

# CS738: Advanced Compiler Optimizations

## Liveness based Garbage Collection

Amey Karkare

karkare@cse.iitk.ac.in

<http://www.cse.iitk.ac.in/~karkare/cs738>

Department of CSE, IIT Kanpur



# Ideal Garbage Collection

*... garbage collection (GC) is a form of automatic memory management. The garbage collector, or just collector, attempts to reclaim garbage, or memory occupied by objects that are **no longer in use** by the program. ...*

From Wikipedia

[https://en.wikipedia.org/wiki/Garbage\\_collection\\_\(computer\\_science\)](https://en.wikipedia.org/wiki/Garbage_collection_(computer_science))

# Real Garbage Collection

*...All garbage collectors use some efficient **approximation to liveness**. In tracing garbage collection, the approximation is that an object can't be live unless it is **reachable**. ...*

From Memory Management Glossary

[www.memorymanagement.org/glossary/g.html#term-garbage-collection](http://www.memorymanagement.org/glossary/g.html#term-garbage-collection)

# Liveness based GC

- ▶ During execution, there are significant amounts of heap allocated data that are *reachable but not live*.

# Liveness based GC

- ▶ During execution, there are significant amounts of heap allocated data that are *reachable but not live*.
  - ▶ Current GCs will retain such data.

# Liveness based GC

- ▶ During execution, there are significant amounts of heap allocated data that are *reachable but not live*.
  - ▶ Current GCs will retain such data.
- ▶ Our idea:

# Liveness based GC

- ▶ During execution, there are significant amounts of heap allocated data that are *reachable but not live*.
  - ▶ Current GCs will retain such data.
- ▶ Our idea:
  - ▶ We do a liveness analysis of *heap data* and provide GC with its result.

# Liveness based GC

- ▶ During execution, there are significant amounts of heap allocated data that are *reachable but not live*.
  - ▶ Current GCs will retain such data.
- ▶ Our idea:
  - ▶ We do a liveness analysis of *heap data* and provide GC with its result.
  - ▶ Modify GC to mark data for retention *only if it is live*.



# Liveness based GC

- ▶ During execution, there are significant amounts of heap allocated data that are *reachable but not live*.
  - ▶ Current GCs will retain such data.
- ▶ Our idea:
  - ▶ We do a liveness analysis of *heap data* and provide GC with its result.
  - ▶ Modify GC to mark data for retention *only if it is live*.
- ▶ Consequences:

# Liveness based GC

- ▶ During execution, there are significant amounts of heap allocated data that are *reachable but not live*.
  - ▶ Current GCs will retain such data.
- ▶ Our idea:
  - ▶ We do a liveness analysis of *heap data* and provide GC with its result.
  - ▶ Modify GC to mark data for retention *only if it is live*.
- ▶ Consequences:
  - ▶ Fewer cells marked.

# Liveness based GC

- ▶ During execution, there are significant amounts of heap allocated data that are *reachable but not live*.
  - ▶ Current GCs will retain such data.
- ▶ Our idea:
  - ▶ We do a liveness analysis of *heap data* and provide GC with its result.
  - ▶ Modify GC to mark data for retention *only if it is live*.
- ▶ Consequences:
  - ▶ Fewer cells marked.

# Liveness based GC

- ▶ During execution, there are significant amounts of heap allocated data that are *reachable but not live*.
  - ▶ Current GCs will retain such data.
- ▶ Our idea:
  - ▶ We do a liveness analysis of *heap data* and provide GC with its result.
  - ▶ Modify GC to mark data for retention *only if it is live*.
- ▶ Consequences:
  - ▶ Fewer cells marked. More garbage collected per collection.

# Liveness based GC

- ▶ During execution, there are significant amounts of heap allocated data that are *reachable but not live*.
  - ▶ Current GCs will retain such data.
- ▶ Our idea:
  - ▶ We do a liveness analysis of *heap data* and provide GC with its result.
  - ▶ Modify GC to mark data for retention *only if it is live*.
- ▶ Consequences:
  - ▶ Fewer cells marked. More garbage collected per collection. Fewer garbage collections.

# Liveness based GC

- ▶ During execution, there are significant amounts of heap allocated data that are *reachable but not live*.
  - ▶ Current GCs will retain such data.
- ▶ Our idea:
  - ▶ We do a liveness analysis of *heap data* and provide GC with its result.
  - ▶ Modify GC to mark data for retention *only if it is live*.
- ▶ Consequences:
  - ▶ Fewer cells marked. More garbage collected per collection. Fewer garbage collections.
  - ▶ Programs expected to run faster and with smaller heap.

# The language analyzed

- ▶ First order eager Scheme-like functional language.
- ▶ In Administrative Normal Form (ANF).

$$p \in Prog ::= d_1 \dots d_n e_{\text{main}}$$

# The language analyzed

- ▶ First order eager Scheme-like functional language.
- ▶ In Administrative Normal Form (ANF).

$$\begin{aligned} p \in Prog &::= d_1 \dots d_n e_{\text{main}} \\ d \in Fdef &::= (\mathbf{define} (f\ x_1 \dots x_n) e) \end{aligned}$$



# The language analyzed

- ▶ First order eager Scheme-like functional language.
- ▶ In Administrative Normal Form (ANF).

$$\begin{aligned} p \in Prog &::= d_1 \dots d_n e_{\text{main}} \\ d \in Fdef &::= (\mathbf{define} (f\ x_1 \dots x_n) e) \\ e \in Expr &::= \begin{cases} (\mathbf{if}\ x\ e_1\ e_2) \\ (\mathbf{let}\ x \leftarrow a\ \mathbf{in}\ e) \\ (\mathbf{return}\ x) \end{cases} \end{aligned}$$

# The language analyzed

- ▶ First order eager Scheme-like functional language.
- ▶ In Administrative Normal Form (ANF).

$$\begin{aligned} p \in Prog &::= d_1 \dots d_n e_{\text{main}} \\ d \in Fdef &::= (\mathbf{define} \ (f \ x_1 \ \dots \ x_n) \ e) \\ e \in Expr &::= \begin{cases} (\mathbf{if} \ x \ e_1 \ e_2) \\ (\mathbf{let} \ x \leftarrow a \ \mathbf{in} \ e) \\ (\mathbf{return} \ x) \end{cases} \\ a \in App &::= \begin{cases} k \\ (\mathbf{cons} \ x_1 \ x_2) \\ (\mathbf{car} \ x) & (\mathbf{cdr} \ x) \\ (\mathbf{null?} \ x) & (+ \ x_1 \ x_2) \\ (f \ x_1 \ \dots \ x_n) \end{cases} \end{aligned}$$

# An Example

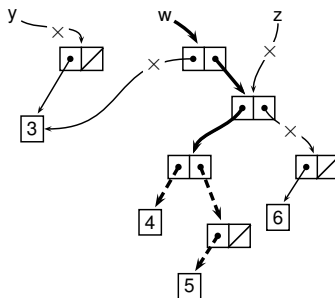
```
(define (append l1 l2)
  (if (null? l1) l2
      (cons (car l1)
            (append (cdr l1) l2))))

(let z ← (cons (cons 4 (cons 5 nil))
              (cons 6 nil)) in
  (let y ← (cons 3 nil) in
    (let w ← (append y z) in
       $\pi$ :(car (cdr w)))))
```

# An Example

```
(define (append l1 l2)
  (if (null? l1) l2
      (cons (car l1)
            (append (cdr l1) l2))))

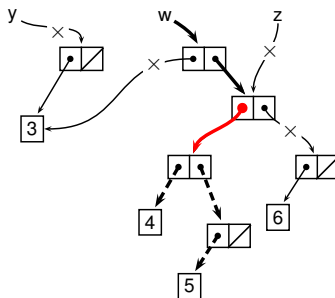
(let z ← (cons (cons 4 (cons 5 nil))
               (cons 6 nil)) in
  (let y ← (cons 3 nil) in
    (let w ← (append y z) in
       $\pi$ :(car (cdr w)))))
```



# An Example

```
(define (append l1 l2)
  (if (null? l1) l2
      (cons (car l1)
            (append (cdr l1) l2))))

(let z ← (cons (cons 4 (cons 5 nil))
               (cons 6 nil)) in
  (let y ← (cons 3 nil) in
    (let w ← (append y z) in
       $\pi$ :(car (cdr w)))))
```



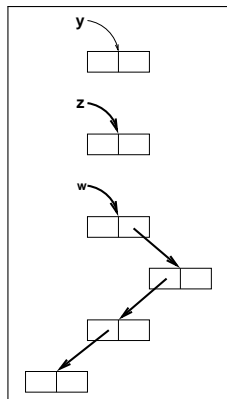
- *Though all cells are reachable at  $\pi$ , a liveness-based GC will retain only the cells pointed by thick arrows.*

# Liveness – Basic Concepts and Notations

- *Access paths*: Strings over  $\{0, 1\}$ .

