



Module5—Heap

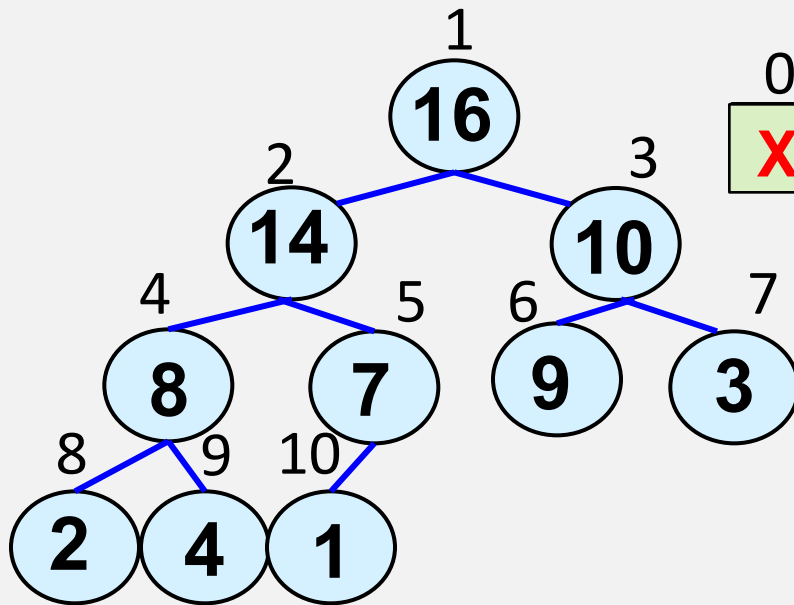
Heap : array & view



5.1 Heap * 1 ข้อ

* โครงสร้างข้อมูล array ที่ถูกมองเป็น complete binary tree

Definition The (binary) heap data structure is an **array object** that can **be viewed** as a **complete binary tree**. Each node of the tree corresponds to an element of the array that stores the value in the node.



0	1	2	3	4	5	6	7	8	9	10
X	16	14	10	8	7	9	3	2	4	1

1. ไม่เก็บข้อมูลที่ index 0

* จัดคุณสมบัติเหมือน tree



A is an array represent heap
attribute

- int A[30];

30

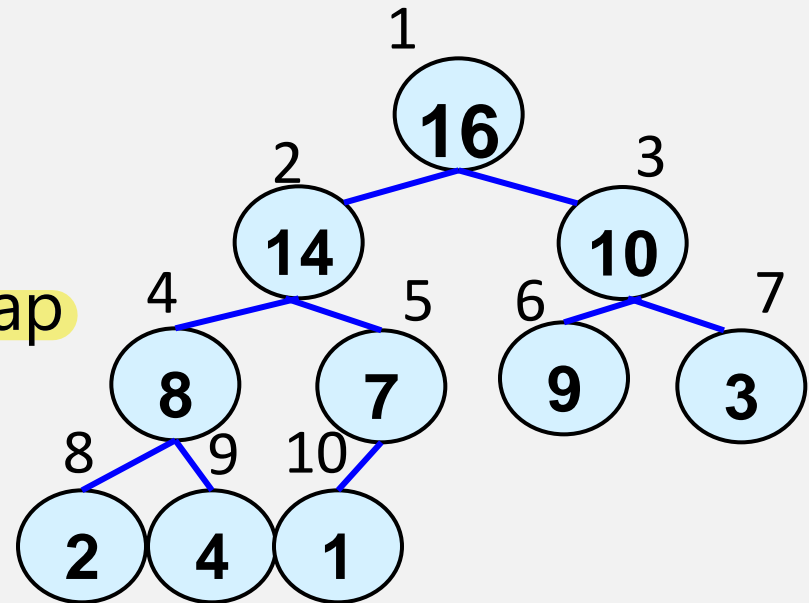
- length ขนาดของ A

10

- heapsize จำนวนสมาชิกใน heap

- heapsize \leq length

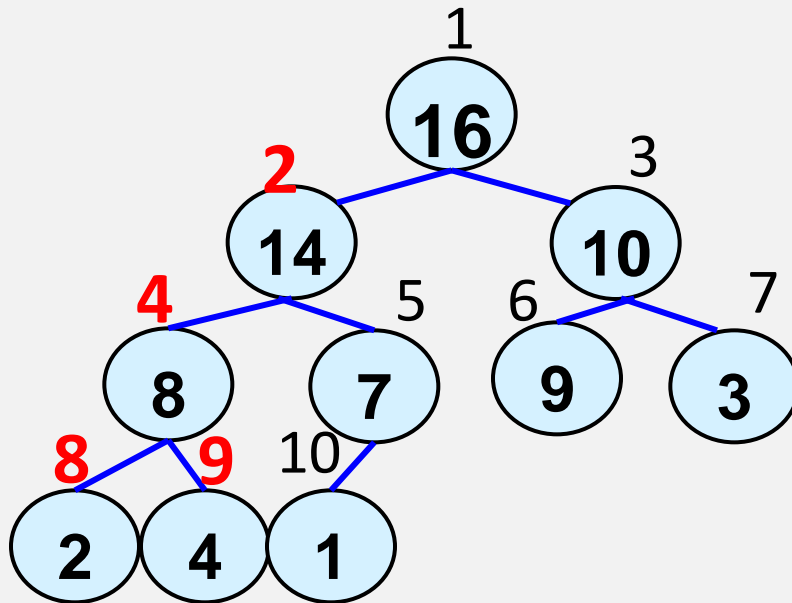
0	1	2	3	4	5	6	7	8	9	10	..
X	16	14	10	8	7	9	3	2	4	1	





