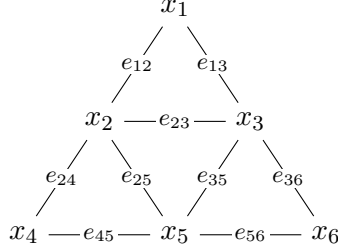


## Beginnings

Consider the following graph  $G$ :



**Definitions** (Chains, boundary, coboundary). Recall that  $C_0$  is the free abelian group generated by the set of vertices of  $G$ ; each of these elements is a *0-dimensional chain* or *0-chain*.  $C_1$  is the free abelian group generated by the set of (possibly directed) edges; each of these elements is called a *1-dimensional chain* or *1-chain*.

The *boundary operator*  $\partial$  sends 1-chains to 0-chains, and the *coboundary operator*  $\delta$  sends 0-chains to 1-chains: that is,

$$\partial : C_1 \rightarrow C_0 \text{ and } \delta : C_0 \rightarrow C_1.$$

Each is linear; for  $x = uv$  an edge of  $G$ ,

$$\partial(uv) = u + v,$$

which is a 0-chain; for  $u$  a vertex of  $G$ ,

$$\delta(u) = \sum \varepsilon_i x_i,$$

where  $\varepsilon_i$  is 1 whenever (the edge)  $x_i$  is incident to  $u$ . A *coboundary* is the coboundary of some 0-chain in  $G$ .

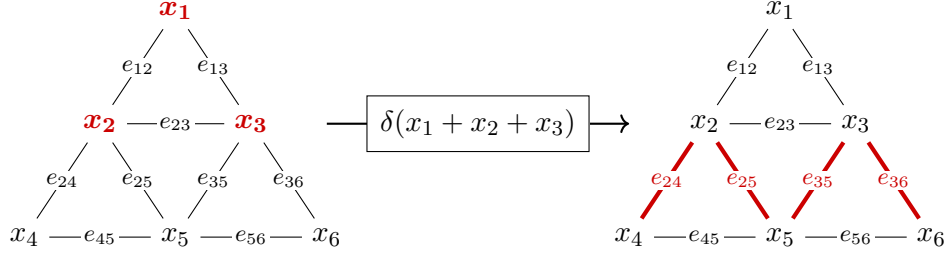
A 0-chain  $\sigma$  in  $G$  is

$$\sigma = x_1 + x_2 + x_3$$

and has as its coboundary

$$\begin{aligned} \delta(\sigma) &= (e_{12} + e_{13}) + (e_{12} + e_{23} + e_{24} + e_{25}) + (e_{13} + e_{23} + e_{35} + e_{36}) \\ &= 2e_{12} + 2e_{13} + 2e_{23} + e_{24} + e_{25} + e_{35} + e_{36} \\ &= e_{24} + e_{25} + e_{35} + e_{36}. \end{aligned}$$

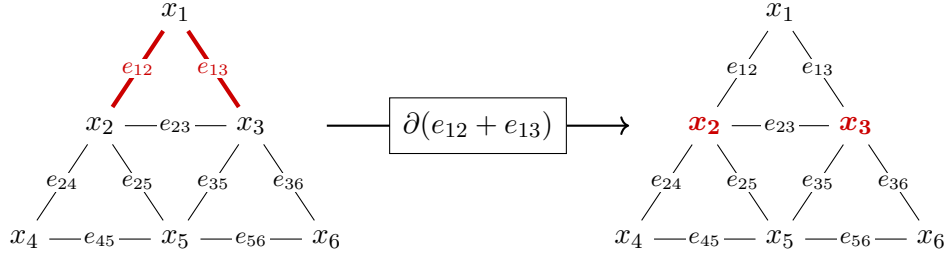
Graphically, we have



For a 1-chain like  $\omega = e_{12} + e_{13}$ , we have

$$\begin{aligned}
 \partial(\omega) &= \partial(e_{12} + e_{13}) \\
 &= \partial(e_{12}) + \partial(e_{13}) \\
 &= (x_1 + x_2) + (x_1 + x_3) \\
 &= 2x_1 + x_2 + x_3 \\
 &= x_2 + x_3.
 \end{aligned}$$

Graphically, we get



Basically, *the coboundary operator sends sets of vertices  $V_\sigma$  to the set of edges  $E_\sigma$  incident to exactly one of the vertices in  $V_\sigma$* , while *the boundary operator sends sets of edges  $E_\omega$  to the set of vertices  $V_\omega$  incident to exactly one of the edges in  $E_\omega$* . Note that our addition here is over  $\mathbb{F}_2$  ( $\cong \mathbb{Z}_2 \cong \mathbb{Z}/2\mathbb{Z}$ ).