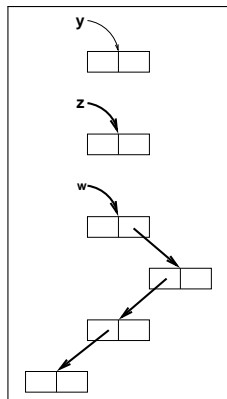
**0** – access **car** field

**1** – access **cdr** field



## Liveness – Basic Concepts and Notations

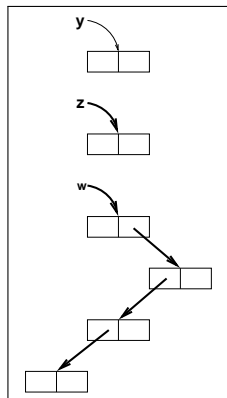
- ▶ *Access paths*: Strings over  $\{0, 1\}$ .
  - 0 – access **car** field
  - 1 – access **cdr** field
- ▶ Denote traversals over the heap graph



## Liveness – Basic Concepts and Notations

- ▶ *Access paths*: Strings over  $\{0, 1\}$ .
  - 0 – access **car** field
  - 1 – access **cdr** field
- ▶ Denote traversals over the heap graph
- ▶ *Liveness environment*: Maps root variables to set of access paths.

$$L_i : \begin{cases} y \mapsto \emptyset \\ z \mapsto \{\epsilon\} \\ w \mapsto \{\epsilon, 1, 10, 100\} \end{cases}$$



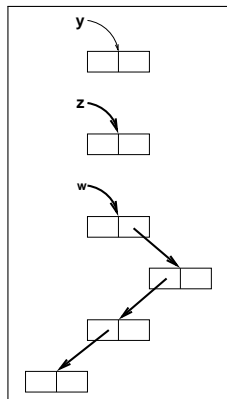
Notation: We write  $L_i(\mathbf{x})$  as  $L_i^{\mathbf{x}}$



# Liveness – Basic Concepts and Notations

- ▶ *Access paths*: Strings over  $\{0, 1\}$ .
  - 0** – access **car** field
  - 1** – access **cdr** field
- ▶ Denote traversals over the heap graph
- ▶ *Liveness environment*: Alternate representation.

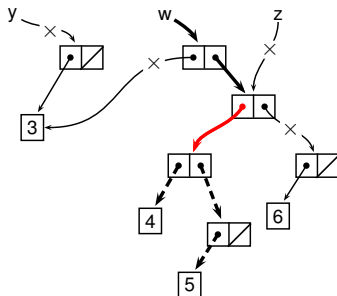
$$L_i : \begin{cases} \emptyset \cup \\ \{z.\epsilon\} \cup \\ \{w.\epsilon, w.1, w.10, w.100\} \end{cases}$$



Notation: We write  $L_i(x)$  as  $L_i^x$

# Demand

**(car (cdr  $w$ ))**



- Demand (notation:  $\sigma$ ) is a description of intended use of the result of an expression.

# Demand

- ▶ Demand (notation:  $\sigma$ ) is a description of intended use of the result of an expression.

# Demand

- ▶ Demand (notation:  $\sigma$ ) is a description of intended use of the result of an expression.
- ▶ We assume the demand on the main expression to be  $(0 + 1)^*$ , which we call  $\sigma_{all}$ .

# Demand

- ▶ Demand (notation:  $\sigma$ ) is a description of intended use of the result of an expression.
- ▶ We assume the demand on the main expression to be  $(0 + 1)^*$ , which we call  $\sigma_{all}$ .
- ▶ The demands on each function body,  $\sigma_f$ , have to be computed.

# Liveness analysis – The big picture

```
 $\pi_{\text{main}}$ : (let z  $\leftarrow$  ... in  
  (let y  $\leftarrow$  ... in  
     $\pi_9$ : (let w  $\leftarrow$  (append y z) in  
       $\pi_{10}$ : (let a  $\leftarrow$  (cdr w) in  
         $\pi_{11}$ : (let b  $\leftarrow$  (car a) in  
           $\pi_{12}$ : (return b))))))
```

```
(define (append l1 l2)  
   $\pi_1$ : (let test  $\leftarrow$  (null? l1) in  
     $\pi_2$ : (if test  $\pi_3$ : (return l2)  
     $\pi_4$ : (let t1  $\leftarrow$  (cdr l1) in  
       $\pi_5$ : (let rec  $\leftarrow$  (append t1 l2) in  
         $\pi_6$ : (let hd  $\leftarrow$  (car l1) in  
           $\pi_7$ : (let ans  $\leftarrow$  (cons hd rec) in  
             $\pi_8$ : (return ans))))))
```

# Liveness analysis – The big picture

```
 $\pi_{\text{main}}$ : (let z  $\leftarrow$  ... in  
  (let y  $\leftarrow$  ... in  
     $\pi_9$ : (let w  $\leftarrow$  (append y z) in  
       $\pi_{10}$ : (let a  $\leftarrow$  (cdr w) in  
         $\pi_{11}$ : (let b  $\leftarrow$  (car a) in  
           $\pi_{12}$ : (return b))))))  
  (define (append l1 l2)  
     $\pi_1$ : (let test  $\leftarrow$  (null? l1) in  
       $\pi_2$ : (if test  $\pi_3$ : (return l2)  
         $\pi_4$ : (let t1  $\leftarrow$  (cdr l1) in  
           $\pi_5$ : (let rec  $\leftarrow$  (append t1 l2) in  
             $\pi_6$ : (let hd  $\leftarrow$  (car l1) in  
               $\pi_7$ : (let ans  $\leftarrow$  (cons hd rec) in  
                 $\pi_8$ : (return ans))))))
```

## Liveness environments:

```
L1   = ...  
L2   = ...  
...  
L9   = ...  
L10  = ...
```

# Liveness analysis – The big picture

```
 $\pi_{\text{main}}$ : (let z  $\leftarrow$  ... in  
  (let y  $\leftarrow$  ... in  
     $\pi_9$ : (let w  $\leftarrow$  (append y z) in  
       $\pi_{10}$ : (let a  $\leftarrow$  (cdr w) in  
         $\pi_{11}$ : (let b  $\leftarrow$  (car a) in  
           $\pi_{12}$ : (return b))))))  
  (define (append l1 l2)  
     $\pi_1$ : (let test  $\leftarrow$  (null? l1) in  
       $\pi_2$ : (if test  $\pi_3$ : (return l2)  
         $\pi_4$ : (let t1  $\leftarrow$  (cdr l1) in  
           $\pi_5$ : (let rec  $\leftarrow$  (append t1 l2) in  
             $\pi_6$ : (let hd  $\leftarrow$  (car l1) in  
               $\pi_7$ : (let ans  $\leftarrow$  (cons hd rec) in  
                 $\pi_8$ : (return ans))))))
```

