

Operating Systems

Lecture 24: Semaphores + Deadlocks

Nipun Batra
Oct 26, 2018

Exercise: Build a lock using semaphores

```
1 sem_t m;  
2 sem_init(&m, 0, 1);  
3  
4 sem_wait(&m);  
5 //critical section here  
6 sem_post(&m);
```

Refresher Notes

```
1 int sem_wait(sem_t *s) {  
2   s->value -= 1  
3   wait if s->value < 0  
4 }
```

```
1 int sem_post(sem_t *s) {  
2   s->value += 1  
3   wake one waiting thread if any  
4 }
```

join() using CVs

```
1 void *child(void *arg) {
2     printf("child\n");
3     thread_exit()
4     return NULL; }

7 int main(int argc, char *argv[]) {
8     printf("parent: begin\n");
9     pthread_t c;
10    Pthread_create(&c, NULL, child, NULL); // create child
11    thread_join()
12    printf("parent: end\n");
13    return 0; }
```

join() using CVs

```
void thread_exit {  
    mutex_lock(&m)  
    Done = 1  
    cond_signal(&c)  
    mutex_unlock(&m)
```

```
1 void *child(void *arg) {  
2     printf("child\n");  
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7 int main(int argc, char *argv[]) {  
8     printf("parent: begin\n");  
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13    return 0; }
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join() using CVs

```
void thread_exit {  
    mutex_lock(&m)  
    Done = 1  
    cond_signal(&c)  
    mutex_unlock(&m)
```

```
void thread_join {  
    mutex_lock(&m)           //w  
    while (done==0)         //x  
        cond_wait(&c, &m)    //y  
    mutex_unlock(&m) }      //z
```

```
1 void *child(void *arg) {  
2     printf("child\n");  
3     thread_exit()  
4     return NULL; }  
  
7 int main(int argc, char *argv[]) {  
8     printf("parent: begin\n");  
9     pthread_t c;  
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join() using semaphores

```
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3     thread_exit()
4     return NULL; }

7 int main(int argc, char *argv[]) {
8     printf("parent: begin\n");
9     pthread_t c;
10    sem_init(&s, 0, X);
11    Pthread_create(&c, NULL, child, NULL);
12    thread_join()
13    printf("parent: end\n");
14    return 0; }
```

Refresher Notes

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3     wait if s->value < 0
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1 int sem_post(sem_t *s) {
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join() using semaphores

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void thread_exit {  
  
}
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join() using semaphores

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void thread_exit {  
  
}
```

```
void thread_join {  
  
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join() using semaphores

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void thread_exit {  
    sem_post(&s);  
}
```

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void thread_join {  
    sem_wait(&s);  
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9     pthread_t c;  
10    sem_init(&s, 0, 0);  
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Refresher Notes

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```

Thread Trace: Parent Waiting for Child

Parent starts before child

```
void thread_exit {  
    sem_post(&s);  
}
```

```
void thread_join {  
    sem_wait(&s)  
}
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    sem_wait(&s)  
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0	call sem_wait()	Running		Ready

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0	call sem_wait()	Running		Ready
-1	decrement sem	Running		Ready

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Value	Parent	State	Child	State
0	Create(Child)	Running	(Child exists; is runnable)	Ready
0	call sem_wait()	Running		Ready
-1	decrement sem	Running		Ready
-1	(sem < 0) → sleep	sleeping		Ready

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-1	decrement sem	Running		Ready
-1	(sem < 0)→sleep	sleeping		Ready
-1	Switch→Child	sleeping	child runs	Running

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    sem_post(&s);  
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```
void thread_join {  
    sem_wait(&s)  
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-1	Switch→Child	sleeping	child runs	Running
-1		sleeping	call sem_post()	Running

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0	call sem_wait()	Running		Ready
-1	decrement sem	Running		Ready
-1	(sem < 0)→sleep	sleeping		Ready
-1	Switch→Child	sleeping	child runs	Running
-1		sleeping	call sem_post()	Running
0		sleeping	increment sem	Running

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    sem_post(&s);  
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0	call sem_wait()	Running		Ready
-1	decrement sem	Running		Ready
-1	(sem < 0)→sleep	sleeping		Ready
-1	Switch→Child	sleeping	child runs	Running
-1		sleeping	call sem_post()	Running
0		sleeping	increment sem	Running
0		Ready	wake(Parent)	Running

Thread Trace: Parent Waiting for Child

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    sem_post(&s);  
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void thread_join {  
    sem_wait(&s)  
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0		sleeping	increment sem	Running
0		Ready	wake(Parent)	Running
0		Ready	sem_post() returns	Running

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-1	Switch → Child	sleeping	child runs	Running
-1		sleeping	call sem_post()	Running
0		sleeping	increment sem	Running
0		Ready	wake(Parent)	Running
0		Ready	sem_post() returns	Running
0		Ready	Interrupt; Switch → Parent	Ready

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-1	Switch → Child	sleeping	child runs	Running
-1		sleeping	call sem_post()	Running
0		sleeping	increment sem	Running
0		Ready	wake(Parent)	Running
0		Ready	sem_post() returns	Running
0		Ready	Interrupt; Switch → Parent	Ready
0	sem_wait() retruns	Running		Ready

Thread Trace: Parent Waiting for Child

Child starts immediately executing

```
void thread_exit {  
    sem_post(&s);  
}
```

```
void thread_join {  
    sem_wait(&s)  
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```

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1		Ready	increment sem	Running
1		Ready	wake(nobody)	Running

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1		Ready	sem_post() returns	Running

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1		Ready	wake(nobody)	Running
1		Ready	sem_post() returns	Running
1	parent runs	Running	Interrupt; Switch→Parent	Ready

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1	call sem_wait()	Running		Ready

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1	parent runs	Running	Interrupt; Switch→Parent	Ready
1	call sem_wait()	Running		Ready
0	decrement sem	Running		Ready

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1		Ready	sem_post() returns	Running
1	parent runs	Running	Interrupt; Switch→Parent	Ready
1	call sem_wait()	Running		Ready
0	decrement sem	Running		Ready
0	(sem<0)→awake	Running		Ready

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1	parent runs	Running	Interrupt; Switch→Parent	Ready
1	call sem_wait()	Running		Ready
0	decrement sem	Running		Ready
0	(sem<0)→awake	Running		Ready
0	sem_wait() retruns	Running		Ready

Producer Consumer Problem using Semaphores

```
1  int buffer[MAX];
2  int fill = 0;
3  int use = 0;
4
5  void put(int value) {
6    buffer[fill] = value; // line f1
7    fill = (fill + 1) % MAX; // line f2
8  }
9
10 int get() {
11   int tmp = buffer[use]; // line g1
12   use = (use + 1) % MAX; // line g2
13   return tmp;
14 }
```

Producer Consumer Problem using Semaphores

```
1  sem_t empty;
2  sem_t full;
3
4  void *producer(void *arg) {
5      int i;
6      for (i = 0; i < loops; i++) {
7          sem_wait(&empty); // line P1
8          put(i);           // line P2
9          sem_post(&full);  // line P3
10     }
11 }
12
13 void *consumer(void *arg) {
14     int i, tmp = 0;
15     while (tmp != -1) {
16         sem_wait(&full);    // line C1
17         tmp = get();        // line C2
18         sem_post(&empty);  // line C3
19         printf("%d\n", tmp);
20     }
21 }
22 ...
```

```
21 int main(int argc, char *argv[]) {
22     // ...
23     sem_init(&empty, 0, ?);
24     sem_init(&full, 0, ?);
25     // ...
26 }
```

Producer Consumer Problem using Semaphores

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1  sem_t empty;
2  sem_t full;
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15     while (tmp != -1) {
16         sem_wait(&full);    // line C1
17         tmp = get();        // line C2
18         sem_post(&empty);   // line C3
19         printf("%d\n", tmp);
20     }
21 }
22 ...
```

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21 int main(int argc, char *argv[]) {
22     // ...
23     sem_init(&empty, 0, MAX);
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25     // ...
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22 ...
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20     }
21 }
22 ...
```

```
21 int main(int argc, char *argv[]) {
22     // ...
23     sem_init(&empty, 0, MAX);
24     sem_init(&full, 0, 0);
25     // ...
26 }
```

- For Max = 1, does it work for 1 consumer and 1 producer?

Producer Consumer Problem using Semaphores

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21 int main(int argc, char *argv[]) {
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23     sem_init(&empty, 0, MAX);
24     sem_init(&full, 0, 0);
25     // ...
26 }
```

- For Max = 1, does it work for 1 consumer and 1 producer?
- For Max = 1, does it work for many consumers and producers?

Producer Consumer Problem using Semaphores

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1  sem_t empty;
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4  void *producer(void *arg) {
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6      for (i = 0; i < loops; i++) {
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```
21 int main(int argc, char *argv[]) {
22     // ...
23     sem_init(&empty, 0, MAX);
24     sem_init(&full, 0, 0);
25     // ...
26 }
```

- For Max = 1, does it work for 1 consumer and 1 producer?
- For Max = 1, does it work for many consumers and producers?
- For Max = 20, does it work for multiple consumers and producers?

Producer Consumer Problem using Semaphores

```
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4  void *producer(void *arg) {
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- Producer 1 (Pa) is on P2

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- Producer 2 (Pb) is on P2 almost at the same time

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- Let's assume fill is 10

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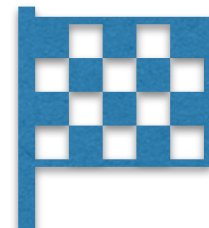
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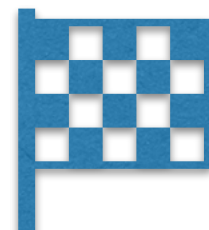


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Race condition

Producer Consumer Problem using Semaphores

Adding mutual exclusion

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- Hint, the problem is called deadlock

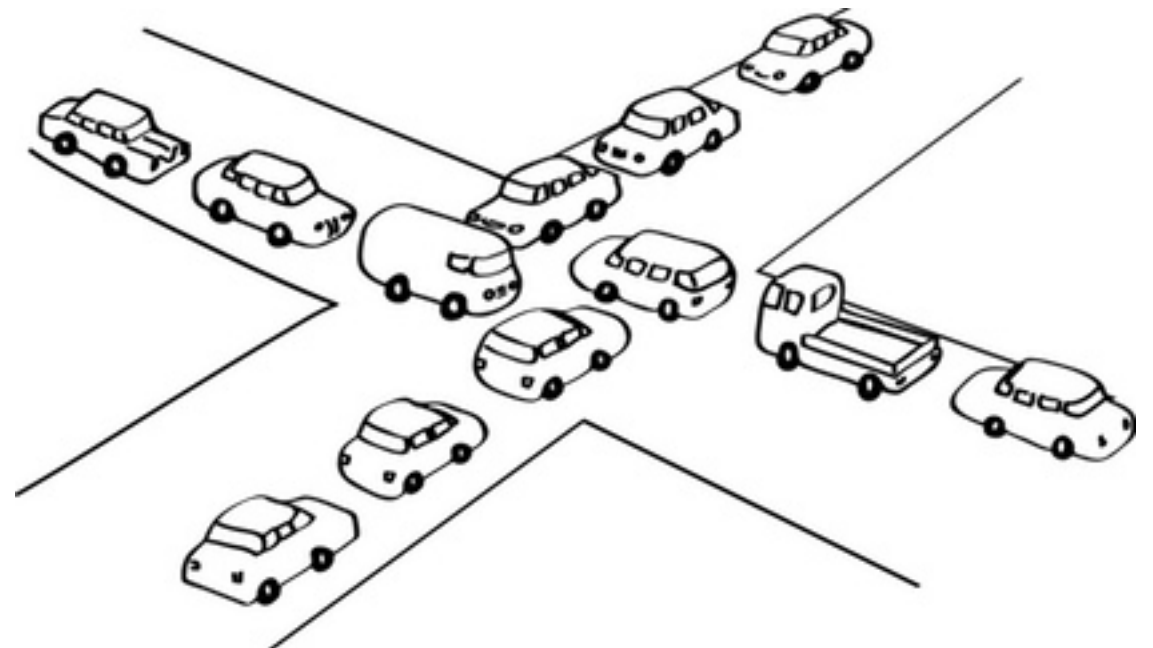
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- The producer is now stuck waiting too — **a classic deadlock.**

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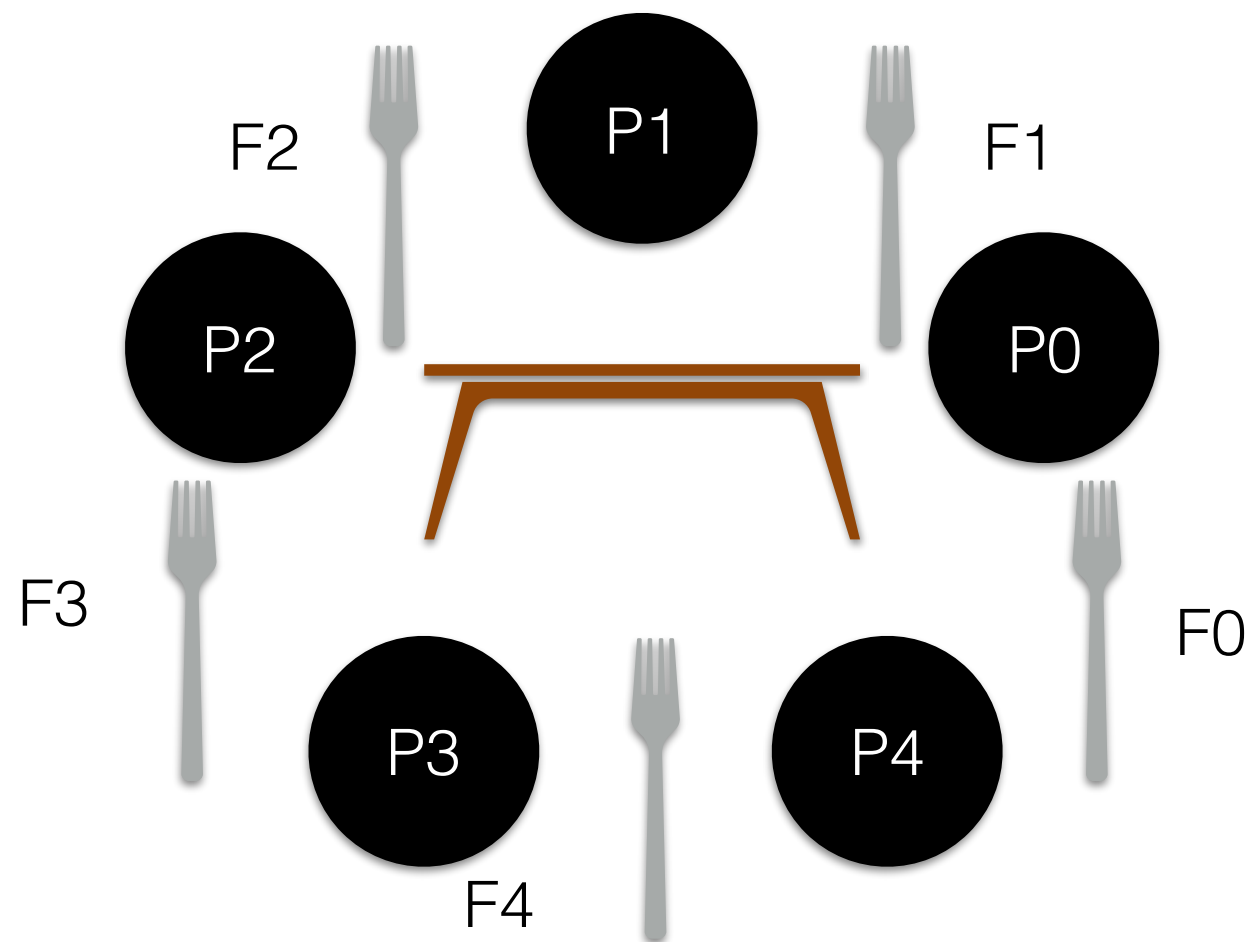
Correct Solution