	1	2	3	4	5	6	7	8	9	10
X	16	14	10	8	7	9	3	2	4	1

$i=4$



parents(i) ←

return $i/2$

left(i) ←

return $2i$

right(i) ←

return $2i+1$

Parents = 2

Left = 8

right = 9

คำถาม ถ้า $i=3$?

parents = 1

left = 6

right = 7



5.1.1 Heap property :

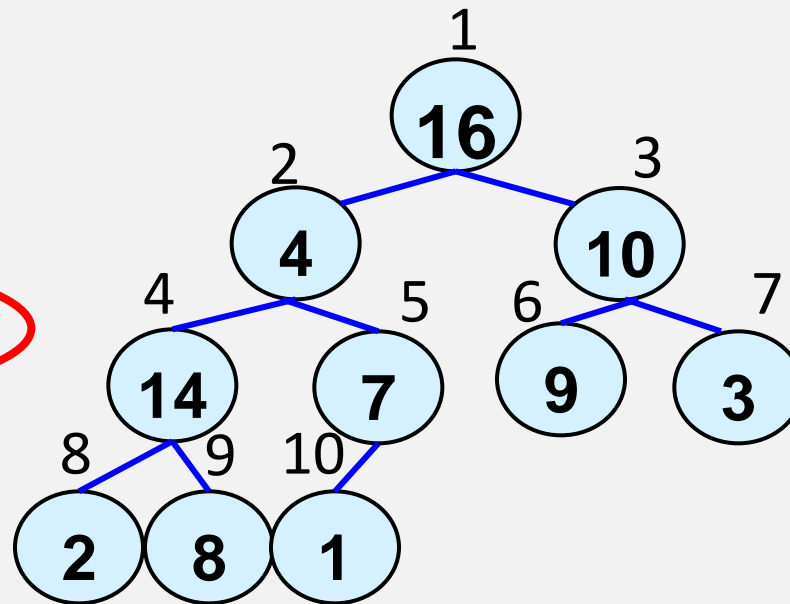
สำหรับทุกโหนด i ที่ไม่ใช่ root

Heaps also satisfy the heap property: for every node i other than the root,

$$* A[\text{parent}(i)] \geq A[i]$$

ตัวใดที่ขาดคุณสมบัติ

Ans 2



binary search จะคุณสมบัติ $\text{left} \leq \text{root} \leq \text{right}$

heap จะคุณสมบัติ $\text{left} \leq \text{root} \geq \text{right}$

} heap \neq binary search



0	1	2	3	4	5	6	7	8	9	10	6
X	16	4	10	14	7	9	3	2	8	1	

5.1.2 Maintaining the heap property

Heapify(A,i)

$l \leftarrow \text{left}(i)$

$r \leftarrow \text{right}(i)$

if $l \leq \text{heapsize}$ and $A[l] > A[i]$

then $\text{largest} = l$

else $\text{largest} = i$

if $r \leq \text{heapsize}$ and $A[r] > A[\text{largest}]$

then $\text{largest} = r$

if $\text{largest} \neq i$

then

$\text{exchange}(A[i], A[\text{largest}])$

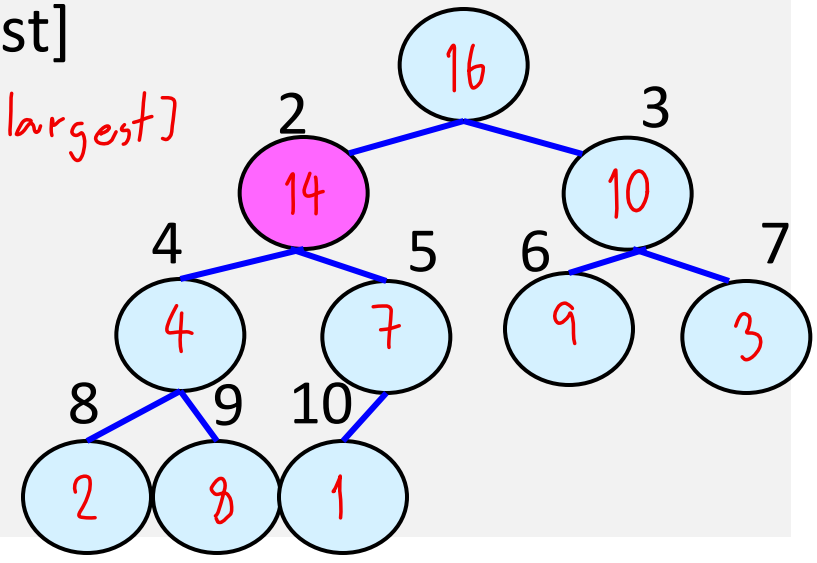
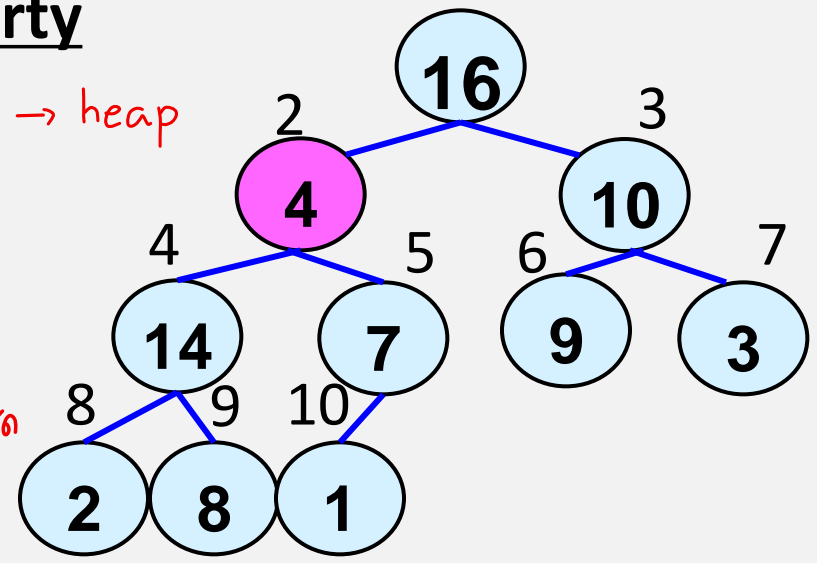
$\text{Heapify}(A, \text{largest})$

