

# Convex structure of unital quantum channels, factorizability and traces on the universal free product of matrix algebras

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**Central theme:** A certain class of completely positive maps (**factorizable maps**), introduced by C. Anantharaman-Delaroche '05.

Their study **led to** investigating the **convex structure** of the set of **unital quantum channels**, interesting applications in the **analysis of QIT** (e.g., settling in the negative the Asymptotic Quantum Birkhoff Conjecture) and revealed **infinite dim phenomena** therein, connections to/reformulations of the **Connes Embedding Problem**, and recently, through a **new** view-point, some interesting problems in operator algebras.

For  $n \geq 2$ , consider following sets of maps  $T : M_n(\mathbb{C}) \rightarrow M_n(\mathbb{C})$ :

$$\begin{array}{c} \text{CPT}(n) \\ \cup \\ \mathcal{FM}(n) \subseteq \text{UCPT}(n) \\ \subseteq \\ \text{UCP}(n) \end{array}$$

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► (Choi '73): Let  $T : M_n(\mathbb{C}) \rightarrow M_n(\mathbb{C})$  linear. Then

$$T \in \text{CPT}(n) \iff \exists A_1, \dots, A_d \in M_n(\mathbb{C}) : Tx = \sum_{j=1}^d A_j^* x A_j, \quad \sum_{j=1}^d A_j A_j^* = I_n.$$

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When  $\{A_1, \dots, A_d\}$  lin independent,  $d$  is called the Choi-rank of  $T$ .

**Thm** (Choi '75):  $T \in \partial_e(\text{CPT}(n)) \iff \{A_i A_j^*\}_{i,j=1}^d$  lin independent.

Respectively,  $T \in \partial_e(\text{UCP}(n)) \iff \{A_i^* A_j\}_{i,j=1}^d$  lin independent.

**Thm** (Landau-Streater '93):  $T \in \partial_e(\text{UCPT}(n)) \iff \{A_i^* A_j \oplus A_j A_i^*\}_{i,j}$  lin independent.

Hence  $\partial_e(\text{UCPT}(n)) \supseteq (\partial_e(\text{UCP}(n)) \cup \partial_e(\text{CPT}(n))) \cap \text{UCPT}(n)$ .

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**Definition** (Anantharaman-Delaroche '05): A unital quantum channel  $T : M_n(\mathbb{C}) \rightarrow M_n(\mathbb{C})$  is called *factorizable* if  $\exists$  vN alg  $(N, \psi)$  with n.f. tracial state and unital \*-homs  $\alpha, \beta : M_n(\mathbb{C}) \rightarrow M_n(\mathbb{C}) \otimes N$ :  $T = \beta^* \circ \alpha$ .

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 M_n(\mathbb{C}) & \xrightarrow{T} & M_n(\mathbb{C}) \\
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 \end{array}$$

$\beta^* = \beta^{-1} \circ \mathbb{E}_{\beta(M_n(\mathbb{C}))}$

►  $\alpha, \beta$  are injective (thus embeddings) and trace-preserving. Since unital embeddings of  $M_n(\mathbb{C})$  into a vN alg are *unitarily equiv*, can take

$$\beta(x) = x \otimes 1_N, \quad \alpha(x) = u^* \beta(x) u, \quad x \in M_n(\mathbb{C}),$$

for some  $u \in M_n(\mathbb{C}) \otimes N$  *unitary*;  $N$  can be taken II<sub>1</sub>-vN alg (even factor).

**Theorem** (Haagerup-M '11):  $T : M_n(\mathbb{C}) \rightarrow M_n(\mathbb{C})$  is a *factorizable* quantum channel iff  $\exists (N, \tau_N)$  finite vN algebra (called *ancilla*) and a unitary  $u \in M_n(\mathbb{C}) \otimes N$ :  $Tx = (\text{id}_{M_n(\mathbb{C})} \otimes \tau_N)(u^*(x \otimes 1_N)u)$ ,  $x \in M_n(\mathbb{C})$ .

