

# School of Computing RESEARCH ETHICS COMMITTEE

# APPLICATION FORM FOR ETHICAL REVIEW OF A RESEARCH PROJECT INVOLVING HUMAN PARTICIPANTS WHICH IS IN THE CATEGORY OF NOTIFICATION ONLY

There are 3 generally accepted levels of ethical review for projects carried out in a University or similar setting. These are notification only, expediated and full committee.

This notification only level of review is to approve relatively low-risk research involving human participants, primarily using social science methodologies in which any personal information collected is not of a sensitive nature. The School of Computing Research Ethics Committee has been delegated responsibility by the University to approve ethics submissions from undergraduate and taught Masters projects only, which are in the category of notification only.

Examples of projects in this category include:

- Anonymous surveys in which the topic itself is not likely to elicit significant difficulties for the participants, such as: anonymous internet surveys (e.g. Survey Monkey), street questioning.
- Observation (without audio or visual recording) of public settings where privacy would not normally be expected, such as observing people on streets or at sports events.
- Research carrying no risks beyond those of everyday life (as experienced by the intended participant population), such as asking people's opinions about products or services; asking students about educational experiences; monitoring the impact of daily activities.
- Interviews with public figures, professionals or others in their professional capacity regarding their professional activities.
- Analysis of data (e.g. health records) which have had all identifying information removed by the data holder and been provided to the researcher in accordance with data protection legislation.
- Collection of biological samples which are anonymised and do not require invasive techniques (e.g. hair, nails).

If your project is using data from a public repository like Kaggle or is not generating or using any form of personal data then you do not need research ethics approval, you do not need to complete and to submit this form and your project supervisor should indicate this on the project dashboard.

If your project involves collecting or processing <u>personal data which is of a personal nature</u>, you must first complete the DCU online Data Protection training course and review the <u>"Data Protection – Key Points for DCU Researchers"</u> guidance from the Data Protection Unit to assist you in meeting your legal obligations under GDPR and associated Irish law.

Once you have completed this form (if you need to) you should save it as a PDF file, not WORD, and upload it to the your project dashboard before you start gathering data. It will then be read and assessed by two members of the committee and once two members of the committee approve your submission you will be automatically notified by email and your project can start data gathering.

There are strict deadlines for submitting this form for each class group, undergraduate and taught Masters by which your submission must be made and you will be informed of these deadlines by your course board chair or project co-ordinator. If you do not submit by these deadlines then the research ethics committee is not obliged to approve your submission and when that happens and your project is assessed and graded at the end of the year, you will be awarded 0 for that component of your project.

| SECTION 1 – GENERAL DET                         | TAILS                   |                       |                                     |
|---|-------------------------|-----------------------|-------------------------------------|
|   |                         |                       |                                     |
| 1.1 Project Title                               |                         |                       |                                     |
| Browser API for managing ar                     | nd recording web        | consent               |                                     |
|   |                         |                       |                                     |
|   |                         |                       |                                     |
|   |                         |                       |                                     |
| 1.2 Applicant Details                           |                         |                       |                                     |
|   |                         |                       |                                     |
| Name  | Student or Sup          | pervisor              | E-mail                              |
| Thilak Ramanie                                  | Student                 |                       |                                     |
|   |                         |                       | thilak.shanmugasundaram2            |
| Apurva Shirbhate                                | Student                 |                       | @mail.dcu.ie                        |
| Apurva Sillibilate                              | Student                 |                       | apurva.shirbhate2@mail.dc           |
|   |                         |                       | u.ie                                |
| Harshvardhan J Pandit                           | Supervisor              |                       | harshvardhan.pandit@dcu.i           |
|   |                         |                       | е                                   |
| Other Investigators: Including                  | any aytarnal ta [       | 2011                  |                                     |
| Other Investigators: Including                  | any external to L       |                       |                                     |
| Name  | School/Unit/Ex          | ternal Institution    | E-mail                              |
|   |                         |                       |                                     |
|   |                         |                       |                                     |
|   |                         |                       |                                     |
|   |                         |                       |                                     |
| 1.3 Key Project Dates                           |                         |                       |                                     |
| Proposed start date for data                    | Proposed end            | date for data         | Proposed project                    |
| collection                                      | collection              | adio for data         | completion date                     |
| 01/07/2024                                      | 31/07/2024              |                       | 15/08/2024                          |
|   | •                       |                       |                                     |
|   |                         |                       |                                     |
| 4 4 Diagon indicate which are                   |                         |                       |                                     |
| 1.4 Please indicate which ac<br>Undergraduate □ | auemic awaru            | Tought Masters        |                                     |
| Ondergraduate 🗆                                 |                         | Taught Masters 🗵      |                                     |
|   |                         |                       |                                     |
| 1.5 Please confirm the locati                   | ion(s) where the        | e research will be ca | arried out                          |
|   |                         |                       | e ethical challenges raised by this |
|   |                         |                       | h Abroad document in the Éthics     |
| Resources and Guidelines sed                    | ction of the <u>DCU</u> | Research Ethics were  | <u>bpage</u> ).                     |
| Ireland   |                         |                       |                                     |
|   |                         |                       |                                     |
| 4.6.70  |                         |                       |                                     |
| 1.6 Please state what addition                  |                         |                       |                                     |
| written approval will be obtaine                |                         | (e.g. a scribbi board | of Management), and when their      |
| willien approval will be obtaine                | <i>-</i> u              |                       |                                     |
|   |                         |                       |                                     |

