

## 1 Structural Induction

Let  $p : S \rightarrow \{T, F\}$  be a recursively defined predicate.

To prove  $\forall s \in S. p(s)$  by structural induction, prove:

- $p(s)$  for all base cases of the definition
- $p(s)$  for the construct cases of the definition, assuming  $s$  is true for the components.

We can define functions on recursively defined sets.

### Example 1.1

For  $f \in M$ , let  $n(f)$  = "the number of occurrences of propositional variables in  $f$ ".

Then  $n(P) = 1$  for any propositional variable  $P$ , and  $n(f) = n(f') + n(f'')$  for  $f = (f' \text{ OR } f'')$  and  $f = (f' \text{ AND } f'')$ .

Let  $B$  be the set of all binary trees.

#### Base Case

The empty tree is in  $B$ .

#### Constructor Case

If  $t_1, t_2 \in B$  and  $r$  is a node, then we say  $t_1 = \text{left}(t)$  and  $t_2 = \text{right}(t)$ .

### Example 1.2

For  $t \in B$ , let  $N(t)$  be the number of nodes in  $t$

#### Base Case

$N(\text{empty tree}) = 0$

#### Constructor Case

$N(t) = 1 + N(\text{left}(t)) + N(\text{right}(t))$

### Example 1.3

Let  $L(t)$  be the number of leaves in  $t$ .

#### Base Case

Then  $L(\text{empty tree}) = 0$  and  $L(\text{one node tree}) = 1$ .

#### Constructor Case

$L(t) = L(\text{left}(t)) + L(\text{right}(t))$

### Theorem 1.4

A binary tree with  $n$  nodes has at most  $\lceil n/2 \rceil$  leaves. Thus,

$$\forall t \in B. L(t) \leq \lceil N(t)/2 \rceil,$$

or, equivalently,

$$\forall n \in \mathbb{N}. \forall t \in B. (N(t) = n \text{ IMPLIES } L(t) \leq \lceil n/2 \rceil)$$

**Example 1.5**

For  $t \in B$  and  $n \in \mathbb{N}$ , let  $S(t, n) = ``t \text{ has } n \text{ nodes}"$  and  $AL(t, n) = ``t \text{ has at most } n \text{ leaves}"$ .

Then  $\forall n \in \mathbb{N}. \forall t \in B. (S(t, n) \text{ IMPLIES } A(t, \lceil n/2 \rceil))$ .

Let  $p(n) = ``\forall t \in B. (S(t, n) \text{ IMPLIES } A(t, \lceil n/2 \rceil))"$ .

Let  $n \in \mathbb{N}$  be arbitrary.

Suppose  $\forall i \in \mathbb{N}. (i < n \text{ IMPLIES } p(i))$ . Let  $t \in B$  be arbitrary.

Suppose  $S(t, n)$ .

To prove  $A(t, \lceil n/2 \rceil)$ .

**Case 1:**

$n = 0$ .

Then  $t$  has 0 nodes and 0 leaves. Since  $0 = \lceil n/2 \rceil$ , then  $A(t, 0)$  is true.

Thus,  $A(t, 0)$  is true.

**Case 2:**

$n > 0$ .

Then  $t$  has a root, a left subtree  $t'$  and a right subtree  $t''$ .

Let  $n' = N(t')$ ,  $S(t', n')$ ,  $n'' = N(t'')$ ,  $S(t'', n'')$ .

Then  $n = n' + n'' + 1$ , so  $n', n'' < n$ .

By specialisation of inductive hypothesis,  $A(t', \lceil n'/2 \rceil)$ ,  $A(t'', \lceil n''/2 \rceil)$  are true.

Then

$$L(t) = L(t') + L(t'') \leq \lceil n'/2 \rceil + \lceil n''/2 \rceil \leq \frac{n' + 1}{2} + \frac{n'' + 1}{2} = \frac{n + 1}{2}$$

If  $n$  is odd,  $\frac{n+1}{2} = \lceil n/2 \rceil$ .

If  $n$  is even, then  $\frac{n+1}{2} = \frac{n}{2} + \frac{1}{2}$  and thus  $\lceil n/2 \rceil = \frac{n}{2}$ .

Since  $L(t) \leq \frac{n}{2} + \frac{1}{2}$  and  $L(t) \in \mathbb{N}$ , then  $L(t) \leq \frac{n}{2} = \lceil n/2 \rceil$ .

Then  $A(t, \lceil n/2 \rceil)$ .  $p(n)$  by generalisation.  $\forall n \in \mathbb{N}. p(n)$  by strong induction.

**Theorem 1.6**

Every integer greater than 1 can be written as a product of primes.

*Proof.*

Suppose the claim is false.

Let  $n$  be the smallest integer that cannot be written as a product of primes. Then  $n$  is not prime, since any prime can be written as a trivial product of itself.

Therefore,  $n$  is composite, so there exists  $k, m \in \mathbb{N}$  such that  $k > 1$  and  $m > 1$  and  $n = k \cdot m$ , while  $k$  and  $m$  are smaller than  $n$ . Since they can be written as a product of primes,  $n$  is also a product of primes, which is a contradiction.  $\square$

**Definition 1.7** (Well Ordering Principle). Every nonempty subset of  $\mathbb{N}$  has the smallest element.

**Example 1.8**

Let  $p(n)$  = "n cannot be written as a product of primes". Let  $C = \{n \in \mathbb{N} \mid p(n) \text{ is false}\}$ ,  $C \neq \emptyset$ .

Assume  $[\forall n \in \mathbb{N}. p(n)]$  is false. Then by the Well-Ordering Principle there exists the smallest element in  $C$ .