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► (R. Werner): Factorizable channels are obtained by coupling the input system to a **maximally mixed** ancillary one, executing a **unitary rotation** on the combined system, and **tracing out** the ancilla.

► Automorphisms of  $M_n(\mathbb{C})$  are factorizable.

Let  $\mathcal{FM}(n)$  denote all factorizable quantum channels on  $M_n(\mathbb{C})$ ,  $n \geq 2$ . Then  $\mathcal{FM}(n)$  is **convex** and **closed**.

**Proposition** (Haagerup-M '11): Let  $T \in \text{UCPT}(n)$ , with *canonical form*

$$Tx = \sum_{i=1}^d A_i^* x A_i, \quad x \in M_n(\mathbb{C}).$$

If  $d := \text{Choi-rank}(T) \geq 2$  and  $\{A_i^* A_j\}_{1 \leq i,j}^d$  lin indep, then  $T \notin \mathcal{FM}(n)$ .

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cf. (Kümmerer '86, Landau-Streater '93, Kümmerer-Maasen '87).

► **Asymptotic Quantum Birkhoff Conj** (Smolin-Verstraete-Winter '05):  
Any  $T \in \text{UCPT}(n)$ ,  $n \geq 3$ , satisfies

$$\lim_{k \rightarrow \infty} d_{\text{cb}} \left( \bigotimes_{i=1}^k T, \text{conv} \left( \bigotimes_{i=1}^k M_n(\mathbb{C}) \right) \right) = 0.$$

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► For  $T \in \text{UCPT}(n)$ , Choi-rank( $T$ ) = 1 iff  $T \in \text{Aut}(M_n(\mathbb{C}))$ .

Set  $\partial_e^*(\text{CPT}(n)) = \partial_e(\text{CPT}(n)) \setminus \text{Aut}(M_n(\mathbb{C}))$ , and similarly  $\partial_e^*(\text{UCP}(n))$ .

**Cor:**  $T \in (\partial_e^*(\text{UCP}(n)) \cup \partial_e^*(\text{CPT}(n))) \cap \text{UCPT}(n) \Rightarrow T \notin \mathcal{FM}(n)$ .

**Remark:** Not easy to characterize non-factorizability in terms of the convex structure of  $\text{UCPT}(n)$ :

- $\partial_e(\text{UCPT}(n)) \setminus ((\partial_e(\text{UCP}(n)) \cup \partial_e(\text{CPT}(n))) \cap \text{UCPT}(n))$  can contain both factorizable and non-factorizable maps.
- $T \in \partial_e^*(\text{UCPT}(n))$ , Choi-rank  $> n \Rightarrow T \notin \partial_e^*(\text{UCP}(n)) \cup \partial_e^*(\text{CPT}(n))$ .
- (Ohno '09):  $\exists T \in \partial_e^*(\text{UCPT}(3))$ , Choi-rank 4; (H-M-R):  $T \notin \mathcal{FM}(3)$ .
- (H-M-R '21): Explicit family  $T_t \in \partial_e^*(\text{UCPT}(3)) \cap \mathcal{FM}(3)$ , Choi-rank 4.

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A class of UCPT( $n$ ) maps constructed in (Haagerup-M-Ruskai '21):

Given  $n \geq 3$ ,  $V_1, \dots, V_n \in \mathcal{U}(n-1)$  and  $t \in [-1, 1]$ ,  $t \neq -1/(n-1)$ , set

$$A_m = \frac{1}{\sqrt{n-1-t^2}} S^{-m+1} \begin{pmatrix} t & 0 \\ 0 & V_m \end{pmatrix} S^{m-1}, \quad 1 \leq m \leq n.$$

Here  $S$  is the canonical shift on  $\mathbb{C}^n$ .

► Can verify  $\sum_{m=1}^n A_m^* A_m = I_n = \sum_{m=1}^n A_m A_m^*$ . Thus, if

$$Tx = \sum_{m=1}^n A_m^* x A_m, \quad x \in M_n(\mathbb{C}),$$

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**Theorem A** (H-M-R '21): For  $n \geq 3$  and  $t \in (-1, 1)$ ,  $t \neq -1/(n-1)$ , there exists  $W = W^* \in \mathcal{U}(n-1)$  such that if  $V_1 = \dots = V_n = W$  and  $\{A_m\}_{m=1}^n$  are as above, then  $\{A_i^* A_j\}_{i,j=1}^n$  linearly independent. Hence

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thus  $T$  is non-factorizable.