#### **SECTION 2 - PROJECT DESIGN AND METHODOLOGY**

Research Overview - Please respect the indicated word counts in the following sections and explain all acronyms in full text the first time they appear.

#### 2.1 Provide a brief description of the research (max 250 words):

Please use lay language, include the scientific/theoretical background of study and a justification as to why this research project should proceed in that context

Online privacy concerns arise as websites employ potentially manipulative cookie consent banners, leading to accidental data sharing and user confusion after cookie clearance. Addressing this, we propose a professional browser extension using JSON for secure and transparent user consent management. The project's significance lies in granting users effective control over digital privacy. This initiative extends beyond technology, aiming to empower users. By providing a streamlined solution for consent management, our goal is to cultivate a digital environment marked by heightened safety, transparency, and user-friendliness. Through informed decision-making, this tool aspires to contribute to a more secure and comprehensible online landscape.

#### 2.2 Please state the aims and objectives of the project (max 200 words)

#### Project Aim:

Develop a browser extension using JSON to store and display transparent consent receipts, enhancing user control over digital privacy and simplifying consent forms.

#### **Project Objectives:**

- 1. Create a user-friendly dashboard for efficient consent management.
- 2. Digital signature process consent receipts to ensure clarity and avoid confusion between users and servers
- 3. Improve overall user experience by providing clear information on consent forms.

#### **Expected Outcomes:**

- 1. Transparent and machine-readable consent receipts.
- 2. Streamlined and user-friendly consent management.
- 3. User empowerment to retract cookie consent.
- 4. Increased privacy awareness and informed user decision-making behavior.

#### 2.3 Please confirm your methods of data collection:

Tick all relevant check boxes and provide details for each one, including any devices used to collect data, and whether the data will be anonymous, potentially identifiable or identifiable at point of collection

| Method                       | Describe briefly  |
|------------------------------|---|
| ☐ Interviews or focus groups |   |
| Surveys/questionnaires       | Deploying the test version of the extension on specific users' computers to assess consent management, gather feedback, and identify areas for improvement, ensuring a satisfactory user experience. Most likely a survey to get feedback about the proposal from the users |
| ☐ Audio/video recordings     |   |

| ☐Public observations  |   |
|---|---|
| ☐ Persons in public office  |   |
| ☐ Using existing data (incl.  |   |
| secondary data)   |   |
| ☐ Using human derived   |   |
| material (biological samples)   |   |
| ☐ Standard tests  |   |
| (educational/personality etc.)  |   |
| ☐ Standard educational  |   |
| practices   |   |
| ☐ Other (please specify)  |   |
| determined (e.g. power analysis) A selected group of ideally 20 us                                | sers.   |
| from and your criteria for inclus   | nent process, including where you are sourcing participants sion/exclusion: outline the procedures relating to their involvement  |
| ensure familiarity and diverse pe   | articipants from our friends and known professional network to erspectives. Inclusion criteria consider factors like age, gender, rounds. Only Adults who are 18+ must know what consent is.  |
| categories, please check the rearrangements will be made to p If your participants are not in any | of these categories, tick N/A  ge nips with the researcher (e.g. lecturer-student, therapist-client, diagnosed intellectual, physical or mental impairment is (e.g. prisoners, residents in 24 hr nursing facilities) traumatic or adverse emotional events |
| ☐ People with diminished cogni  | <u> </u>  |
| ☐ Marginalised sections of societions   | etv.  |

