TOLL FREE NUMBER: 1800 3000 3060 | EMAIL: clientservice@whiteoakamc.com | WEBSITE: https://mf.whiteoakamc.com

Version : 10- 03 - 2023

Signature, Stamp & Date

EX SYSTEMATIC TRANSFER PLAN

Please read the Terms and Conditions and refer to SID, SAI, KIM and Addendums issued for the respective schemes

From Scheme

Amount



| lease Note: All field | d marked with asterisk (*) to be | mandatorily filled | | , | Application No.: | |
|---|--|---|--|--|--|--|
| | NFORMATION* (Refer Section 1 u | | | | ī | |
| RN code | RIA /PMRN code** | ARN / RIA / PI | M Name | Sub broker ARN code | Sub broker code | EUIN* |
| RN - | RIA - | | | ARN - | | |
| applicable) Incase the | e EUIN box has been left blank, pleas | nare with the Investment Adviser/ Portfo e refer the point related to EUIN in the I or's assessment of various factors, inclu | Declaration & Signatures s | ection overleaf. Upfront commi | | |
| . EXISTING UNIT H | HOLDER INFORMATION | | | | | |
| estor Name Mr. M | ls. M/s. | | | | | |
| io No. | | | | | | |
| N/PERN* | | Enclosed: PAN/PER | N Proof KYC Compl | iance | | |
| ELEX SYSTEMA | TIC TRANSFER PLAN | | | | | |
| | | DI. | T 0.1 | | . Di | (0.1.0 |
| rom Scheme ption (Please ✓any o | | Plan out | 10 Scheme | | _Plan | (Only Growth Optio |
| DCW Frequency case of IDCW Option) | one) Glowth IDGW Pay | out DOW Reinvestment | | | | |
| ex STP Amount (₹): | (The transfer am | ount shall be determined by the formula in instru | uction 5 from the 2nd installmen | nt onwards) No. of Installme | nts : | |
| DECLARATION A | Flex STP Start M M Y Y | Y Y Flex STP End M M Y | YYY | | | |
| DECLARATION A ring read and understore hereby declare that the provisions of the Incuced by any rebate or gued by any the satisfaction of the current application will an nationality/origin an ails provided by me/us a | IND SIGNATURE(S) and the content of the SID / SAI of the sithe amount invested in the scheme is the come Tax Act, Anti Money Laundering I gifts, directly or indirectly in making this of the Mutual Fund, (I/we hereby authories such other action with such funds that mes of various Mutual Funds amongst vI result in aggregate investments exceed that I/We have remitted funds from a lare true and correct. | cheme, I/we hereby apply for units of the rough legitimate source only and does not aws, Anti Corruption Laws or any other a investment. I/We confirm that the funds in ze the Mutual Fund, to redeem the funds may be required by the law.) The ARN hubich the Scheme is being recommended ding ₹ 50,000 in a year (Applicable for Mioroad through approved banking channel coation Form and in the same order. In case | It involve designed for the pplicable laws enacted by thrested in the Scheme, leg invested in the Scheme, in older has disclosed to me/us I/We confirm to me/ us. I/We confirm to ro investment only.) with y s or from funds in my/ our N | purpose of the contravention of a the Government of India from til ally belongs to me/us. In event ' favour of the applicant, at the a ss all the commissions (trail con at I/We do not have any existing our fund house. For NRIs only on Non Resident External / Non Re | any Act, Rules, Regulations, me to time. I/we have not red "Know Your Customer" proc pplicable NAV prevailing on mission or any other mode). Micro SIP/Lumpsum invest-1/We confirm that I am/we sident Ordinary / FCNR acc | Notifications or Directorived nor have been ess is not completed to the date of such payable to him for the ments which together e are Non Residents of |
| DECLARATION A ving read and understoo le hereby declare that the provisions of the Incuced by any rebate or g vius to the satisfaction of lemption and undertake erent competing Schem current application will ian nationality/origin an ails provided by me/us a gnature(s) should be | IND SIGNATURE(S) and the content of the SID / SAI of the sithe amount invested in the scheme is the come Tax Act, Anti Money Laundering I gifts, directly or indirectly in making this of the Mutual Fund, (I/we hereby authories such other action with such funds that mes of various Mutual Funds amongst vI result in aggregate investments exceed that I/We have remitted funds from a lare true and correct. | cheme, I/we hereby apply for units of the rough legitimate source only and does no aws, Anti Corruption Laws or any other a investment. I/We confirm that the funds ir ze the Mutual Fund, to redeem the funds may be required by the law.) The ARN highighted the Scheme is being recommended ding ₹ 50,000 in a year (Applicable for Migrorad through approved banking channel cation Form and in the same order. In case | It involve designed for the pplicable laws enacted by thrested in the Scheme, leg invested in the Scheme, in older has disclosed to me/us I/We confirm to me/ us. I/We confirm to ro investment only.) with y s or from funds in my/ our N | purpose of the contravention of a the Government of India from til ally belongs to me/us. In event ' favour of the applicant, at the a ss all the commissions (trail con at I/We do not have any existing our fund house. For NRIs only on Non Resident External / Non Re | any Act, Rules, Regulations, me to time. I/we have not red "Know Your Customer" proc pplicable NAV prevailing on mission or any other mode). Micro SIP/Lumpsum invest-1/We confirm that I am/we sident Ordinary / FCNR acc | Notifications or Directorived nor have been ess is not completed in the date of such payable to him for the ments which together e are Non Residents count. I/We confirm that |
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Plan