เปลี่ยน array \rightarrow heap

จัดใหม่ กับ ลูก

เก็บ index ที่ใหญ่สุด

key, or กับ key[largest]





5.1.2 Maintaining the heap property

Heapify(A,i) $i = 4$

$l = \text{left}(i)$ $l = 8$

$r = \text{right}(i)$ $r = 9$

if $l \leq \text{heapsize}$ and $A[l] > A[i]$ F

then $\text{largest} = l$

else $\text{largest} = i$

if $r \leq \text{heapsize}$ and $A[r] > A[\text{largest}]$

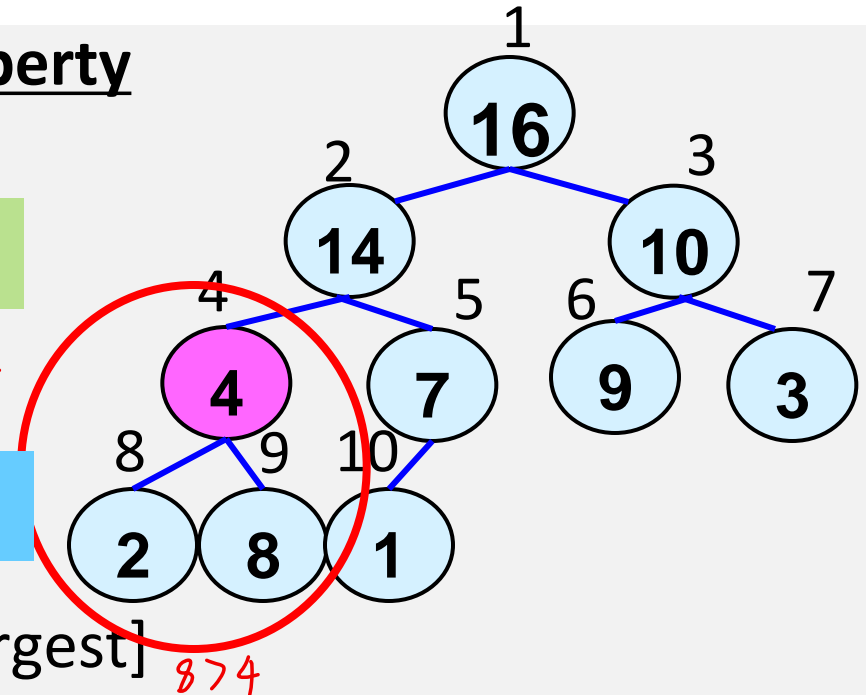
then $\text{largest} = r$

if $\text{largest} \neq i$

then

$\text{exchange}(A[i], A[\text{largest}])$ สลับตำแหน่ง

$\text{Heapify}(A, \text{largest})$





5.1.2 Maintaining the heap property