| ☐ Other (please specify)  |
|---|
| Special arrangements:   |
| 2.7 Involvement of children under 18 years of age – if your participants are in this category, please confirm compliance with the following:  If your participants are not in this category, tick N/A   |
| ⊠ N/A   |
| ☐ We confirm that we have read and agree to act in accordance with the DCU Child Protection policy and procedures (as per the <u>DCU Child Protection Unit webpage</u> )  |
| ☐ We confirm that we have put in place safeguards for the children participating in the research  |
| ☐ We confirm that we have supports in place for children who may disclose current or historical abuse (whether or not this is the focus of the research)  |
| ☐ We confirm that all requirements will be met prior to commencing the research (e.g. TUSLA Children First Training completed, Garda Vetting in place)  |
| 2.8 Please confirm how the results of the research will be disseminated: Include a statement on whether the participants will be provided with any information as to the findings or outcomes of the project  The participants will be provided with a website, where the results will be made available after the research has been completed. |

# **SECTION 3 – ETHICAL ISSUES AND RISK MANAGEMENT**

| 3.1 Please identify all issues including ethical issues which may arise in the course of thi research. What are the potential risks to participants, and how will those risks be addresse or minimised?   |  |  |  |  |
|---|--|--|--|--|
| Potential risks can be physical, psychological, social, legal, etc. Please include details of any additional support being provided for participants during/after the study   |  |  |  |  |
| Privacy Concerns: Issue: Possible exposure for researchers to participant's online activities (i.e. websites visited). Mitigation: Prior information about this, ensuring no actual history/cookies are stored in a re-identifiable manner                      |  |  |  |  |
| 3.2 Please identify the potential benefits (direct and/or indirect) to those participating in thi research:   |  |  |  |  |
| Potential benefits should outweigh the potential risks to participants  |  |  |  |  |
| Enhanced Digital Privacy Knowledge: Participants gain a deeper understanding of online privacy issues and consent management.   |  |  |  |  |
| Feedback Loop Influence: Participants have the opportunity to shape the development of the extension by providing valuable feedback.  |  |  |  |  |
| 3.3 Please describe what measures/protocols you have put in place in the event that ther are any unexpected outcomes or adverse effects to participants arising from involvement it the research:  There are no forseeable adverse effects on the participants. |  |  |  |  |
| 3.4 Do you intend to provide payment or incentives to participants?   |  |  |  |  |
| Yes □ No ⊠  |  |  |  |  |
| If Yes, please consult the REC Guidelines on the Use of Compensation and Incentives (in the Ethic Resources and Guidelines section of the <a href="DCU Research Ethics webpage">DCU Research Ethics webpage</a> ) before providing additional details below     |  |  |  |  |
|   |  |  |  |  |
| 3.5 Does this research raise any potential risks for the researchers themselves?  Please consider the location/environment where the research is being conducted, exposure to distressing data content etc.   |  |  |  |  |
| Yes □ No ⊠  |  |  |  |  |
| If Yes, please describe further and explain what risk management procedures will be put in place to   |  |  |  |  |
| minimise these risks to researchers:  |  |  |  |  |

3.6 Does this research raise any potential conflict of interest?

Please consider any potential real or perceived conflicts of interest that might influence the integrity of the research, or give rise to bias in conducting and reporting the research, or affecting publication (consult the DCU Conflict of Interest Policy for assistance)

Yes 
No 
If Yes, please identify and explain the steps being taken to address that conflict:

#### 3.7 Please describe how the conduct of the research will be monitored:

Regular oversight by the PI is required to ensure the project conforms to the procedures set out in this application (especially where several people are involved in carrying out the research procedures)

Regular meetings are held with our supervisor for the research and the conduct of research is being followed throughout the research. For each process, there will be clear roles and responsibilities defined.

