

(a) Let us root the tree at an arbitrary node  $r$ , and define subtrees  $T_u$  as we have done in Chapter 10.2 when solving the Weighted Independent Set Problem. There we defined two subproblems corresponding to each subtree depending on whether or not we include the root  $u$  in the set. We will use the same subproblems:  $OPT_{in}(u)$  denotes the maximum weight of an independent set of  $T_u$  that includes  $u$ , and  $OPT_{out}(u)$  denotes the maximum weight of an independent set of  $T_u$  that does not include  $u$ . Now the optimum we are looking for is  $\min(OPT_{in}(r), OPT_{out}(r))$ . It helps us to define a third subproblem for each subtree:  $OPT_{un}(u)$  denotes the maximum weight of an independent set of  $T_u$  that does not have to dominate  $u$ . When  $u$ 's parent is included in the dominating set,  $u$  is already dominated by its parent, hence the set selected in the subtree  $T_u$  does not have to dominate  $u$ .

Now that we have our sub-problems, it is not hard to see how to compute these values recursively. For a leaf  $u \neq r$  we have that  $OPT_{out}(u) = \infty$ ,  $OPT_{in}(u) = c(u)$ , and  $OPT_{un}(u) = 0$ . For all other nodes  $u$  we get a recurrence that defines  $OPT_{out}(u)$ ,  $OPT_{in}(u)$ , and  $OPT_{un}(u)$  using the values for  $u$ 's children.

(1) For a node  $u$ , the following recurrence defines the values of the sub-problems:

- $OPT_{in}(u) = c(u) + \sum_{v \in \text{children}(u)} OPT_{un}(v)$
- $OPT_{un}(u) = \sum_{v \in \text{children}(u)} \min(OPT_{in}(v), OPT_{out}(v))$
- $OPT_{out}(u) = \min_{v \in \text{children}(u)} (OPT_{in}(v) + \sum_{w \in \text{children}(u), w \neq v} \min(OPT_{out}(v), OPT_{in}(v)))$ .

Using this recurrence, we get a dynamic programming algorithm by building up the optimal solutions over larger and larger sub-trees. We define arrays  $Mo[u]$ ,  $Mi[u]$  and  $Mu[u]$ , which hold the values  $OPT_{out}(u)$ ,  $OPT_{in}(u)$  and  $OPT_{un}(u)$  respectively. For building up solutions, we need to process all the children of a node before we process the node itself.

To find a minimum-weight dominating set of a tree  $T$ :

Root the tree at a node  $r$ .

For all nodes  $u$  of  $T$  in post-order

  If  $u$  is a leaf then set the values:

$$\begin{aligned} Mo[u] &= \infty \\ Mi[u] &= c(u) \\ Mu[u] &= 0 \end{aligned}$$

  Else set the values:

$$\begin{aligned} Mi[u] &= c(u) + \sum_{v \in \text{children}(u)} Mu[v]. \\ Mu[u] &= \sum_{v \in \text{children}(u)} \min(Mi[v], Mo[v]). \end{aligned}$$

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$$Mo[u] = \min_{v \in \text{children}(u)} Mi[v] + \sum_{w \in \text{children}(u), w \neq v} \min(Mo[v], Mi[v])$$

Endif  
Endfor  
Return  $\max(Mo[r], Mi[r])$ .

The algorithms clearly runs in polynomial time, as there are  $3n$  subproblems for an  $n$  node tree, and each value associated with a subproblem of  $u$  of degree  $n_u$  can be determined in  $O(n_u)$  time. So the total time is  $O(\sum_u n_u) = O(n)$ .

(b) We extend the algorithm for the case of bounded tree-width by having subproblems associated with the nodes of the tree-decomposition. For each node  $t$  of the tree-decomposition, let  $V_t$  be the subset of nodes corresponding to tree-node  $t$ ,  $T_t$  the subtree rooted at  $t$ , and  $G_t$  the corresponding subgraph. Now for each disjoint sets  $U, W \subset V_t$  we will define a subproblem and have  $OPT(t, U, W)$  the minimum weight of a set in  $G_t$  that contains exactly the nodes  $U$  in  $V_t$  and covers all nodes of  $G_t$  except possibly not dominating the a subset of  $W$ . Recall that in the case of a tree, the recurrence for  $OPT_{out}(u)$  was a little awkward, as we needed to select a child of  $u$  that is covering  $u$ . Here we would need to do this for each node in  $V_t - (U \cup W)$ . To make this simpler to write, we will define more subproblems. Let  $t$  be a node of the tree decomposition, and let  $t_1, \dots, t_d$  be its children, then we define subproblems  $OPT(t, i, U, W)$  for each  $0 \leq i \leq d$  to be the minimum weight of a set in the graph corresponding to the union of subtrees  $T_{t_1}, \dots, T_{t_i}$  and the set  $V_t$  that contains exactly the nodes  $U$  in  $V_t$  and dominates all nodes of this subgraph except possibly not dominating the a subset of  $W$ .

So if  $d_r$  is the degree of the root, then the optimum value we are looking for is  $\min_{U \subset V_r} OPT(r, d_r, U, \emptyset)$ . For any node  $t$  we have  $OPT(t, 0, U, W) = \sum_{u \in U} c(u)$  if  $U$  dominates the nodes  $V_t - W$ , and  $\infty$  otherwise. This defines the values at the leafs.

Given the subproblems, we will get the value at a node  $t$  using the values for smaller  $i$ , and the values at the children of  $t$  as follows. For a set  $U$  we will use the notation  $c(U) = \sum_{u \in U} c(u)$ , and  $\delta(U)$  is the set dominated by  $U$ . Let  $t$  be a node of the tree decomposition and  $t_1, \dots, t_d$  its children, let  $n_i$  be the degree of child  $i$ .

(2) The value of  $OPT(t, i, U, W)$  for  $i \geq 1$  is given by the following recurrence:

$$\begin{aligned} OPT(t, i, U, W) = & c(U) + \min_{U_i \subseteq V_{t_i}: U_i \cap V_t = U \cap V_{t_i}} (OPT(t, i-1, U, W \cup \delta(U_i)) \\ & + OPT(t_i, n_i, U_i, (\delta(U) \cup W) \cap V_{t_i}) - c(U \cap V_{t_i})) \end{aligned}$$

For a tree-decomposition of width  $k$  there are  $3^{k+1}$  subproblems associated with a  $(t, i)$  pair, and there are  $n$  such pairs if the tree is of size  $n$ . Computing each value takes only  $O(1)$  time, so the total time required is  $O(3^k n)$ .