

# Anomalous symmetries of simple operator algebras

Sergio Girón Pacheco

University of Oxford

- 1 v.N algebraic background (anomalous symmetries of  $\mathcal{R}$ )
- 2  $C^*$ -results (joint work with Sam Evington)

- 1 v.N algebraic background (anomalous symmetries of  $\mathcal{R}$ )
- 2  $C^*$ -results (joint work with Sam Evington)

$$\mathcal{R} = \overline{\bigotimes_{i \in \mathbb{N}} (M_{n_i}(\mathbb{C}), \text{tr})}$$

## Classical symmetries.

- Let  $G$  be a countable discrete group then  $G$  acts by outer automorphisms on  $\mathcal{R}$  via bernoulli shifts  $G \curvearrowright \otimes_G \mathcal{R} \cong \mathcal{R}$ .
- Also for any finite dimensional representation  $\rho : G \curvearrowright \mathbb{C}^n$  consider

$$\text{Ad}(\rho)^{\otimes \infty} : G \curvearrowright \mathcal{R}.$$

## Definition

Let  $\phi, \psi \in \text{Aut}(\mathcal{R})$ . We say they are **conjugate** if there exists  $\theta \in \text{Aut}(\mathcal{R})$  s.t.  $\theta\phi\theta^{-1} = \psi$ . We say they are **outer conjugate** if there exists  $\theta \in \text{Out}(\mathcal{R}) \cong \text{Aut}(\mathcal{R})/\text{Inn}(\mathcal{R})$  s.t.  $\theta\bar{\phi}\theta^{-1} = \bar{\psi}$ .

## Definition

Let  $\phi, \psi \in \text{Aut}(\mathcal{R})$ . We say they are **conjugate** if there exists  $\theta \in \text{Aut}(\mathcal{R})$  s.t.  $\theta\phi\theta^{-1} = \psi$ . We say they are **outer conjugate** if there exists  $\theta \in \text{Out}(\mathcal{R}) \cong \text{Aut}(\mathcal{R})/\text{Inn}(\mathcal{R})$  s.t.  $\theta\bar{\phi}\theta^{-1} = \bar{\psi}$ .

Connes classified automorphisms  $\phi$  of  $\mathcal{R}$  up to outer conjugacy.

The invariant being:

- The order  $n \in \mathbb{N} \cup \{\infty\}$  of  $\bar{\phi}$  in  $\text{Out}(\mathcal{R})$ .
- If  $\phi^n = \text{Ad}(u)$ , the  $n^{\text{th}}$  root of unity  $\omega$  such that  $\phi(u) = \omega u$ .

The  $n^{th}$  root of unity

it follows that

$$\phi^{n+1} = \phi\phi^n = \phi\text{Ad}(u) = \text{Ad}(\phi(u))\phi$$

and

$$\phi^{n+1} = \phi^n\phi = \text{Ad}(u)\phi$$

So  $\phi(u) = \omega u$  for some  $\omega$  in  $Z(\mathcal{R}) = \mathbb{C}$ . Moreover,  $\omega$  is an  $n^{th}$  root of unity.

## Definition

Let  $\phi, \psi \in \text{Aut}(\mathcal{R})$ . We say they are **conjugate** if there exists  $\theta \in \text{Aut}(\mathcal{R})$  s.t.  $\theta\phi\theta^{-1} = \psi$ . We say they are **outer conjugate** if there exists  $\theta \in \text{Out}(\mathcal{R}) \cong \text{Aut}(\mathcal{R})/\text{Inn}(\mathcal{R})$  s.t.  $\theta\bar{\phi}\theta^{-1} = \bar{\psi}$ .

Connes classified automorphisms  $\phi$  of  $\mathcal{R}$  up to outer conjugacy.

The invariant being:

- The order  $n \in \mathbb{N} \cup \{\infty\}$  of  $\bar{\phi}$  in  $\text{Out}(\mathcal{R})$ .
- If  $\phi^n = \text{Ad}(u)$ , the  $n^{\text{th}}$  root of unity  $\omega$  such that  $\phi(u) = \omega u$ .

**Connes constructs model**  $(n, \omega)$  **automorphisms**.

## How Connes constructs these:

For each  $(n, \omega)$  Connes uses  $n \times n$  “clock and shift” matrices to build a sequence of inner automorphisms  $\alpha_k^{(n, \omega)}$  on  $\mathcal{R}$  which is pointwise eventually constant on  $\bigodot_{i \in \mathbb{N}} M_n$ . So it converges pointwise to an automorphism  $s_n^\omega$  on  $\mathcal{R}$ . The sequence is made such that  $(s_n^\omega)^n = \text{Ad}(u_\omega)$  and  $s_n^\omega(u_\omega) = \omega u_\omega$ .

