Approx-SVP in Ideal lattices with Pre-Processing

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tMeet seminar at IIT Madras, October 30, 2018



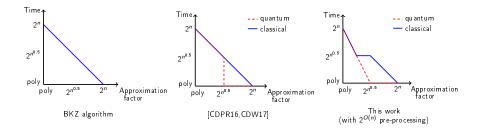


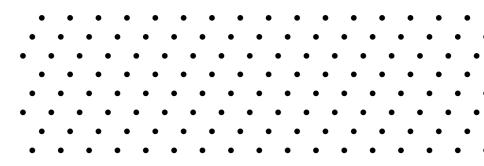


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What is this talk about

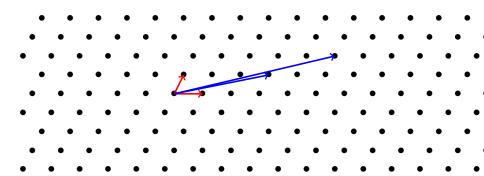
Time/Approximation factor trade-off for SVP in ideal lattices:





Lattice

A lattice L is a discrete 'vector space' over \mathbb{Z} .

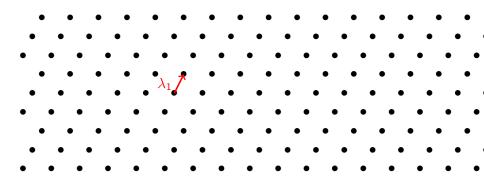


Lattice

A lattice L is a discrete 'vector space' over \mathbb{Z} .

A basis of L is an invertible matrix B such that $L = \{Bx \mid x \in \mathbb{Z}^n\}$.

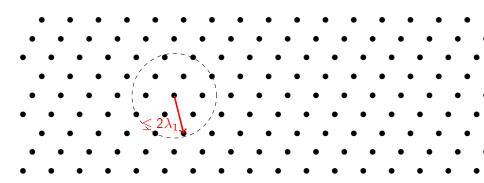
$$\begin{pmatrix} 3 & 1 \\ 0 & 2 \end{pmatrix}$$
 and $\begin{pmatrix} 17 & 11 \\ 4 & 2 \end{pmatrix}$ are two bases of the above lattice.



Shortest Vector Problem (SVP)

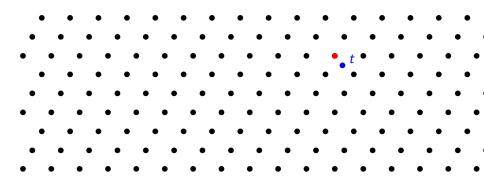
Find a shortest (in Euclidean norm) non-zero vector.

Its Euclidean norm is denoted λ_1 .



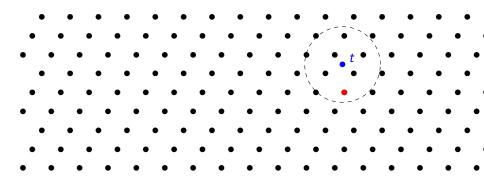
Approximate Shortest Vector Problem (approx-SVP)

Find a short (in Euclidean norm) non-zero vector. (e.g. of norm $\leq 2\lambda_1$).



Closest Vector Problem (CVP)

Given a target point t, find a point of the lattice closest to t.



Approximate Closest Vector Problem (approx-CVP)

Given a target point t, find a point of the lattice close to t.

Complexity of SVP/CVP

Applications

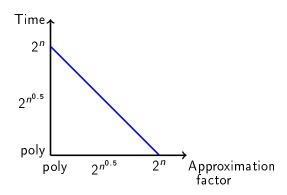
SVP and CVP in general lattices are conjectured to be hard to solve both quantumly and classically \Rightarrow used in cryptography

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Best Time/Approximation trade-off for general lattices: BKZ algorithm



Structured lattices

Improve efficiency of lattice-based crypto using structured lattices, e.g.

$$M = \begin{pmatrix} a_1 & a_2 & \cdots & a_n \\ -a_n & a_1 & \cdots & a_{n-1} \\ \vdots & \ddots & \ddots & \vdots \\ -a_2 & -a_3 & \cdots & a_1 \end{pmatrix}$$

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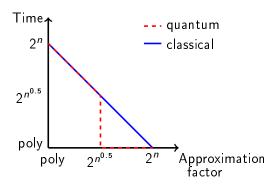
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Is approx-SVP still hard when restricted to ideal lattices?