# SECTION 4 - CONFIDENTIALITY AND DATA MANAGEMENT

| 4.1 Considering your previous response in section 2.3 of the form on data collection, please confirm whether you are collecting or processing personal data in this research project:  Personal data is any information about a living person, where that person is either identified, or could be identified from the data itself, or when it is combined with other data. This includes paper based, electronic and biological samples data. If your data is fully and completely anonymous, it is not personal data. |
|---|
| Yes ⊠ No □  |
| <u> </u>  |
| If Yes, please confirm your compliance with the following by ticking the checkboxes:  |
| ☑ We confirm that we have completed the DCU Data Protection training module on Loop.  |
|   |
| guidance on the DCU Data Protection Unit (DPU) website and agree to protect and manage our data in accordance with same.  |
| ☑ We have assessed the degree of risk inherent in the personal data being used in the research project, and confirm that all DPU GDPR requirements have been met prior to submitting this application (e.g. completion of Data Protection questionnaire, confirmation that any survey tool being used is GDPR compliant, that required Data Processing or Sharing Agreements will be in place, etc.)  |
| If No, please name who the other individuals are and why they need access. Any proposed transfer of data (including outside of the EU) should be detailed here.   |
| <ul> <li>4.3 Data storage – please confirm compliance with the following:</li> <li>☑ Data collected on mobile devices will be protected with a strong password/passphrase at a</li> </ul>   |
| minimum, and/or encrypted if the device supports it   |
| ☐ Data will be removed from mobile devices as soon as is practicable and stored in a secured  |
| location in DCU (on server or institutional Google Drive)   |
| $\Box$ Paper based data will be held securely in locked cabinets in DCU, with access restricted to the  |
| named researchers   |
| Specific arrangements in relation to biological samples should be stated here:  |
| Any exemptions to the above compliance statements should be justified here:   |
| The data is stored locally in the users system, which will not be accessed by any other individual.   |

| Name the relevant DCU investig                                      |                                |   |
|---|--------------------------------|---|
| Thilak Ramanie and Apurva Sl  | nirbate                        |   |
|   |                                |   |
|   |                                |   |
| 4.5 Please confirm how long t                                       | the data will be held for:     |   |
|   |                                | Data in the <u>"Data Protection – Key</u>                             |
| <u>Points for DCU Researchers"</u> g                                | uidance on the DCU Data Pr     | otection Unit (DPU) website   |
| Expected to complete the deve                                       | elonment of the extension by   | June, so the expected time required                                   |
| for the data to be held is one n                                    |                                | oune, so the expected time required                                   |
|   |                                |   |
|   |                                |   |
|   |                                |   |
|   |                                |   |
| 4.6 Please confirm what will h                                      |                                | d at the end of the study:<br>ted follow-up section for that category |
| Archived \B   | Destroyed                      | Other   |
| Alcilived 🖂   | Destroyed 🗆                    | Other 🗆   |
| 4.6.1 Archived data   |                                |   |
| Please provide the following de                                     | tails:                         |   |
| Name the DCU staff member   | Dr. Harshvardhan Pandit        |   |
| responsible for archival and  |                                |   |
| future use of data  |                                |   |
| Confirm whether the data will                                       | No                             |   |
| be made available to other  |                                |   |
| researchers, and if so, how?  | The detects will be even       | mined and previded to the stoff in                                    |
| Confirm <u>how</u> the data will be prepared for archive (e.g. will | charge and will be deleted     | mized and provided to the staff in                                    |
| datasets be anonymised)   | Charge and will be deleted     | nom our end.  |
| Confirm where the data will   | DCU google drive               |   |
| be archived and who will be   |                                |   |
| allowed to access it  |                                |   |
|   |                                |   |
| 4.6.2 Destroyed data  |                                |   |
|   |                                | nt projects, the supervisor must tak                                  |
|   | on if there is no guarantee th | e student will have access to the data                                |
| the time of destruction   |                                |   |
| Please justify why the data will be destroyed                       |                                |   |
| Name the DCU researcher   |                                |   |
| responsible for destruction of                                      |                                |   |
| data  |                                |   |
| Confirm when the data will be                                       |                                |   |
| destroyed (specify date)  |                                |   |
| Confirm compliance with the   | ☐ Electronic data will be o    | verwritten/securely deleted   |
| following destruction methods                                       | ☐ Paper based data will be     | •   |
| (tick relevant boxes)   |                                | •   |
| (tick relevant boxes)   | □ Iviedical samples will be    | disposed in accordance with the                                       |

4.6.2 Other - Please explain what will happen to the data if not being archived or destroyed:

### SECTION 5 - PARTICIPANT INFORMATION AND INFORMED CONSENT PROCEDURES

In addition to completing this form you are required to attach, within the single PDF that you submit, a copy of (1) the Participation Information Sheet which you share with your participants and (2) a copy of the Informed Consent Form which your participants sign.