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2  sem_t full;  
3  sem_t mutex;
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15
```

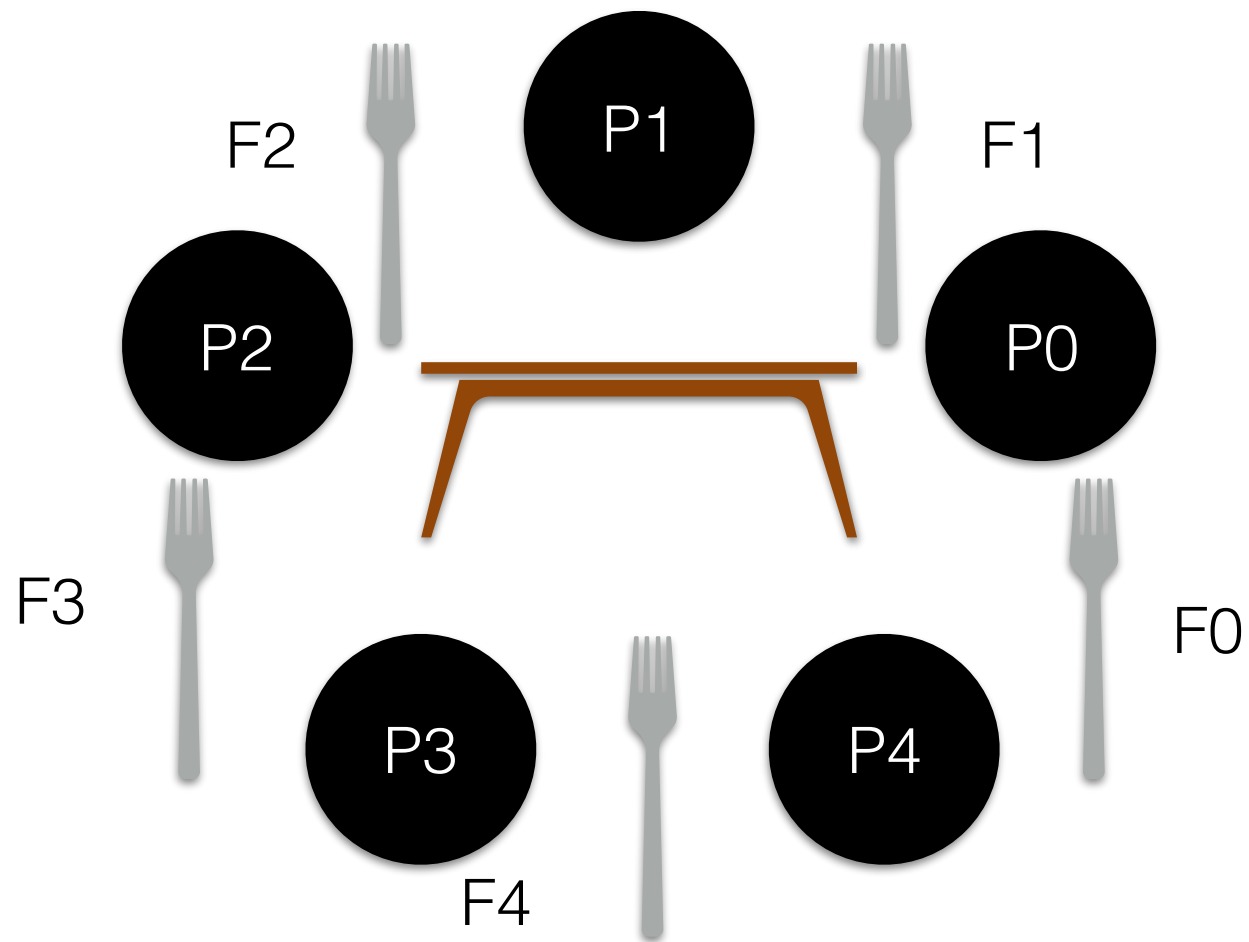
```
16 void *consumer(void *arg) {  
17     int i;  
18     for (i = 0; i < loops; i++) {  
19         sem_wait(&full); // line c1  
20         sem_wait(&mutex);  
21         int tmp = get(); // line c2  
22         sem_post(&mutex); //  
23         sem_post(&empty); // line c3  
24         printf("%d\n", tmp);  
25     }  
26 }
```

Dining Philosopher's Problem



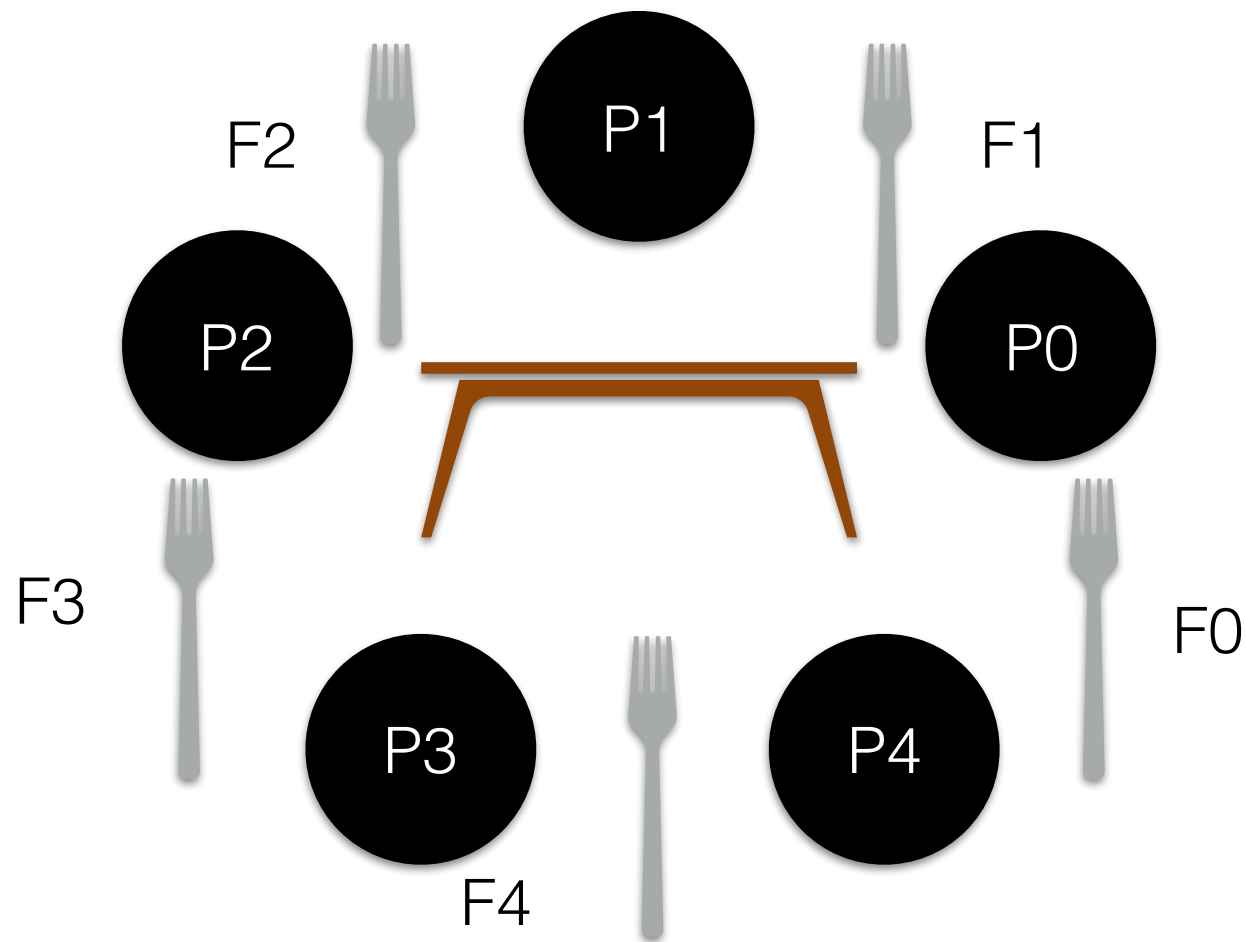
Dining Philosopher's Problem

- 5 philosophers sitting around a table

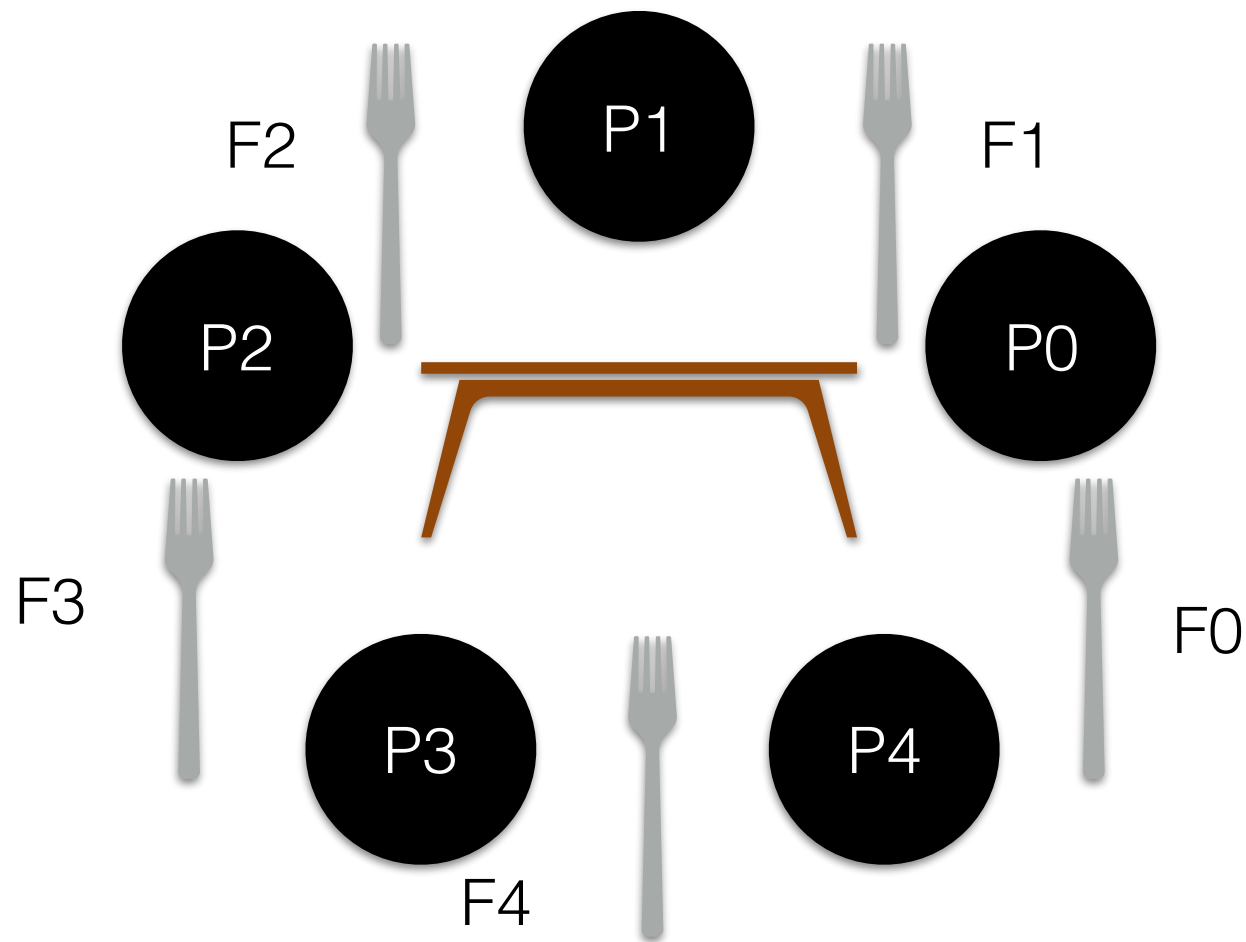


Dining Philosopher's Problem

- 5 philosophers sitting around a table
- A fork between a pair of philosophers

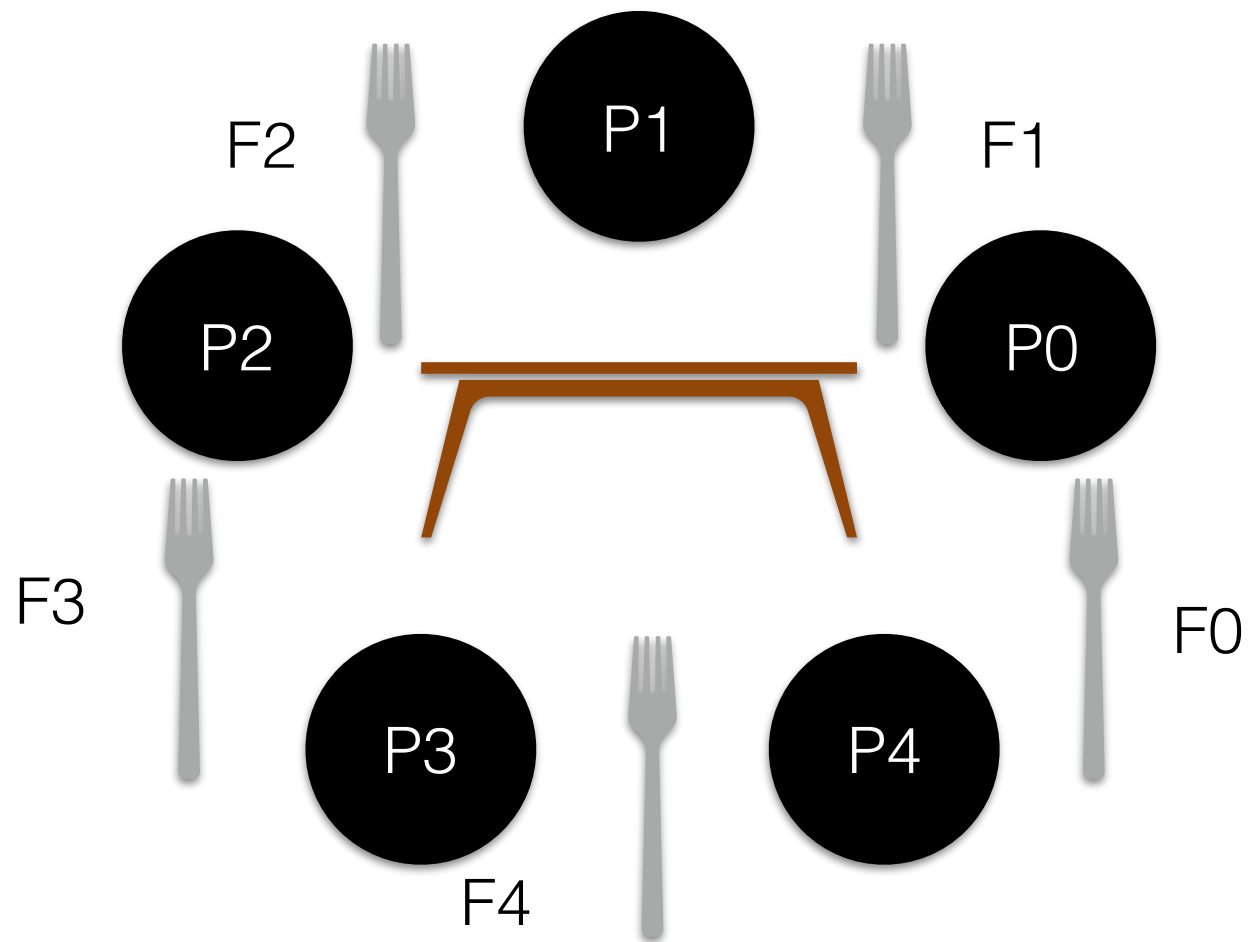


Dining Philosopher's Problem



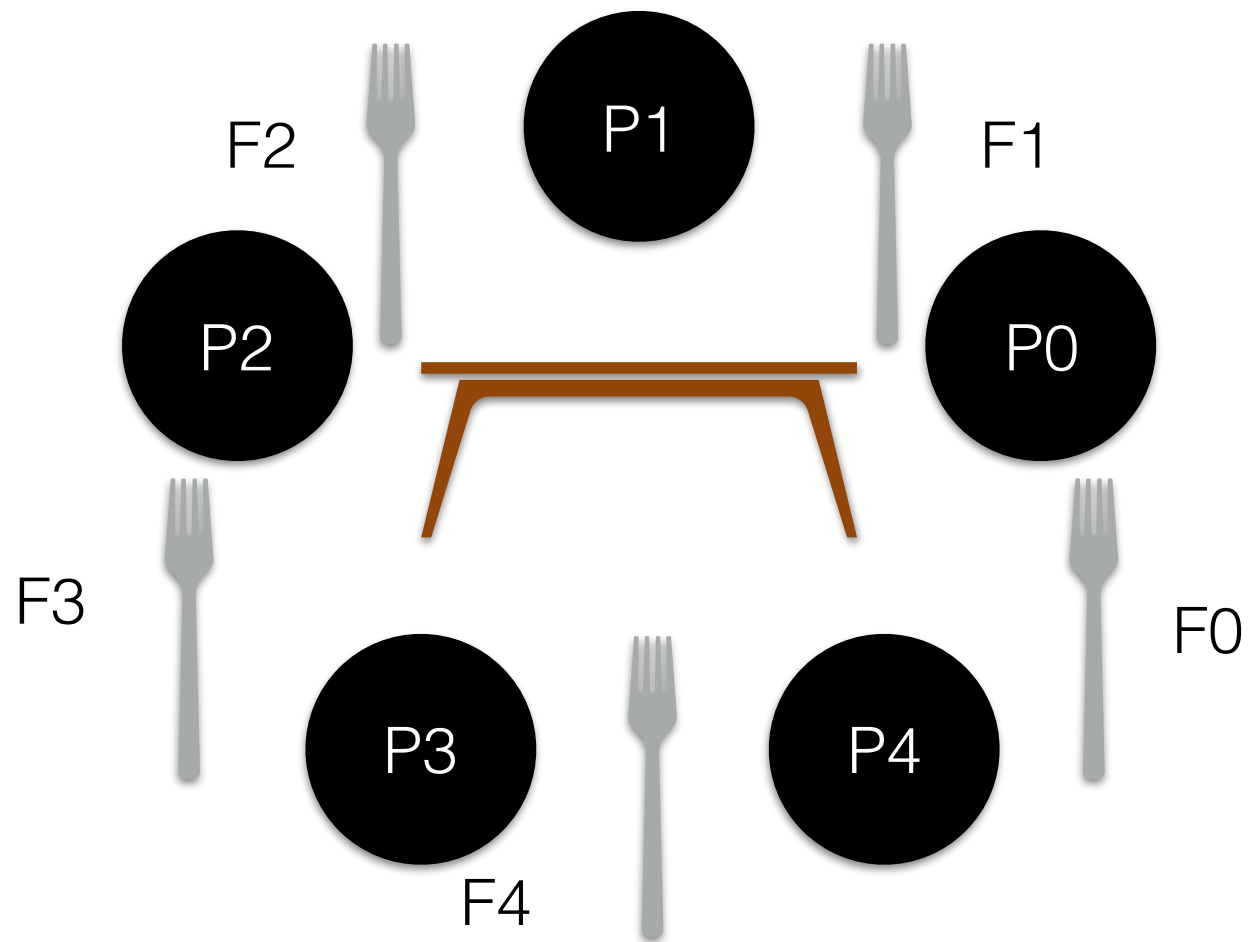
- 5 philosophers sitting around a table
- A fork between a pair of philosophers
- Philosopher's activities:

Dining Philosopher's Problem



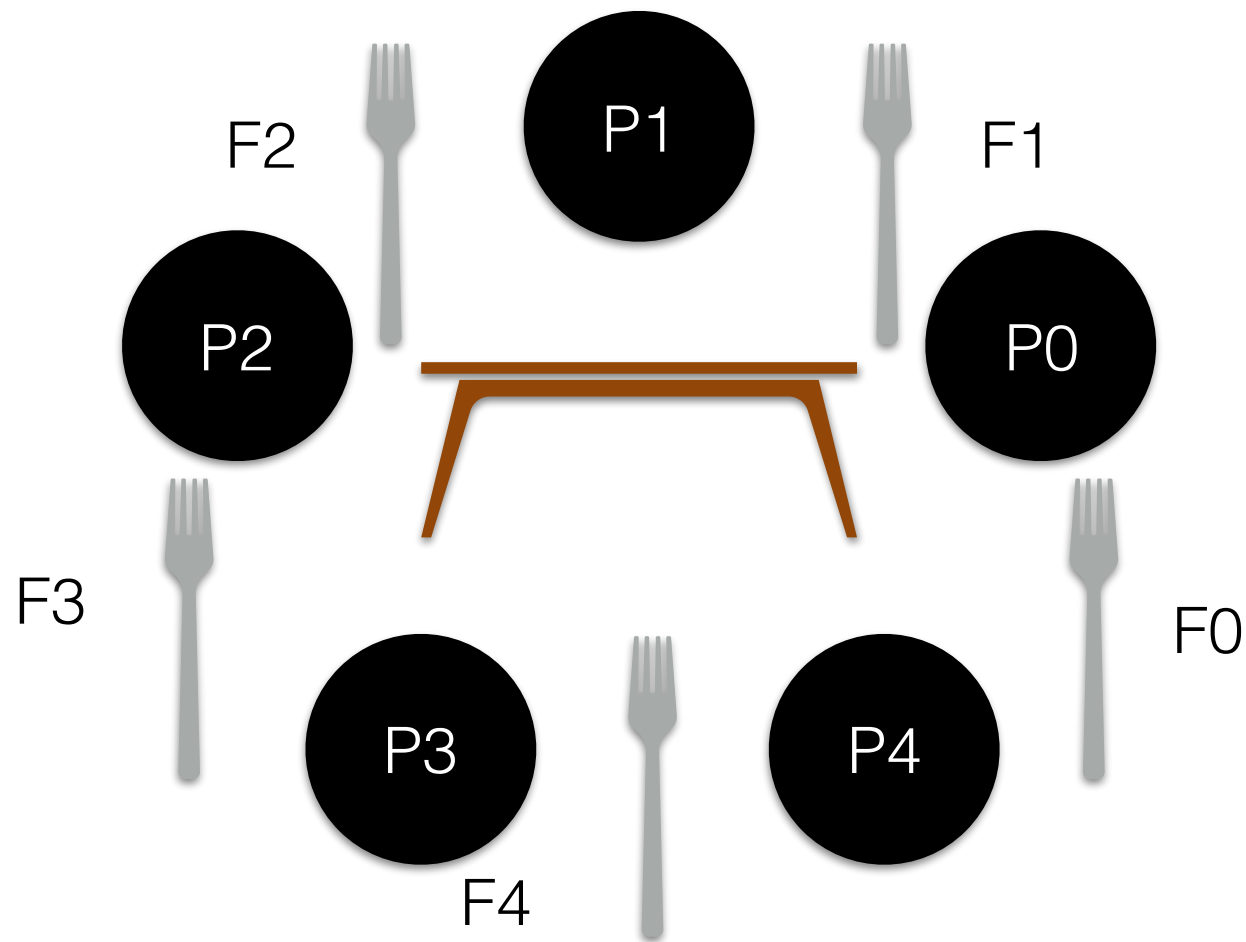
- 5 philosophers sitting around a table
- A fork between a pair of philosophers
- Philosopher's activities:
 - Think — don't need fork

Dining Philosopher's Problem



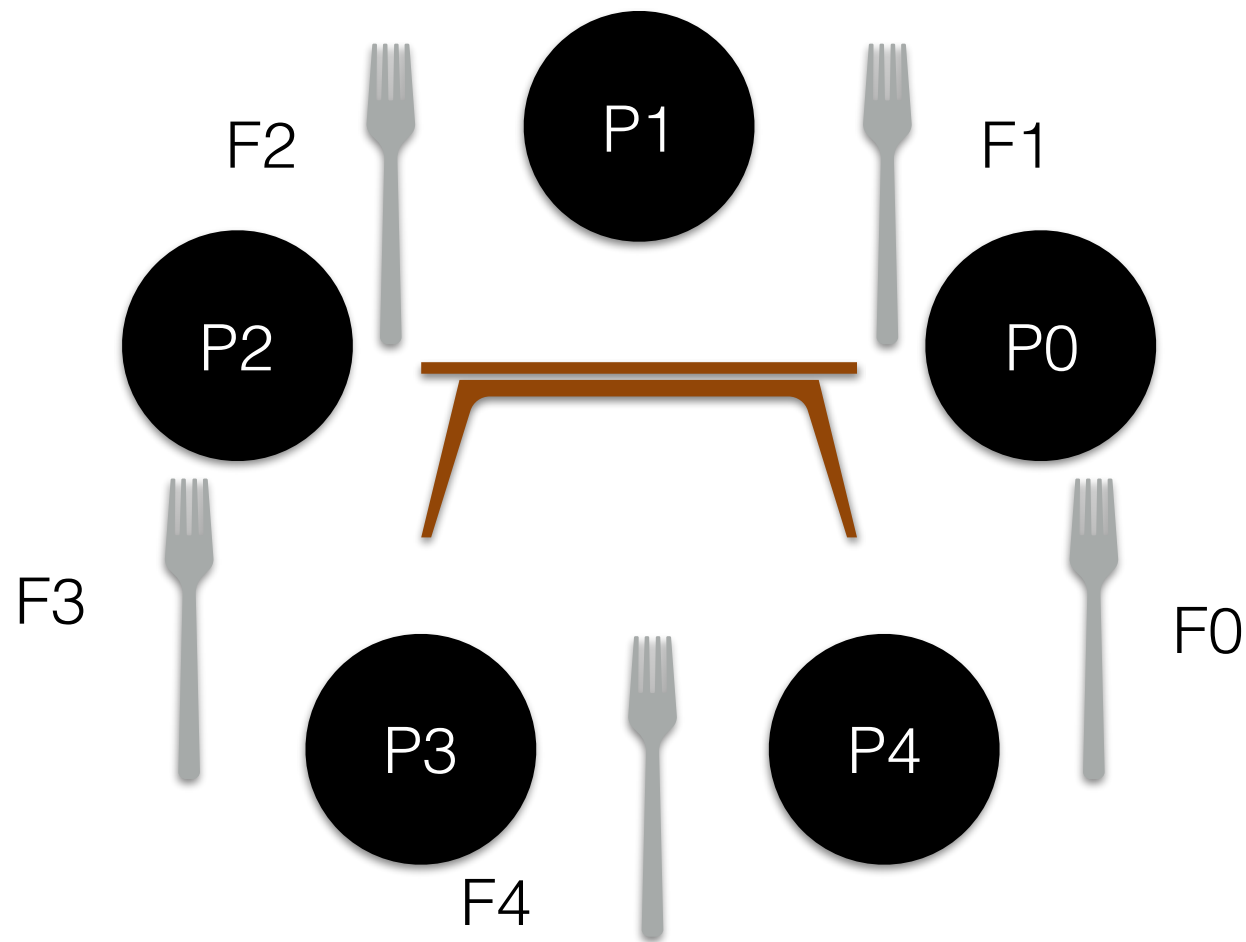
- 5 philosophers sitting around a table
- A fork between a pair of philosophers
- Philosopher's activities:
 - Think — don't need fork
 - Eat — need fork on left and right

Dining Philosopher's Problem



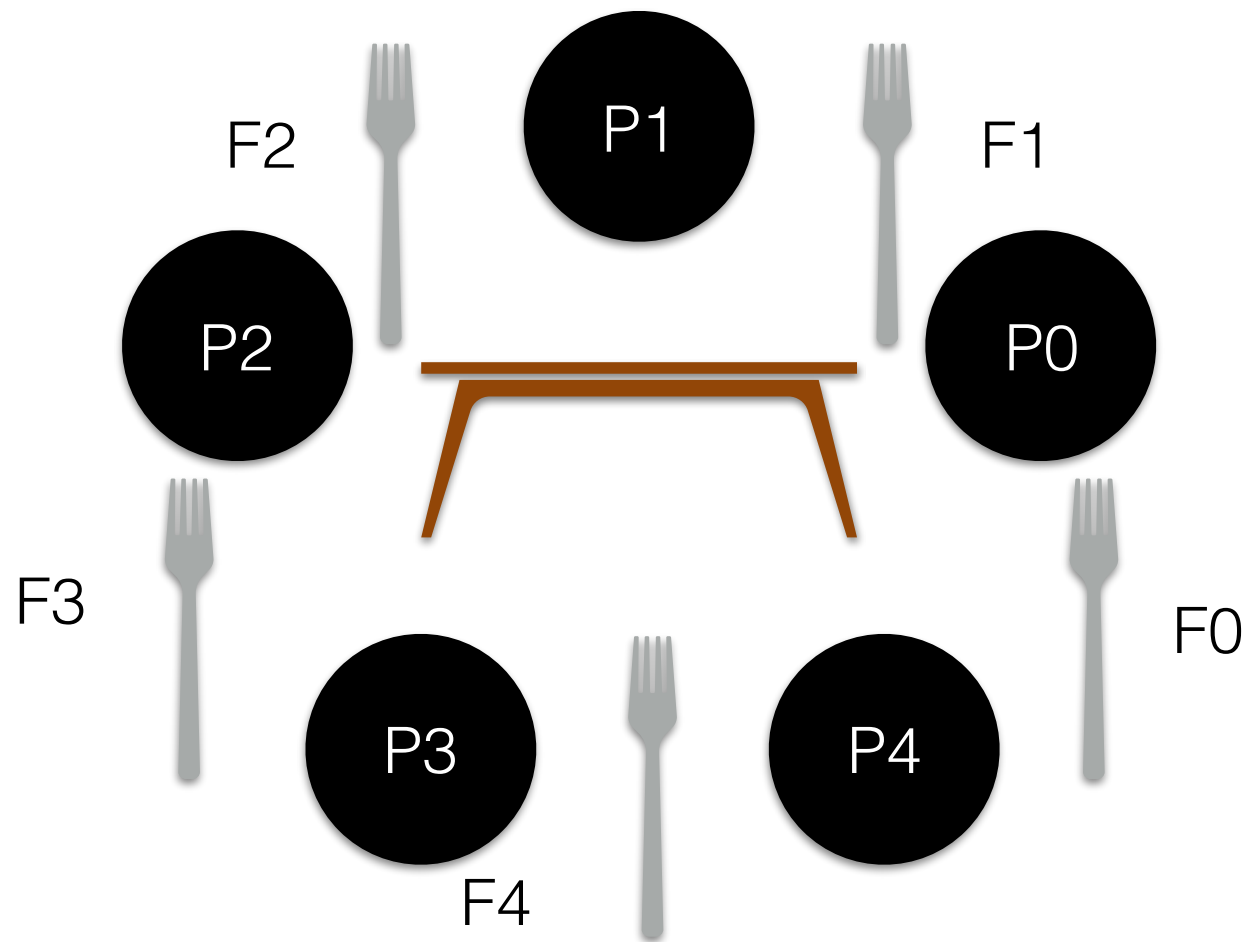
- 5 philosophers sitting around a table
- A fork between a pair of philosophers
- Philosopher's activities:
 - Think — don't need fork
 - Eat — need fork on left and right
- Forks have contention

Dining Philosopher's Problem



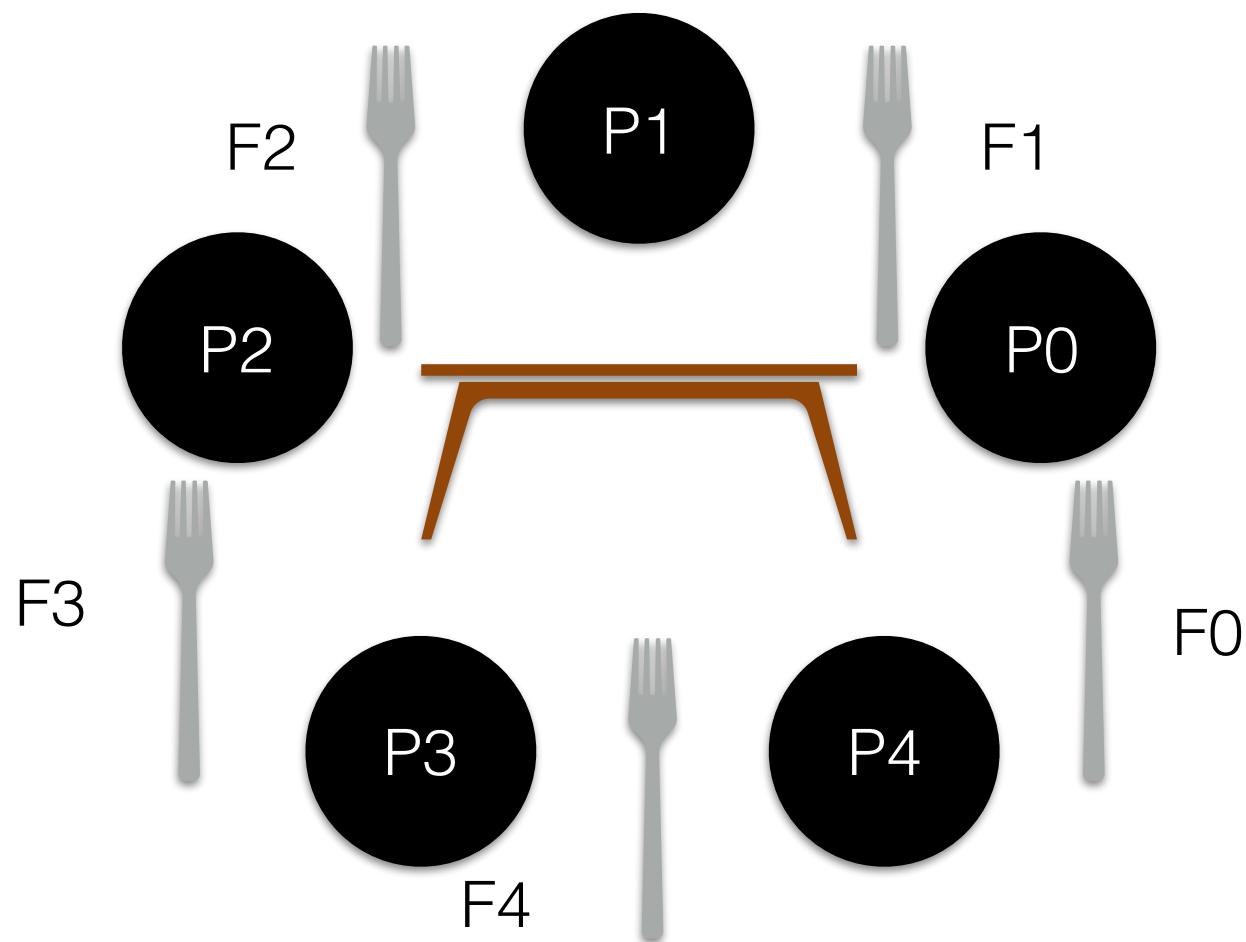
- 5 philosophers sitting around a table
- A fork between a pair of philosophers
- Philosopher's activities:
 - Think — don't need fork
 - Eat — need fork on left and right
 - Forks have contention
- Challenges:

Dining Philosopher's Problem