Heapify(A,i) *Big $O(\log N)$*

$l = \text{left}(i)$ *$2i$*

$r = \text{right}(i)$ *$2i + 1$*

if $l \leq \text{heapsize}$ and $A[l] > A[i]$

 then $\text{largest} = l$

 else $\text{largest} = i$

if $r \leq \text{heapsize}$ and $A[r] > A[\text{largest}]$

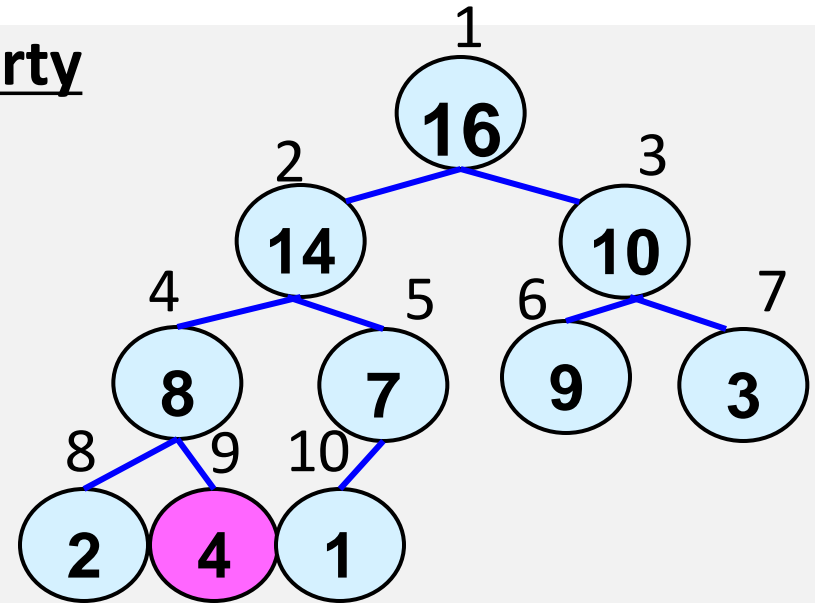
 then $\text{largest} = r$

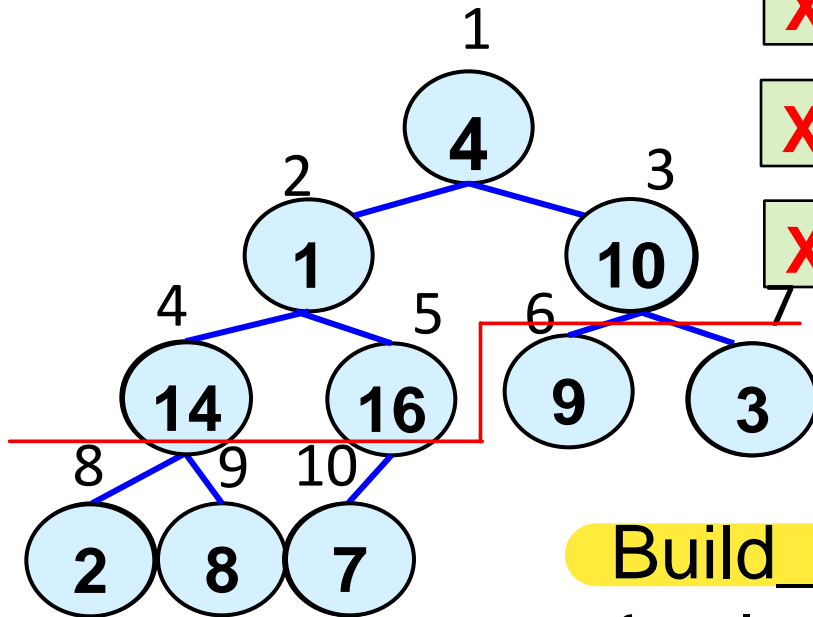
if $\text{largest} \neq i$

 then

 exchange($A[i], A[\text{largest}]$)

 Heapify($A, \text{largest}$)





0	1	2	3	4	5	6	7	8	9	10
X	4	1	3	2	16	9	10	14	8	7

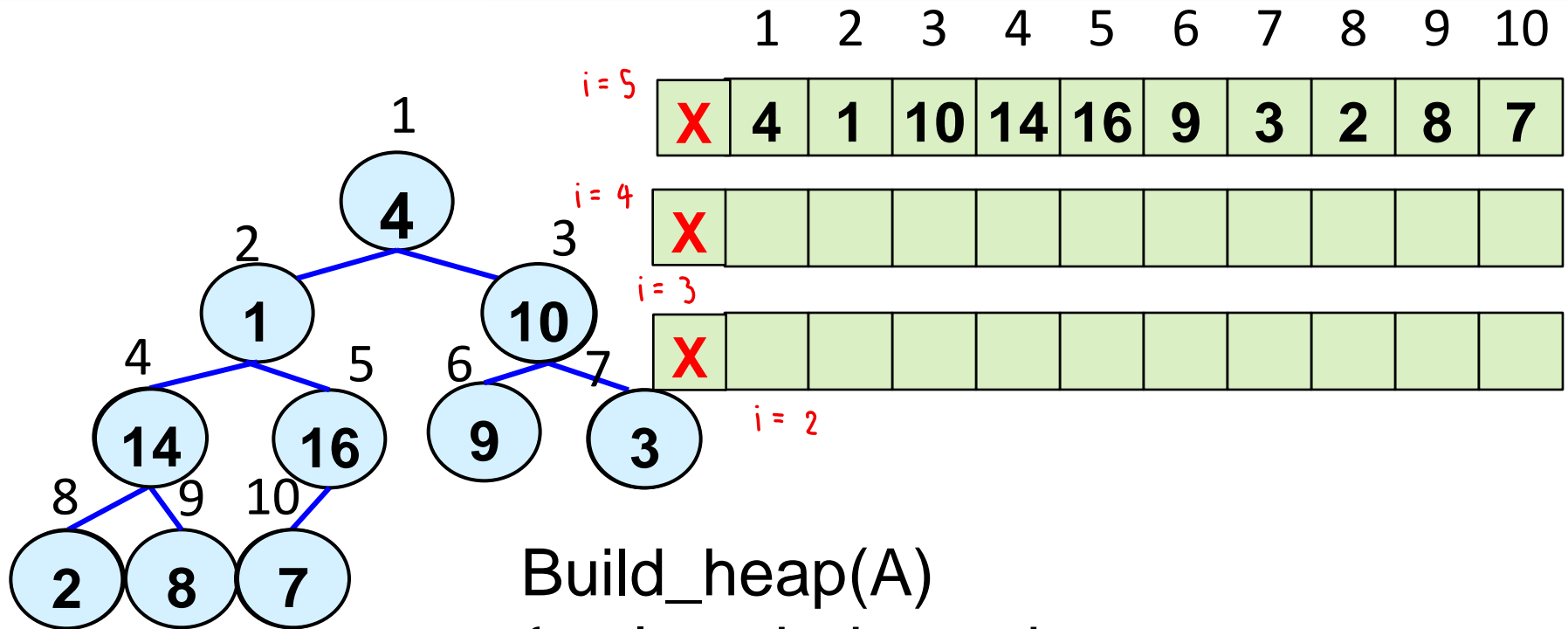
X	4	1	3	14	16	9	10	2	8	7
---	---	---	---	----	----	---	----	---	---	---