5.1 Please confirm that the following items have been addressed in your Participant Information Sheet which should be shared with all participants whether it involves online or in-person data gathering:

The items below should be used as headings in yourinformation sheet. Note the language used under each item must reflect the participant age group and corresponding comprehension level— if your participants have different comprehension levels (e.g. both adult and child participants) then separate sheets must be prepared for each set. Templates are available via the <u>REC Forms</u>—Applications. Templates and Amendments section of the Research Ethics website.

| Introductory Statement (Researcher names and titles, school, title of the research study) What is this research about? Why is this research being conducted? Why have you been invited to take part?   |             |         |
|--|-------------|---------|
| Why is this research being conducted? Why have you been invited to take part?  |             |         |
| Why have you been invited to take part?  | $\boxtimes$ |         |
| <u> </u>   | $\boxtimes$ |         |
|  | $\boxtimes$ |         |
| What will happen if you decide to take part in this research study?  | $\boxtimes$ |         |
| How will your data be used?  | $\boxtimes$ |         |
| How will your privacy be protected (including any legal limits to confidentiality)?  | $\boxtimes$ |         |
| What are the benefits of taking part in this research study?   | $\boxtimes$ |         |
| What are the risks of taking part in this research study?  | $\boxtimes$ |         |
| Can you change your mind at any stage and withdraw from this study?  | $\boxtimes$ |         |
| How will you find out what happens with this project?  | $\boxtimes$ |         |
| Contact details for further information  |             |         |
| 5.2 Informed Consent Procedures – please confirm whether written cons<br>obtained:<br>Please tick the relevant checkbox  |             |         |
|  |             |         |
| Yes ⊠ No □   |             | -1-11-1 |
| Yes ⊠ No □  If Yes, describe the procedures by which written consent will be obtained. If you are in participants, you will also need to obtain their written assent. Templates are available Forms - Applications. Templates and Amendments section of the Research Ethics we Google forms will be used to obtain consent from participants | via the     |         |

If you are gathering data from an online process such as Google Form or SurveyMonkey then you should use a page such as the one below, to capture participants' informed consent and your data gathering should not proceed until participants have completed this form with the appropriate answers.

# Participant - please complete the following (by clicking Yes/No for each question)

| I have read the Plain Language Statement (or had it read to me) *      | I understand I may withdraw from the Research Study at any point *  |
|--|---|
| Yes  | ○ Yes   |
| O No   | O No  |
| I understand the information provided *                                | I have read and understand the arrangements to be made to protect<br>confidentiality of data, including that confidentiality of information provided is |
| O Yes  | subject to legal limitations *  |
| O No   | ○ Yes   |
| O No   | ○ No  |
| I have had an opportunity to ask questions and discuss this study *    | I have read and understand confirmations relating to any other relevant information as indicated in the PLS $^{\bullet}$                                |
| O Yes  | ○ Yes   |
| ○ No   | O No  |
|  |   |
| I understand the information provided in relation to data protection * | I consent to participate in this research study *   |
| O Yes  | ○ Yes   |
| O No   | O No  |
|  |   |
| I have received satisfactory answers to all my questions *             |   |
| O Yes  |   |
| O No   |   |

#### SECTION 6 - SUBMISSION CHECKLIST AND RESEARCHER DECLARATION

# 6.1 Please confirm all required supplementary documentation to be included in this application within Section 7:

| Checklist – tick the relevant check box for each item                  | Yes         | N/A         |
|--|-------------|-------------|
| Participant Information Sheet/s  | $\boxtimes$ |             |
| Informed Consent Form/s  | $\boxtimes$ |             |
| Informed Assent Form/s   |             | $\boxtimes$ |
| Recruitment Advertisement  |             | $\boxtimes$ |
| Questionnaire/Survey   |             | $\boxtimes$ |
| Interview/Focus Group Questions  |             | $\boxtimes$ |
| Debriefing Material  |             | $\boxtimes$ |
| Bibliography   |             | $\boxtimes$ |
| Approval from another Research Ethics Committee                        |             | $\boxtimes$ |
| Evidence of other external approvals (e.g. Board of Management letter) |             | $\boxtimes$ |
| Evidence of internal approvals (e.g. BSC approval review letter)       |             | $\boxtimes$ |
| Other – provide details here:  |             | $\boxtimes$ |

#### 6.2 Signed Declaration

By submitting this form, the applicant (and supervisor) agree to the following:

The information contained herein is, to the best of my knowledge and belief, accurate. I have read the University's current research ethics guidelines, and accept responsibility for the conduct of the procedures set out in the attached application in accordance with the form guidelines, the <u>REC guidance and resources</u>, the University's <u>Conflict of Interest Policy</u>, its <u>Code of Good Research Practice</u> and any other condition laid down by the Dublin City University Research Ethics Committee. I have attempted to identify all risks related to the research that may arise in conducting this research and acknowledge my obligations and the rights of the participants.

