., 2019). While these phenomena are also tested for individually, they are nonetheless confounding factors in most benchmarks. They influence scaling behaviour in currently unknown ways and require us to actually relate scores to instance features, instead of treating performance as an abstract number.

3 Proposal

Our proposed approach emphasises the integration of detailed evaluation data. It involves following three-step process:

1. **Collect a dataset of evaluation records**, where each record corresponds to the score a particular AI system achieved for a particular task instance. The dataset can incorporate multiple tasks and multiple systems, and preferably does so in order to enable cross-system and cross-capability generalisation. While it is unfortunately rare to make fine-grained evaluation data publicly available (Burnell et al., 2023), recent evaluation efforts such as BIG-Bench (Srivastava et al., 2022) and HELM (Liang et al., 2022) have made massive amounts of instance-level scores available that can be adopted directly. At the same time, one should describe the systems under examination with machine-readable features, which can range from straightforward attributes like model size to complex architectural characteristics or whether specific training methods such as RLHF (Ouyang et al., 2022) were used. Any design choice that plausibly has significant impact on performance is useful and needed information. Figure 2 illustrates an example of such a dataset.
2. **Train an instance-level score predictor.** Hernández-Orallo et al. (2022) introduced assessor models as conditional density estimators $\hat{p}(r|\pi, \mu)$ for doing predictive inference regarding score r given system features π and instance μ . Starting from the dataset of evaluation records, the estimator $\hat{p}(r|\pi, \mu)$ can be constructed as a standard machine learning system, with π and μ acting as inputs, and score r acting as the label. For our sentiment classification for example, it could be a regression tree trained from tabular system feature data and embeddings of the textual instances.
3. **Predict scores for hypothetical systems.** Equipped with the predictor $\hat{p}(r|\pi, \mu)$, we can describe a hypothetical system π' —with scaled up features—and collect instance-level score predictions for the instances of existing benchmarks. To make an overall performance estimation for π' on a benchmark dataset D , we simply combine the individual predictions, for example by averaging the predicted score for each instance in D : $1/|D| \sum_{\mu \in D} \arg \max_r \hat{p}(r|\pi', \mu)$ —analogous to how we would compute actual scores.

The design space for assessor models is large and the inferential problem is still a challenging extrapolating one. But the approach we propose should be able to – with the right inductive biases – at least equal the predictive accuracy of current scaling law methods since the same (and more) information is used. It can capture nonlinear behaviour before aggregation, and with appropriate design, generalisation and predictive accuracy should improve over low dimensional methods.

Apart from the pure predictive aspect, this approach can provide other scaling related insights as well. For example, one could use feature attribution methods to decouple the influence of various (scaled-up) design choices, comparing e.g. influence of scaling human feedback versus scaling the causal next-token training. One could reverse engineer the design of GPT-4 (OpenAI, 2023) by searching for the features that most accurately match actual GPT-4 performance. And while we have focused on extrapolation, it is perfectly possible to ask interpolating questions, e.g. investigating the performance trade-offs and identifying “sweet spots” for system design – such as the mix of training data, the type of optimisation algorithm used, or the inclusion of certain features – that stick to more familiar territory.

4 Related Work

Scaling laws in deep learning research focus on empirical relationships between performance metrics and design choices such as architecture, model size, or dataset size. Initially driven by findings that test loss scales with training data size in a power-law fashion (Hestness et al., 2017), research has diversified to analyse a range of tasks and architectures (Rosenfeld et al., 2019; Henighan et al., 2020; Kaplan et al., 2020) and to theorise scaling exponents (Sharma and Kaplan, 2020; Hutter, 2021; Bahri et al., 2021). However, recent work highlights the non-universal applicability of these laws, particularly in predicting downstream task performance (Hoffmann et al., 2022; Sorscher et al., 2023; Caballero et al., 2022), which is further complicated by the nuances of transfer learning (Abnar et al., 2021; Tay et al., 2022). In general, we find a critical gap in current methods: the over-reliance on aggregated data and limited system characteristics.

Approaches that deal with oversummarization of systems are proposed by Srinivasan et al. (2022) and Jain et al. (2023), which learn or meta-learn

from multiple system features and therefore generalise better across systems and tasks, but still work at the aggregate performance level.

Instance-level score prediction is closely related to the notion of predictive uncertainty and calibration in probabilistic systems. Including for LLMs, it revolves around the idea that these systems can signal their own confidence by assigning probabilities to potential outcomes, much as we expect from evaluative models. Predictive uncertainty is the focus of intense research (Mielke et al., 2022; Kadavath et al., 2022; Baan et al., 2023; Hu et al., 2023), but conclusions are often contradictory or context dependent. The fields of anomaly detection and confidence estimation (e.g. Corbière et al., 2019 and Qu et al., 2022) are closely related as well. As described by (Hernández-Orallo et al., 2022), these investigations typically assume requirements that make them differ from the pure ‘performance prediction’ perspective adopted in our approach, e.g. by not being anticipative and requiring access to model outputs or internals, both of which are not available in the context of scaling laws.

The performance prediction idea also extends and is influenced by other research areas, such as Item Response Theory (Martínez-Plumed et al., 2019; Vania et al., 2021), which predicts success based on system ability and task difficulty, and techniques such as surrogate evaluation (Sacks et al., 1989) and Datamodels (Ilyas et al., 2022), which examine model behaviour in relation to training data. In addition, methods for detailed error analysis (Amershi et al., 2015) contribute to the understanding of model performance by identifying incorrect predictions and highlighting strengths and weaknesses.

5 Conclusion

Acknowledging the challenges of scaling analysis, our proposal aims to mitigate them by leveraging a richer dataset and more powerful inferential tools, i.e. “scaling the scaling laws”. The approach unlocks various new applications and aspires to enhance predictive accuracy and generalisation, ultimately aiming for a single assessor model doing inference about scaling behaviour for all tasks and systems with sufficient evaluation data available. We invite the research community to contribute to this endeavour by harnessing instance-level evaluations and amplifying the collective progress in understanding AI performance.

Limitations

While our approach aims to help remediate the challenges of scaling analysis, it of course does not wholly fix the problems of generalisation and predictive accuracy in such a complex and multidimensional extrapolation setting. Predicting non-linear performance trends requires careful assumption making, especially when no trend reversal has been observed. Feature engineering is also critical, but is complicated by mixed input types, label imbalance, unknown variables, inconsistencies and noisy data. The large design space requires strategic decisions about model training and data handling, presenting us with a challenging machine learning problem, compounded by the conventional perils of scaling analysis.

Ethics Statement

We acknowledge the ethical responsibilities inherent in predicting AI scalability and are committed to transparency and the cautious application of our models. While we aim to inform resource allocation and research direction, we urge against over-reliance on predictions for critical decisions and emphasise the importance of safety, fairness, and mitigating potential risks as AI systems advance. Any forecast made by our approach should be interpreted as a rough estimation, not as the definite path forward.

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