**Liveness environments:**

$L_1 = \dots$   
 $L_2 = \dots$   
 $\dots$   
 $L_9 = \dots$   
 $L_{10} = \dots$

**Demand summaries:**

$\sigma_{\text{main}} = \sigma_{\text{all}}$   
 $\sigma_{\text{append}} = \dots$



# Liveness analysis – The big picture

```
 $\pi_{\text{main}}$ : (let z  $\leftarrow$  ... in
  (let y  $\leftarrow$  ... in
     $\pi_9$ : (let w  $\leftarrow$  (append y z) in
       $\pi_{10}$ : (let a  $\leftarrow$  (cdr w) in
         $\pi_{11}$ : (let b  $\leftarrow$  (car a) in
           $\pi_{12}$ : (return b))))))
  (define (append l1 l2)
     $\pi_1$ : (let test  $\leftarrow$  (null? l1) in
       $\pi_2$ : (if test  $\pi_3$ : (return l2)
         $\pi_4$ : (let t1  $\leftarrow$  (cdr l1) in
           $\pi_5$ : (let rec  $\leftarrow$  (append t1 l2) in
             $\pi_6$ : (let hd  $\leftarrow$  (car l1) in
               $\pi_7$ : (let ans  $\leftarrow$  (cons hd rec) in
                 $\pi_8$ : (return ans)))))))))
```

**Liveness environments:**

$L_1 = \dots$   
 $L_2 = \dots$   
 $\dots$   
 $L_9 = \dots$   
 $L_{10} = \dots$

**Demand summaries:**

$\sigma_{\text{main}} = \sigma_{\text{all}}$   
 $\sigma_{\text{append}} = \dots$

**Function summaries:**

# Liveness analysis

- ▶ **GOAL:** Compute Liveness Environment at various program points, statically.

# Liveness analysis

- ▶ **GOAL:** Compute Liveness Environment at various program points, statically.

$\mathcal{L}app(a, \sigma)$  – Liveness environment generated by an *application*  $a$ , given a demand  $\sigma$ .

$\mathcal{L}exp(e, \sigma)$  – Liveness environment before an *expression*  $e$ , given a demand  $\sigma$ .

# Liveness analysis of Expressions

$$\mathcal{L}exp(\textcolor{red}{return\ x}, \sigma) = \{x.\sigma\}$$

# Liveness analysis of Expressions

$$\mathcal{L}exp(\textit{return } x, \sigma) = \{x.\sigma\}$$

$$\mathcal{L}exp(\textit{if } x \textit{ } e_1 \textit{ } e_2, \sigma) = \{x.\epsilon\} \cup \mathcal{L}exp(e_1, \sigma) \cup \mathcal{L}exp(e_2, \sigma)$$

# Liveness analysis of Expressions

$$\mathcal{L}exp(\textit{return } x, \sigma) = \{x.\sigma\}$$

$$\mathcal{L}exp(\textit{if } x \textit{ } e_1 \textit{ } e_2, \sigma) = \{x.\epsilon\} \cup \mathcal{L}exp(e_1, \sigma) \cup \mathcal{L}exp(e_2, \sigma)$$

$$\mathcal{L}exp(\textit{let } x \leftarrow s \textit{ in } e, \sigma) = L \setminus \{x.*\} \cup \mathcal{L}app(s, L(x))$$

where  $L = \mathcal{L}exp(e, \sigma)$

# Liveness analysis of Expressions

$$\mathcal{L}exp(\text{return } x, \sigma) = \{x.\sigma\}$$

$$\mathcal{L}exp(\text{if } x \text{ } e_1 \text{ } e_2, \sigma) = \{x.\epsilon\} \cup \mathcal{L}exp(e_1, \sigma) \cup \mathcal{L}exp(e_2, \sigma)$$

$$\mathcal{L}exp(\text{let } x \leftarrow s \text{ in } e, \sigma) = L \setminus \{x.*\} \cup \mathcal{L}app(s, L(x))$$

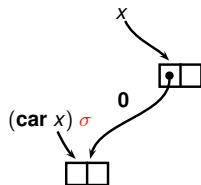
where  $L = \mathcal{L}exp(e, \sigma)$

Notice the similarity with:

$$live_{in}(B) = live_{out}(B) \setminus kill(B) \cup gen(B)$$

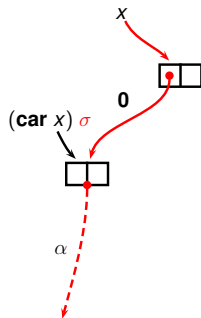
in classical dataflow analysis for imperative languages.

# Liveness analysis of Primitive Applications

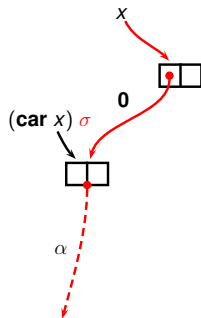




# Liveness analysis of Primitive Applications

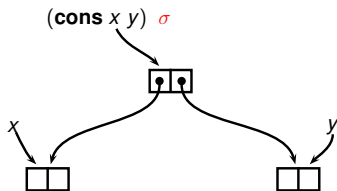


# Liveness analysis of Primitive Applications

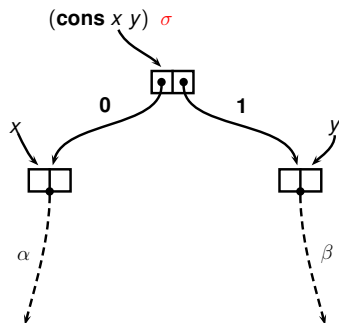


$$\mathcal{L}app((\text{car } x), \sigma) = \{x.\epsilon, x.0\sigma\}$$

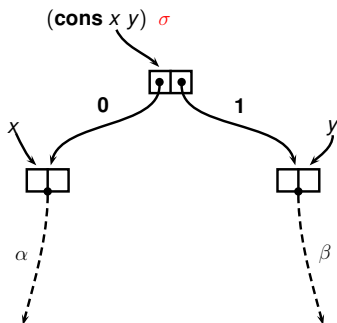
# Liveness analysis of Primitive Applications



# Liveness analysis of Primitive Applications

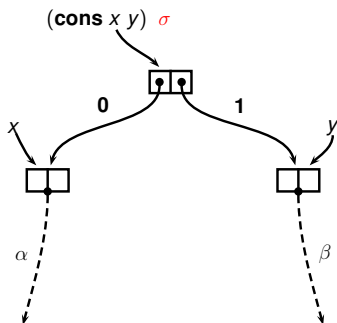


# Liveness analysis of Primitive Applications



$$\mathcal{L}app((\text{cons } x \ y), \sigma) = \{x.\alpha \mid \mathbf{0}\alpha \in \sigma\} \cup \{y.\beta \mid \mathbf{1}\beta \in \sigma\}$$

# Liveness analysis of Primitive Applications

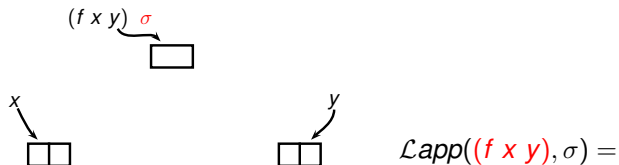


$$\mathcal{L}app((\text{cons } x \ y), \sigma) = \{x.\alpha \mid \mathbf{0}\alpha \in \sigma\} \cup \{y.\beta \mid \mathbf{1}\beta \in \sigma\}$$

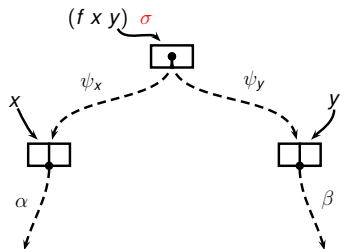
- $\overline{\mathbf{0}}$  – Removal of a leading **0**
- $\overline{\mathbf{1}}$  – Removal of a leading **1**

$$\mathcal{L}app((\text{cons } x \ y), \sigma) = x.\overline{\mathbf{0}}\sigma \cup y.\overline{\mathbf{1}}\sigma$$