SVP in ideal lattices

[CDPR16,CDW17]: Better than BKZ in the quantum setting

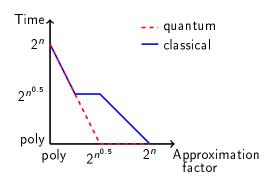


- Heuristic
- For prime power cyclotomic fields

[[]CDPR16] R. Cramer, L. Ducas, C. Peikert and O. Regev. Recovering Short Generators of Principal Ideals in Cyclotomic Rings, Eurocrypt.

[[]CDW17] R. Cramer, L. Ducas, B. Wesolowski. Short Stickelberger Class Relations and Application to Ideal-SVP, Eurocrypt.

This work



- Heuristic
- Pre-processing $2^{O(n)}$, independent of the choice of the ideal (non-uniform algorithm).

Outline of the talk

- Definitions and objective
- 2 The CDPR algorithm
- This work
- 4 Extensions and conclusion

First definitions

Notation

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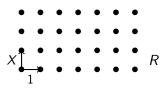
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- Principal ideals: $\langle g \rangle = \{gr \mid r \in R\}$ (i.e. all multiples of g)
 - e.g. $\langle 2 \rangle = \{ \text{even numbers} \} \text{ in } \mathbb{Z}$
 - g is called a generator of $\langle g \rangle$
 - ▶ The generators of $\langle g \rangle$ are exactly the ug for $u \in R^{\times}$

$$R \simeq \mathbb{Z}^n$$

$$R = \mathbb{Z}[X]/(X^{n} + 1) \to \mathbb{Z}^{n}$$

$$r = r_{0} + r_{1}X + \dots + r_{n-1}X^{n-1} \mapsto (r_{0}, r_{1}, \dots, r_{n-1})$$

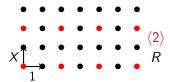


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Objective of this talk

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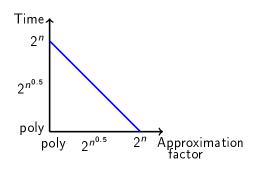
Given a basis of a principal ideal $\langle g \rangle$ and $\alpha \in (0,1]$, Find $r \in \langle g \rangle$ such that $\|r\| \leq 2^{\widetilde{O}(n^{\alpha})} \cdot \lambda_1$.

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BKZ algorithm can do it in time $2^{O(n^{1-\alpha})}$, can we do better?



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Main idea of the CDPR algorithm (on an idea of [CGS14])

Idea

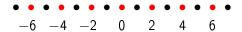
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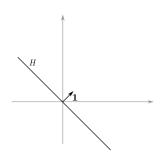
If $\mathbf{n}=\mathbf{1}$: e.g. $\langle 2 \rangle \Rightarrow 2$ and -2 are the smallest elements.

$$-6$$
 -4 -2 0 2 4 6

For larger n: one of the generators is somehow small

 $\mathsf{Log}: R \to \mathbb{R}^n$ (somehow generalising log to R)

Let
$$\mathbf{1}=(1,\cdots,1)$$
 and $H=\mathbf{1}^{\perp}$.



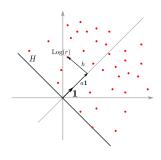
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Properties

 $\text{Log } r = h + a\mathbf{1}$, with $h \in H$

• a > 0



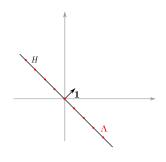
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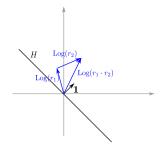
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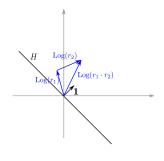
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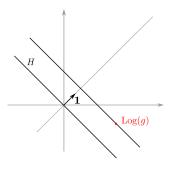
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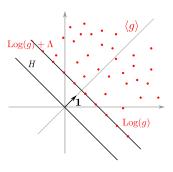
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- $||r|| \simeq 2^{||\operatorname{Log} r||_{\infty}}$



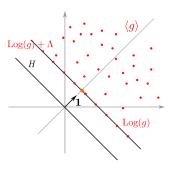
What does $Log\langle g \rangle$ look like?