How Connes constructs these:

For each  $(n, \omega)$  Connes uses  $n \times n$  “clock and shift” matrices to builds a sequence of inner automorphisms  $\alpha_k^{(n, \omega)}$  on  $\mathcal{R}$  which is pointwise eventually constant on  $\bigodot_{i \in \mathbb{N}} M_n$ . So it converges pointwise to an automorphism  $s_n^\omega$  on  $\mathcal{R}$ . The sequence is made such that  $(s_n^\omega)^n = \text{Ad}(u_\omega)$  and  $s_n^\omega(u_\omega) = \omega u_\omega$ .

Connes construction also builds  $(n, \omega)$  automorphisms on  $M_{n\infty} = \overline{\bigotimes M_n}^{\|\cdot\|}$ .

## Definition

A  **$G$ -kernel** on a unital \* algebra  $A$  is a homomorphism  
 $\bar{\theta} : G \rightarrow \text{Out}(A)$ .

## Definition

A  **$G$ -kernel** on a unital \* algebra  $A$  is a homomorphism  
 $\bar{\theta} : G \rightarrow \text{Out}(A)$ .

## The associated class in $H^3$

Let  $\bar{\theta}$  be a  $G$ -kernel on  $A$  then picking lifts  $\theta_g$  for each  $g \in G$  one has unitaries s.t.  $\text{Ad}(u_{g,h})\theta_g\theta_h = \theta_{gh}$ :

$$\theta_{g(hk)} = \text{Ad}(u_{g,hk}\theta_g(u_{h,k}))\theta_g\theta_h\theta_k$$

$$\theta_{(gh)k} = \text{Ad}(u_{gh,k}u_{g,h})\theta_g\theta_h\theta_k$$

So there exists  $\omega(g, h, k) \in Z(U(A)) = \mathbb{C}$  such that  $\omega(g, h, k)$  is the multiplicative difference between these two unitaries.

## Definition

A  **$G$ -kernel** on a unital \* algebra  $A$  is a homomorphism  
 $\bar{\theta} : G \rightarrow \text{Out}(A)$ .

## The associated class in $H^3$

One can show that  $\omega \in Z^3(G, \mathbb{T})$  and that  $[\omega] \in H^3(G, \mathbb{T})$  is independent of choice.

The associated class in  $H^3$

One can show that  $\omega \in Z^3(G, \mathbb{T})$  and that  $[\omega] \in H^3(G, \mathbb{T})$  is independent of choice.

We are interested in factors or simple We call a lift  $\{u, \theta\}$  of a  $G$ -kernel with associated 3-cocycle  $\omega \in Z^3(G, \mathbb{T})$  an  **$\omega$ -anomalous action**.

The associated class in  $H^3$

One can show that  $\omega \in Z^3(G, \mathbb{T})$  and that  $[\omega] \in H^3(G, \mathbb{T})$  is independent of choice.

$$H^3(\mathbb{Z}/n\mathbb{Z}, \mathbb{T}) \cong \mathbb{Z}/n\mathbb{Z}.$$

Explicit representatives are given in terms of the  $n^{th}$  roots of unity.

The associated class in  $H^3$

One can show that  $\omega \in Z^3(G, \mathbb{T})$  and that  $[\omega] \in H^3(G, \mathbb{T})$  is independent of choice.

$$H^3(\mathbb{Z}/n\mathbb{Z}, \mathbb{T}) \cong \mathbb{Z}/n\mathbb{Z}.$$

Explicit representatives are given in terms of the  $n^{th}$  roots of unity.

As part of Jones classification of group actions he shows the existence of  $G$  kernels for any countable discrete group  $G$  and  $\omega \in H^3(G, \mathbb{T})$  on  $\mathcal{R}$ . He classifies injective  $G$ -kernels for  $G$  finite up to outer conjugacy by their class in  $H^3$ .

How does the existence of  $\omega$ -anomalous actions translate in the case of  $C^*$ -analogues of  $\mathcal{R}$ ? There is nothing like the "trivial action" for non-trivial  $\omega$ .

- 1 v.N algebraic background (anomalous symmetries of  $\mathcal{R}$ )
- 2  $C^*$ -results (joint work with Sam Evington)

# What $C^*$ -algebras are we interested in?

We are interested in the existence of  $\omega$ -anomalous actions on a particularly nice class of  $C^*$ -algebras which can be classified by  $K$ -theoretic and tracial data. We will call these “classifiable”.

We are interested in the existence of  $\omega$ -anomalous actions on a particularly nice class of  $C^*$ -algebras which can be classified by  $K$ -theoretic and tracial data. We will call these “classifiable”.