# Liveness Analysis of Function Applications



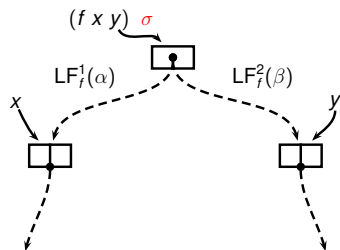
# Liveness Analysis of Function Applications



$$\mathcal{L}app((f \ x \ y), \sigma) = x.\overline{\psi_x}\sigma \cup y.\overline{\psi_y}\sigma$$



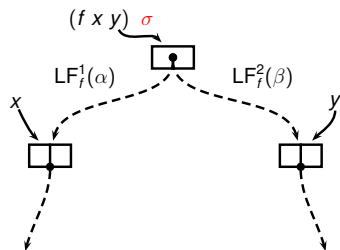
# Liveness Analysis of Function Applications



$$\mathcal{L}app((f \ x \ y), \sigma) = x.LF_f^1(\sigma) \cup y.LF_f^2(\sigma)$$

- We use  $LF_f$ : context independent summary of  $f$ .

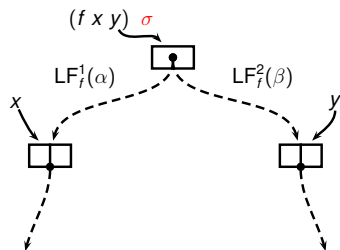
# Liveness Analysis of Function Applications



$$\mathcal{L}app((f \ x \ y), \sigma) = x.LF_f^1(\sigma) \cup y.LF_f^2(\sigma)$$

- ▶ We use  $LF_f$ : context independent summary of  $f$ .
- ▶ To find  $LF_f^i(\dots)$ :
  - ▶ Assume a symbolic demand  $\sigma_{sym}$ .
  - ▶ Let  $e_f$  be the body of  $f$ .
  - ▶ Set  $LF_f^i(\sigma_{sym})$  to  $\mathcal{L}exp(e_f, \sigma_{sym})(x_i)$ .

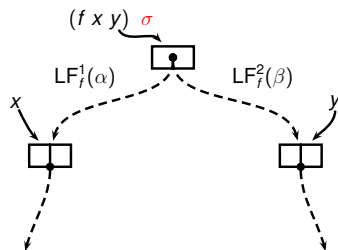
# Liveness Analysis of Function Applications



$$\mathcal{L}app((f \ x \ y), \sigma) = x.LF_f^1(\sigma) \cup y.LF_f^2(\sigma)$$

- ▶ We use  $LF_f$ : context independent summary of  $f$ .
- ▶ To find  $LF_f^i(\dots)$ :
  - ▶ Assume a symbolic demand  $\sigma_{sym}$ .
  - ▶ Let  $e_f$  be the body of  $f$ .
  - ▶ Set  $LF_f^i(\sigma_{sym})$  to  $\mathcal{L}exp(e_f, \sigma_{sym})(x_i)$ .
  - ▶ How to handle recursive calls?

# Liveness Analysis of Function Applications



$$\mathcal{L}app((f \ x \ y), \sigma) = x.LF_f^1(\sigma) \cup y.LF_f^2(\sigma)$$

- ▶ We use  $LF_f$ : context independent summary of  $f$ .
- ▶ To find  $LF_f^i(\dots)$ :
  - ▶ Assume a symbolic demand  $\sigma_{sym}$ .
  - ▶ Let  $e_f$  be the body of  $f$ .
  - ▶ Set  $LF_f^i(\sigma_{sym})$  to  $\mathcal{L}exp(e_f, \sigma_{sym})(x_i)$ .
  - ▶ How to handle recursive calls? Use  $LF_f$  with appropriate demand !!

# Liveness analysis – The big picture

```

 $\pi_{\text{main}}$ : (let z  $\leftarrow$  ... in
  (let y  $\leftarrow$  ... in
     $\pi_9$ : (let w  $\leftarrow$  (append y z) in
       $\pi_{10}$ : (let a  $\leftarrow$  (cdr w) in
         $\pi_{11}$ : (let b  $\leftarrow$  (car a) in
           $\pi_{12}$ : (return b))))))
  )
)

(define (append l1 l2)
   $\pi_1$ : (let test  $\leftarrow$  (null? l1) in
     $\pi_2$ : (if test  $\pi_3$ : (return l2)
       $\pi_4$ : (let t1  $\leftarrow$  (cdr l1) in
         $\pi_5$ : (let rec  $\leftarrow$  (append t1 l2) in
           $\pi_6$ : (let hd  $\leftarrow$  (car l1) in
             $\pi_7$ : (let ans  $\leftarrow$  (cons hd rec) in
               $\pi_8$ : (return ans))))))
    )
  )
)

```

**Liveness environments:**

$$\begin{aligned}
 L_1^{11} &= \{\epsilon\} \cup \mathbf{00}\sigma_{\text{append}} \cup \\
 &\quad \mathbf{1}LF_{\text{append}}^1(\bar{\mathbf{1}}\sigma_{\text{append}}) \\
 L_1^{12} &= \sigma \cup LF_{\text{append}}^2(\bar{\mathbf{1}}\sigma_{\text{append}}) \\
 &\vdots \\
 L_9^Y &= LF_{\text{append}}^1(\{\epsilon, \mathbf{1}\} \cup \mathbf{10}\sigma_{\text{all}})
 \end{aligned}$$

**Demand summaries:**

**Function summaries:**

$$\begin{aligned}
 LF_{\text{append}}^1(\sigma) &= \{\epsilon\} \cup \mathbf{00}\sigma \cup \\
 &\quad \mathbf{1}LF_{\text{append}}^1(\bar{\mathbf{1}}\sigma) \\
 LF_{\text{append}}^2(\sigma) &= \sigma \cup LF_{\text{append}}^2(\bar{\mathbf{1}}\sigma)
 \end{aligned}$$

# Liveness analysis – The big picture

```

 $\pi_{\text{main}}$ : (let z  $\leftarrow$  ... in
  (let y  $\leftarrow$  ... in
     $\pi_9$ : (let w  $\leftarrow$  (append y z) in
       $\pi_{10}$ : (let a  $\leftarrow$  (cdr w) in
         $\pi_{11}$ : (let b  $\leftarrow$  (car a) in
           $\pi_{12}$ : (return b))))))
  )
)

(define (append l1 l2)
   $\pi_1$ : (let test  $\leftarrow$  (null? l1) in
     $\pi_2$ : (if test  $\pi_3$ : (return l2)
       $\pi_4$ : (let t1  $\leftarrow$  (cdr l1) in
         $\pi_5$ : (let rec  $\leftarrow$  (append t1 l2) in
           $\pi_6$ : (let hd  $\leftarrow$  (car l1) in
             $\pi_7$ : (let ans  $\leftarrow$  (cons hd rec) in
               $\pi_8$ : (return ans))))))
  )
)

```

**Liveness environments:**

$$\begin{aligned}
 L_1^{11} &= \{\epsilon\} \cup \mathbf{00}\sigma_{\text{append}} \cup \\
 &\quad \mathbf{1}LF_{\text{append}}^1(\bar{\mathbf{1}}\sigma_{\text{append}}) \\
 L_1^{12} &= \sigma \cup LF_{\text{append}}^2(\bar{\mathbf{1}}\sigma_{\text{append}}) \\
 &\vdots \\
 L_9^{\bar{y}} &= LF_{\text{append}}^1(\{\epsilon, \mathbf{1}\} \cup \mathbf{10}\sigma_{\text{all}})
 \end{aligned}$$