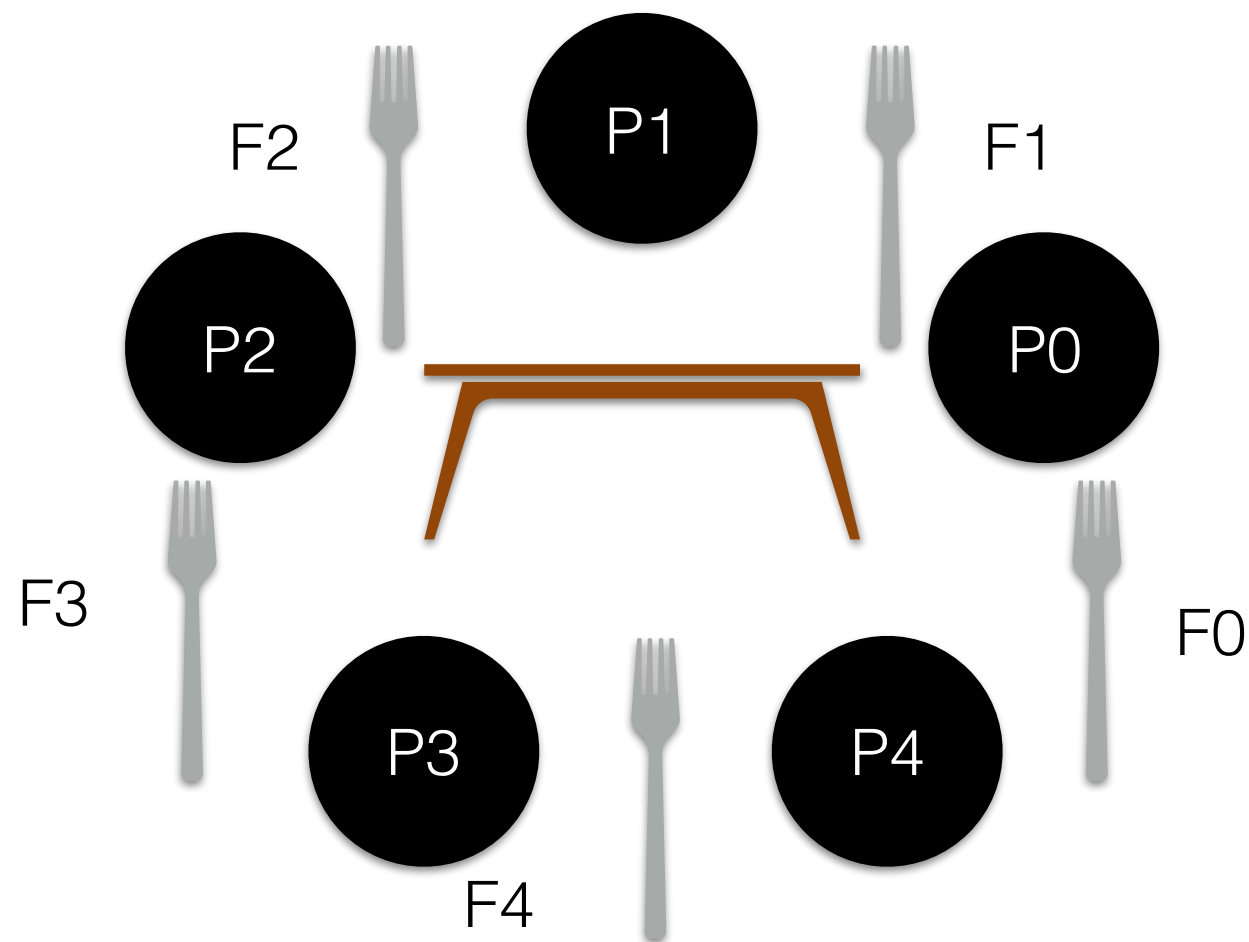
- 5 philosophers sitting around a table
- A fork between a pair of philosophers
- Philosopher's activities:
 - Think — don't need fork
 - Eat — need fork on left and right
 - Forks have contention
- Challenges:
 - No deadlock

Dining Philosopher's Problem



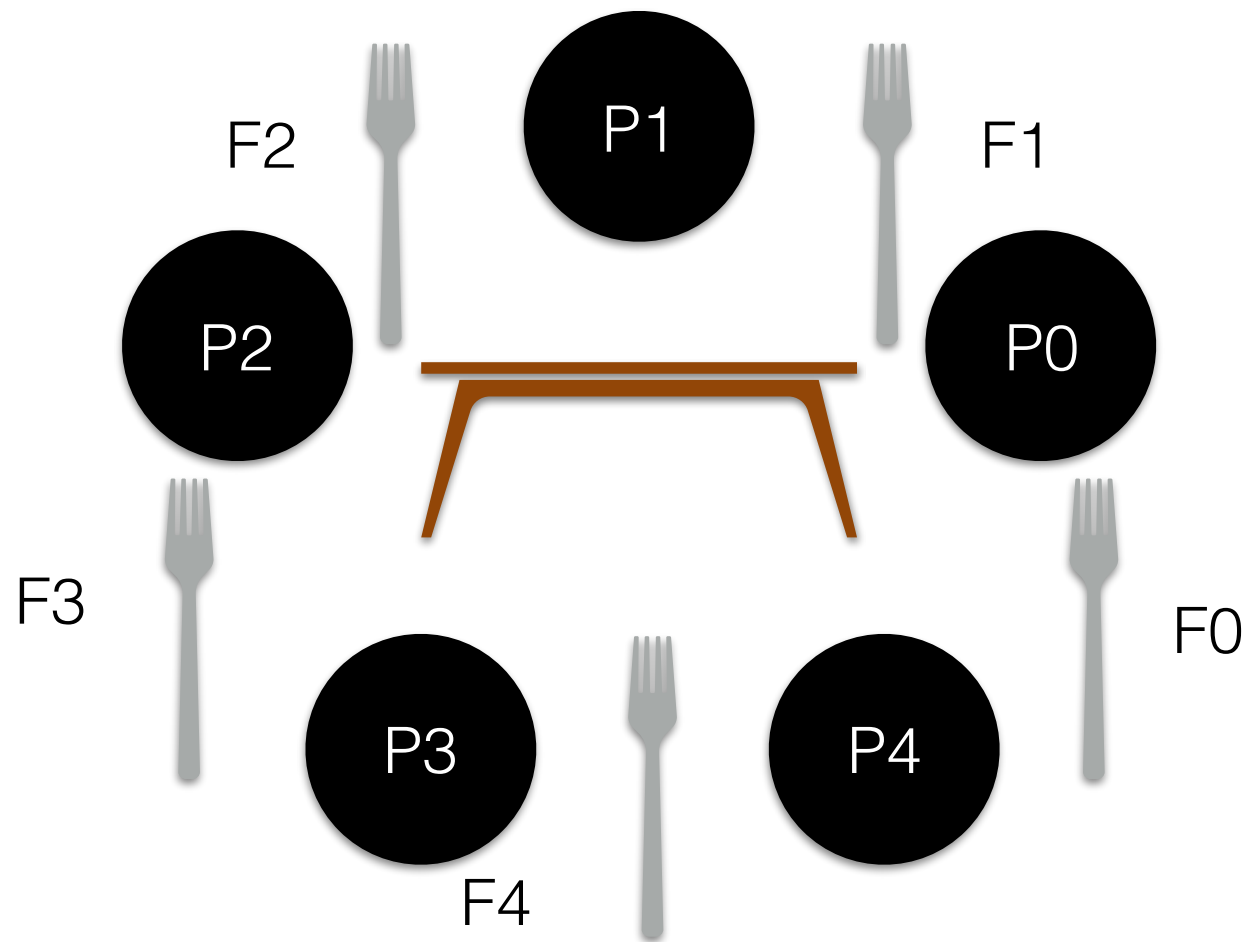
- 5 philosophers sitting around a table
- A fork between a pair of philosophers
- Philosopher's activities:
 - Think — don't need fork
 - Eat — need fork on left and right
 - Forks have contention
- Challenges:
 - No deadlock
 - No one starves

Dining Philosopher's Problem



- 5 philosophers sitting around a table
- A fork between a pair of philosophers
- Philosopher's activities:
 - Think — don't need fork
 - Eat — need fork on left and right
 - Forks have contention
- Challenges:
 - No deadlock
 - No one starves
 - High Concurrency

Dining Philosopher's Problem



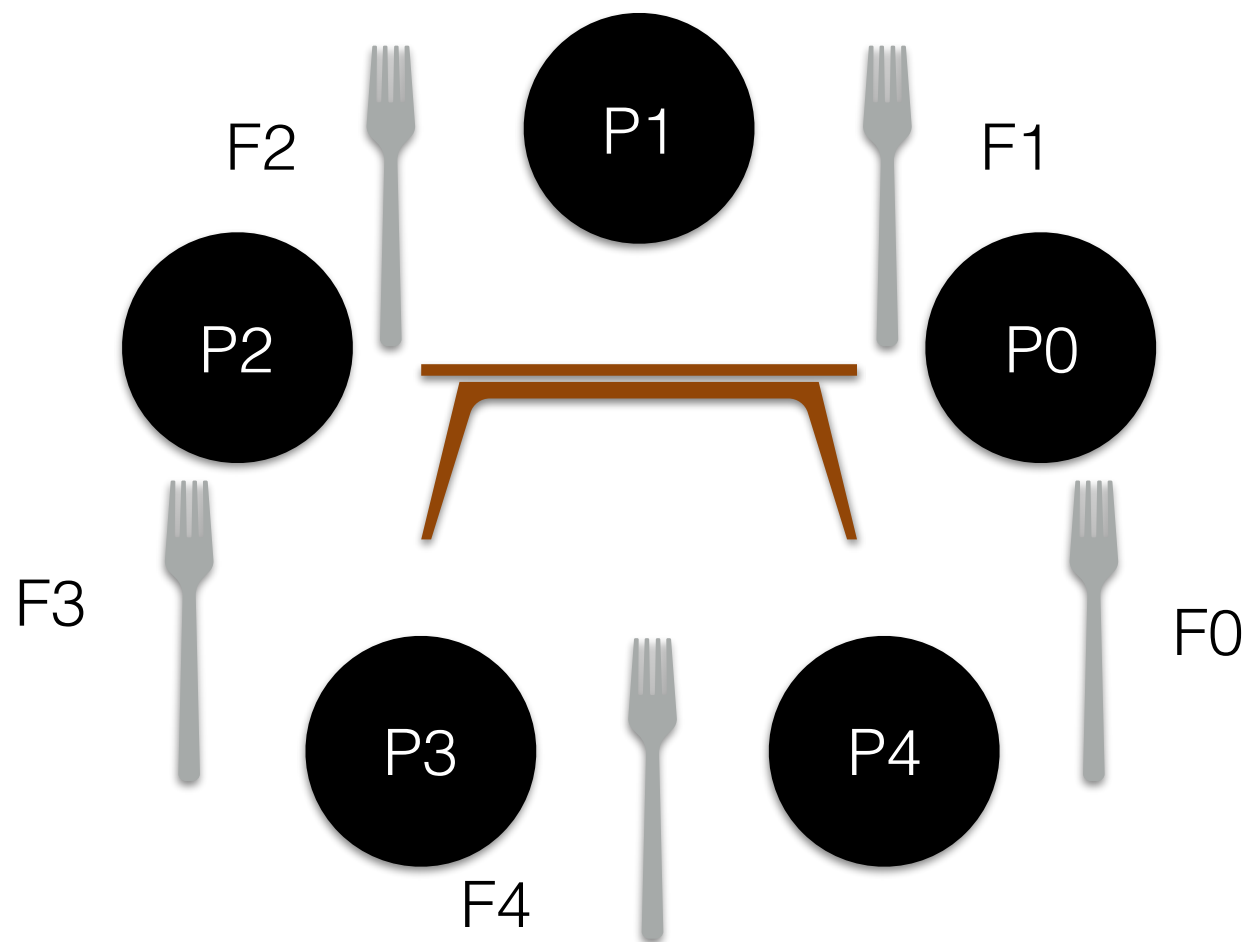
```
while (1) {  
    think();  
    getforks();  
    eat();  
    putforks();  
}
```

