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# Week 4 Reading Summaries: Deep Compression + Quantization Methods Survey

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## Abstract

1 With these readings, we dive deep into optimization techniques for modern day AI,  
2 namely compression and quantization. The first paper looks at deep compression,  
3 which includes modeling pruning, trained quantization, and Huffman coding, all  
4 of which help to compress models by up to 49x. Moreover, it improves speedup  
5 for CPU, GPU, and mobile GPU. The second reading dives deep into quantization  
6 methods for efficient NN inference; namely, they compare and contrast quantization  
7 methods for deep NN computations.

## 8 1 Deep Compression

### 9 1.1 Introduction

10 Neural Networks struggle with storage and memory bandwidth at large dimensions/sizes, making it  
11 hard to deploy on mobile systems. Moreover, energy consumption is another issue, as large models  
12 require a lot of memory bandwidth, using up energy. Deep compression is a pipeline to reduce storage  
13 and energy through connection removals, quantization, and Huffman coding.

### 14 1.2 Network Pruning

15 Network pruning in the past has involved reducing network complexity and overfitting, and previously  
16 occurred without losing accuracy. The authors learn connectivity via normal training, then prune  
17 small weight connections below a threshold, and finally retrain for remaining connections. They use  
18 CSR or CSC formats to store elements, and encode the index differences.

### 19 1.3 Trained Quantization and Weight Sharing

20 The authors then work with network quantization and weight sharing, i.e. limiting the effective  
21 weights, and fine tuning those weights. They quantize weights, with all weights in a bin containing the  
22 same value, meaning each weight can be stored in a table with a small index. When updated, the  
23 gradients are summed, multiplied by LR, and subtracted from the shared weights. Using the formula  
24 for compression rate, they only need  $\log_2(k)$  bits, where  $k = \#$  of clusters.

#### 25 1.3.1 Weight Sharing

26 Using k-means clustering, they find shared weights for each layer, such that all weights in same  
27 cluster are equal. Weights don't get shared across layers, and they use WCSS to cluster weights  
28 within a layer.

### 29 **1.3.2 Initialization of Shared Weights**

30 When sharing weights, centroid initialization matters, and they survey the following: forgy, density-  
31 based, and linear. Forgy initialization chooses  $k$  random centroids, then concentrates around peaks in  
32 the distribution. Density-based creates a CDF, then makes centroids near the peaks. Linear spaces  
33 centroids evenly, and is the most scattered.

### 34 **1.3.3 Feedforward and Backprop**

35 With respect to FF and BP, indices are stored, and gradient for the shared weight is calculated and  
36 updates the weight.

## 37 **1.4 Huffman Coding**

### 38 **1.4.1 Pruning + Quantization Together**

39 Pruned networks alone see accuracy dropping significantly, as do quantized networks; together, they  
40 do not drop in accuracy.

### 41 **1.4.2 Centroid Initializations**

42 Linear initialization lets larger weights have a better chance of forming a large centroid.

### 43 **1.4.3 Speedup + Energy Efficiency**

44 Deep compression targets latency-focused applications like on-device ML, and so they consider a  
45 batch size = 1. FC layers dominate model sizes and get compressed the most. The memory access is  
46  $O(n^2)$  and the computation is  $O(n^3)$ . Finally, the speedup is approximately 3-4x on average due to a  
47 smaller memory footprint.

### 48 **1.4.4 Ratio of Weights, Index, Codebook**

49 Quantization allows for a codebook; codebooks barely make up any overhead.

## 50 **1.5 Related Work**

51 Overparametrization of neural networks results in a waste of computation and memory usage; many  
52 research papers exploit linear structures, while many more bin parameters into buckets.

## 53 **1.6 Future Work**

54 The authors focus on quantizing networks with weight sharing, as current libraries don't support  
55 matrix entry lookup.

## 56 **1.7 Conclusion**

57 Deep compression proves that NNs can be compressed without losing accuracy, through pruning,  
58 quantizing, and Huffman coding.

# 59 **2 Survey of Quantization Methods**

## 60 **2.1 Introduction**

61 Modern ML has shown improvements in NNs; accuracies have increased for over-parametrized  
62 models, yet these models are impractical for on-device ML. A lot of the literature falls into a few  
63 categories: efficient NN architectures, NN SW/HW co-design, Pruning, Distillation, and Quantization.

## 64 2.2 Quantization

65 While all these methods work to a degree, quantization seems to be foundational to optimize  
66 overparametrized models. Quantization has *technically* existed since the mid-20<sup>th</sup> century, but  
67 realistically has become prominent in the last 20 years. Roundoff errors are the biggest problem, and  
68 there are still many issues associated with quantization as of now.

### 69 2.2.1 Quantization in NNs

70 In neural networks, many novel algorithms share the same ideas; however, due to inference and  
71 training being expensive, representing numerical values is key. Moreover, reducing bit precision is  
72 a wide field, but there may be a vast difference between quantized and the original models due to  
73 overparametrization. Mixed-precision approaches have been spurred due to varying layers having  
74 varied impact on loss.