**Definitions** (Cycle vector, cycle space, cutset, cocycle, cocycle space). A 1-chain with boundary 0 is called a *cycle vector* and is a collection of edge-disjoint cycles; each cycle vector belongs to the *cycle space* of  $G$ , a vector space over  $\mathbb{F}_2$ . The *cycle basis* for the cycle space of  $G$  is the set of cycles  $Z(T)$ :  $Z(T)$  is the set of minimal cycles induced by adjoining, one-by-one, each edge in the cotree  $T^*$ . These cycles are independent, as each of them differs by at least one edge. Any cycle  $Z$  in the cycle space of  $T$  can be written as

$$\sum_{i=1}^k \varepsilon_i C_i,$$

where  $\varepsilon_i = 1$  if  $Z$  shares boundary (or point? not sure) with  $C_i$ .

A *coboundary* of  $G$  is the coboundary of some 0-chain in  $G$ . A *cutset* of a connected graph  $G$  is a collection of edges that, when deleted, disconnects  $G$ . Every coboundary is a cutset, as the

coboundary of any vertex  $x_i$  is simply the edges to all its neighbors; when the coboundary is deleted,  $x_i$  is an isolated point. A *cocycle* is, equivalently: a minimal cutset of  $G$ ; a minimal nonzero coboundary. The collection of cocycles is called the *cocycle space*; given a spanning tree  $T$ , the set of cocycles constructed by adding a single edge *not* in  $T^*$  serves as a basis for this space.

**Definitions** (The above, more generally). We can even couch the above definitions in more topological language. Let an *n-simplex* be the  $n$ -dimensional analogue of a triangle: for example, the *standard n-simplex* is defined by

$$\Delta^n = \left\{ (t_0, \dots, t_n) \in \mathbb{R}^{n+1} : \sum t_i = 1 \quad \text{and} \quad t_i \geq 0 \right\}.$$

The group  $\Delta_n(X)$  is then the free abelian group generated by the (“open,” i.e. with faces deleted)  $n$ -simplices.

A  $\Delta$ -*complex* is just a bunch of simplices glued together; more precisely, it’s the quotient space of simplices we get by identifying faces. Note that vertices (0-simplices) are ordered by the orientations of their edges (1-simplices); higher-dimensional simplices can be ordered as well. An *n-chain* is a linear combination of  $n$ -simplices in a complex, and the *boundary homomorphism*  $\partial_n : \Delta_n(X) \rightarrow \Delta_{n+1}(X)$  sends  $n$ -chains to  $(n - 1)$ -chains as given by

$$\partial_n(\sigma) = \sum_k (-1)^k (v_0, \dots, \hat{v}_k, \dots, v_n),$$

where “ $\hat{v}_k$ ” indicates that the  $k^{\text{th}}$  vertex was deleted from the sequence of vertices  $v_0, \dots, v_n$ . Note that the composition  $\partial_n \circ \partial_{n+1}$  (often written using juxtaposition, as  $\partial_n \partial_{n+1}$ ) is always zero by the linearity of the  $\partial$  operator, as the signs of each face are swapped.

Using these operators, we get a *sequence of homomorphisms of abelian groups*

$$\cdots \longrightarrow C_{n+1} \xrightarrow{\partial_{n+1}} C_n \xrightarrow{\partial_n} C_{n-1} \longrightarrow \cdots \xrightarrow{\partial_1} C_0 \xrightarrow{\partial_0} 0,$$

called a *chain complex* (where  $C_n$  is a free abelian group on some basis of  $n$ -simplices; it’s possible that  $C_n = \Delta_n(X)$ ). Recalling that  $\partial_n \partial_{n+1} = 0$ , we know that  $\partial_n$  sends everything in  $\text{Im } \partial_{n+1}$  to 0, so  $\text{Im } \partial_{n+1} \subseteq \text{Ker } \partial_n$ . The  $n^{\text{th}}$  *homology group* is then  $H_n = \text{Ker } \partial_n / \text{Im } \partial_{n+1}$ , which gives us a way to classify *cycles* (elements of  $\text{Ker } \partial_n$ ) by the *boundaries* (elements of  $\text{Im } \partial_{n+1}$ ) to which they belong; elements of  $H_n$  are called *homology classes*, and two cycles representing the same boundary are *homologous*.