```
// helper functions
```

```
int left(int p) { return p; }
```

```
int right(int p) {  
    return (p + 1) % 5;  
}
```

Dining Philosopher's Problem



```
while (1) {  
    think();  
    getforks();  
    eat();  
    putforks();  
}
```

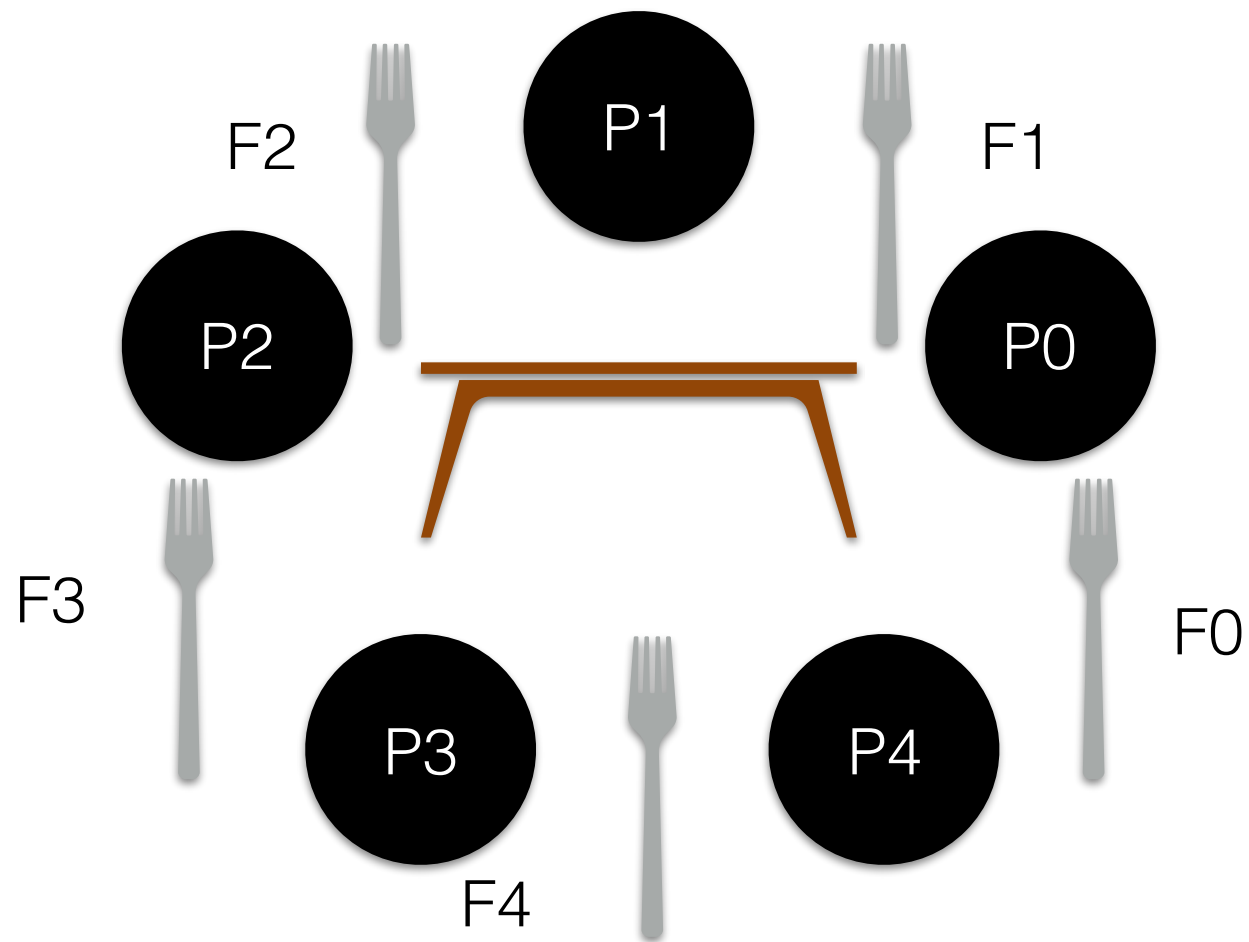
```
// helper functions
```

```
int left(int p) { return p; }
```

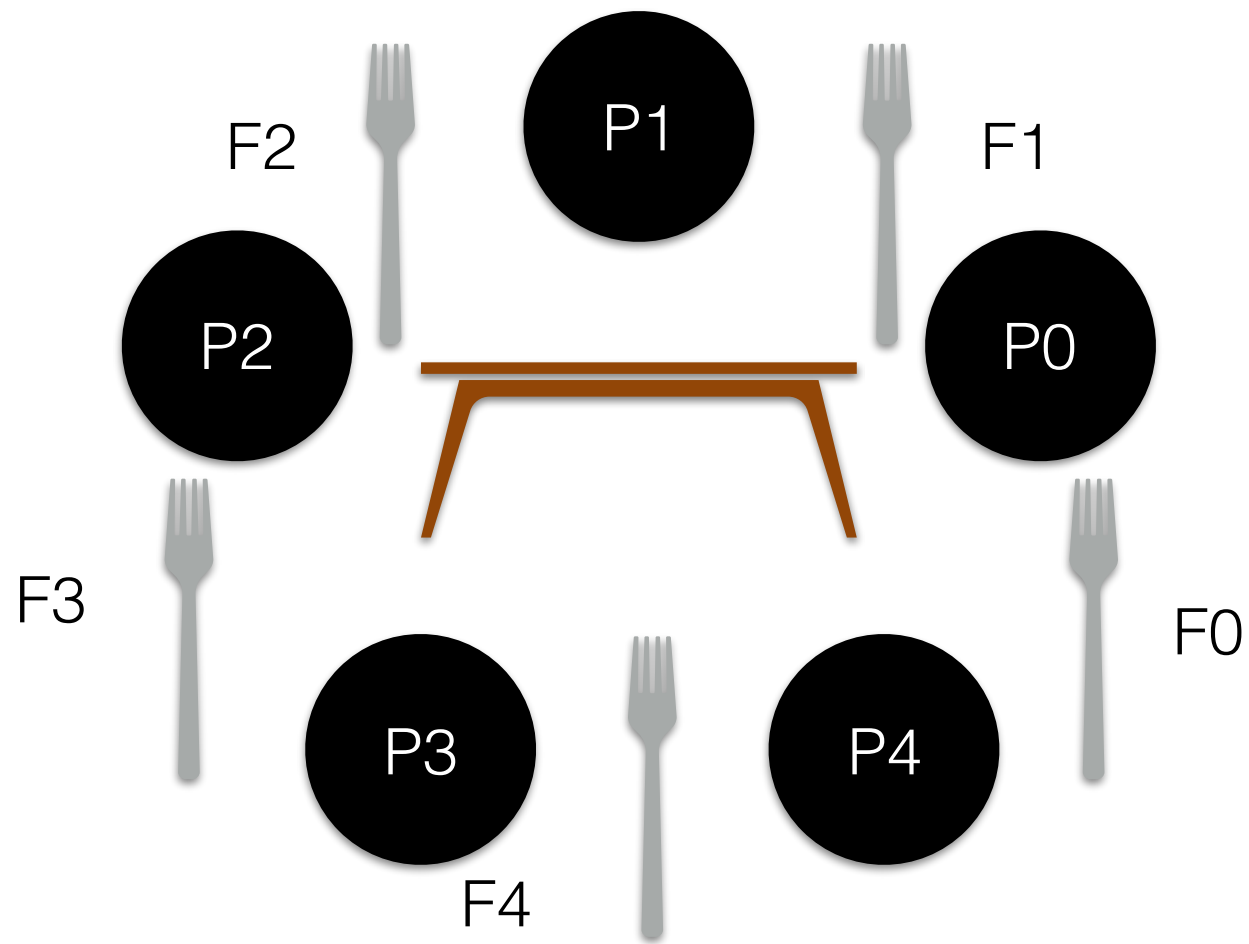
```
int right(int p) {  
    return (p + 1) % 5;  
}
```

1. Using the provided routines write a simple working solution without concurrency
2. Now with concurrency

Dining Philosopher's Problem

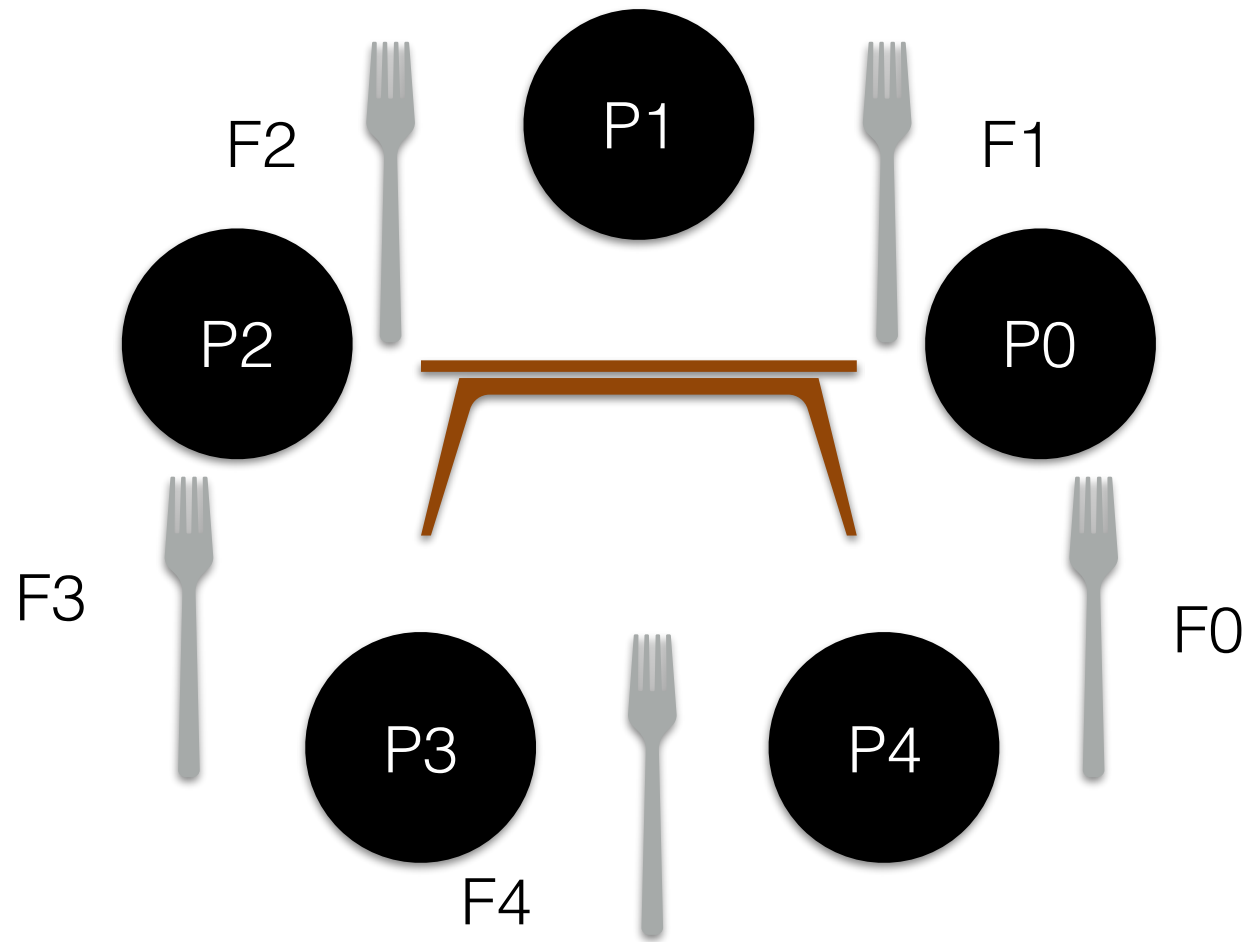


Dining Philosopher's Problem



```
1 void getforks() {  
2   sem_wait(forks[left(p)]);  
3   sem_wait(forks[right(p)]);  
4 }
```

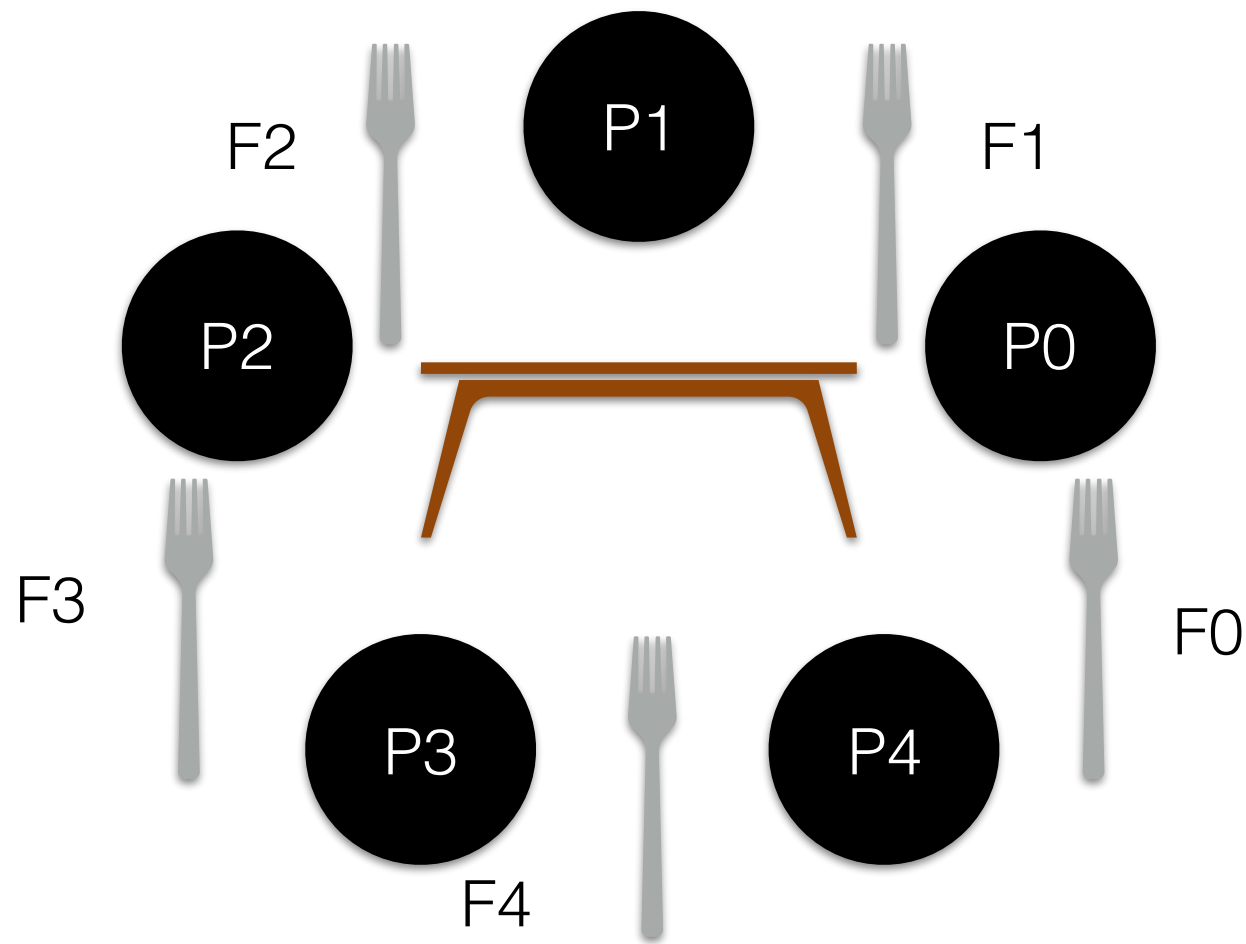

Dining Philosopher's Problem



```
1 void getforks() {  
2   sem_wait(forks[left(p)]);  
3   sem_wait(forks[right(p)]);  
4 }
```

