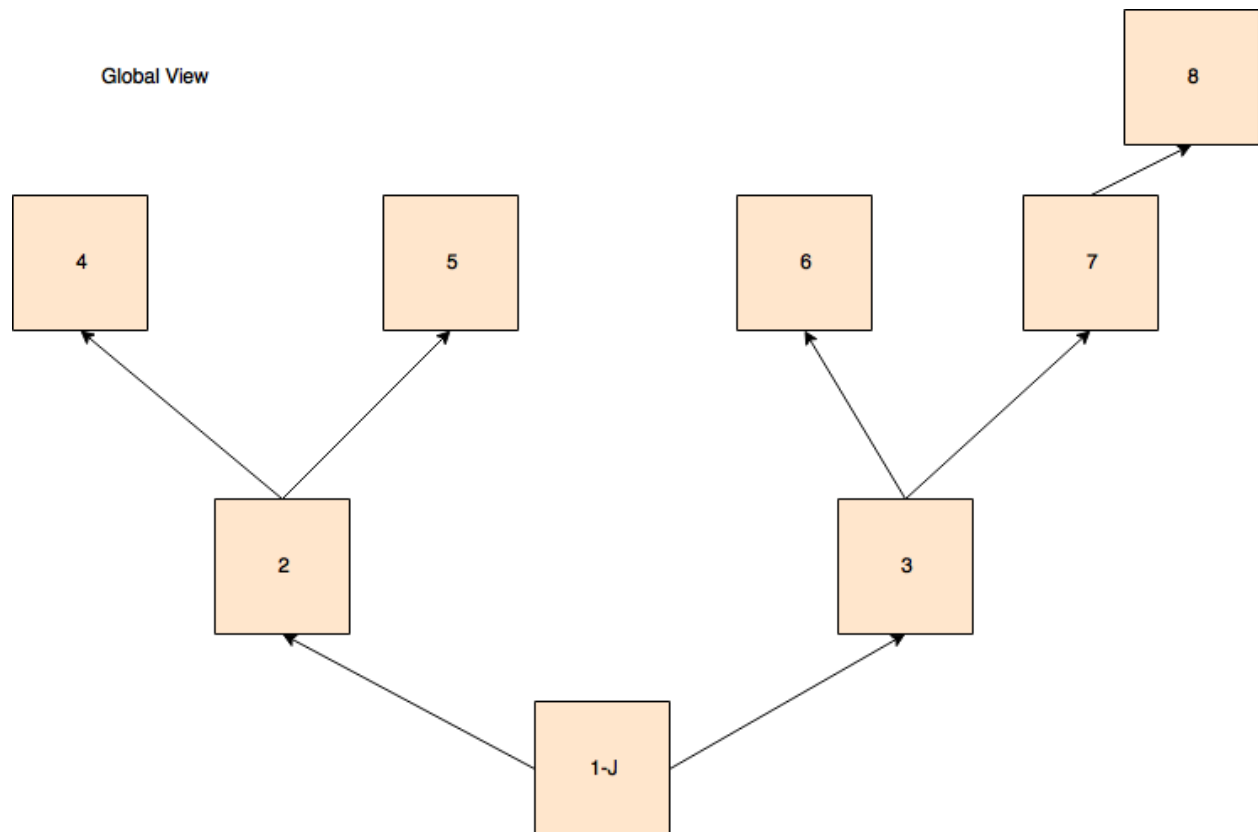


# Premature justification/voting leads to deadlock.

- We can show that premature justification schemes will lead to confirmed deadlock if the forking scheme is given by: vote from highest Semi-Justified Checkpoint.
- If the voting scheme is changed to vote from highest(highest justified checkpoint, highest semi justified checkpoint) there is still a chance that a deadlock might take place.