X	4	1	10	14	16	9	3	2	8	7
---	---	---	----	----	----	---	---	---	---	---

Home Work

Build_heap(A)

```
{ length=heapsize
  for(i= length/2 downto 1)
    Heapify(A,i)
}
```



```
Build_heap(A)
{
    length=heapsize
    for(i= length/2 downto 1)
        Heapify(A,i)
}
```

5 จนถึง 1



5.1.4 Heap sort :

Heapsort(A)

Build_heap(A) $i=10$

for $i = \text{length}$ down to 2

do

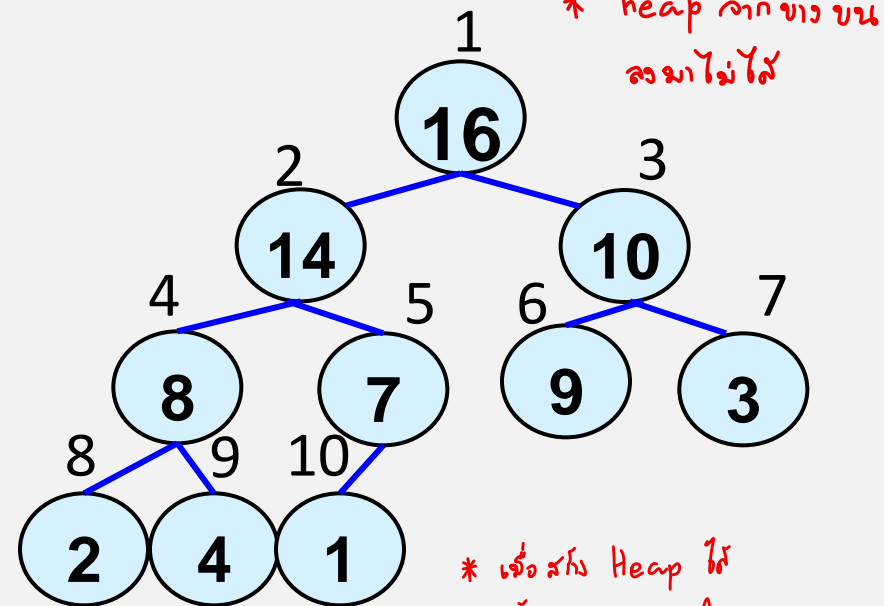
สลับ หิว กับ ทำญ

exchange (A[1], A[i])

heapsize = heapsize - 1;

Heapify(A,1)

	1	2	3	4	5	6	7	8	9	10
X	16	14	10	8	7	9	3	2	4	1



* heap จากข้างบน ลงมาไม่ได้

* เพื่อสร้าง Heap ให้
แค่ array index
ที่ 1 ขนาดที่สุด คือ รัง!
เพราะ root จะมีค่ามากที่สุด

* แต่ index ที่สูงสุดที่
นำมายุ่งรัง มีคือ ไม่รัง!
เพราะ root จะมีค่ามากกว่า child



5.1.4 Heap sort : $BigO(n \log n)$

Heapsort(A)

Build_heap(A)

for $i = \text{length}$ down to 2

do

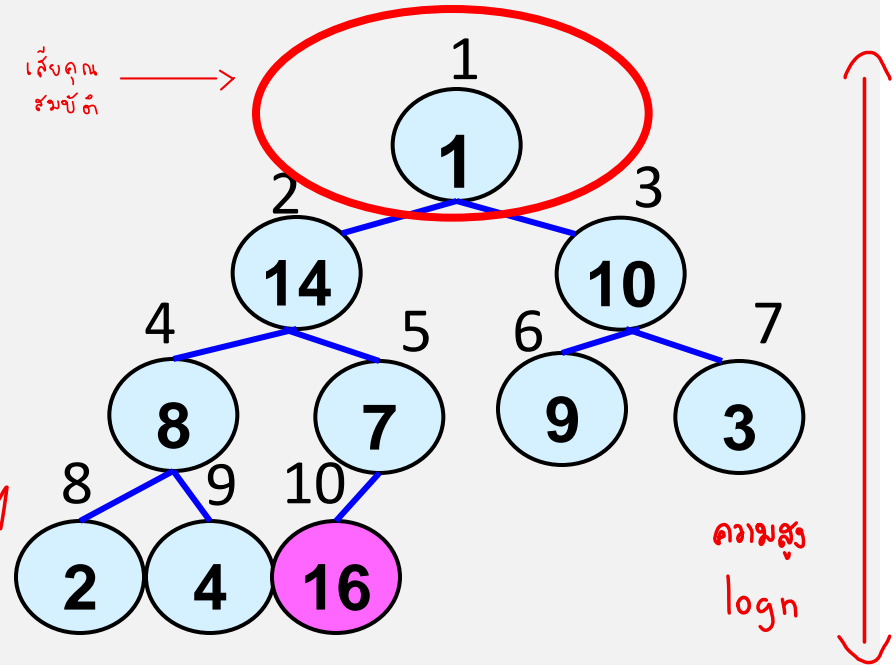
exchange (A[1], A[i])

heapsize = heapsize - 1; $10 - 1$

Heapify(A, 1)

A[1-9]

	1	2	3	4	5	6	7	8	9	10
	X	1	14	10	8	7	9	3	2	16





5.1.4 Heap sort :

Heapsort(A)

Build_heap(A)