**Demand summaries:**

**Function summaries:**

$$\begin{aligned}
 LF_{\text{append}}^1(\sigma) &= \{\epsilon\} \cup \mathbf{00}\sigma \cup \\
 &\quad \mathbf{1}LF_{\text{append}}^1(\bar{\mathbf{1}}\sigma) \\
 LF_{\text{append}}^2(\sigma) &= \sigma \cup LF_{\text{append}}^2(\bar{\mathbf{1}}\sigma)
 \end{aligned}$$

# Liveness analysis – The big picture

```

 $\pi_{\text{main}}$ : (let z  $\leftarrow$  ... in
  (let y  $\leftarrow$  ... in
     $\pi_9$ : (let w  $\leftarrow$  (append y z) in
       $\pi_{10}$ : (let a  $\leftarrow$  (cdr w) in
         $\pi_{11}$ : (let b  $\leftarrow$  (car a) in
           $\pi_{12}$ : (return b))))))
  )
)

(define (append l1 l2)
   $\pi_1$ : (let test  $\leftarrow$  (null? l1) in
     $\pi_2$ : (if test  $\pi_3$ : (return l2)
     $\pi_4$ : (let t1  $\leftarrow$  (cdr l1) in
       $\pi_5$ : (let rec  $\leftarrow$  (append t1 l2) in
         $\pi_6$ : (let hd  $\leftarrow$  (car l1) in
           $\pi_7$ : (let ans  $\leftarrow$  (cons hd rec) in
             $\pi_8$ : (return ans))))))
  )
)

```

**Liveness environments:**

$$\begin{aligned}
 L_1^{11} &= \{\epsilon\} \cup \mathbf{00}\sigma_{\text{append}} \cup \\
 &\quad \mathbf{1}LF_{\text{append}}^1(\bar{\mathbf{1}}\sigma_{\text{append}}) \\
 L_1^{12} &= \sigma \cup LF_{\text{append}}^2(\bar{\mathbf{1}}\sigma_{\text{append}}) \\
 &\vdots \\
 L_9^Y &= LF_{\text{append}}^1(\{\epsilon, \mathbf{1}\} \cup \mathbf{10}\sigma_{\text{all}})
 \end{aligned}$$

**Demand summaries:**

**Function summaries:**

$$\begin{aligned}
 LF_{\text{append}}^1(\sigma) &= \{\epsilon\} \cup \mathbf{00}\sigma \cup \\
 &\quad \mathbf{1}LF_{\text{append}}^1(\bar{\mathbf{1}}\sigma) \\
 LF_{\text{append}}^2(\sigma) &= \sigma \cup LF_{\text{append}}^2(\bar{\mathbf{1}}\sigma)
 \end{aligned}$$

# Liveness analysis – The big picture

```

 $\pi_{\text{main}}$ : (let z  $\leftarrow$  ... in
  (let y  $\leftarrow$  ... in
     $\pi_9$ : (let w  $\leftarrow$  (append y z) in
       $\pi_{10}$ : (let a  $\leftarrow$  (cdr w) in
         $\pi_{11}$ : (let b  $\leftarrow$  (car a) in
           $\pi_{12}$ : (return b))))))
  )
)

(define (append l1 l2)
   $\pi_1$ : (let test  $\leftarrow$  (null? l1) in
     $\pi_2$ : (if test  $\pi_3$ : (return l2)
     $\pi_4$ : (let t1  $\leftarrow$  (cdr l1) in
       $\pi_5$ : (let rec  $\leftarrow$  (append t1 l2) in
         $\pi_6$ : (let hd  $\leftarrow$  (car l1) in
           $\pi_7$ : (let ans  $\leftarrow$  (cons hd rec) in
             $\pi_8$ : (return ans))))))
  )
)

```

**Liveness environments:**

$$\begin{aligned}
 L_1^{11} &= \{\epsilon\} \cup 00\sigma_{\text{append}} \cup \\
 &\quad 1LF_{\text{append}}^1(\bar{1}\sigma_{\text{append}}) \\
 L_1^{12} &= \sigma \cup LF_{\text{append}}^2(\bar{1}\sigma_{\text{append}}) \\
 &\vdots \\
 L_9^Y &= LF_{\text{append}}^1(\{\epsilon, 1\} \cup 10\sigma_{\text{all}})
 \end{aligned}$$

**Demand summaries:**

**Function summaries:**

$$\begin{aligned}
 LF_{\text{append}}^1(\sigma) &= \{\epsilon\} \cup 00\sigma \cup \\
 &\quad 1LF_{\text{append}}^1(\bar{1}\sigma) \\
 LF_{\text{append}}^2(\sigma) &= \sigma \cup LF_{\text{append}}^2(\bar{1}\sigma)
 \end{aligned}$$



# Liveness analysis – The big picture

```

 $\pi_{\text{main}}:$  (let  $z \leftarrow \dots$  in
  (let  $y \leftarrow \dots$  in
     $\pi_9:$  (let  $w \leftarrow$  (append  $y\ z$ ) in
       $\pi_{10}:$  (let  $a \leftarrow$  (cdr  $w$ ) in
         $\pi_{11}:$  (let  $b \leftarrow$  (car  $a$ ) in
           $\pi_{12}:$  (return  $b$ ))))))
  )
)

(define (append  $l1\ l2$ )
   $\pi_1:$  (let  $\text{test} \leftarrow$  (null?  $l1$ ) in
     $\pi_2:$  (if  $\text{test}$   $\pi_3:$  (return  $l2$ )
       $\pi_4:$  (let  $t1 \leftarrow$  (cdr  $l1$ ) in
         $\pi_5:$  (let  $\text{rec} \leftarrow$  (append  $t1\ l2$ ) in
           $\pi_6:$  (let  $\text{hd} \leftarrow$  (car  $l1$ ) in
             $\pi_7:$  (let  $\text{ans} \leftarrow$  (cons  $\text{hd}\ \text{rec}$ ) in
               $\pi_8:$  (return  $\text{ans}$ ))))))
  )
)

```

Diagram illustrating the liveness analysis of the `append` function. Red arrows indicate the flow of liveness information from the return value back to the arguments and then to the callers. The arrows are labeled with  $\sigma$  and  $\bar{1}\sigma$ , representing the liveness environment at different points in the execution.

**Liveness environments:**

$$\begin{aligned}
 L_1^{11} &= \{\epsilon\} \cup 00\sigma_{\text{append}} \cup 1LF_{\text{append}}^1(\bar{1}\sigma_{\text{append}}) \\
 L_1^{12} &= \sigma \cup LF_{\text{append}}^2(\bar{1}\sigma_{\text{append}}) \\
 &\vdots \\
 L_9^{\bar{Y}} &= LF_{\text{append}}^1(\{\epsilon, 1\} \cup 10\sigma_{\text{all}})
 \end{aligned}$$

**Demand summaries:**

**Function summaries:**

$$\begin{aligned}
 LF_{\text{append}}^1(\sigma) &= \{\epsilon\} \cup 00\sigma \cup 1LF_{\text{append}}^1(\bar{1}\sigma) \\
 LF_{\text{append}}^2(\sigma) &= \sigma \cup LF_{\text{append}}^2(\bar{1}\sigma)
 \end{aligned}$$

# Liveness analysis – The big picture

```

 $\pi_{\text{main}}:$  (let  $z \leftarrow \dots$  in
  (let  $y \leftarrow \dots$  in
     $\pi_9:$  (let  $w \leftarrow$  (append  $y\ z$ ) in
       $\pi_{10}:$  (let  $a \leftarrow$  (cdr  $w$ ) in
         $\pi_{11}:$  (let  $b \leftarrow$  (car  $a$ ) in
           $\pi_{12}:$  (return  $b$ ))))))
  )
)

(define (append  $l1\ l2$ )
   $\pi_1:$  (let  $\text{test} \leftarrow$  (null?  $l1$ ) in
     $\pi_2:$  (if  $\text{test}$   $\pi_3:$  (return  $l2$ )
       $\pi_4:$  (let  $t1 \leftarrow$  (cdr  $l1$ ) in
         $\pi_5:$  (let  $\text{rec} \leftarrow$  (append  $t1\ l2$ ) in
           $\pi_6:$  (let  $\text{hd} \leftarrow$  (car  $l1$ ) in
             $\pi_7:$  (let  $\text{ans} \leftarrow$  (cons  $\text{hd}\ \text{rec}$ ) in
               $\pi_8:$  (return  $\text{ans}$ ))))))
  )
)

```

Red annotations in the code above:  $\sigma$  points to the  $\text{rec}$  binding in  $\pi_5$ ;  $\text{LF}_{\text{append}}^2(\bar{1}\sigma)$  points to the  $\text{rec}$  argument in  $\pi_5$ ;  $\bar{1}\sigma$  points to the  $\text{rec}$  argument in  $\pi_7$ .