Date

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TERMS & CONDITIONS OF FLEX STP

Flex Systematic Transfer Plan (Flex STP) is a facility wherein unit holder(s) holding units in non demat form of designated open-ended Scheme of WhiteOak Capital Mutual Fund can opt to transfer variable amount(s) linked to value of investments under Flex STP on the date of transfer at pre-determined intervals from designated open-ended Scheme of WhiteOak Capital Mutual Fund (hereinafter referred to as "Transferor Scheme") to the Growth Option of designated open-ended Scheme of WhiteOak Capital Mutual Fund (hereinafter referred to as "Transferee Scheme"). Currently all open ended schemes (including Direct Plan thereunder) except ETFs are eligible Transferor/ Transferee Schemes for this facility. The above Scheme(s) are subject to change from time to time. Please contact the nearest Investor Service Centre (ISC) of WhiteOak Capital Mutual Fund for updated list. One Flex STP Enrollment Form can be filled for transfer into one Scheme/Plan only.

1. Investors are advised to read the Key Information Memorandum(s) (KIMs) and Scheme Information Document(s) (SIDs) of the Transferee Scheme(s) and Statement of Additional Information (SAI) carefully before investing. The SIDs / KIMs of the respective Scheme(s) and SAI are available with the ISCs of WhiteOak Capital Mutual Fund and displayed at the WhiteOak Capital Mutual Fund website i.e. https://mf.whiteoakamc.com .

2. Unit holders should note that unit holders' details and mode of holding (single, joint, anyone or survivor) in the Transferee Scheme will be as per the existing folio number of the Transferor Scheme. Units will be allotted under the same folio number. Unitholders' name should match with the details in the existing folio number, failing which the enrollment form is liable to be reiected.

Units will be allotted under the same folio number. Unitholders' name should match with the details in the existing folio number, failing which the enrollment form is liable to be rejected.

3. In case, the Enrolment Period has been filled, but the Flex STP Date and/or Frequency (Monthly/Quarterly) has not been indicated, Monthly frequency shall be treated as Default frequency and 10th shall be treated as Default Date.

4.a Under Flex STP, the amount to be transferred in the Transferee Scheme on the date of transfer shall be higher of:

Fixed amount to be transferred per installment; or

The amount determined by the formula: (fixed amount to be transferred per installment X number of installments including the current installment) - market value of the investments through Flex STP. In case the amount (as specified above) to be transferred is not available in the Transferror Scheme in the unit holder's account, the residual amount will be transferred to the Transferee Scheme and Flex STP will be closed.

b. The Dates of transfers shall be as under:

FLEX Systematic Transfer Plan (FLEX STP)

| Particulars | Frequency |
|----------------------------|------------------------------------|
| Daily Option | All business days |
| Weekly Option | Any day from Monday to Friday |
| Monthly & Quarterly Option | 1st to 28th of every month/quarter |

The default day will be Monday in case of Weekly frequency and default date shall be 10th of the month, in case of Monthly and Quarterly frequency

In case the day/date of transfer falls on a Non-Business Day or falls during a book closure period, the immediate next Business Day will be considered for the purpose of determining the applicability of NAV.