$i=9$

for $i = \text{length}$ down to 2

$9 \rightarrow 2$

do

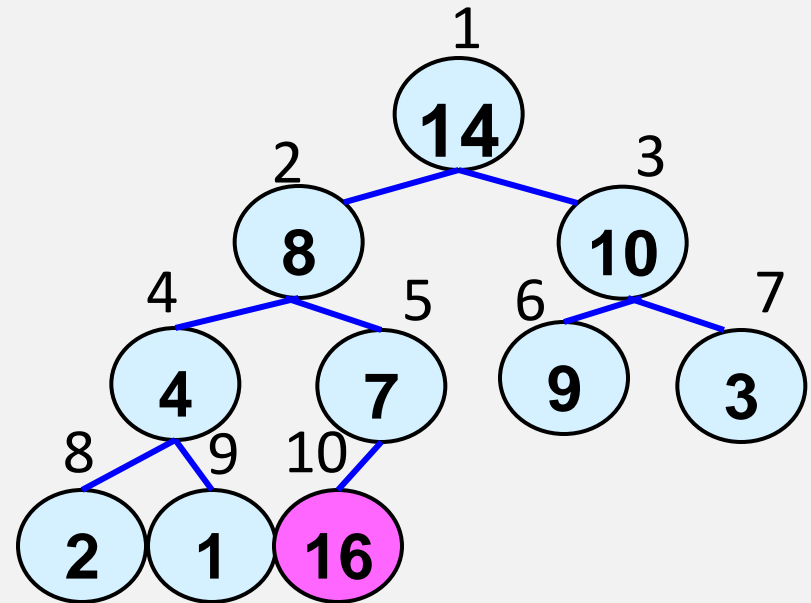
$A[1]$ $A[9]$

exchange ($A[1]$, $A[i]$)

heapsize = heapsize - 1;

Heapify(A,1)

	1	2	3	4	5	6	7	8	9	10
	X	14	8	10	4	7	9	3	2	16





5.1.4 Heap sort :

Heapsort(A)

Build_heap(A)

for i = length down to 2

do

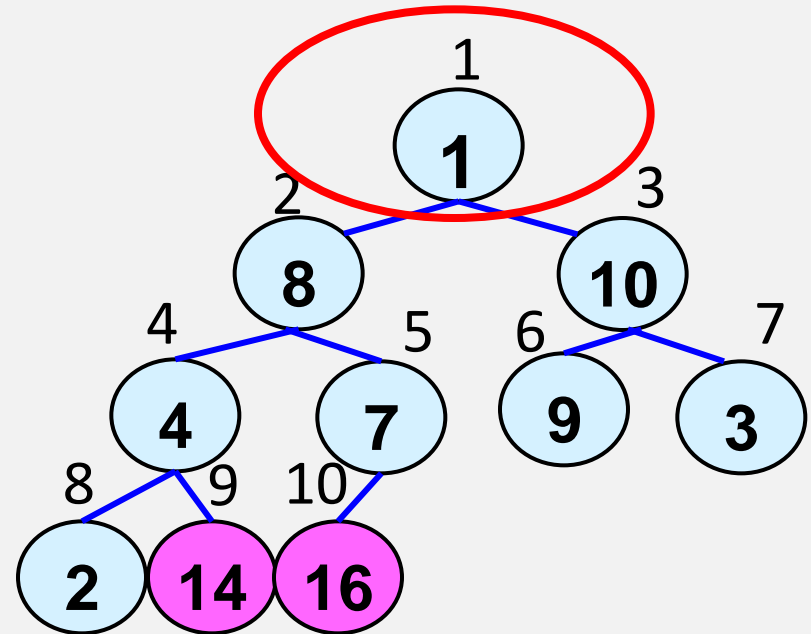
exchange (A[1], A[i])

8 heapsize = heapsize - 1; 9-1

Heapify(A, 1)

A[1-8]

	1	2	3	4	5	6	7	8	9	10
X	1	8	10	4	7	9	3	2	14	16





5.1.4 Heap sort :

Heapsort(A)

	1	2	3	4	5	6	7	8	9	10
	X	1	8	10	4	7	9	3	2	14 16

Build_heap(A)

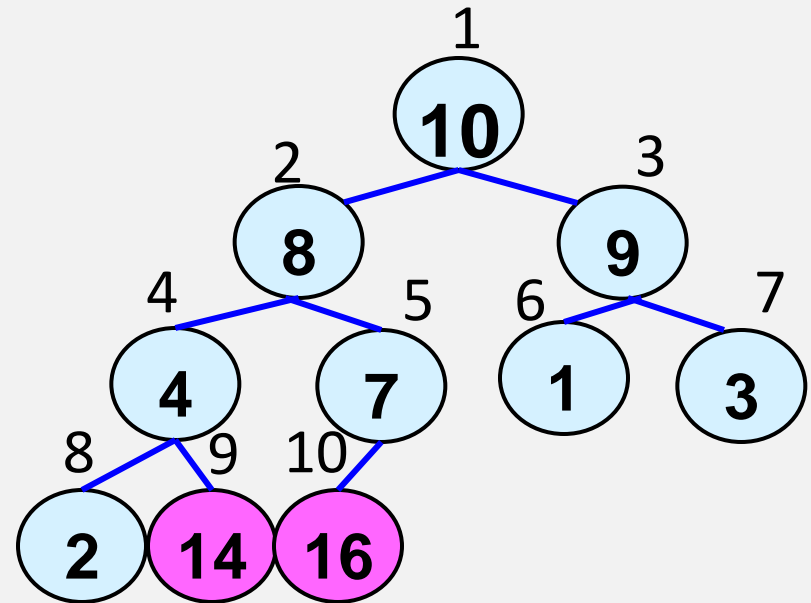
$i=8$

for $i = \text{length}$ down to 2
do

exchange ($A[1]$, $A[i]$)

heapsize = heapsize - 1;

Heapify(A,1)





5.1.5 Priority queues อภิสิทธิ์ (สิทธิ์พิเศษ)

Priority queues : is a data structure for maintaining a set S of elements, each with a associated value called a key. A priority supports the following operations.