If we want to go in the reverse direction (i.e. get all the possible boundaries from a given cycle), we define the *coboundary operator*  $\delta_n = \partial_n^* : C_{n-1}^* \rightarrow C_n^*$ , where  $C_n^* = C^n = \mathbf{Hom}(C_n, G)$ , the group of homomorphisms from  $C_n$  (as a module over  $G$ ) into some fixed abelian group  $G$ ; alternatively,  $C_n^* = C^n$  is the *dual* of  $C_n$  over  $G$ . Given that  $\delta_n = \partial_n^*$ ,  $\partial \partial = 0$  implies that  $\partial^* \partial^* = \delta \delta = 0$ , we can define  $H^n(C_n; G) = \text{Ker } \delta_{n+1} / \text{Im } \delta_n$  to be the  $n^{\text{th}}$  *cohomology group* of  $X$  with respect to  $G$ . These groups are determined only by the  $n^{\text{th}}$  homology groups  $H_n(C_n)$  and  $G$ .

More generally, given a space  $X$ , the *group of singular  $n$ -cochains with coefficients in (a fixed abelian) group  $G$* , denoted by  $C^n(X; G)$ , is the dual  $\mathbf{Hom}(C_n(X), G)$  of homomorphisms from the  $n^{\text{th}}$  homology group of  $X$  into  $G$ . We can then construct the cochain complex

$$\dots \longleftarrow C^{n+1}(X; G) \xleftarrow{\delta_{n+1}} C^n(X; G) \xleftarrow{\delta_n} C^{n-1}(X; G) \longleftarrow \dots \xleftarrow{\delta_1} C^0(X; G) \xrightarrow{\delta_0} 0,$$

so the  $n^{\text{th}}$  cohomology group with coefficients in  $G$  is  $H^n(X; G) = \text{Ker } \delta_{n+1} / \text{Im } \delta_n$ . Elements of  $\text{Ker } \delta_{n+1}$  are called *cocycles* and elements of  $\text{Im } \delta_n$  are called *coboundaries*. Notice that cocycles  $f$  vanish on boundaries: that is, elements of  $C_i(X, G)$  (i.e. linear combinations  $\varphi$  of  $i$ -simplices resulting from the application of the boundary operator, or  $\varphi \in \text{Ker } \partial_{n+1}$ ) have  $f(\varphi) = 0$ .

**Definitions** (Betti number, Euler characteristic). Using these homology groups, we can classify spaces' connectedness based on the dimension of their homology groups: given a space  $X$  and its  $n^{\text{th}}$  homology group  $H_n$ , the *Betti number*  $\mathbf{b}_n(X) = \dim H_n$ . This corresponds to the number of “cuts” we can make until we separate the space into two pieces; equivalently, it captures the number of “ $n$ -dimensional holes” in the space, where a “hole” is some  $n$ -dimensional cycle that is *not* a boundary of some  $(n + 1)$ -dimensional object. Informally,

- $\mathbf{b}_0$     connected components;
- $\mathbf{b}_1$     “circular” (or one-dimensional) holes;
- $\mathbf{b}_2$     “spherical” (or two-dimensional) holes, also called *voids* or *cavities*.

For example, the torus  $T = S^1 \times S^1$  has:

- $\mathbf{b}_0(T) = 1$ ,    as there is 1 connected component;
- $\mathbf{b}_1(T) = 2$ ,    as one copy of  $S^1$  bounds the hole cutting *through* the torus, and the other copy bounds the donut hole.
- $\mathbf{b}_2(T) = 1$ ,    because  $T$  is hollow and thus traps a “void.”