I also acknowledge my requirement to be informed as to other duties and legal obligations applying to my research, and to comply with these duties and obligations – this includes being informed about DCU Data Protection guidelines for researchers, DCU Child Protection policy and procedures (where relevant) and DCU Insurance requirements.

I and my co-investigators and/or supporting staff have the appropriate qualifications, experience and facilities to conduct the research set out in the attached application and to deal with any emergencies and contingencies related to the research that may arise. Research will not commence until required consents and approvals are in place.

| Electronic Signature(s):  |   |
|---|---|
| Supervisor:   |   |
| Print Name here:  | _ |
| Date:   |   |
|   |   |
| Student(s) signature(s): <u>Thilak Ramanie, Apurva Shirbate</u> |   |
| Print Name(s) here: Thilak Ramanie, Apurva Shirbate             |   |
| Date: 09/04/2024  |   |
|   |   |

#### **SECTION 7 – SUPPLEMENTARY DOCUMENTATION**

# Participants information sheet:



#### Participant Information Sheet

#### Introductory Statement

Research Study: Browser API for managing and recording web consent Researchers:

- Thilak Ramanie Shanmugasundaram DCU school of computing (student)
- Apurva Shirbate DCU school of computing (student)
- . Dr Harshvardhan Pandit DCU school of computing (Assistant Professor)

#### What is this research about?

This research project aims to evaluate the effectiveness and impact of a browser extension designed to enhance web consent management. Many websites employ manipulative tactics to push users into agreeing to additional choices like unclassified and advertising cookies, which can lead to the collection and sharing of personal data without users' full understanding or consent. Additionally, users often struggle to keep track of the websites to which they've granted cookie consent after clearing their cookies. In response to these challenges, a browser extension has been developed to record and store consent for each visited website using a JSON format. The machine-readable data collected is then presented to users in a dashboard, providing greater visibility and control over their consent choices.

#### Why is this research being conducted?

This research seeks to understand the impact of the browser extension on users' ability to manage web consent effectively. By evaluating user experiences and perceptions, the study aims to assess the extent to which the extension improves transparency, control, and overall satisfaction with web consent management.

#### Why have you been invited to take part?

Your participation is pivotal in helping us understand the real-world impact of the browser extension on web consent management. By sharing your experiences and insights, you can directly influence the development of tools that empower users to navigate the complexities of online privacy with confidence. Your input will not only shape the future direction of this research but also contribute to the creation of more transparent and user-centric practices in web consent management.

#### What will happen if you decide to take part in this research study?

If you decide to participate, you will be asked to install the browser extension and use it during your regular web browsing activities. You may also be invited to provide feedback through surveys or interviews. The estimated time commitment for these activities will vary but is expected to be minimal, typically no more than an hour.

#### How will your data be used?

The data collected will be used solely for research purposes, aiming to evaluate the effectiveness of the browser extension in improving web consent management. Personal data collected will be handled in accordance with data protection regulations, and your rights regarding data access, rectification, and deletion will be respected throughout the study.

#### How will your privacy be protected (including any legal limits to confidentiality)?

Confidentiality of your information will be protected within the limitations of the law. Any data shared with third parties will be done so securely and in compliance with data protection laws. Please note that confidentiality of information can only be protected within the limitations of the law - i.e., it is possible for data to be subject to subpoena, freedom of information claim or mandated reporting by some professions



#### Participant Information Sheet

#### What are the benefits of taking part in this research study?

Participating in this research study will contribute to advancing knowledge in the field of web consent management. Additionally, you may gain insights into your own consent management practices and benefit from improved transparency and control over your online privacy.

#### What are the risks of taking part in this study?

There are no foreseeable risks associated with participating in this study. Your involvement simply entails using a browser extension and providing feedback, which poses no threat to your well-being or privacy.