- 1) $\text{Insert}(S, x)$: insert the element x into the set S . This operation could be written as $S \leftarrow S \cup \{x\}$ $\text{Big } O(\log n)$
- 2) $\text{Maximum}(S)$: returns the elements of S with the largest key;
- 3) $\text{Extract_Max}(S)$: return the elements of S with the largest key.



1	2	3	4	5	6	7	8	9	10	11	
X	16	14	10	8	7	9	3	2	4	1	7

Heap_Insert(A, key) **45**

X	16	14	10	8	45	9	3	2	4	1	7
---	----	----	----	---	----	---	---	---	---	---	---

heapsize = heapsize+1

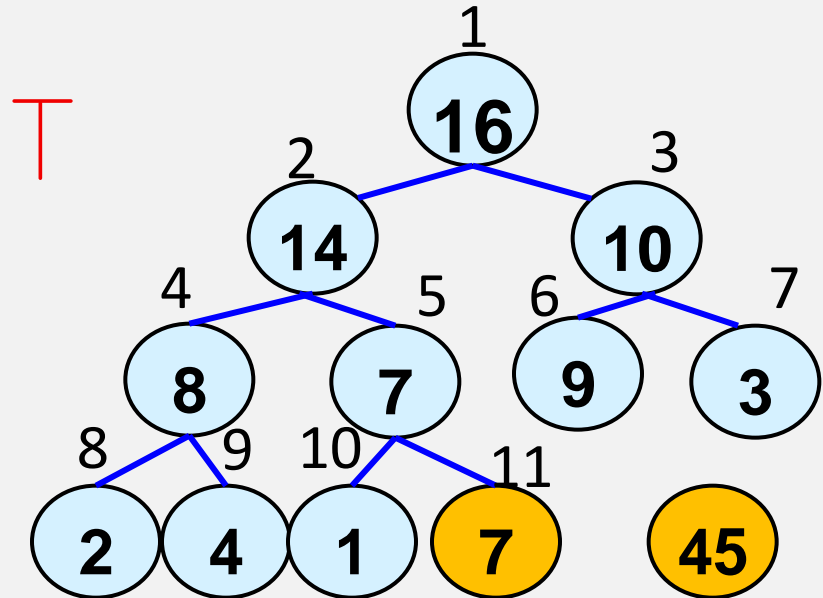
i = heapsize **i=11** **7<45**

while i > 1 && A[parent(i)] < key

A[i] = A[parent(i)]

i = parent(i) **i=5**

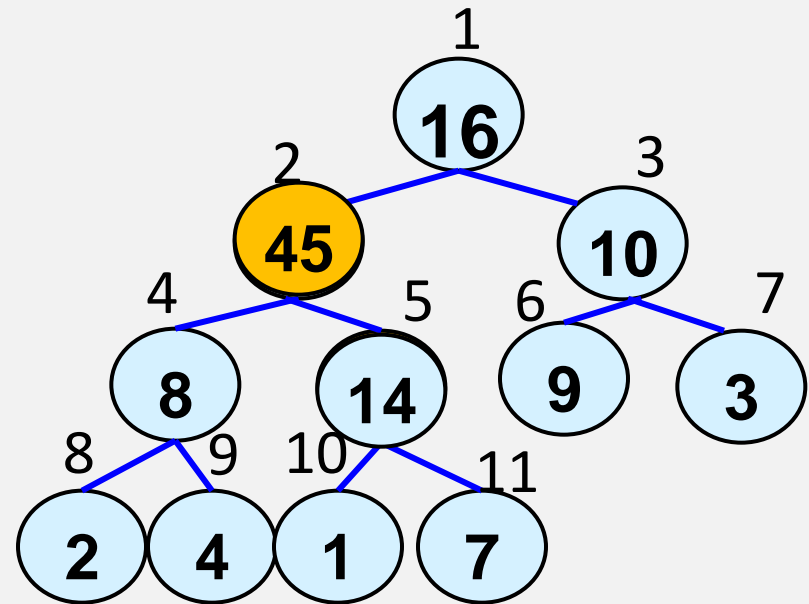
A[i] = key





1	2	3	4	5	6	7	8	9	10	11	
X	16	45	10	8	14	9	3	2	4	1	7

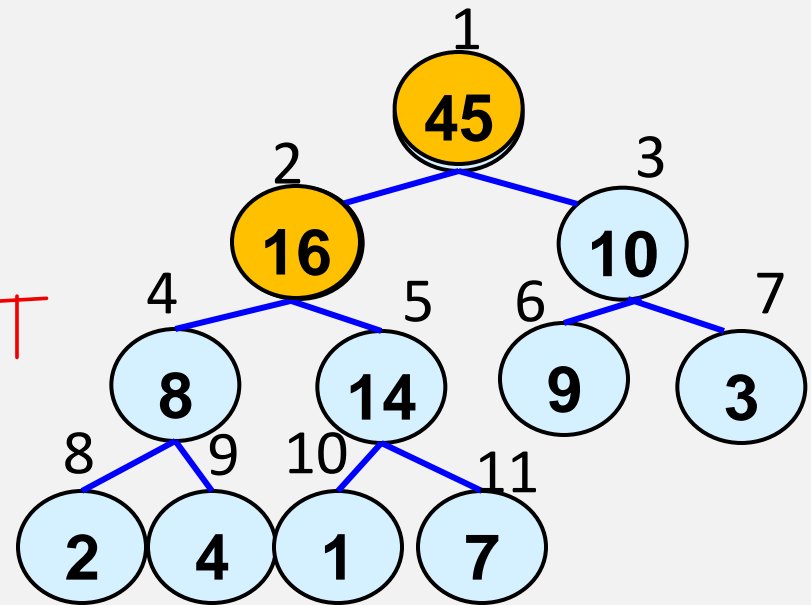
Heap_Insert(A, key) **45**
heapsize = heapsize+1
i = heapsize **i=** **<**
while i > 1 && A[parent(i)] < key
 A[i] = A[parent(i)]
 i = parent(i) **i=**
A[i] = key