- c. The first Flex STP installment will be processed for the fixed installment amount specified by the Unitholder in the enrollment form. From the second Flex STP installment onwards, the transfer amount shall be computed as per formula stated above d. The total Flex STP amount invested in the Transferee Scheme shall not exceed the total enrollment amount i.e. amount per installment X number of installments.
- e. The redemption/ switch-out of units allotted in the Transferee Scheme shall be processed on First In First Out (FIFO) basis. In case there is a redemption/ switch-out of any units allotted under Flex STP, the balance installments under Flex STP will be processed for the fixed installment amount specified by the unitholder at the time of enrollment subject to clause 8(d) above.

Illustration 1: How to calculate the transfer amount?

Flex STP Enrollment Details :

| Transferor Scheme | : | WhiteOak Capital Liquid Fund |
|------------------------------------|---|---------------------------------|
| Transferee Scheme | : | WhiteOak Capital Flexi Cap Fund |
| Date & Frequency of Flex STP | : | 15th,Monthly Interval |
| Amount of Transfer per Installment | : | Rs. 5000 |
| Number of Installments | : | 12 |
| Enrollment Period | : | January - December |

Calculation of Flex STP installment amount on the date of the fourth installment i.e. April 15:

- Total units allotted upto the date of last installment i.e. March 15 is assumed as 1.371.22
- *The NAV of WhiteOak Capital Equity Fund Growth Option on April 15 is assumed as Rs. 9/- per unit;
- * Hence the market value of the investment in the Transferee

Scheme on the date of transfer is Rs.12,340.91 [1,371.22 X 9].

The installment amount will be calculated as follows

| Fixed amount specified : Rs. 5,000/- | : | Fixed amount specified : Rs. 5,000/- | |
|--------------------------------------|----|--|--|
| | or | | |
| As determined by the formula | : | [(5,000 X 4) - 12,340.91] = Rs. 7,659.09 | |
| whichever is higher | | | |

Hence, on April 15, the installment amount transferred to the Transferee Scheme will be Rs. 7,659,09 Illustration 2: How to calculate the transfer amount?

FLEX STP Enrollment Details :

| Transferor Scheme | : | WhiteOak Capital Liquid Fund |
|------------------------------------|---|---------------------------------|
| Transferee Scheme | : | WhiteOak Capital Flexi Cap Fund |
| Date & Frequency of Flex STP | : | 1st, Monthly Interval |
| Amount of Transfer per Installment | : | Rs. 5000 |
| Number of Installments | : | 12 |
| Enrollment Period | : | January - December |

Calculation of Flex STP installment amount on the date of the seventh installment i.e. July 1:

- Total units allotted upto the date of last installment i.e. June 1 is assumed as 4,196.43;
- * NAV of WhiteOak Capital Equity Fund Growth Option on July 1 is assumed as Rs.10/- per unit;
- * Hence the market value of the investment in the Transferee Scheme on the date of transfer is Rs. 41,964.29 [4,196.43 X 10]. The installment amount will be calculated as follows:

| whichever is higher | | | |
|--------------------------------------|----|---|--|
| As determined by the formula | : | [(5,000 X 7) -41,964.29] = Rs 6,964.29 | |
| | or | | |
| Fixed amount specified : Rs. 5,000/- | : | Fixed amount specified : Rs. 5,000/- | |

Hence, on July 1, the installment amount transferred to the Transferee Scheme will be Rs. 5,000/-

Note: The Flex STP installment dates in the illustrations above are assumed to be Business Davs.

5.a. Flex STP will offer Daily, Weekly, Monthly and Quarterly frequency. In case frequency is not selected, default frequency shall be Monthly and the default date for STP shall be 10th of the month and in case of Weekly frequency, the default day will be Monday. Investors are further requested to note below revised minimum amount per installment under FSTP and Flex STP:

| Frequency | Minimum amount per instalment | Minimum number of instalments |
|------------|----------------------------------|---|
| Daily & | ₹ 500 and any amount thereafter | For all Eligible Schemes (Except WhiteOak Capital Mid Cap Fund) : |
| Weekly | | 12 instalments - If the amount is less than ₹ 1000/- per instalment. |
| | | 6 instalments - If the amount per instalment is greater than Rs. 1000/- |
| | | For WhiteOak Capital Mid Cap Fund - Daily 60 Installments and Weekly 15 Installments, respectively. |
| Monthly | ₹ 1000 and any amount thereafter | 6 instalments |
| Quarterly | ₹ 1000 and any amount thereafter | 4 instalments |