These can be computed using triangulations of the objects (simplicial approximations) and some really diligent fucking work. Now, we can connect the ranks of the homology groups of some space  $X$  to their *Euler characteristic*  $\chi(X)$ , defined as

$$\chi(X) = \sum_{i=0}^{\dim X} (-1)^i \cdot |X^i|,$$

where  $X^i$  is the set of  $i$ -simplices. The *Euler-Poincaré theorem* tells us that the Euler characteristic can be determined by the Betti numbers:

$$\chi(X) = \sum_{i=0}^{\dim X} (-1)^i \cdot |X^i| = \sum_{i=0}^{\dim X} (-1)^i \cdot \mathbf{b}_i(X),$$

which basically just says that we can tell the “shape” of a given shape based on its homology groups. Classically, the Euler characteristic of a polyhedron  $P$  is

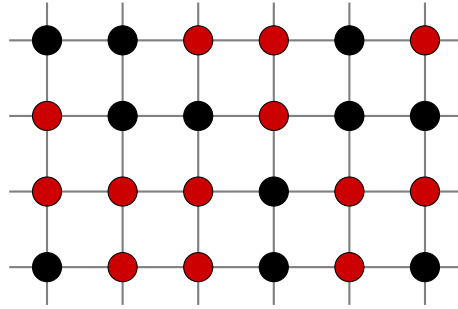
$$\chi(P) = V - E + F,$$

and any convex polyhedron has Euler characteristic 2.

**Definition (Plaquette).**

We can tie together these general definitions with those given for graphs: cycles in graphs are automatically sent to 0 (in addition over  $\mathbb{Z}_2$ ), so they’re in the kernel of the boundary operator  $\partial_1 : C_1 \rightarrow C_0$ ; cycles are things with no boundary. Cocycles in graphs are automatically sent to 0 (again in addition over  $\mathbb{Z}_2$ ) so they’re in the kernel of the coboundary operator  $\delta_1 : C^0 \rightarrow C^1$ ; cocycles are sets of vertices which admit cycles.

Given a (possibly finite) graph  $G$ , we can assign “spins,” or weights  $w_i \in \{0, 1\}$ , to each vertex or edge in  $G$ . If we consider  $G$  as a sub-lattice of  $\mathbb{Z}^2$  (or  $\mathbb{Z}^n$  for some  $n$ ), we put a spin on each of the  $pq$  vertices in the rectangular  $p \times q$  subgraph of  $\mathbb{Z}^2$ :



*Vertices with spin 1 are colored red and vertices with spin 0 are colored black.*

We can also assign spins to *edges* via cochains — that is, elements  $f$  of  $C^i$  which send linear combinations  $\sigma$  of edges into some abelian group (generally the field  $\mathbb{Z}_2$ ). We can use a so-far-unnamed score (which I’m calling the *H-score*) which counts the number of linear combinations of edges, or 1-*plaquettes*, which have vanishing boundary, as defined by

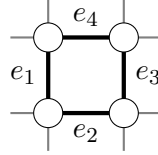
$$\begin{aligned} H &= - \sum_{\sigma \in C_0} K \left( (\delta_1(f))(\sigma), 0 \right) \\ &= - \sum_{\sigma \in C_0} K \left( f(\partial_1(\sigma)), 0 \right) \end{aligned}$$

where  $K$  is the Kronecker delta and

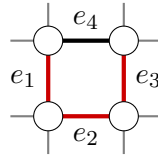
- ▷  $\delta_1 : C^0(G, \mathbb{Z}_2) \rightarrow C^1(G, \mathbb{Z}_2)$  is the coboundary operator on  $G$  with respect to the field  $\mathbb{Z}_2$ , which sends functionals (or *cochains*) in the dual of  $C_0$  to functionals in the dual of  $C_1$ ;
- ▷  $f$  is an element of  $C^0(G, \mathbb{Z}_2)$ , i.e. a homomorphism from the 0-chains (linear combinations of vertices with coefficients in  $\mathbb{Z}_2$ ) to  $\mathbb{Z}_2$ ;

- ▷  $\partial_1 : C_1(G) \rightarrow C_0(G)$  is the boundary operator taking linear combinations of edges with coefficients in  $\mathbb{Z}_2$  to a linear combination of vertices with coefficients in  $\mathbb{Z}_2$ ;
- ▷  $\sigma$  is a linear combination of edges in  $G$ ;
- ▷  $K$  is the Kronecker delta.