```
1 void putforks() {  
2   sem_post(forks[left(p)]);  
3   sem_post(forks[right(p)]);  
4 }
```

Dining Philosopher's Problem

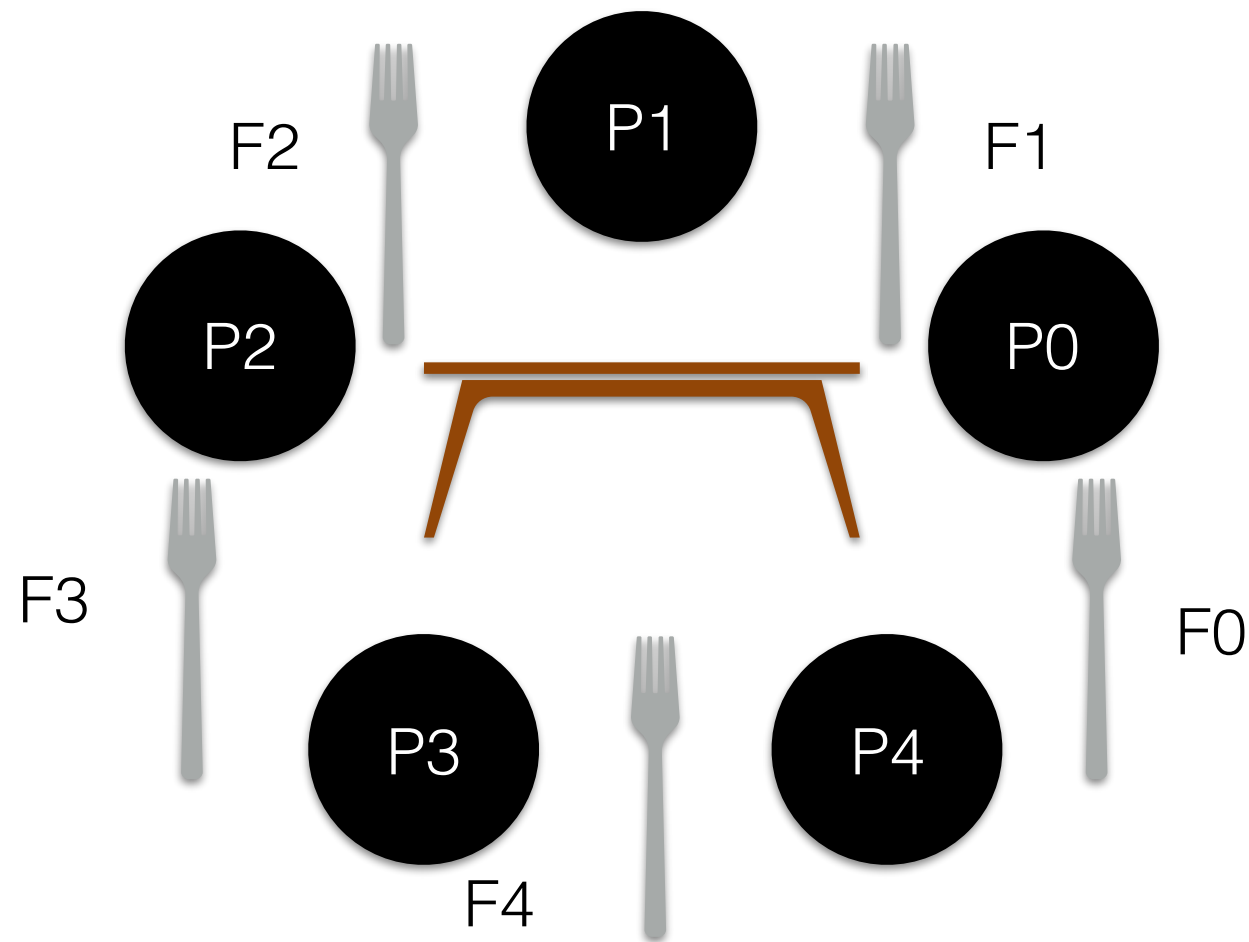


```
1 void getforks() {  
2   sem_wait(forks[left(p)]);  
3   sem_wait(forks[right(p)]);  
4 }
```

```
1 void putforks() {  
2   sem_post(forks[left(p)]);  
3   sem_post(forks[right(p)]);  
4 }
```

- P0 picks F0; P1 picks F1; ..., P4 picks F4

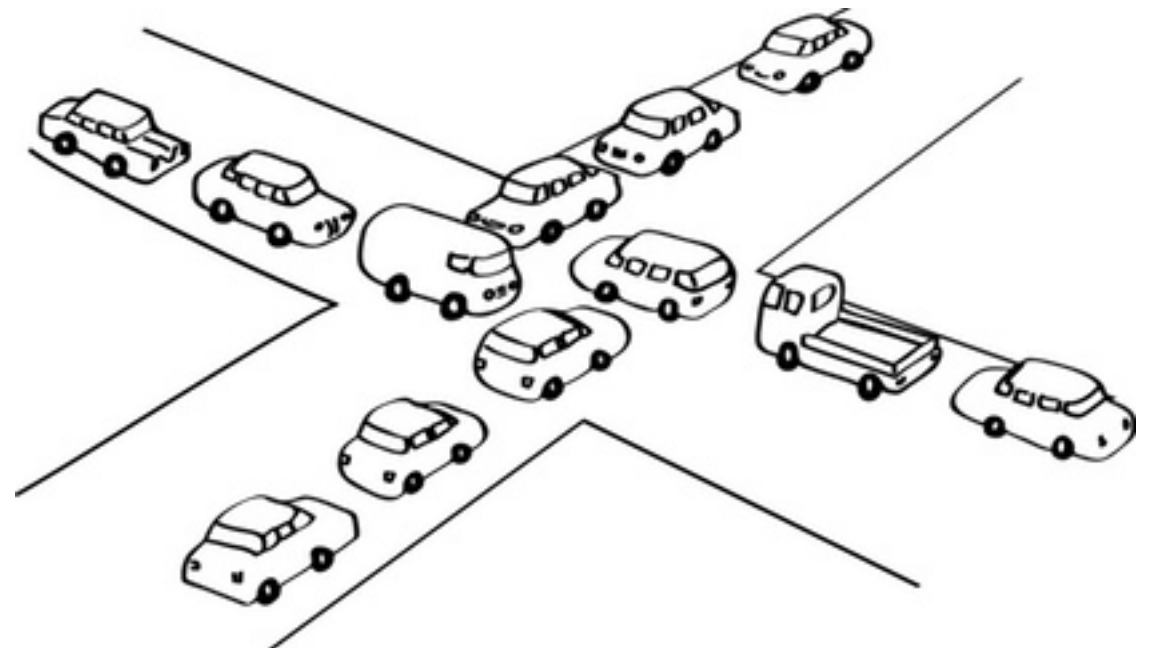
Dining Philosopher's Problem



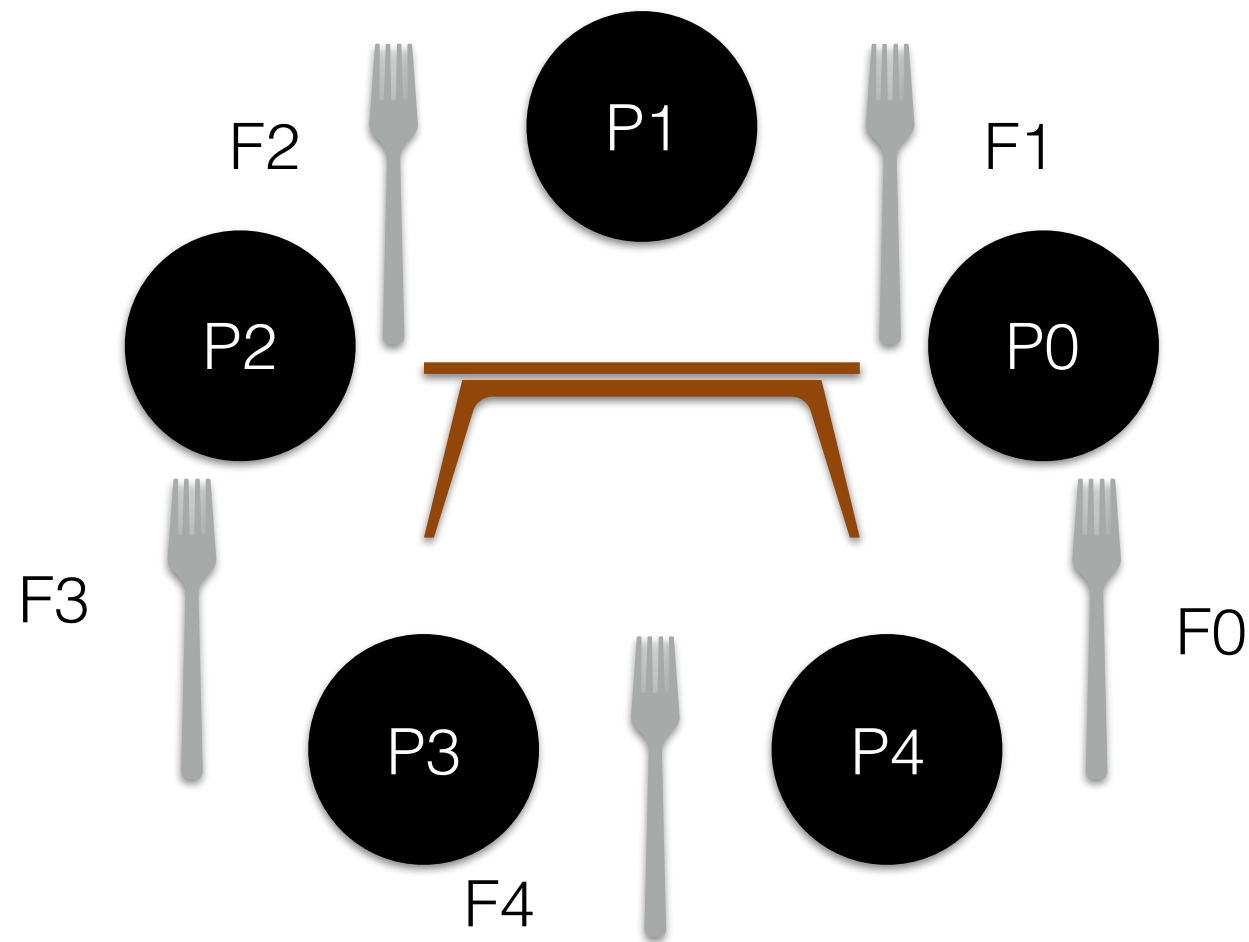
- P0 picks F0; P1 picks F1; ..., P4 picks F4

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2   sem_wait(forks[left(p)]);  
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4 }
```

```
1 void putforks() {  
2   sem_post(forks[left(p)]);  
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4 }
```