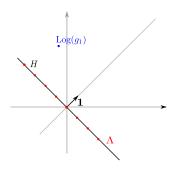
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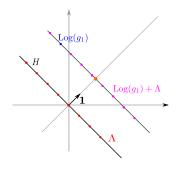
- Find a generator g_1 of $\langle g \rangle$.
 - ▶ [BS16]: quantum time $\operatorname{poly}(\underline{n})$
 - ▶ [BEFGK17]: classical time $2^{\tilde{O}(\sqrt{n})}$



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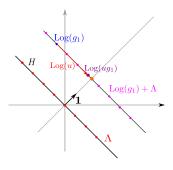
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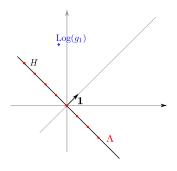
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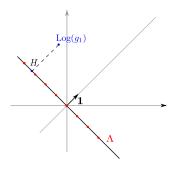
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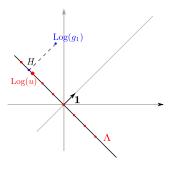
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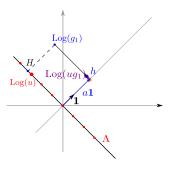
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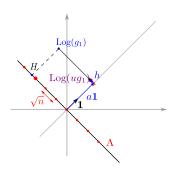


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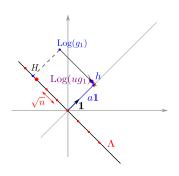
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$$\|u\mathbf{g}_1\| \leq 2^{\widetilde{O}(\sqrt{n})} \cdot \lambda_1$$



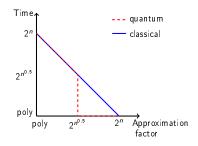
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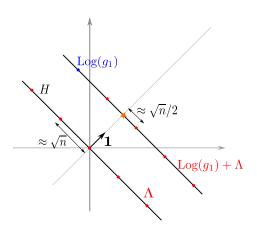


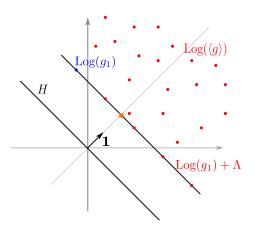
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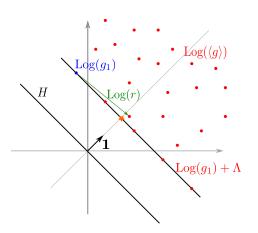
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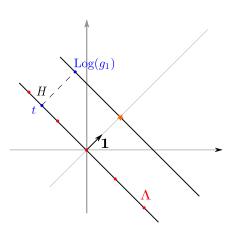
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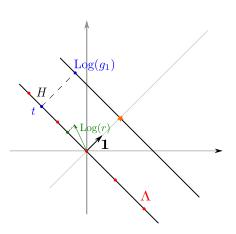




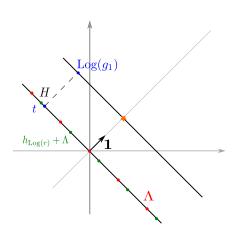
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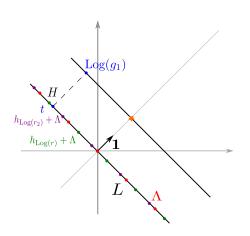


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Solve CVP in
$$L$$
 with target t (for some $\alpha \in [0,1]$)

$$\Rightarrow$$
 get a vector $s \in L$ such that $\|s-t\| \leq \widetilde{O}(n^{lpha})$

```
Compute r_1, \dots, r_n with small 'a'
```

Compute
$$\Lambda \cup \bigcup_i (h_{\text{Log } r_i} + \Lambda) \Rightarrow \text{lattice } L$$

Compute
$$g_1$$
 a generator of $\langle g \rangle$, let $t = h_{\mathsf{Log}(g_1)}$

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Write
$$s = h_{\log r}$$
 for some $r \in R$

Compute r_1, \dots, r_n with small 'a'

Compute $\Lambda \cup \bigcup_i (h_{\mathsf{Log}\,r_i} + \Lambda) \Rightarrow \mathsf{lattice}\,L$