## 75 2.3 Basic Concepts of Quantization

### 76 2.3.1 Problem Setup + Notations

77 With  $L$  layers in a NN, the goal is to optimize the risk minimization function, and we have some  
78 parameters  $\theta$  that are stored in FP precision.

### 79 2.3.2 Uniform Quantization

80 A popular choice for a quantization function is  $Q(r) = \text{Int}(r/S) - Z$  which is uniform quantization,  
81 i.e. quantized values are spaced uniformly.

### 82 2.3.3 Symmetric + Asymmetric Quantization

83 The value of  $S$  from uniform quantization is calculated as such:  $S = \frac{\beta - \alpha}{2^b - 1}$ , with a clipping range of  
84  $[\alpha, \beta]$ . A symmetric quantization would mean a range where  $\alpha = -\beta$ , and asymmetric would mean  
85  $-\alpha \neq \beta$ .

### 86 2.3.4 Range Calibration Algorithms- Static vs Dynamic

87 Dynamic quantization computes the clipping range dynamically and has highest accuracy, but due to  
88 high cost of calculation, we go with static quantization, with a fixed clipping range.

### 89 2.3.5 Quantization Granularity

90 For CV tasks, there are many channels/filters; as such, channelwise quantization is used for convolu-  
91 tional kernels, while layerwise and groupwise, and subchannelwise quantization are not used due to  
92 high overhead.

### 93 2.3.6 Non-Uniform Quantization

94 Non-uniform quantization allows us to get better information and assigns bits + parameter range  
95 non-uniformly, but is hard to deploy on HW. Hence, uniform quantization is still the primary method  
96 as it's simple and efficiently mapped to HW.

### 97 2.3.7 Fine-Tuning Methods

- 98 • Quantization Aware Training (QAT): The disadvantage is computational cost of retraining  
99 the model, where we requantize the parameters after every gradient update.
- 100 • Post-Training Quantization (PTQ): While it's quick, as we do all quantization without  
101 retraining the model, we have lower accuracy as compared to QAT.
- 102 • Zero-Shot Quantization (ZSQ): We perform quantization with training or validation data,  
103 meaning they use SGD mimicing the original distribution to quantize activation quantization  
104 parameters + weights.

### 105 **2.3.8 Stochastic Quantization**

106 Stochastic Quantization maps the floating point number up or down based on probabilities calculated  
107 from the weight update. Again, the overhead of random numbers for all weight update has stopped  
108 stochastic quantization from being widely adopted.

## 109 **2.4 Advanced Concepts: Quantization below 8 Bits**

### 110 **2.4.1 Simulated + Int-only Quantization**

111 Simulated quantization stores parameters in low-precision, but carries out ops with FP arithmetic.  
112 Int-only quantization does everything in low-precision.

### 113 **2.4.2 Mixed Precision Quantization**

114 It's both efficient and effective method for low-precision quantization of NNs, and layers vary in  
115 low/high bits used, reducing accuracy degradation.

### 116 **2.4.3 HW Aware Quantization**

117 : Using an RL agent, you determine the best mixed-precision setting, through latency lookup tables  
118 with different bandwidths.

### 119 **2.4.4 Distillation-Assisted Quantization**

120 The loss function uses both student and distillation loss, and leverages knowledge from intermediate  
121 layers.

### 122 **2.4.5 Extreme Quantization**

123 The methods used have a high accuracy degradation as we use *extremely* low bit precision quantization.

## 124 **2.5 Quantization and HW Processors**

125 Edge devices have high resource constraints, and often cannot execute heavy NN models. There are  
126 new developments like RISC-V SoC and other SoCs for improved acceleration on-device, but NN  
127 models must still be quantized to execute on device without breaking memory, compute, and power  
128 budgets.

## 129 **2.6 Future Directions for Research in Quantization**

### 130 **2.6.1 Quantization SW**

131 TensorRT, TVM, and other SW libraries make it easier to quantize models that isn't sub-INT8.

### 132 **2.6.2 Hardware + NN Architecture Co-Design**

133 This line of work explores co-designing HW and NN Architecture, specific for different quantized  
134 values.

### 135 **2.6.3 Coupled Compression Methods**

136 Coupled compression simply refers to combining multiple methods (pruning, quantization, and other  
137 methods for efficient NN deployment).

### 138 **2.6.4 Quantized Training**

139 Quantized training allows faster and power-efficient logic, but pushing it to INT8 precision is hard.  
140 This area is high-impact and difficult.

## 141 **2.7 Summary and Conclusions**

142 This survey presents a useful look into broader quantization techniques, and more advanced quantiza-  
143 tion techniques, as well as future research in this field. All of this is useful in the journey to more  
144 efficient on-device ML deployment.