#### Can you change your mind at any stage and withdraw from this study?

Your participation in this study is entirely voluntary, and you may withdraw at any stage without any consequences. You also have the right to request the removal of your data from the study at any time before anonymization or publication.

#### How will you find out what happens with this project?

Following the completion of the research, you will receive a comprehensive report detailing the findings and insights gained. This report will highlight how your contribution has been instrumental in shaping the outcomes of the research and will demonstrate the impact of your participation.

#### Contact details for further information:

If you have any questions or concerns about this study, please feel free to contact Thilak Ramanie at <a href="mailto:thilak.shanmugasundaram2@mail.dcu.ie">thilak.shanmugasundaram2@mail.dcu.ie</a> and Apurva Shirbate at apurva.shirbhate2@mail.dcu.ie

If participants have concerns about this study and wish to contact an independent person, please contact: The Secretary, Dublin City University Research Ethics Committee, c/o Research and Innovation Support, Dublin City University, Dublin 9. Tel 01-7008000, e-mail rec@dcu.ie

# Informed consent form(This is a refillable form):

#### **DUBLIN CITY UNIVERSITY**

#### Informed Consent Form

#### Clarification of the Purpose of the Research:

This research study assesses the usability, effectiveness, and privacy implications of a Browser API for managing and recording web consent.

#### Identity of Data Controller and Purpose of Data Processing:

I understand personal data may be collected and processed in this research study. We are responsible for processing the data. The purposes of the processing for which the personal data are intended include evaluating the functionality and user experience of the Browser API.

#### Confirmation of Requirements:

As a participant, I acknowledge the following requirements for my involvement in the research study:

- I have read the Plain Language Statement (or had it read to me) and acknowledge its contents. (Yes/No)
- I understand the information provided in the Plain Language Statement. (Yes/No)
- I have had an opportunity to ask questions and discuss this study. (Yes/No)

- . I have received satisfactory answers to all my questions. (Yes/No)
- My interaction with the Browser API may be recorded for research purposes. (Yes/No)

## Voluntary Participation:

I understand that my participation in the Research Study is voluntary, and I may withdraw from it at any point without consequence.

#### Confidentiality of Data:

I acknowledge that arrangements will be made to protect the confidentiality of the data I provided during the Research Study. I understand that the confidentiality of information provided is subject to legal limitations.

#### Arrangements for Data Destruction:

I understand that arrangements will be made regarding the archiving or destroying of data collected as part of the Research Study.

#### Signature:

I have read and understood the information in this form. The researchers have answered my questions and concerns, and I have a copy of this consent form. Therefore, I consent to take part in this research project.

| Participant's Signature: |  |
|--------------------------|--|
| Name in Block Capitals:  |  |
| Data                     |  |

# **Google Docs:**

| Browser API for Managing and Recording Web Consent Questionnaire  By completing this questionnaire, you agree to participate in the research study evaluating a new Browser API for managing and recording web consent. Your responses will be anonymized and used solely for research purposes. Your participation is voluntary, and you may withdraw at any time. If you have any questions or concerns, please contact us  thilak.shanmugasundaram2@mail.dcu.ie Switch account | What factors influence your decision to accept or reject consent prompts on websites?  transparency of information provided Trustworthiness of the website Ease of managing consent preferences Concerns about privacy and data protection |
|---|--|
| * Indicates required question  How familiar are you with web consent management tools? *  Very familiar  Somewhat familiar  Not familiar  | How important is it to you to have control over your online consent preferences?*  Very important  Somewhat important  Not very important  Not important at all  |
| How often do you encounter consent prompts when browsing websites? *  Frequently Occasionally Rarely Never  | Do you think a Browser API for managing and recording web consent would be * helpful?  Yes  No  Not Sure   |

|      | ld you be willing to use a Browser API for managing and recording web * sent?                     |
|------|---|
| 0    | Yes   |
| 0    | No  |
| 0    | Maybe   |
|      | Id you be willing to share your anonymized data collected by the Browser * for research purposes? |
| 0    | Yes, I am willing to share my data for research   |
| 0    | No, I do not wish to share my data for research   |
| 0    | Maybe, I would like more information about how my data will be used                               |
| -    | additional comments or feedback on web consent management tools or the                            |
|      | osed Browser API:   |
| Your | answer  |
| Subm | nit Clear for   |