Compute g_1 a generator of $\langle g \rangle$, let $t = h_{\mathsf{Log}(g_1)}$

Solve CVP in L with target t (for some $\alpha \in [0,1]$) \Rightarrow get a vector $s \in L$ such that $||s-t|| \leq \widetilde{O}(n^{\alpha})$

Write $s = h_{\log r}$ for some $r \in R$

$$\|rg_1\| \leq 2^{\widetilde{O}(n^{\alpha})} \cdot \lambda_1$$

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 \Rightarrow get a vector $s \in L$ such that $||s-t|| \leq \widetilde{O}(n^{\alpha})$

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Key observation

 $L = \Lambda \cup \bigcup_i (h_{\mathsf{Log}\,r_i} + \Lambda)$ does not depend on $\langle g \rangle \; \Rightarrow \; \mathsf{Pre\text{-}processing}$ on L

CDPR	This work
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Key observation

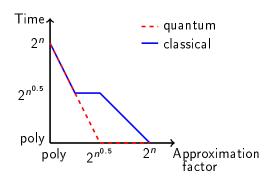
 $L = \Lambda \cup \bigcup_i (h_{\mathsf{Log}\,r_i} + \Lambda)$ does not depend on $\langle g
angle \; \Rightarrow$ Pre-processing on L

- [Laa16]: ullet Find $s\in L$ such that $\|s-t\|=\widetilde{O}(n^{lpha})$
 - Time:
 - $\triangleright 2^{\widetilde{O}(n^{1-2\alpha})}$ (query)
 - \rightarrow + 2^{O(n)} (pre-processing)

[Laa16] T. Laarhoven. Finding closest lattice vectors using approximate Voronoi cells. SAC.

Conclusion

Approximation	Query time	Pre-processing
$2^{\widetilde{O}(n^{\alpha})}$	$2^{\widetilde{O}(n^{1-2\alpha})} + (\operatorname{poly}(n) \text{ or } 2^{\widetilde{O}(\sqrt{n})})$	2 ^{O(n)}



 $+2^{O(n)}$ Pre-processing / Non-uniform algorithm

Outline of the talk

- Definitions and objective
- 2 The CDPR algorithm
- This work
- Extensions and conclusion

Extensions

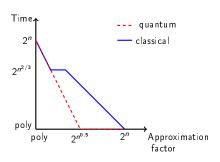
We can extend the algorithm to

Non principal ideals

Extensions

We can extend the algorithm to

- Non principal ideals
- Other number fields



Ideal

An ideal is $I = \{ar_1 + br_2, r_1, r_2 \in R\}$ for some $a, b \in R$ A principal ideal is $\langle g \rangle = \{gr, r \in R\}$ for some $g \in R$.

Ideal

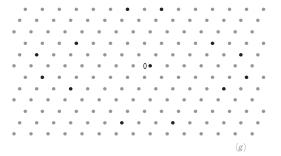
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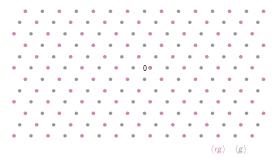
What we did

 All generators • are somehow large

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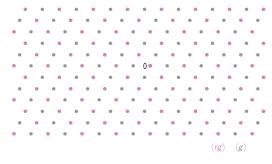
What we did

- All generators are somehow large
- Multiply by some small r
 - $\langle rg \rangle$ sublattice of $\langle g \rangle$

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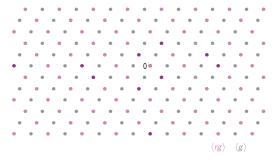
What we did

- All generators are somehow large
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 - not much smaller

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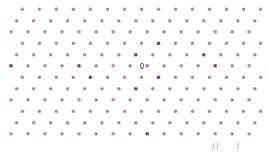
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[CDPR]: find the smallest generator of a principal ideal



Extension to any ideal

- I has no generator (not principal)
- Multiply by some small ideal J
 - ► // sublattice of /
 - not much smaller
 - principal
 - with a small generator •

• Approx-SVP in ideal lattices might be easier than in general lattices

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- No concrete impact/attack against crypto schemes
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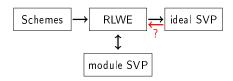
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Perspectives and open questions

- Remove/test the heuristics
- Improving the algorithm for specific rings?
- Generalize to module SVP?

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Questions?