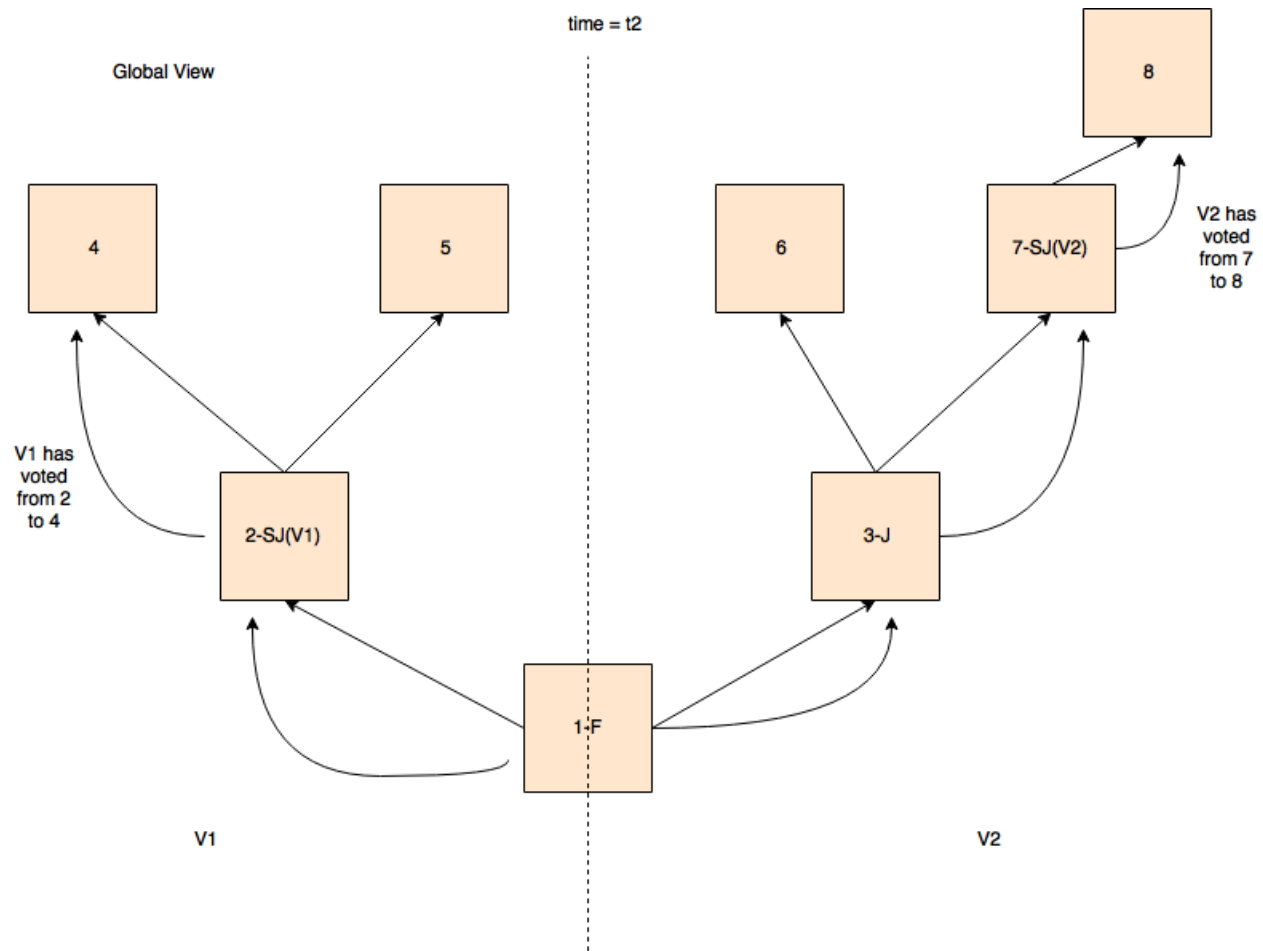