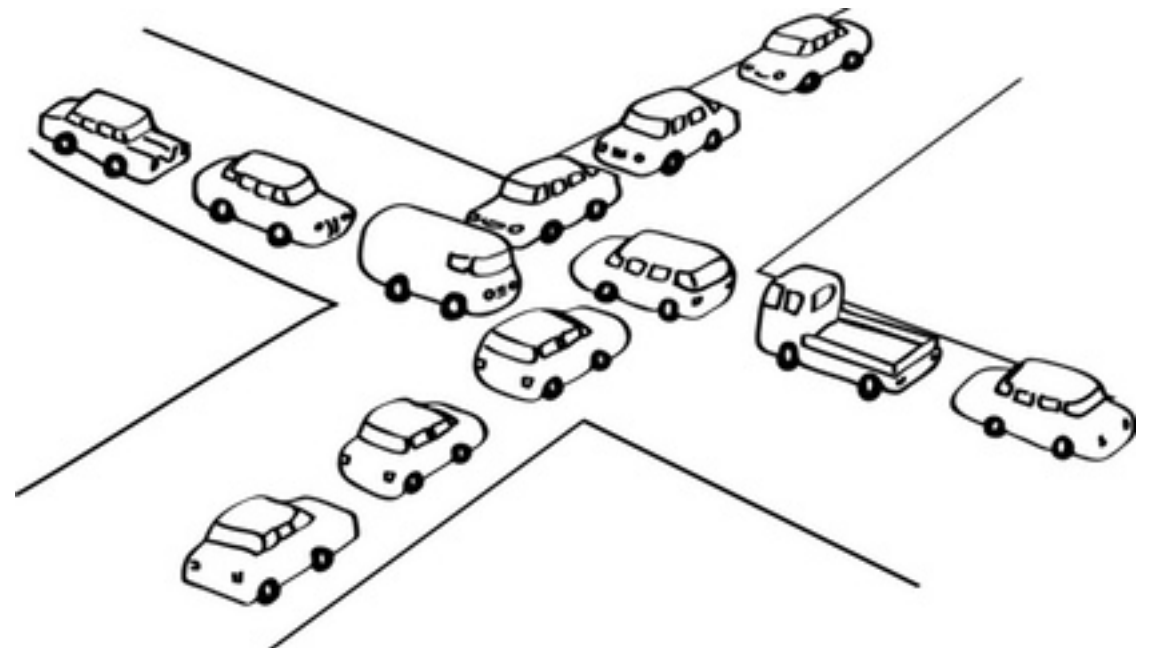
Dining Philosopher's Problem



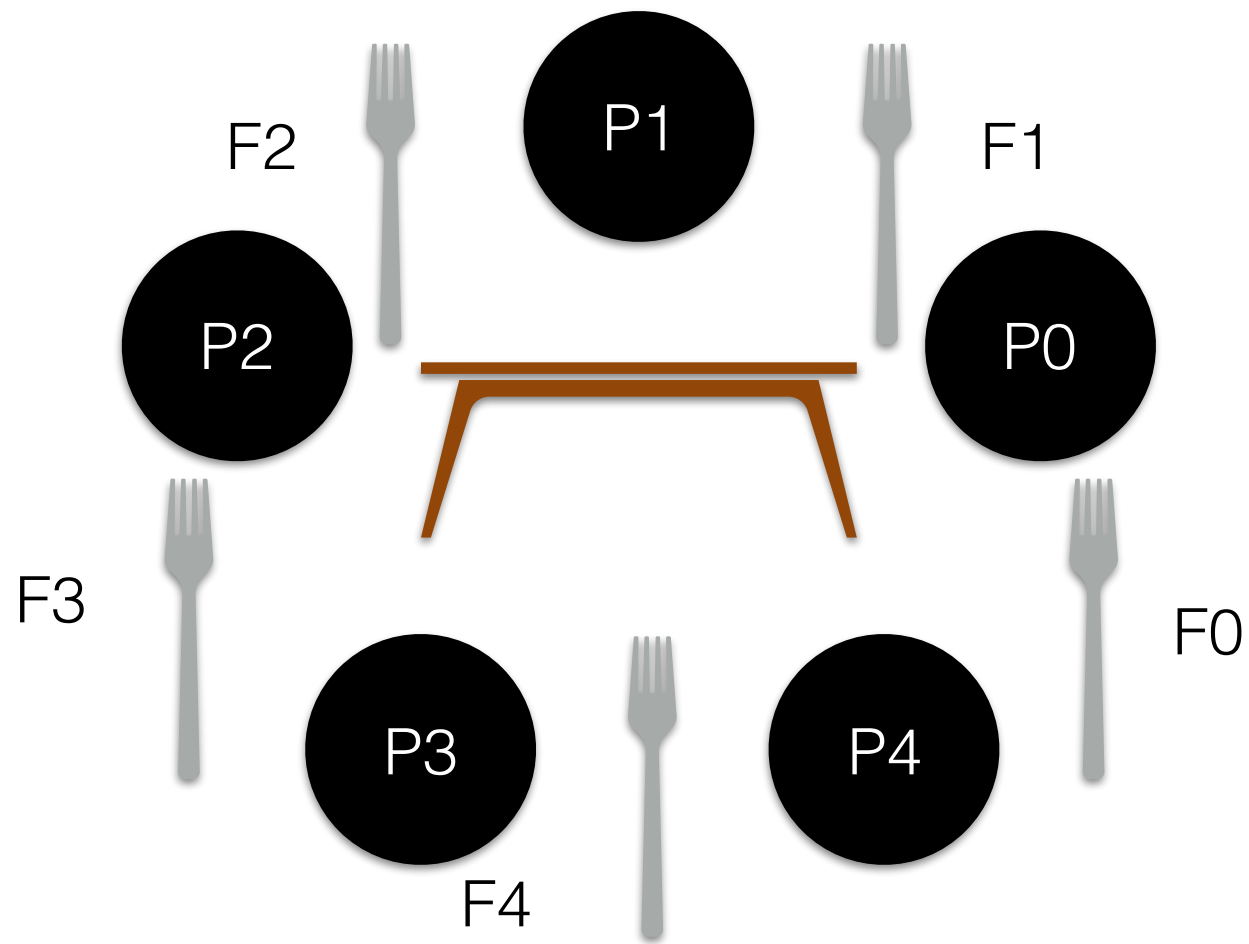
```
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2   sem_wait(forks[left(p)]);  
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4 }
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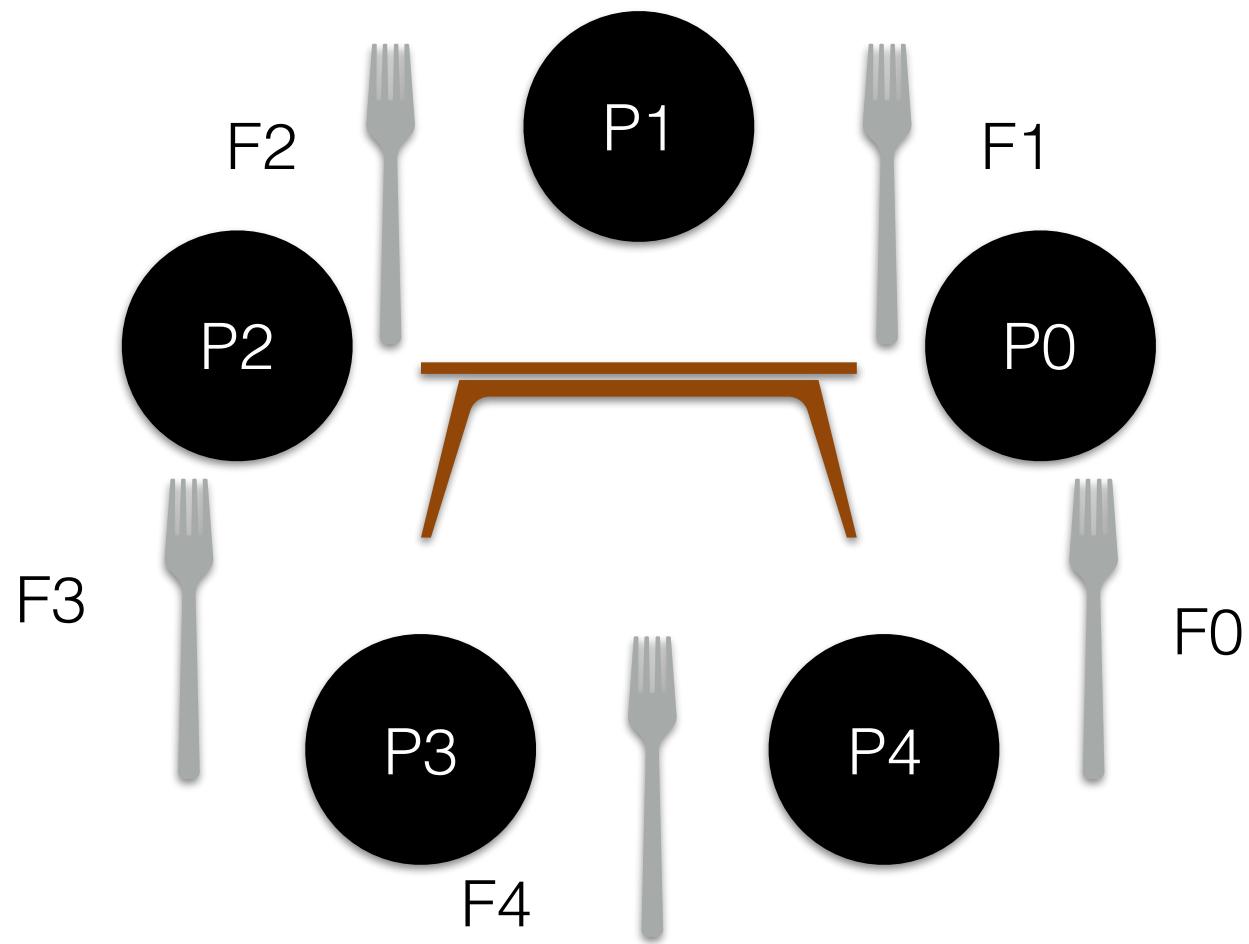
- P0 picks F0; P1 picks F1; ..., P4 picks F4
- Change something in above code to avoid deadlock. Hint: Maybe some philosopher should break the order of picking up forks?



Dining Philosopher's Problem

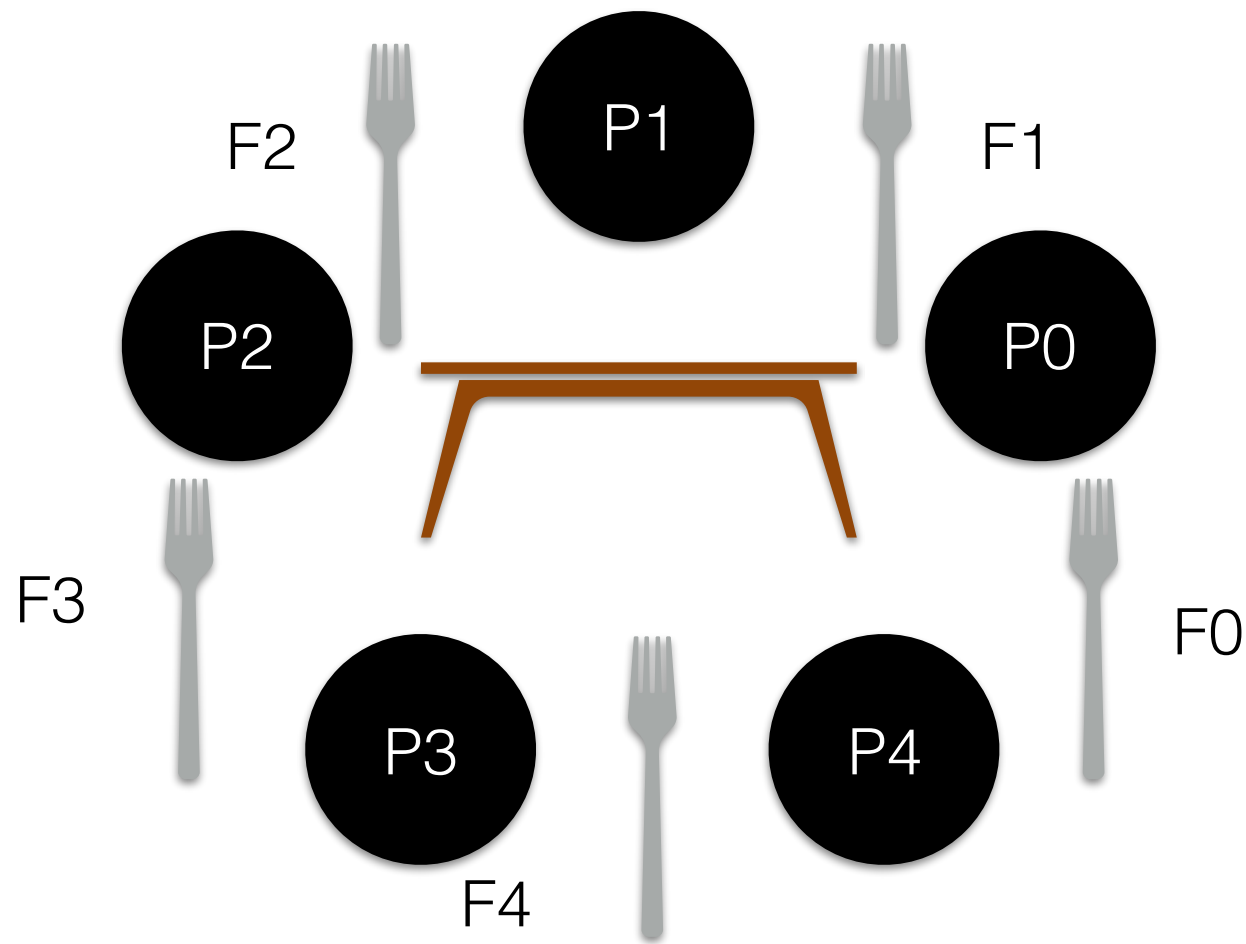


Dining Philosopher's Problem



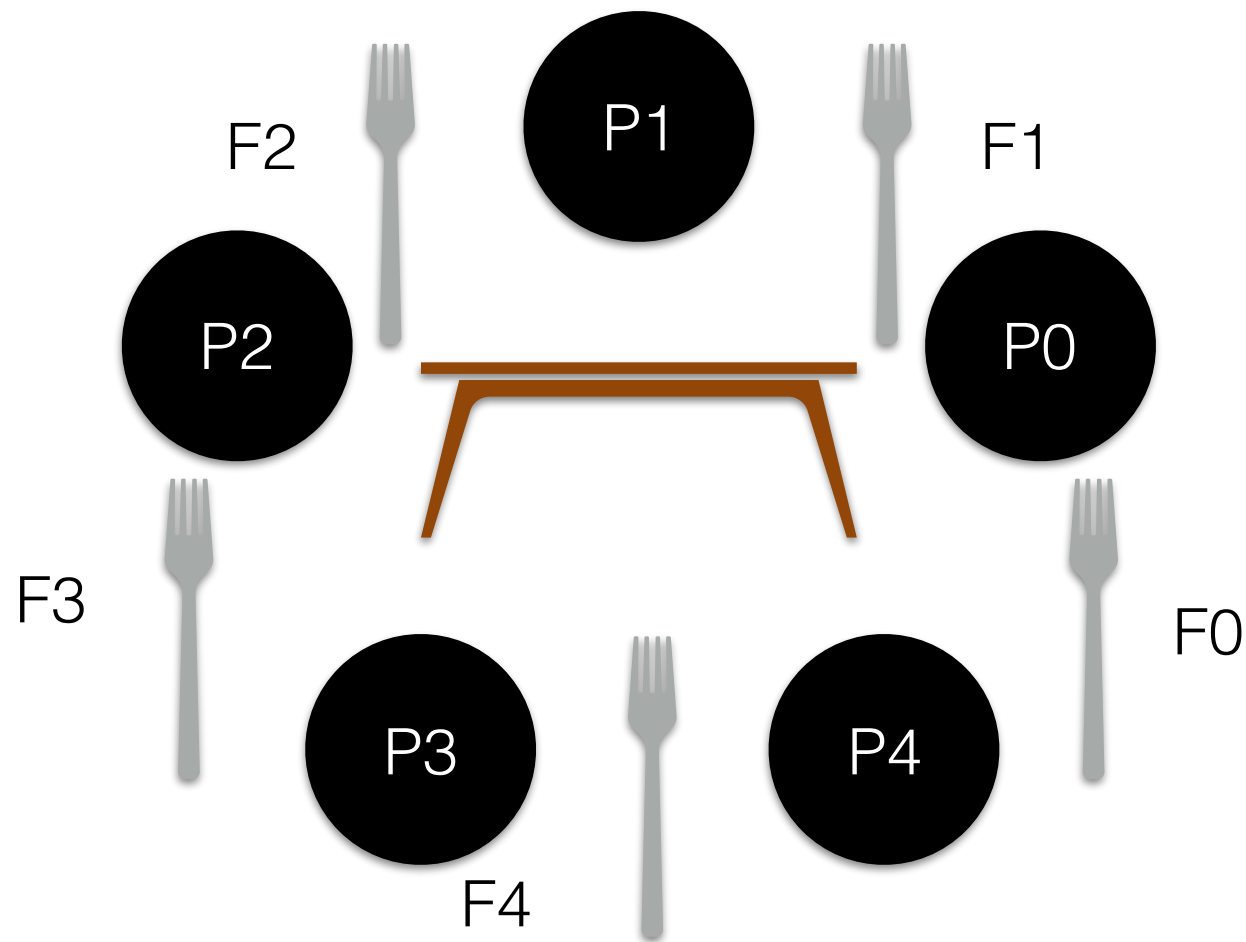
- P0 picks F0; P1 picks F1; ..., P4 picks F4

Dining Philosopher's Problem



- P0 picks F0; P1 picks F1; ..., P4 picks F4
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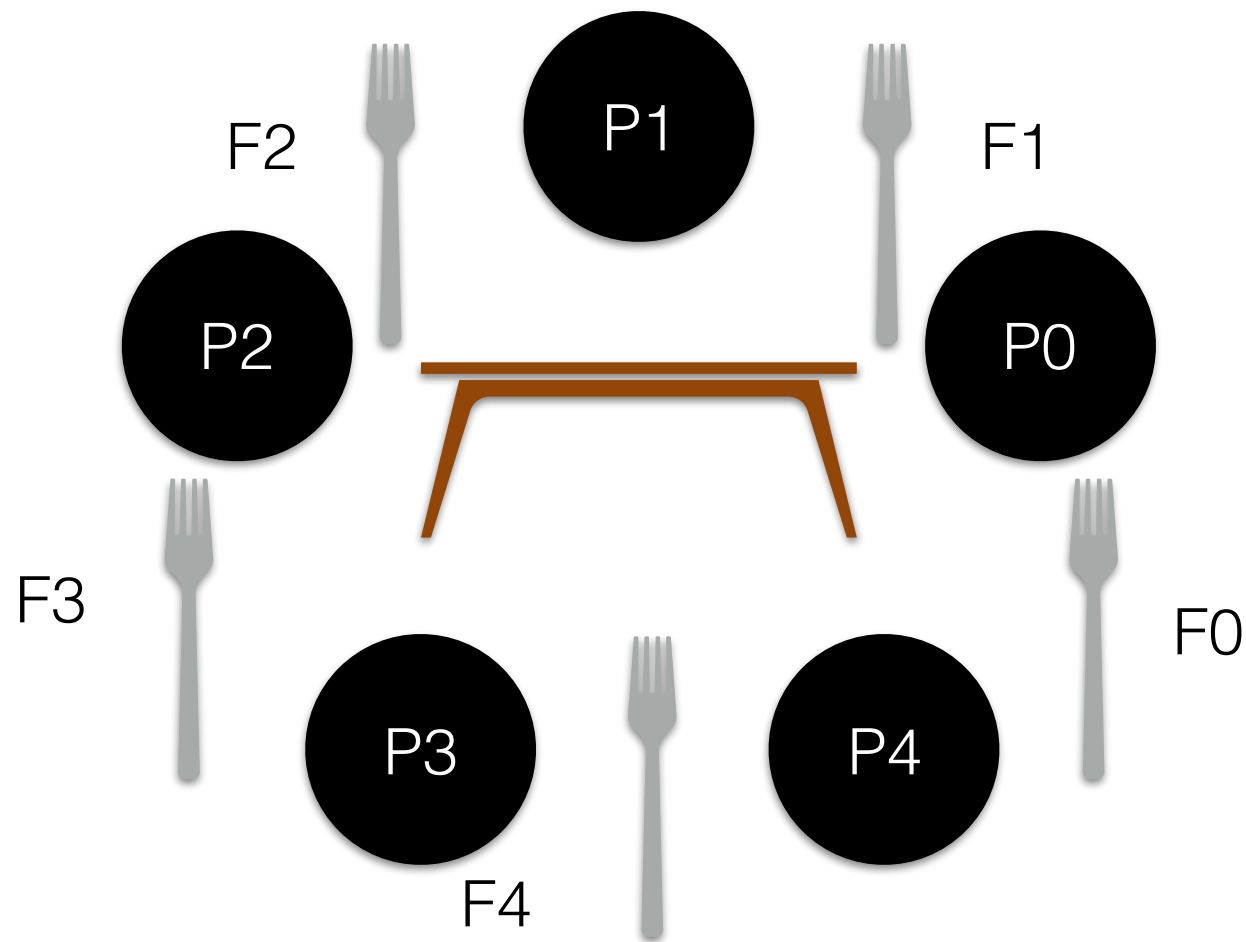
Dining Philosopher's Problem



- If $P == 4$:

- P0 picks F0; P1 picks F1; ..., P4 picks F4
- Change something in above code to avoid deadlock. Hint: Maybe some philosopher should break the order of picking up forks?

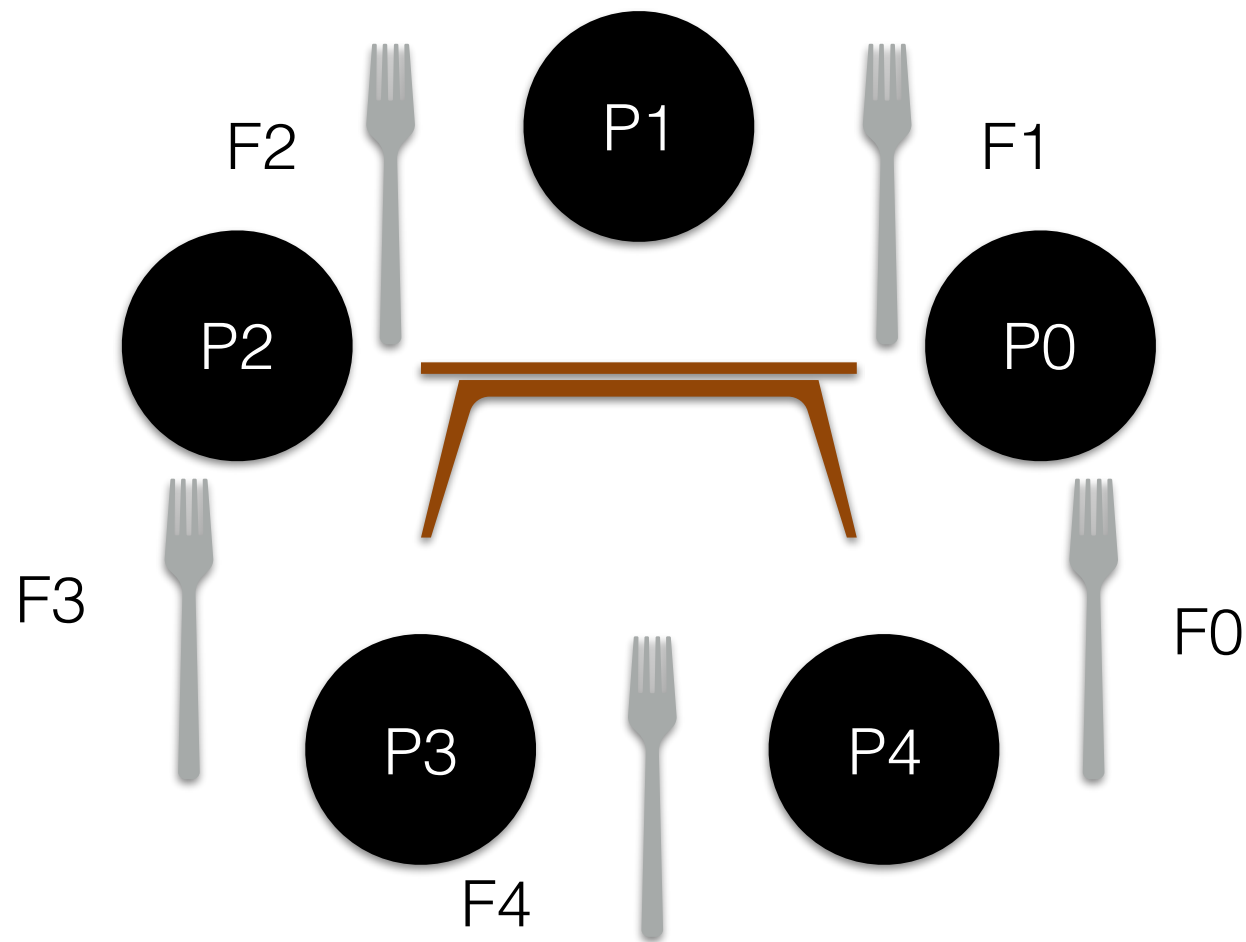
Dining Philosopher's Problem



- If $P == 4$:
 - Wait on Right

- P0 picks F0; P1 picks F1; ..., P4 picks F4
- Change something in above code to avoid deadlock. Hint: Maybe some philosopher should break the order of picking up forks?