**Liveness environments:**

$$\begin{aligned}
 L_1^{11} &= \{\epsilon\} \cup \mathbf{00}\sigma_{\text{append}} \cup \mathbf{1LF}_{\text{append}}^1(\bar{1}\sigma_{\text{append}}) \\
 L_1^{12} &= \sigma \cup \text{LF}_{\text{append}}^2(\bar{1}\sigma_{\text{append}}) \\
 \vdots \\
 L_9^{\bar{Y}} &= \text{LF}_{\text{append}}^1(\{\epsilon, \mathbf{1}\} \cup \mathbf{10}\sigma_{\text{all}})
 \end{aligned}$$

**Demand summaries:**

**Function summaries:**

$$\begin{aligned}
 \text{LF}_{\text{append}}^1(\sigma) &= \{\epsilon\} \cup \mathbf{00}\sigma \cup \mathbf{1LF}_{\text{append}}^1(\bar{1}\sigma) \\
 \text{LF}_{\text{append}}^2(\sigma) &= \sigma \cup \text{LF}_{\text{append}}^2(\bar{1}\sigma)
 \end{aligned}$$

# Liveness analysis – Demand Summary

$$\sigma_{\text{main}} = \sigma_{\text{all}}$$

```

 $\pi_{\text{main}}$ : (let z  $\leftarrow$  ... in
  (let y  $\leftarrow$  ... in
     $\pi_9$ : (let w  $\leftarrow$  (append y z) in
       $\pi_{10}$ : (let a  $\leftarrow$  (cdr w) in
         $\pi_{11}$ : (let b  $\leftarrow$  (car a) in
           $\pi_{12}$ : (return b))))))
  
```

```

(define (append l1 l2)
   $\pi_1$ : (let test  $\leftarrow$  (null? l1) in
     $\pi_2$ : (if test  $\pi_3$ : (return l2)
     $\pi_4$ : (let t1  $\leftarrow$  (cdr l1) in
       $\pi_5$ : (let rec  $\leftarrow$  (append t1 l2) in
         $\pi_6$ : (let hd  $\leftarrow$  (car l1) in
           $\pi_7$ : (let ans  $\leftarrow$  (cons hd rec) in
             $\pi_8$ : (return ans))))))
  
```

**Liveness environments:**

$$\begin{aligned}
 L_1^{11} &= \{\epsilon\} \cup 00\bar{\sigma}_{\text{append}} \cup 1LF_{\text{append}}^1(\bar{1}\sigma_{\text{append}}) \\
 L_1^{12} &= \sigma \cup LF_{\text{append}}^2(\bar{1}\sigma_{\text{append}}) \\
 &\vdots \\
 L_9^{\bar{y}} &= LF_{\text{append}}^1(\{\epsilon, 1\} \cup 10\sigma_{\text{all}})
 \end{aligned}$$

**Demand summaries:**

**Function summaries:**

$$\begin{aligned}
 LF_{\text{append}}^1(\sigma) &= \{\epsilon\} \cup 00\bar{\sigma} \cup 1LF_{\text{append}}^1(\bar{1}\sigma) \\
 LF_{\text{append}}^2(\sigma) &= \sigma \cup LF_{\text{append}}^2(\bar{1}\sigma)
 \end{aligned}$$

# Liveness analysis – Demand Summary

$\sigma_{\text{main}} = \sigma_{\text{all}}$   
 $\pi_{\text{main}}: (\text{let } z \leftarrow \dots \text{ in}$   
 $\quad (\text{let } y \leftarrow \dots \text{ in}$   
 $\quad \quad \pi_9: (\text{let } w \leftarrow (\text{append } y \ z) \text{ in}$   
 $\quad \quad \pi_{10}: (\text{let } a \leftarrow (\text{cdr } w) \text{ in}$   
 $\quad \quad \pi_{11}: (\text{let } b \leftarrow (\text{car } a) \text{ in}$   
 $\quad \quad \pi_{12}: (\text{return } b))))))$

$(\text{define } (\text{append } l1 \ l2)$   
 $\pi_1: (\text{let } \text{test} \leftarrow (\text{null? } l1) \text{ in}$   
 $\pi_2: (\text{if } \text{test} \ \pi_3: (\text{return } l2)$   
 $\pi_4: (\text{let } t1 \leftarrow (\text{cdr } l1) \text{ in}$   
 $\pi_5: (\text{let } \text{rec} \leftarrow (\text{append } t1 \ l2) \text{ in}$   
 $\pi_6: (\text{let } \text{hd} \leftarrow (\text{car } l1) \text{ in}$   
 $\pi_7: (\text{let } \text{ans} \leftarrow (\text{cons } \text{hd } \text{rec}) \text{ in}$   
 $\pi_8: (\text{return } \text{ans}))))))$

**Liveness environments:**

$$\begin{aligned}
 L_1^{11} &= \{\epsilon\} \cup 00\bar{\sigma}_{\text{append}} \cup \\
 &\quad 1LF_{\text{append}}^1(\bar{1}\sigma_{\text{append}}) \\
 L_1^{12} &= \sigma \cup LF_{\text{append}}^2(\bar{1}\sigma_{\text{append}}) \\
 &\vdots \\
 L_9^{\bar{y}} &= LF_{\text{append}}^1(\{\epsilon, 1\} \cup 10\sigma_{\text{all}})
 \end{aligned}$$

**Demand summaries:**

**Function summaries:**

$$\begin{aligned}
 LF_{\text{append}}^1(\sigma) &= \{\epsilon\} \cup 00\bar{\sigma} \cup \\
 &\quad 1LF_{\text{append}}^1(\bar{1}\sigma) \\
 LF_{\text{append}}^2(\sigma) &= \sigma \cup LF_{\text{append}}^2(\bar{1}\sigma)
 \end{aligned}$$

# Liveness analysis – Demand Summary

$\sigma_{\text{main}} = \sigma_{\text{all}}$   
 $\pi_{\text{main}}: (\text{let } z \leftarrow \dots \text{ in}$   
 $\quad (\text{let } y \leftarrow \dots \text{ in}$   
 $\quad \quad \pi_9: (\text{let } w \leftarrow (\text{append } y \ z) \text{ in}$   
 $\quad \quad \pi_{10}: (\text{let } a \leftarrow (\text{cdr } w) \text{ in}$   
 $\quad \quad \pi_{11}: (\text{let } b \leftarrow (\text{car } a) \text{ in}$   
 $\quad \quad \pi_{12}: (\text{return } b))))))$