1	2	3	4	5	6	7	8	9	10	11	
X	45	16	10	8	14	9	3	2	4	1	7

Heap_Insert(A, key) **45**
heapsize = heapsize+1
i = heapsize **i=2** **16<45**
while i > 1 && A[parent(i)] < key
 A[i] = A[parent(i)] *value สลับ ขั้วแม่*
 i = parent(i) **i=1**
A[i] = key





Heap_Exact_Max(A)

if heap_size < 1 ^{ถึงข้อสุดท้าย}

then error “Heap underflow”

max = A[1] max = 45 ²¹

A[1] = A[heapsize] ^{7 13}

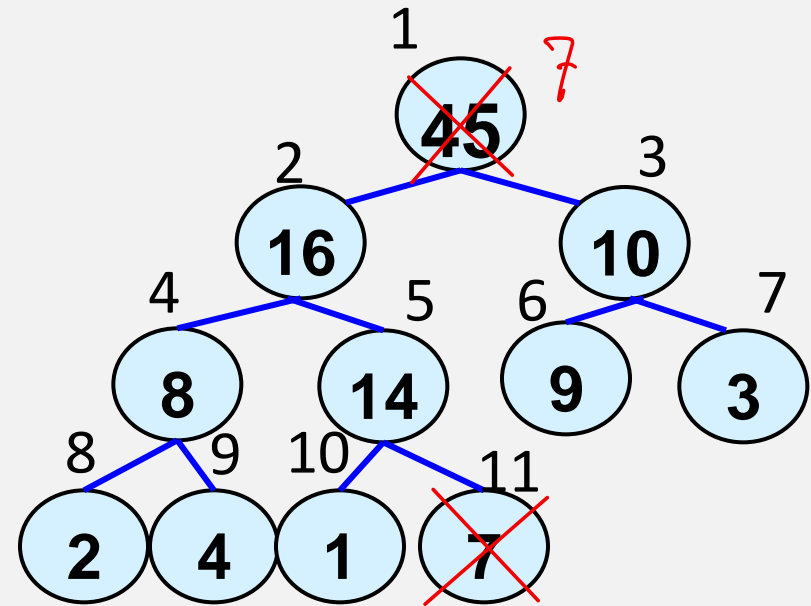
heapsize = heapsize - 1 ¹²

Heapify(A, 1)

return max

	1	2	3	4	5	6	7	8	9	10	11
X	45	16	10	8	14	9	3	2	4	1	7

¹³



Big O (log n)



Heap_Exact_Max(A)

if heap_size < 1

then error “Heap underflow”

max = A[1] max=45

A[1] = A[heapsize]

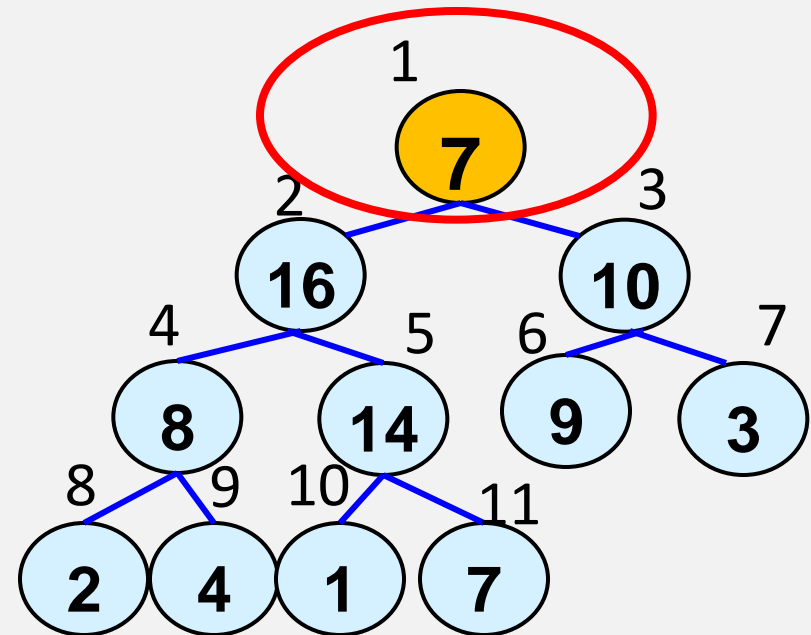
heapsize = heapsize - 1

Heapify(A,1)

return max

	1	2	3	4	5	6	7	8	9	10	11
X	45	16	10	8	14	9	3	2	4	1	7

X	7	16	10	8	14	9	3	2	4	1	7
---	---	----	----	---	----	---	---	---	---	---	---



A[1-10]



Heap_Exact_Max(A)

if heap_size < 1

then error “Heap underflow”

max = A[1]

A[1] = A[heapsize]

heapsize = heapsize-1

Heapify(A,1)

return max

max=45

	1	2	3	4	5	6	7	8	9	10	11	
	X	16	14	10	8	7	9	3	2	4	1	7