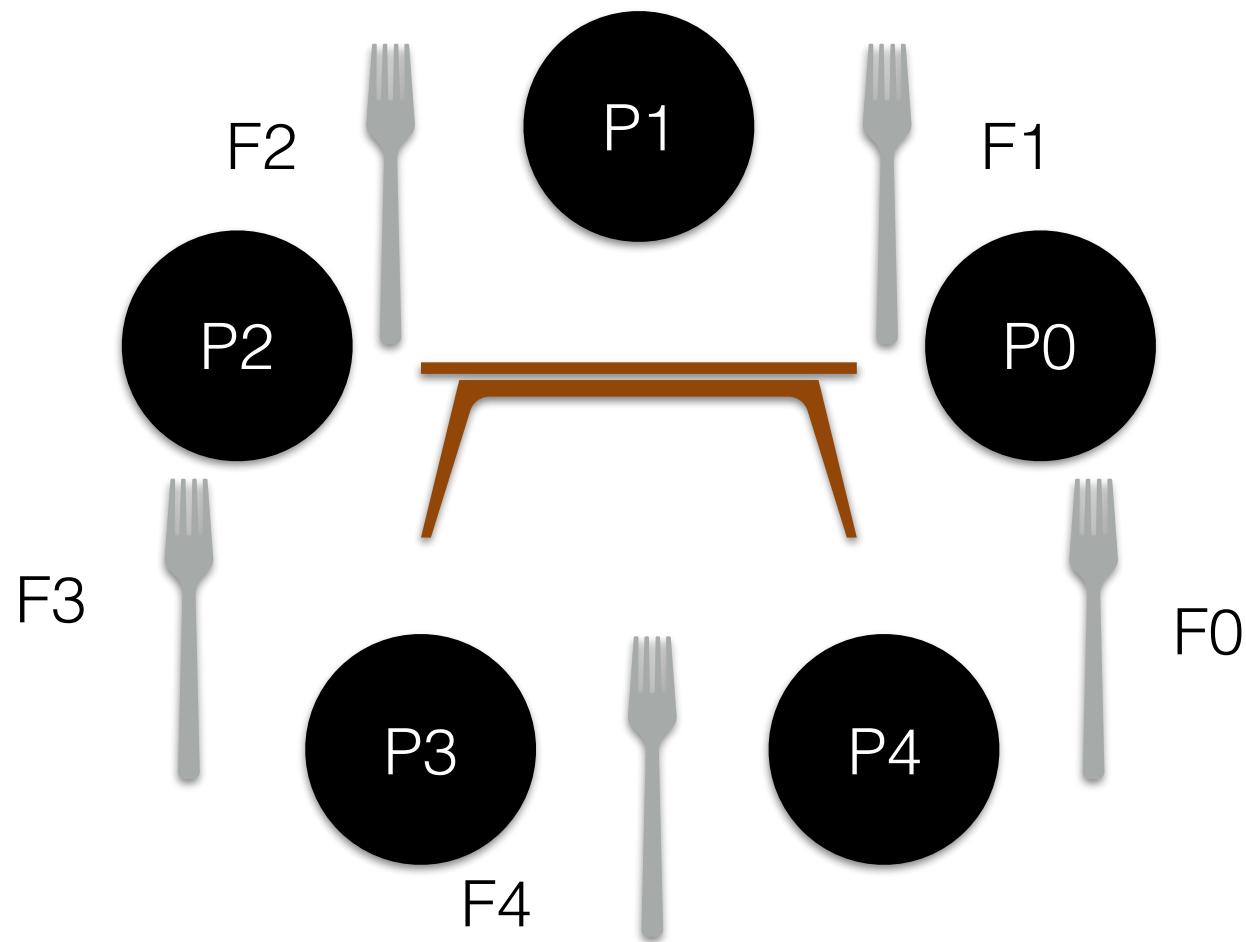
Dining Philosopher's Problem



- If $P == 4$:
 - Wait on Right
 - Want on Left

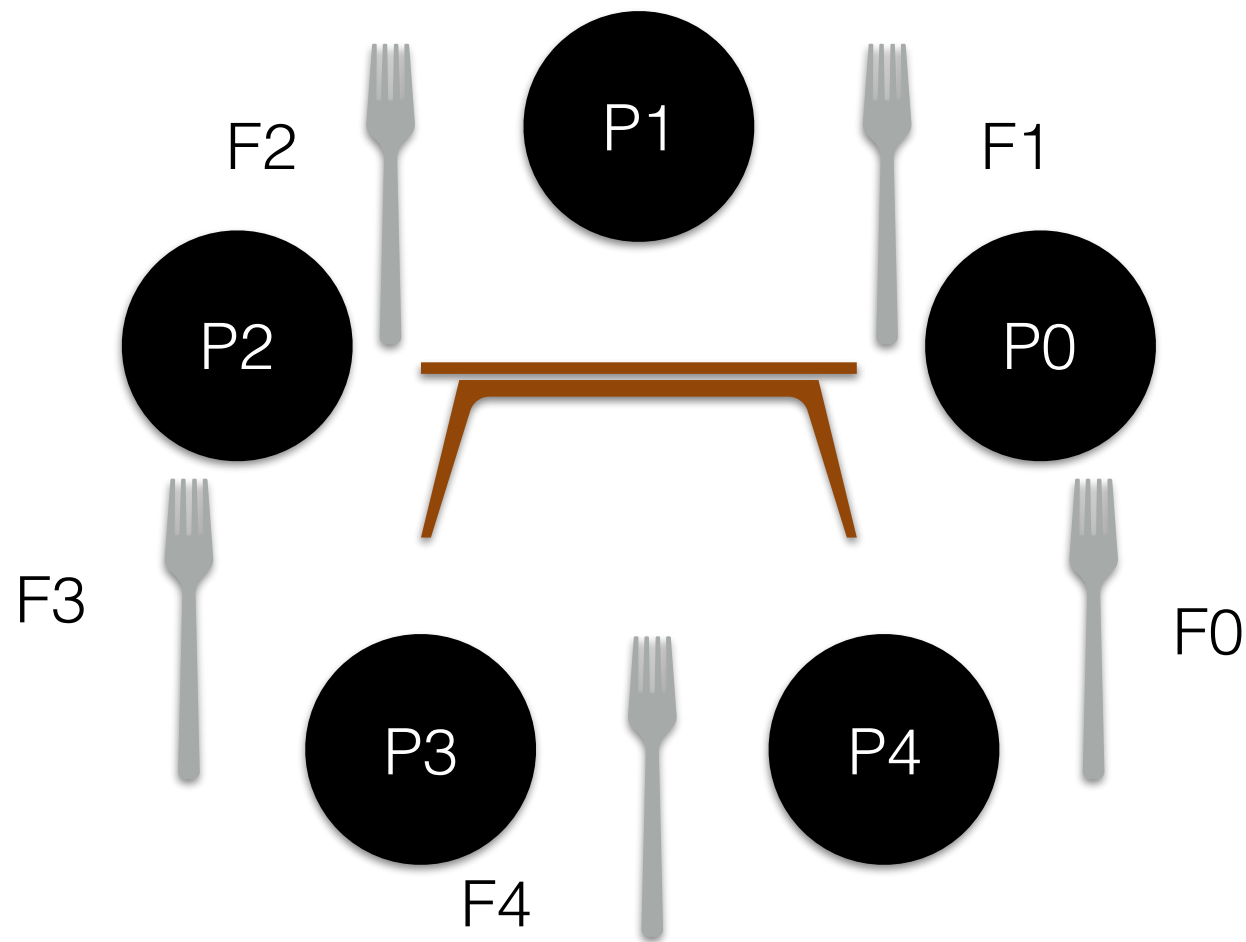
- P0 picks F0; P1 picks F1; ..., P4 picks F4
- Change something in above code to avoid deadlock. Hint: Maybe some philosopher should break the order of picking up forks?

Dining Philosopher's Problem



- If $P == 4$:
 - Wait on Right
 - Want on Left
 - Else:
-
- P0 picks F0; P1 picks F1; ..., P4 picks F4
 - Change something in above code to avoid deadlock. Hint: Maybe some philosopher should break the order of picking up forks?

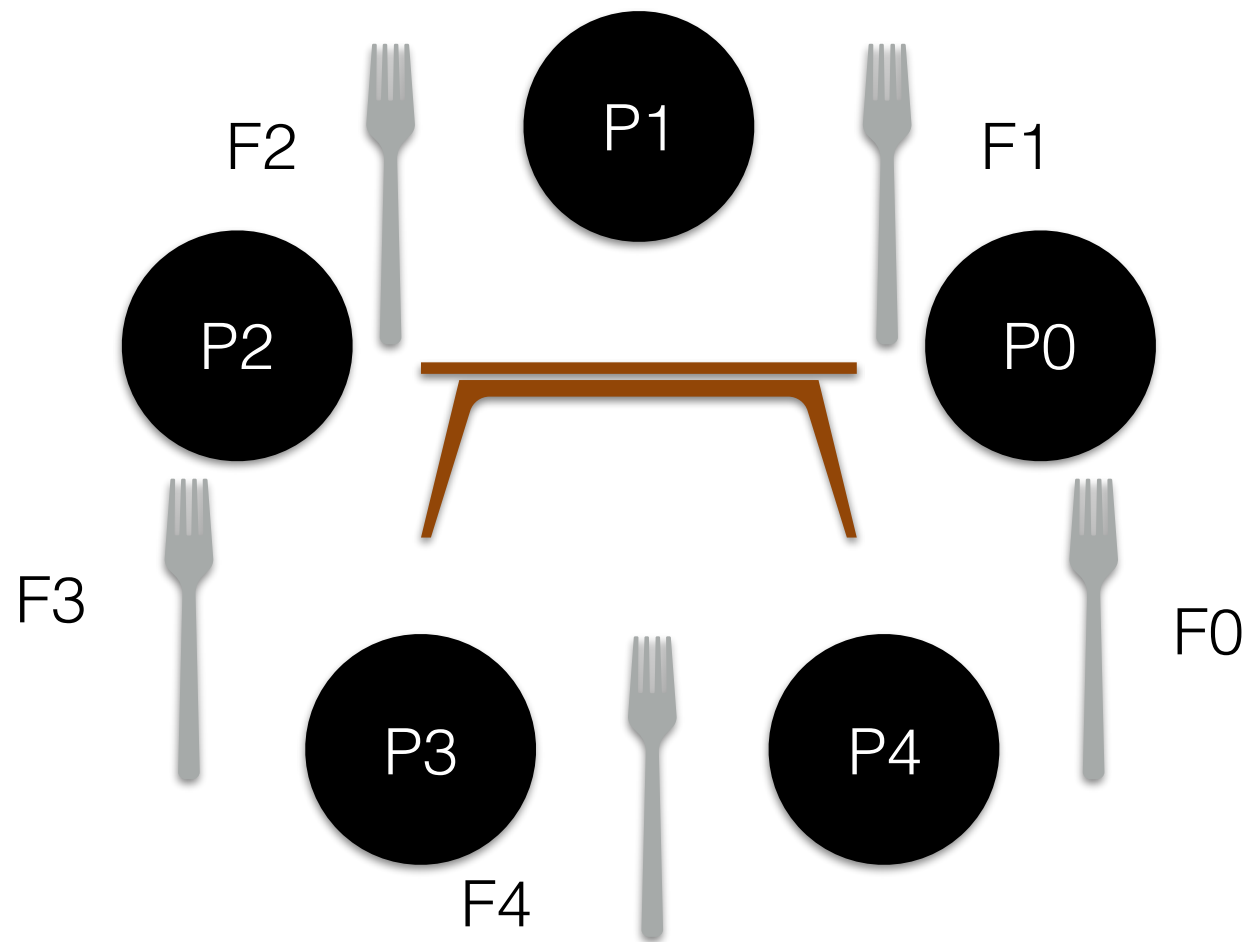
Dining Philosopher's Problem



- If $P == 4$:
 - Wait on Right
 - Wait on Left
- Else:
 - Wait on Left

- P0 picks F0; P1 picks F1; ..., P4 picks F4
- Change something in above code to avoid deadlock. Hint: Maybe some philosopher should break the order of picking up forks?

Dining Philosopher's Problem



- If $P == 4$:
 - Wait on Right
 - Wait on Left
 - Else:
 - Wait on Left
 - Wait on Right
-
- P0 picks F0; P1 picks F1; ..., P4 picks F4
 - Change something in above code to avoid deadlock. Hint: Maybe some philosopher should break the order of picking up forks?

Concurrency Bugs — Deadlock Dependency Graphs

Thread 1

Lock(L1);
Lock(L2);

Thread 2

Lock(L2);
Lock(L1);