**Proof:** Lots of linear algebra.

**Theorem B** (H-M-R '21): For  $n \geq 3$  and  $t \in (-1, 1)$ ,  $t \neq -1/(n-1)$ , the set of  $n$ -tuples  $(V_1, \dots, V_n) \in \mathcal{U}(n-1)^n$  such that  $\{A_i^* A_j\}_{i,j=1}^n$  is linearly indep has co-measure 0 w.r.t. Haar measure. Hence almost all quantum channels  $T$  arising in this way are non-factorizable.

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Infinite dimensional phenomena in QIT:

**Question:** Do we need (inf dim) vN algs to describe factorizable channels?

► For a factorizable channel, *minimal* ancilla (and its size) **not** unique.

E.g., consider the **completely depolarizing** channel  $S_n$ ,  $n \geq 2$

$$S_n(x) := \text{tr}_n(x)1_n = \int_{\mathcal{U}(n)} u^*xu d\mu(u), \quad x \in \mathbb{M}_n(\mathbb{C}).$$

It's factorizable, and **possible ancillas** are:  $\mathbb{C}^{n^2}$ ,  $M_n(\mathbb{C})$ , but also (a corner of) the reduced free product von Neumann alg  $(M_n(\mathbb{C}), \text{tr}_n) * (M_n(\mathbb{C}), \text{tr}_n)$ .

Let  $\mathcal{FM}_{\text{fin}}(n)$  = factoriz channels on  $M_n(\mathbb{C})$  admitting a **finite dim** ancilla.

**Theorem** (Rørdam-M '19):  $\mathcal{FM}_{\text{fin}}(n)$  is **not** closed, whenever  $n \geq 11$ .

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More precisely, if  $\tau \in T(M_n(\mathbb{C}) * M_n(\mathbb{C}))$ , let

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**Thm** (Haagerup-M '15) Connes Embedding Problem (CEP) has positive answer iff  $\overline{\mathcal{FM}_{\text{fin}}(n)} = \mathcal{FM}(n)$ ,  $\forall n \geq 3$ .

**Question:** What can we say about  $\overline{T_{\text{fin}}(M_n(\mathbb{C}) * M_n(\mathbb{C}))}$  ?

- (Exel–Loring '92):  $M_n(\mathbb{C}) * M_n(\mathbb{C})$  residually finite dim. (RFD)
- (Blackadar '85):  $M_n(\mathbb{C}) * M_n(\mathbb{C})$  semi-projective.

In general, given  $A = (\text{sep})$  unital tracial  $C^*$ -algebra, we have inclusions:

$$T_{\text{fin}}(A) \subseteq \overline{T_{\text{fin}}(A)} \subseteq T_{\text{qd}}(A) \subseteq T_{\text{am}}(A) \subseteq T_{\text{hyp}}(A) \subseteq T(A),$$

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**Reformulation of CEP:** For all sep. unital tracial  $C^*$ -algs  $(A, \tau)$ , there is a unital trace- preserving \*-hom  $\varphi: A \rightarrow \prod_{n=1}^{\infty} M_{k_n}/I^\omega$ , for some  $k_n \geq 1$ .

- (N. Brown '06):  $\exists$  exact RFD  $C^*$ -alg  $A$  s.t.  $T_{\text{am}}(A) \neq T_{\text{hyp}}(A)$ .
- Open if  $T_{\text{qd}}(A) = T_{\text{am}}(A)$ . Strong pos results: Tikuisis-Winter-White, Schafhauser, Gabe.
- $A$  (weakly) semi-projective  $\implies \overline{T_{\text{fin}}(A)} = T_{\text{qd}}(A)$
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## Further connections: Analysis of quantum correlations and CEP

- $\Gamma := \mathbb{Z}_k * \mathbb{Z}_k * \cdots * \mathbb{Z}_k$ ,  $n$  copies,  $n, k \geq 2$ .
- $C^*(\Gamma) = C^*(q_{j,x} \mid q_{j,x} = q_{j,x}^* = q_{j,x}^2, \sum_{j=1}^k q_{j,x} = 1)$ .