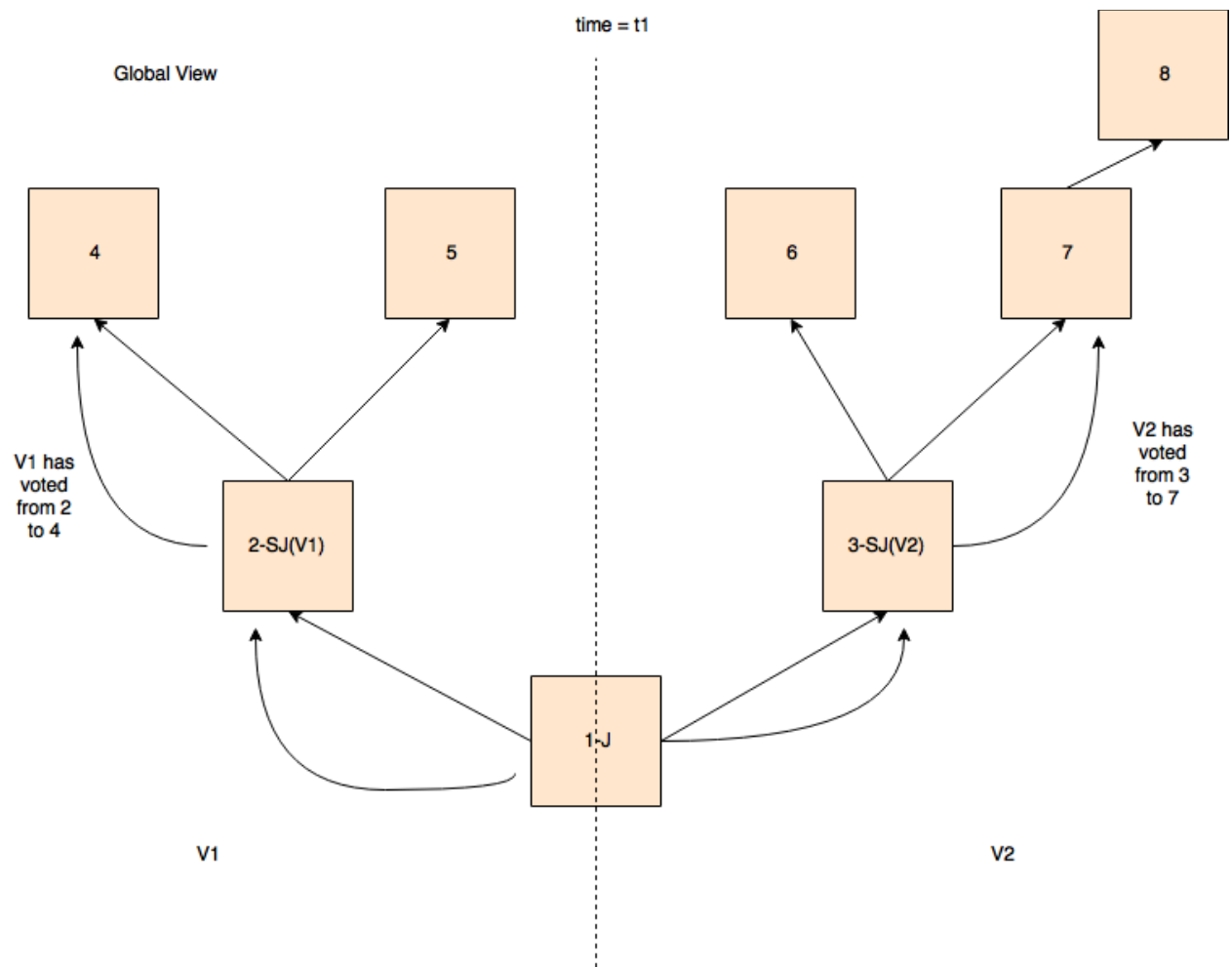