The following schemes are eligible for Flex STP

| List of Source Scheme / Transferor Scheme | List of Target Scheme / Transferee Scheme |
|--|--|
| 1) WhiteOak Capital Liquid Fund | 1) WhiteOak Capital Flexi Cap Fund |
| 2) WhiteOak Capital Ultra Short Fund | 2) WhiteOak Capital Mid Cap Fund |
| 3) WhiteOak Capital Balanced Advantage Fund | 3) WhiteOak Capital Large Cap Fund |
| | 4) WhiteOak Capital Balanced Advantage Fund |

b. The minimum Unit holder's account balance or a minimum amount of application at the time of Flex STP enrollment in the Transferor Scheme should be Rs. 12.000. In case the amount to be transferred is not available in the Transferor Scheme in the unit holder's account, the residual amount will be transferred to the Transferee Scheme and Flex STP will be closed.

c. In case of Flex STP - Weekly Interval, the commencement date shall be within 5 days from the date of receipt of a valid

d. The application for enrollment for Flex STP - Monthly & Quarterly Interval should be submitted at least 5 Days and not more than 90 days before the desired commencement date

In case the Start Date is mentioned but End Date is not mentioned, the application will be registered for the minimum number of installments.

In case the End Date is mentioned but Start Date is not mentioned, the application will be registered after expiry of 5 days from submission of the application from the default date i.e. 10th of each month / quarter (or the immediately succeeding Business Day), provided the minimum number of installments are met.

e. In case of Weekly Interval and Monthly/ Quarterly Interval, Unitholders are required to fill in the number of installments and the enrollment period respectively in the Enrollment Form, failing which the form is liable to be rejected.

f. In case Day of Transfer has not been indicated under the Weekly frequency, Monday shall be treated as Default day of transfer. g. There is no maximum duration for Flex STP enrollment. Flex STPs will be registered in a folio held by a minor only till the date of the minor attaining majority, even though the instructions may be for a period beyond that date. The Flex STP facility will automatically stand terminated upon the Unit Holder attaining 18 years of age.

6. In respect of Flex STP enrollments made in the above-mentioned Scheme(s), the Load Structure prevalent at the time of enrollment shall govern the investors during the tenure of the Flex STP.

Load structure for investments through Flex STP to the Schemes eligible for this facility:

Exit Load of the Transferor Scheme(s)

The amount transferred under the Flex STP from the Transferor Scheme to the Transferee Scheme shall be effected by redeeming units of Transferor Scheme at applicable NAV, after payment of any Exit Load, if any, and subscribing to the units of the Transferee Scheme at Applicable NAV.

Exit Load of the Transferee Scheme(s)

Applicable Exit Load, if any, in the Transferee Scheme / Plan / Option as on the date of enrollment will also be levied. For Load structure please refer to KIM or contact the nearest Investor Service Centre (ISC) of WhiteOak Capital Mutual Fund or visit our website https://mf.whiteoakamc.com

7. Flex STP will be automatically terminated if all units are liquidated or withdrawn from the Transferor Scheme or pledged or upon receipt of intimation of death of the unit holder.

8. The provision of 'Minimum Redemption Amount' as specified in the SIDs of the respective designated Transferor Scheme(s) and 'Minimum Application Amount' specified in the Scheme Information Document(s) of the respective designated Transferee Scheme(s) will not be applicable for Flex STP.

9. Unit holders will have the right to discontinue the Flex STP facility at any time by sending a written request to the ISC. Notice of such discontinuance should be received at least 5 days prior to the due date of the next transfer date. On receipt of such request, the Flex STP facility will be terminated. In case of Flex STP - Interval, termination of Flex STP will be effective not later than the 5th Business Day from the date of receipt of written request.

10. WhiteOak Flex STP in any manner whatsoever is not an assurance or promise or guarantee on part of WhiteOak Capital Mutual Fund/ WhiteOak Capital Asset Management Company Limited to the Unit holders in terms of returns or capital appreciation or minimization of loss of capital or otherwise