## The Jiang-Su algebra $\mathcal{Z}$

- simple, infinite dimensional and has no non-trivial projections.
- The  $K$  theory is the same as that of the complex numbers.  
 $(K_0(\mathcal{Z}), K_0(\mathcal{Z})^+, [1_{\mathcal{Z}}]) = (\mathbb{Z}, \mathbb{Z}^+, 1)$ ,  $K_1(\mathcal{Z}) = 0$ .
- has a unique trace.
- $\mathcal{Z} \cong \mathcal{Z} \otimes \mathcal{Z}$  in a particularly nice way. (in particular  $\mathcal{Z} \cong \otimes_{n=1}^{\infty} \mathcal{Z}$ ).

# What $C^*$ -algebras are we interested in?

We are interested in the existence of  $\omega$ -anomalous actions on a particularly nice class of  $C^*$ -algebras which can be classified by  $K$ -theoretic and tracial data. We will call these “classifiable”.

## Note

“Classifiable”  $C^*$ -algebras are  $\mathcal{Z}$ -absorbing ( $A \otimes \mathcal{Z} \cong A$ ). **Any countable discrete group acts through outer automorphisms on any classifiable  $C^*$ -algebra.**

## Theorem 1 (Evington-G)

*Let  $G$  be a group. Suppose there exists an  $\omega$ -anomalous  $G$  action on  $\mathcal{Z}$ . Then  $[\omega] = 0$  in  $H^3(G, \mathbb{T})$ .*

## Theorem 1 (Evington-G)

Let  $G$  be a group. Suppose there exists an  $\omega$ -anomalous  $G$  action on  $\mathcal{Z}$ . Then  $[\omega] = 0$  in  $H^3(G, \mathbb{T})$ .

## Theorem 2 (Evington-G)

Let  $G$  be a group. Suppose there is an  $\omega$ -anomalous  $G$  action on the the UHF algebra  $\bigotimes_{k \in \mathbb{N}} M_{n_k}$ . Suppose  $\omega$  has finite order, let  $r$  be the order of  $[\omega]$  in  $H^3(G, \mathbb{T})$ . Then  $r$  divides  $|G|$  and  $r^\infty$  divides  $\prod_{k \in \mathbb{N}} n_k$ .

# Algebraic $K_1$ obstruction

The obstructions arises from the unitary algebraic  $K_1$  group which is defined as

$$K_1^{\text{alg}}(A) = \frac{U_\infty(A)}{[U_\infty(A), U_\infty(A)]}.$$

This is a finer equivalence relation than homotopy i.e. we always have a surjection

$$K_1^{\text{alg}}(A) \twoheadrightarrow K_1(A)$$

$$K_1^{\text{alg}}(\mathbb{C})$$

$K_1^{\text{alg}}(\mathbb{C}) \cong \mathbb{T}$  and the isomorphism is induced by the determinant  $\det : U_\infty(\mathbb{C}) \rightarrow \mathbb{T}$  whose kernel is  $[U_\infty(\mathbb{C}), U_\infty(\mathbb{C})]$ .

# Algebraic $K_1$ obstruction

This can be calculated for “classifiable”  $C^*$ -algebras through the Skandalis de la Harpe determinant. For example

$$K_1^{\text{alg}}(\mathcal{Z}) \cong \mathbb{R}/\mathbb{Z}$$
$$[e^{2\pi i h}] \xrightarrow{\Delta_{\mathcal{Z}}} \tau(h) + \mathbb{Z}$$

$$K_1^{\text{alg}}(\mathcal{Z}) \cong \mathbb{R}/\mathbb{Z}$$
$$[e^{2\pi i h}] \xrightarrow{\Delta_{\mathcal{Z}}} \tau(h) + \mathbb{Z}$$

## The cyclic case

Suppose you have a unitary  $u \in \mathcal{Z}$  such that  $\theta(u) = \omega u$  then applying  $K_1^{\text{alg}}$  you get

$$K_1^{\text{alg}}(\theta(u)) = K_1^{\text{alg}}(\omega) + K_1^{\text{alg}}(u)$$

So  $K_1^{\text{alg}}(\omega) = 0$  i.e.  $\omega = 1$ .

# Algebraic $K_1$ obstruction

- $K_1^{\text{alg}}(M_{n^\infty}) = \frac{\mathbb{R}}{\mathbb{Z}[\frac{1}{n}]}$ .
- $K_1^{\text{alg}}(\mathcal{R}) = 0$  **no obstruction.**

We can do things in more generality

- The results are not just ad hoc. We have a general obstruction arising from  $K_1^{\text{alg}}$ .
- We can also achieve existence results for these actions e.g. on UHF for arbitrary finite  $G$  and some  $C^*$ -algebras with non-trivial  $K_1$  (e.g. Bunce Deddens,  $\mathcal{A}_\theta$ ).

# *Questions?*