- Let us call a premature justified block as semi-justified block(SJ).

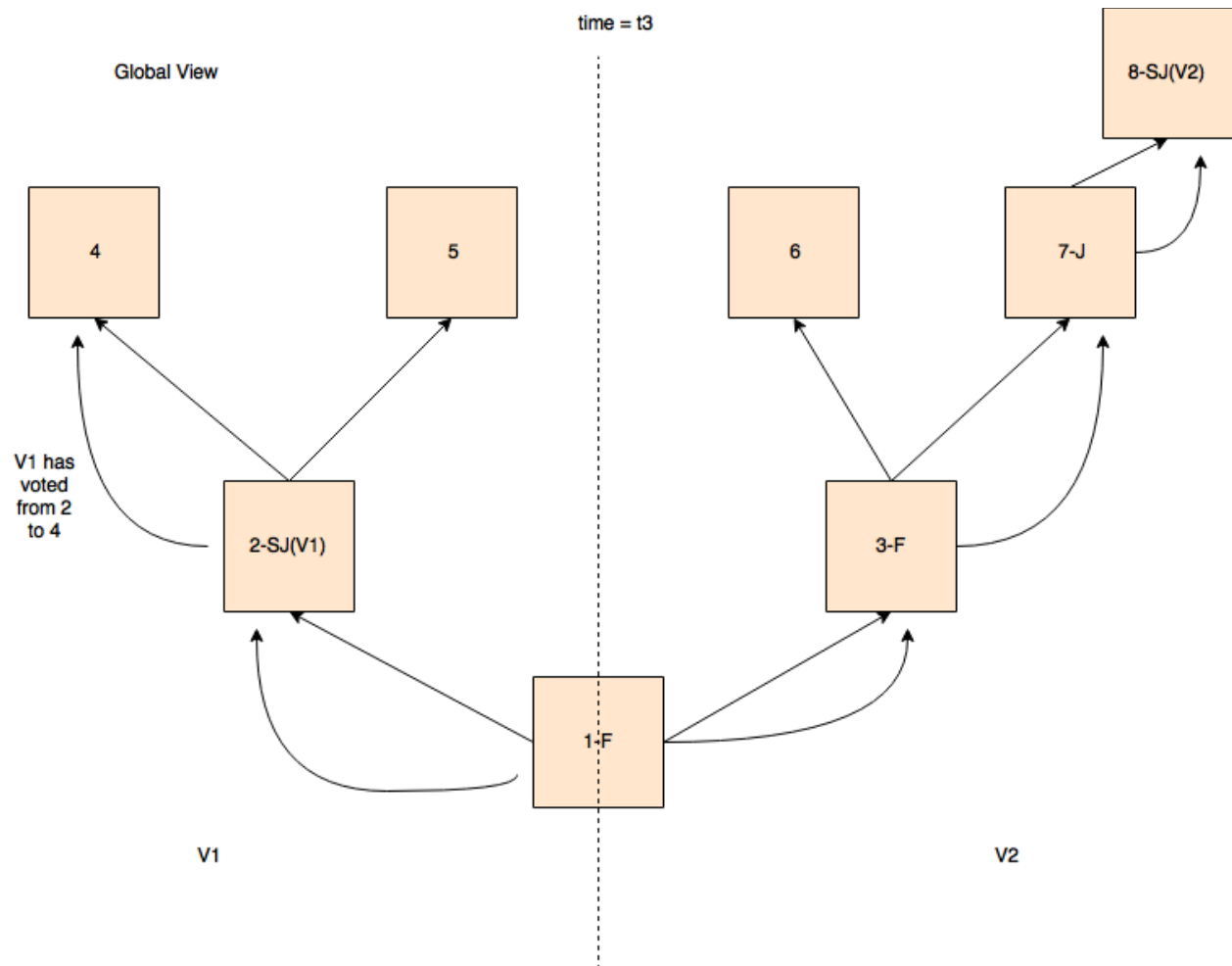
## Vote from highest semi-justified checkpoint

- Consider a diagram bellow.

- This is a global view of all the checkpoints, let checkpoint 1 be Justified at  $t=t_0$ .
- Let us divide validators in two sets, V1 are validators who semi-justify left branch and V2 are validators who semi-justify right branch(shown in figure below).
- Fraction of V1 =  $p > 0$
- Fraction of V2 =  $1-p$
- $p < 1/2$  w.l.o.g.



- At time = t2, Checkpoint 3 has been justified as shown in the diagram below.
- And checkpoint 7 has been semi justified by V2.
- Eventually at t= t3, checkpoint 3 gets finalized and checkpoint 7 gets justified.



- Now V1 cannot cast a valid vote from its branch as its branch has been left useless by finalization of checkpoint 3.
- Thus the validator set V1 is rendered handicapped from now on and the useful validator set is V2 and its fraction is  $1-p$ .
- Over  $n$  such events the fraction of useful sets will be  $(1-p)^n$ . Which goes to 0 even if  $p$  is very small.
- **Thus this forking scheme will lead to confirmed deadlock**
- Let us move on to forking scheme 2.

## Vote from highest(highest justified checkpoint, highest semi-justified checkpoint)

- In this case at time= $t_2$ , V1 can shift its voting source from 2 to 3 as both highest justified checkpoint and highest semi-justified checkpoint have the same height. Now V1 can start voting from the right branch and there is no deadlock.
- However, this shift is possible only if there is a valid justification in either of the branches. There is a possibility that there is no justification in either of the branches at height of height(checkpoint 2).
- This can happen if:  $p > 1 - SM$
- Where SM is supermajority.
- Thus if  $p > 1 - SM$  a permanent fork will occur and neither of the branches will be able to justify any checkpoint in its branch  $\Rightarrow$  Deadlock.
- **Thus a confirmed deadlock if  $p > 1 - SM$**
- This bound may not be strict.