Consider the following  $pq$ -sublattice of  $\mathbb{Z}^2$ , where  $p = 1 = q$ :



We want to sample **FIX THIS SHIT!**



Edges with spin  $w_i = 1$  are in **red**, while edges with spin  $w_i = 0$  are in **black**.

We can explicitly compute  $K((\delta f)(\sigma), 0)$ :

$$\begin{aligned}
 (\delta f)(\sigma) &= g(\sigma) \\
 &= g(e_1 + e_2 + e_3 + e_4) \\
 &= g(e_1) + g(e_2) + g(e_3) + g(e_4) \\
 &= 1 + 1 + 1 + 0 \\
 &= 3 \\
 &\equiv 1 \pmod{2},
 \end{aligned}$$

so  $\sigma$  is a 1-plaquette with non-vanishing boundary over  $\mathbb{Z}_2$ . *(How many homomorphisms  $f$  are there which, given a plaquette  $\sigma$ , assign it a vanishing boundary? It should be dependent only on the number of edges in  $\sigma$ , but can we calculate them using something like Stirling numbers? I think we can!)*

## Probability

We want to conduct experiments on these cell complexes because reasons (magnetism, physics stuff, etc.), so we need a way to sample from them in a precise way.

**Definitions** (Random cluster model, Potts lattice gauge theory). Now, let  $X$  be a finite  $d$ -dimensional cell complex (first, we'll address  $d = 2$  and  $d = 3$ ), and let  $0 < i < d$ . Fix some field  $\mathbb{F}$ , and choose parameters  $p \in [0, 1]$  and  $q \in (0, \infty)$ . The  $i$ -plaquette random cluster model

on  $X$  is the random  $i$ -complex  $P$  containing the full  $(i - 1)$ -skeleton of  $X$  according to

$$\begin{aligned}\mu_X(P) &= \frac{1}{\mathcal{Z}} p^{|P|} \cdot (1 - p)^{|X^i| - |P|} \cdot q^{\mathbf{b}(P; \mathbb{F})_{i-1}} \\ &= p^{(\text{number of plaquettes in } P)} \cdot (1 - p)^{(\text{number of plaquettes not in } P)} \cdot q^{\mathbf{b}_{i-1}},\end{aligned}$$

where  $\mathcal{Z} = \mathcal{Z}(X, p, q, i, \mathbb{F})$  is a normalizing constant (to make our distribution “normal”) and  $|X^i|$  and  $|P|$  denote the number of  $i$ -cells of  $X$  and  $P$ , respectively.

Now, letting  $G$  be an arbitrary finite abelian group and  $X$  a *cubical complex* (i.e. a sublattice of  $\mathbb{Z}^d$  for  $1 < d$  an integer), the  $(i - 1)$  *Potts lattice gauge theory on  $X$  with coefficients in  $G$*  is the measure

$$\nu(f) = \frac{1}{\mathcal{Z}} e^{-\beta \cdot H(f)} = \mu_\beta(f),$$

where  $\mathcal{Z}$  is again a normalizing constant and  $\beta$  is an inverse temperature parameter. We’ll be focusing specifically on the case where  $\mathbb{F} \cong \mathbb{Z}_q$ , where  $q$  is prime (which corresponds to the multiplicative group  $\mathbb{Z}(q)$  of  $q^{\text{th}}$  roots of unity in  $\mathbb{C}$ ); when we set  $\mathbb{F} = \mathbb{Z}_q$ , we call this the  $q$ -state *Potts lattice gauge theory*. This gives rise to the probability of selecting such a cocycle  $f$ :

$$\mathbf{P}[f] = e^{-\beta \cdot H(f)}.$$

Special cases of 2- and 3-dimensional Potts lattice gauge theory are the Ising model (i.e.  $\mathbb{Z}(2)$ ) and the “clock” (i.e.  $\mathbb{Z}(3)$ ) lattice gauge theory.

**Definition** (Wilson loop variable). Let  $f$  be an  $(i - 1)$  cocycle. The *generalized Wilson loop variable* associated to the cycle  $\gamma \in C_{i-1}(G)$  is the random variable  $W_\gamma : C^{i-1}(G; \mathbb{F}_q) \rightarrow \mathbb{C}$  is given by

$$W_\gamma(f) = (f(\gamma))^{\mathbb{C}},$$

where the superscript denotes the “evaluation” of  $f$  at  $\gamma$  in the complex numbers. That is, if  $f(\gamma) = g \in \mathbb{F}_q$ ,  $g^{\mathbb{C}}$  is the corresponding  $q^{\text{th}}$  root of unity in  $\mathbb{C}$ .