$\sigma_{\text{append}} = \sigma_1 \cup \dots$   
 $(\text{define } (\text{append } l1 \ l2)$   
 $\quad \pi_1: (\text{let } \text{test} \leftarrow (\text{null? } l1) \text{ in}$   
 $\quad \pi_2: (\text{if } \text{test} \ \pi_3: (\text{return } l2)$   
 $\quad \pi_4: (\text{let } t1 \leftarrow (\text{cdr } l1) \text{ in}$   
 $\quad \pi_5: (\text{let } \text{rec} \leftarrow (\text{append } t1 \ l2) \text{ in}$   
 $\quad \pi_6: (\text{let } \text{hd} \leftarrow (\text{car } l1) \text{ in}$   
 $\quad \pi_7: (\text{let } \text{ans} \leftarrow (\text{cons } \text{hd } \text{rec}) \text{ in}$   
 $\quad \pi_8: (\text{return } \text{ans}))))))$

**Liveness environments:**

$$\begin{aligned}
 L_1^{11} &= \{\epsilon\} \cup 00\bar{\sigma}_{\text{append}} \cup \\
 &\quad 1LF_{\text{append}}^1(\bar{1}\sigma_{\text{append}}) \\
 L_1^{12} &= \sigma \cup LF_{\text{append}}^2(\bar{1}\sigma_{\text{append}}) \\
 &\vdots \\
 L_9^{\bar{y}} &= LF_{\text{append}}^1(\{\epsilon, 1\} \cup 10\sigma_{\text{all}})
 \end{aligned}$$

**Demand summaries:**

**Function summaries:**

$$\begin{aligned}
 LF_{\text{append}}^1(\sigma) &= \{\epsilon\} \cup 00\bar{\sigma} \cup \\
 &\quad 1LF_{\text{append}}^1(\bar{1}\sigma) \\
 LF_{\text{append}}^2(\sigma) &= \sigma \cup LF_{\text{append}}^2(\bar{1}\sigma)
 \end{aligned}$$

# Liveness analysis – Demand Summary

$\sigma_{\text{main}} = \sigma_{\text{all}}$   
 $\pi_{\text{main}}: (\text{let } z \leftarrow \dots \text{ in}$   
 $\quad (\text{let } y \leftarrow \dots \text{ in}$   
 $\quad \quad \pi_9: (\text{let } w \leftarrow (\text{append } y \ z) \text{ in}$   
 $\quad \quad \pi_{10}: (\text{let } a \leftarrow (\text{cdr } w) \text{ in}$   
 $\quad \quad \pi_{11}: (\text{let } b \leftarrow (\text{car } a) \text{ in}$   
 $\quad \quad \pi_{12}: (\text{return } b))))))$

$\sigma_{\text{append}} = \sigma_1 \cup \dots$   
 $(\text{define } (\text{append } l1 \ l2)$   
 $\quad \pi_1: (\text{let } test \leftarrow (\text{null? } l1) \text{ in}$   
 $\quad \pi_2: (\text{if } test \ \pi_3: (\text{return } l2)$   
 $\quad \pi_4: (\text{let } t1 \leftarrow (\text{cdr } l1) \text{ in}$   
 $\quad \pi_5: (\text{let } rec \leftarrow (\text{append } t1 \ l2) \text{ in}$   
 $\quad \pi_6: (\text{let } hd \leftarrow (\text{car } l1) \text{ in}$   
 $\quad \pi_7: (\text{let } ans \leftarrow (\text{cons } hd \ rec) \text{ in}$   
 $\quad \pi_8: (\text{return } ans))))))$

**Liveness environments:**

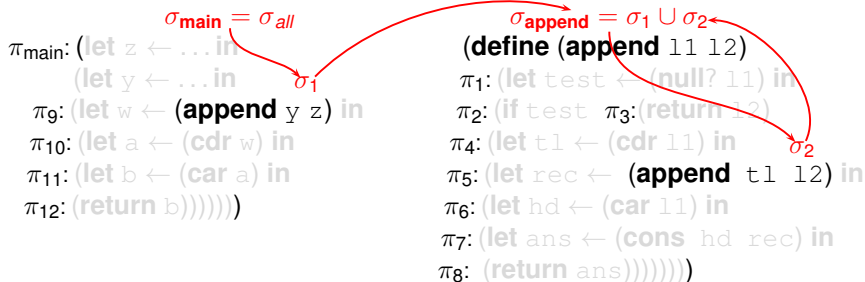
$$\begin{aligned}
 L_1^{11} &= \{\epsilon\} \cup 00\bar{\sigma}_{\text{append}} \cup \\
 &\quad 1LF_{\text{append}}^1(\bar{1}\sigma_{\text{append}}) \\
 L_1^{12} &= \sigma \cup LF_{\text{append}}^2(\bar{1}\sigma_{\text{append}}) \\
 &\vdots \\
 L_9^{\bar{y}} &= LF_{\text{append}}^1(\{\epsilon, 1\} \cup 10\sigma_{\text{all}})
 \end{aligned}$$

**Demand summaries:**

**Function summaries:**

$$\begin{aligned}
 LF_{\text{append}}^1(\sigma) &= \{\epsilon\} \cup 00\bar{\sigma} \cup \\
 &\quad 1LF_{\text{append}}^1(\bar{1}\sigma) \\
 LF_{\text{append}}^2(\sigma) &= \sigma \cup LF_{\text{append}}^2(\bar{1}\sigma)
 \end{aligned}$$

# Liveness analysis – Demand Summary



**Liveness environments:**

$$\begin{aligned}
 L_1^{11} &= \{\epsilon\} \cup 00\bar{\sigma}_{\text{append}} \cup \\
 &\quad 1LF_{\text{append}}^1(\bar{1}\sigma_{\text{append}}) \\
 L_1^{12} &= \sigma \cup LF_{\text{append}}^2(\bar{1}\sigma_{\text{append}}) \\
 &\vdots \\
 L_9^{\bar{y}} &= LF_{\text{append}}^1(\{\epsilon, 1\} \cup 10\sigma_{\text{all}})
 \end{aligned}$$

**Demand summaries:**

**Function summaries:**

$$\begin{aligned}
 LF_{\text{append}}^1(\sigma) &= \{\epsilon\} \cup 00\bar{\sigma} \cup \\
 &\quad 1LF_{\text{append}}^1(\bar{1}\sigma) \\
 LF_{\text{append}}^2(\sigma) &= \sigma \cup LF_{\text{append}}^2(\bar{1}\sigma)
 \end{aligned}$$

# Liveness analysis – Demand Summary

```

 $\pi_{\text{main}}$ : (let z  $\leftarrow$  ... in
  (let y  $\leftarrow$  ... in
     $\pi_9$ : (let w  $\leftarrow$  (append y z) in
       $\pi_{10}$ : (let a  $\leftarrow$  (cdr w) in
         $\pi_{11}$ : (let b  $\leftarrow$  (car a) in
           $\pi_{12}$ : (return b))))))
  
```

```

  (define (append l1 l2)
     $\pi_1$ : (let test  $\leftarrow$  (null? l1) in
       $\pi_2$ : (if test  $\pi_3$ : (return l2)
         $\pi_4$ : (let tl  $\leftarrow$  (cdr l1) in
           $\pi_5$ : (let rec  $\leftarrow$  (append tl l2) in
             $\pi_6$ : (let hd  $\leftarrow$  (car l1) in
               $\pi_7$ : (let ans  $\leftarrow$  (cons hd rec) in
                 $\pi_8$ : (return ans))))))
    )
  
```

**Liveness environments:**

$$\begin{aligned}
 L_1^{11} &= \{\epsilon\} \cup 00\bar{\sigma}_{\text{append}} \cup \\
 &\quad 1LF_{\text{append}}^1(\bar{1}\sigma_{\text{append}}) \\
 L_1^{12} &= \sigma \cup LF_{\text{append}}^2(\bar{1}\sigma_{\text{append}}) \\
 \vdots \\
 L_9^{\bar{y}} &= LF_{\text{append}}^1(\{\epsilon, 1\} \cup 10\sigma_{\text{all}})
 \end{aligned}$$

