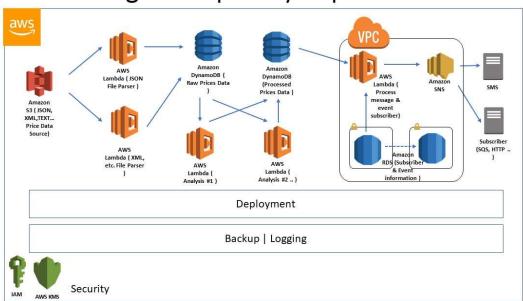
# Data Ingestion | Analysis | Notification



#### **Estimated Time Taken**

- Implementation ~ 7 hrs.
- Research ~ 6 hrs.
- Design ~ 6 hrs.
- Documentation ~ 3 hrs.

# **Implementation**

- Data Ingestion Module
  - o Pre-requisite
    - AWS CLI configuration setup with Access Key ID and Access Key to a valid AWS account that has the right to launch AWS resources.
    - Create a role "aws-lambda-s3-execution-role" for testing and attached the following policy
      - AmazonS3FullAccess
      - AWSLambdaExecute
    - Update the hardcoded AWS account id in the ARN values, in the following files
      - test-cloudformation.bat
      - test-lambda.json
  - Execute CloudFormation
    - Execute the following from Windows command prompt "testcloudformation.bat"
  - Remark
    - The CloudFormation will create the following stack
      - test-lambda
      - test-s3destbucket

#### test-s3srcbucket

# **Data Ingestion Module**

- Components
  - o S3
- Container for file drop.
- Cost efficient scalable object storage, without required to size the storage in advance. Thus, save effort in sizing.
- o Lambda
  - To parser the raw data file from S3 bucket. The function will retrieve the files from S3, parser with reference to the format. The output will be write to DynamoDB.
  - It will be triggered whenever raw data file arrived in S3.
- o DynamoDB
  - Using as datastore, to store the raw (semi-processed) price data after Lambda parser the files.
  - The use case here does not have complex relationship between the data, thus a NoSQL DB is used. Data points are "time vs value".
  - Store only raw (semi-processed) price data.
- Assumption
  - Files are drop individually into S3 bucket. Files are removed after picked up for processing.
  - o Data are publicly available, there are no confidential information.
  - Data are informational, no complex relationship between the data required. Also no cross region replication is configured.
  - O Data are not backup, as data are disposable.
  - Lambda function is optimized to be able to complete processing within Lambda limitation.
  - Catered for high read/write capacity in the future.
  - o File parser will not perform any error handling.
  - Expected heavy read/write of data in the future.
  - AWS services are highly available and scalable.
  - Minimum effort to maintain the platform, where the application running on.

# **Data Analysis Module**

- Components
  - o Lambda
    - Read the processed data off raw (semi-processed) price data DynamoDB datastore and perform the necessary analysis. And write processed price data DynamoDB datastore.
    - Triggered whenever raw data arrived into the raw (semi-processed) DynamoDB datastore.
  - DynamoDB
    - Uses as datastore, to store processed data after Lambda process the raw (semiprocessed) price data.

- The use case here does not have complex relationship between data, thus a NoSQL DB is used. Data points are "time vs value".
- Store only processed price data.
- A separate DynamoDB is used to cater for future increase in the number data ingestion module, for different types of data, and data analysis module, for different types of analysis.

### - Assumption

- Data are publicly available, there are no confidential information.
- Data are informational, no complex relationship between the data required.
- Data backup is not critical, as data are disposable.
- Lambda function is optimized to be able to complete processing within Lambda limitation.
- Catered for high read/write capacity in the future.
- AWS services are highly available and scalable.
- o Minimum effort to maintain the platform, where the application running on.

#### **Notification Module**

- Components
  - o Lambda
    - To check the list of event subscriber who subscribed to the events triggered, event type, delivery methods, etc.
    - Prevent spamming of notification, by record the number of triggered by an event before dispatching a notification to subscriber.
    - Triggered whenever data are written into the processed data DynamoDB.
  - o RDS (MySQL)
    - Used to store subscriber's endpoint information, event subscriptions information, subscription events, record count before notification, etc.
    - Created in a private subnet in a VPC.
    - Backup are configured.
    - Cater for read replica, if required in the future.
  - o SNS
    - Allow the expansion to other notification delivery methods example SQS, Lambda, HTTP, etc.

## Assumption

- No records of events notification dispatched to the subscriber. Dependent on AWS CloudWatch to log all events.
- No retry of event dispatching, whenever there is any error or failure for the notification to reach the subscriber.
- Lambda function is optimized to be able to complete processing within Lambda limitation.
- Subscriber's endpoint information, event subscriptions information, subscription events, etc. are inserted by MySQL utilities. Administration module will be build as future enhancement.
- AWS services are highly available and scalable.

Minimum effort to maintain the platform, where the application running on.

## Security

- IAM
  - Create at least a group for Administrator and Developer
    - Administrators have the policy to create/destroy AWS services, add/remove developer or administrator.
    - Developers have the policy to read CloudWatch logs for debugging.
    - All users must be MFA enabled and password expiry policies enabled.
  - Create individual system user, attached with policies to ensure AWS resource has the required access to another AWS resource.
- S3
- Bucket ACL configured only with "List Bucket" and "Read Bucket Permission" for Lambda service to retrieve and read raw data files drop into S3.
- Data are publicly available, there are no confidential information. Thus, do not required versioning and encryption.

#### - Lambda

- Lambda used in the ingestion and analysis module is not placed in a VPC, as all the data are publicly accessible information.
- Only the Lambda used in the notification module will be place in a VPC, as the Lambda function required to access subscriber information from the RDS.

# - DynamoDB

Secured with IAM.

- SNS
  - Secured by launching SNS instance in a VPC.
  - Lambda can publish message within the VPC to the SNS for dispatching to subscriber endpoint.
- RDS
  - Spin up in a VPC private subnet.
  - o Create a bastion/Jump host to perform data insertion/deletion.
  - o KMS enable to encrypt subscriber endpoint information, for data protection.

## **Deployment**

- The required AWS services, will be launched using CloudFormation JSON/YAML artefacts. These artefacts will be version control with a VCS system using CodeCommit services, with corresponding release branching strategy.
- CodePipeline will be used to deploy the CloudFormation JSON/YAML artefacts for testing in a SIT/UAT environment before deployed to production.

## Backup | Logging

- The entire infrastructure and the Lambda functions are written in CloudFormation JSON/YAML artefact and version controlled by CodeCommit.
- RDS (MySQL) is deployed as multi-AZ setup, with a slave database acting as a backup for the master database.

- CloudWatch will be the main logging mechanism to log all activities.
- CloudTrail will be used to log all user activities, API call to resources, and where it is call. This can be used for security audit purposes if need arises.

# **Design Limitation**

- Limited by Lambda time-out period, memory, and other limitation.
- No handling errors nor error recovery when notification failed to reach subscriber endpoint.
- Challenging to trace CloudWatch logs if required.
- Potential single point of failure at SNS in the Notification module.
- Limited defense against cyberattack example DOS at Lambda or S3.

# **Design Trade-Of**

- Unable to perform complex computation analysis or parser of custom file format, as need to consider Lambda limitation when implementing Lambda function.
- Putting all faith into AWS Cloud Provider for HA and Fault Tolerance in their services.
- Potentially tied to AWS Cloud Provider and "expensive" to migrate to other Cloud Provider in the future.