We want to investigate the asymptotics of the Wilson loop variable; to do so, we have a nifty conjecture:

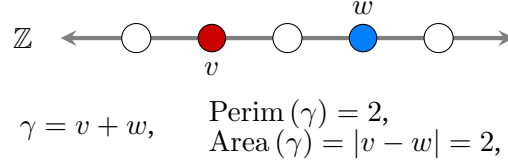
**Conjecture** (Area and perimeter laws). Given an inverse temperature  $\beta$ , a critical inverse temperature  $\beta_c$ , and a cycle  $\gamma$ ,

$$\mathbb{E}[W_\gamma] \propto \begin{cases} e^{-c(\beta) \cdot \text{Perim}(\gamma)} & \beta > \beta_c \\ e^{-c(\beta) \cdot \text{Area}(\gamma)} & \beta < \beta_c \end{cases}$$

where  $\text{Perim}(\gamma)$  is the number of plaquettes in its support (i.e. the plaquettes in the linear combination) and  $\text{Area}(\gamma)$  is the number of plaquettes in the support of its *minimal bounding chain* (i.e. the smallest  $(i - 1)$ -boundary which “surrounds”  $\gamma$ ).

An example of the “perimeter” and “area” concepts are if  $i = 1$ , then  $\gamma$  consists of exactly two vertices

$\{v, w\}$ , its perimeter is 2, and its area is the distance between  $v$  and  $w$ :



Now, suppose we have a sublattice  $G$  of  $\mathbb{Z}^d$  for some  $d > 1$ , an inverse temperature  $\beta$ , and a cochain  $f \in C^1(G; \mathbb{Z}_q)$ . Then, we sample a random 2-complex  $P$  starting with the skeleton (open faces) and including plaquettes  $\sigma \in C_2$  such that  $(\delta f)(\sigma) = 0$  (i.e. so the spins add up to 0) with probability  $1 - e^{-\beta}$ . We get the following theorem (stated above, but more nicely here):

**Theorem (Hiraoka, Shirai).** Sampling  $P$  from a  $q$ -state Potts lattice gauge theory gives

$$\mathbf{P}[P] \propto p^{(\text{number of plaquettes in } P)} \cdot (1 - p)^{(\text{number of plaquettes not in } P)} \cdot |H_1(P; \mathbb{Z}_q)|.$$

Moreover,

**Theorem.** Let  $\gamma \in C_{i-1}$ , and  $V_\gamma$  the event that  $\partial(\gamma) = 0$  (i.e.  $\gamma$  is a cycle) in  $H_1(P; \mathbb{Z}_q)$ . Then,

$$\mathbb{E}[W_\gamma] = \mathbf{P}[V_\gamma].$$

Equivalently, the expectation of the Wilson loop variable with respect to  $\gamma$  is the same as the probability that  $\gamma$  has boundary 0 over  $\mathbb{Z}_q$ .

## Algorithms

The following algorithm, which starts out in  $\mathbb{Z}^3$  (i.e. the clock model), tests out these hypotheses: given a cocycle  $f \in C^0(G, \mathbb{Z}_2)$ , an inverse temperature parameter  $-\beta$ , and  $G$  a sublattice of  $\mathbb{Z}_3$ , we want to update  $f$  in the following way:

1. compute  $G(f)$ , the random graph obtained from evaluating  $f$  on  $G$ , only including plaquettes  $\sigma$  such that  $(\delta f)(\sigma) = 0$  with probability  $p = 1 - e^{-\beta}$ ;
2. find the *components* of  $G(f)$ ;
3. uniformly randomly assign spins to each of the components of  $G(f)$ , and call these new spins (i.e. the new cocycle)  $f_{\text{new}}$ .

This samples uniformly from  $\mu_\beta$ . This is called the *Swendsen-Wang algorithm*, and is typically used in  $\mathbb{Z}^2$  and  $\mathbb{Z}^3$ ; we want to generalize this to higher dimensions.