(Schafhauser, AIM '21): For all  $n, k \geq 2$ , we have

$$\overline{T_{\text{fin}}(C^*(\Gamma))} = T_{\text{hyp}}(C^*(\Gamma)).$$

**Definition:** A "correlation"  $[(p(i,j \mid x,y)]$  is *synchronous* if  $\forall 1 \leq x \leq n$ ,  $p(i,j \mid x,x) = 0$  whenever  $i \neq j$ .

**Theorem** (Paulsen-Severini-Stalke-Todorov-Winter '16):

$$\begin{aligned} C_{qc}^s(n, k) &= \left\{ [\tau(q_{j,x}q_{i,y})]_{(i,x;j,y)} \mid \tau \in T(C^*(\Gamma)) \right\} \\ C_q^s(n, k) &= \left\{ [\tau(q_{j,x}q_{i,y})]_{(i,x;j,y)} \mid \tau \in T_{\text{fin}}(C^*(\Gamma)) \right\}. \end{aligned}$$

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**Theorem** (Kim-Paulsen-Schafhauser '17, Ozawa '13): TFAE

- (1) Connes Embedding Problem has positive answer.
- (2)  $C_{qa}^s(n, k) = C_{qc}^s(n, k)$ ,  $\forall n, k \geq 2$ .
- (3) Tsirelson's conjecture is true, i.e.,  $C_{qa}(n, k) = C_{qc}(n, k)$ ,  $\forall n, k \geq 2$ .

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- $\mathcal{C}_{qa}(n, k) = \left\{ \left[ \varphi(q_{j,x} \otimes q_{i,y}) \right] : \varphi \text{ state on } C^*(\Gamma) \otimes_{\min} C^*(\Gamma) \right\}$ .
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**Theorem** (Kim-Paulsen-Schafhauser '17, Ozawa '13): TFAE

- (1) Connes Embedding Problem has positive answer.
- (2)  $C_{qa}^s(n, k) = C_{qc}^s(n, k)$ ,  $\forall n, k \geq 2$ .
- (3) Tsirelson's conjecture is true, i.e.,  $C_{qa}(n, k) = C_{qc}(n, k)$ ,  $\forall n, k \geq 2$ .

**Theorem** (Fritz/Junge et. al. '09):

- $\mathcal{C}_{qa}(n, k) = \left\{ \left[ \varphi(q_{j,x} \otimes q_{i,y}) \right] : \varphi \text{ state on } C^*(\Gamma) \otimes_{\min} C^*(\Gamma) \right\}$ .
  - $\mathcal{C}_{qc}(n, k) = \left\{ \left[ \varphi(q_{j,x} \otimes q_{i,y}) \right] : \varphi \text{ state on } C^*(\Gamma) \otimes_{\max} C^*(\Gamma) \right\}$ .
- $A := C^*(\Gamma) \otimes_{\min} C^*(\Gamma)$  is RFD [ $\Rightarrow S_{\text{fin}}(A) \stackrel{\text{dense}}{\subseteq} S(A)$ ].

- ▶ Posted on arXiv, Jan 2020:  $MIP^* = RE$ , Ji, Natarajan, Vidick, Wright, Yuen, 165 pp.

Proving that the complexity class  $MIP^*$  (quantum version of complexity class MIP=languages with a Multiprover Interactive Proof) contains an undecidable language, they conclude that Tsirelson's Conjecture is **false!**

New version (with corrections) 206 pp., posted on arXiv, Sept 2020.

- ▶ Further recent applications of/connections to factorizability:

Gangbo-Jeckel-Nam-Shlyakhtenko, May 2021: “Duality for optimal couplings in free probability”.

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