**Demand summaries:**

$$\begin{aligned}
 \sigma_{\text{main}} &= \sigma_{\text{all}} \\
 \sigma_{\text{append}} &= \{\epsilon, 1\} \cup 10\sigma_{\text{all}} \\
 &\quad \cup \bar{1}\sigma_{\text{append}}
 \end{aligned}$$

**Function summaries:**

$$\begin{aligned}
 LF_{\text{append}}^1(\sigma) &= \{\epsilon\} \cup 00\bar{\sigma} \cup \\
 &\quad 1LF_{\text{append}}^1(\bar{1}\sigma) \\
 LF_{\text{append}}^2(\sigma) &= \sigma \cup LF_{\text{append}}^2(\bar{1}\sigma)
 \end{aligned}$$



# Obtaining a closed form solution for LF

- ▶ Function summaries will always have the form:

$$\text{LF}_f^i(\sigma) = \text{I}_f^i \cup \text{D}_f^i \sigma$$

# Obtaining a closed form solution for LF

- ▶ Function summaries will always have the form:

$$\text{LF}_f^i(\sigma) = \text{I}_f^i \cup \text{D}_f^i \sigma$$

- ▶ Consider the equation for  $\text{LF}_{\text{append}}^1$

$$\text{LF}_{\text{append}}^1(\sigma) = \{\epsilon\} \cup \mathbf{00}\bar{\sigma} \cup \mathbf{1}\text{LF}_{\text{append}}^1(\bar{\mathbf{1}}\sigma)$$

# Obtaining a closed form solution for LF

- ▶ Function summaries will always have the form:

$$LF_f^i(\sigma) = I_f^i \cup D_f^i \sigma$$

- ▶ Consider the equation for  $LF_{\text{append}}^1$

$$LF_{\text{append}}^1(\sigma) = \{\epsilon\} \cup \mathbf{00}\sigma \cup \mathbf{1}LF_{\text{append}}^1(\bar{\mathbf{1}}\sigma)$$

- ▶ Substitute the assumed form in the equation:

$$I_{\text{append}}^1 \cup D_{\text{append}}^1 \sigma = \{\epsilon\} \cup \mathbf{00}\sigma \cup \mathbf{1}(I_{\text{append}}^1 \cup D_{\text{append}}^1 \bar{\mathbf{1}}\sigma)$$

- ▶ Equating the terms without and with  $\sigma$ , we get:

$$\begin{aligned} I_{\text{append}}^1 &= \{\epsilon\} \cup \mathbf{1}I_{\text{append}}^1 \\ D_{\text{append}}^1 &= \mathbf{00} \cup \mathbf{1}D_{\text{append}}^1 \bar{\mathbf{1}} \end{aligned}$$

# Summary of Analysis Results

## Liveness at program points:

$$L_1^{11} = \{\epsilon\} \cup 00\sigma \cup \\ 1(l_{\text{append}}^1 \cup D_{\text{append}}^1 \bar{1}\sigma_{\text{append}})$$

$$L_1^{12} = \{\epsilon\} \cup l_{\text{append}}^2 \\ \cup D_{\text{append}}^2 \bar{1}\sigma_{\text{append}}$$

$$L_5^{11} = \{\epsilon\} \cup 00\sigma_{\text{append}}$$

$$L_5^{t1} = l_{\text{append}}^1 \cup D_{\text{append}}^1 \bar{1}\sigma_{\text{append}}$$

$$L_5^{12} = l_{\text{append}}^2 \cup D_{\text{append}}^2 \bar{1}\sigma_{\text{append}}$$

...

## Demand summaries:

$$\sigma_{\text{append}} = \{\epsilon, 1\} \cup \bar{1}\sigma_{\text{append}} \\ \cup 10\sigma_{\text{all}}$$

## Function summaries:

$$l_{\text{append}}^1 = \{\epsilon\} \cup 1l_{\text{append}}^1$$

$$D_{\text{append}}^1 = 00 \cup 1D_{\text{append}}^1 \bar{1}$$

$$l_{\text{append}}^2 = l_{\text{append}}^2$$

$$D_{\text{append}}^2 = \{\epsilon\} \cup D_{\text{append}}^2 \bar{0}$$

# Solution of the equations

View the equations as grammar rules:

$$\begin{aligned}L_1^{11} &\rightarrow \epsilon \mid \mathbf{00}\bar{\sigma} \mid \mathbf{1}(\mathbf{l}_{\text{append}}^1 \mid \mathbf{D}_{\text{append}}^1 \bar{\mathbf{1}}\sigma_{\text{append}}) \\ \mathbf{l}_{\text{append}}^1 &\rightarrow \epsilon \mid \mathbf{1l}_{\text{append}}^1 \\ \mathbf{D}_{\text{append}}^1 &\rightarrow \mathbf{00} \mid \mathbf{1D}_{\text{append}}^1 \bar{\mathbf{1}}\end{aligned}$$

The solution of  $L_1^{11}$  is the language  $\mathcal{L}(L_1^{11})$  generated by it.

# Working of Liveness-based GC (Mark phase)

- ▶ GC invoked at a program point  $\pi$
- ▶ GC traverses a path  $\alpha$  starting from a root variable  $x$ .
- ▶ GC consults  $L_\pi^x$ :
  - ▶ Does  $\alpha \in \mathcal{L}(L_\pi^x)$  ?
  - ▶ If yes, then mark the current cell

# Working of Liveness-based GC (Mark phase)

- ▶ GC invoked at a program point  $\pi$
- ▶ GC traverses a path  $\alpha$  starting from a root variable  $x$ .
- ▶ GC consults  $L_\pi^x$ :
  - ▶ Does  $\alpha \in \mathcal{L}(L_\pi^x)$  ?
  - ▶ If yes, then mark the current cell
- ▶ Note that  $\alpha$  is a *forward*-only access path
  - ▶ consisting only of edges **0** and **1**, but not  $\bar{0}$  or  $\bar{1}$
  - ▶ But  $\mathcal{L}(L_\pi^x)$  has access paths marked with  $\bar{0}\bar{1}$  for **0/1** removal arising from the **cons** rule.

## $\overline{0}/\overline{1}$ handling

- ▶ **0** removal from a set of access paths:

$$\alpha_1 \overline{00} \alpha_2 \hookrightarrow \alpha_1 \alpha_2$$

$$\alpha_1 \overline{01} \alpha_2 \hookrightarrow \text{drop } \alpha_1 \overline{01} \alpha_2 \text{ from the set}$$

- ▶ **1** removal from a set of access paths:

$$\alpha_1 \overline{11} \alpha_2 \hookrightarrow \alpha_1 \alpha_2$$

$$\alpha_1 \overline{10} \alpha_2 \hookrightarrow \text{drop } \alpha_1 \overline{10} \alpha_2 \text{ from the set}$$



# GC decision problem

- ▶ Deciding the membership in a CFG augmented with a fixed set of unrestricted productions.

$$\overline{00} \rightarrow \epsilon$$

$$\overline{11} \rightarrow \epsilon$$

- ▶ The problem shown to be undecidable<sup>1</sup>.
  - ▶ Reduction from Halting problem.

---

<sup>1</sup>Prasanna, Sanyal, and Karkare. *Liveness-Based Garbage Collection for Lazy Languages*, ISMM 2016.

# Practical $\overline{0}/\overline{1}$ simplification

- ▶ The simplification is possible to do on a finite state automaton.
- ▶ Over-approximate the CFG by an automaton (Mohri-Nederhoff transformation).
- ▶ Perform  $\overline{0}/\overline{1}$  removal on the automaton.

# Example

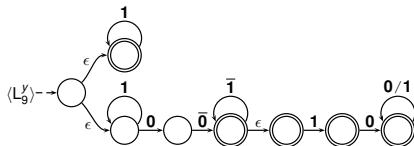
Grammar for  $L_9^y$

$$\begin{aligned}L_9^y &\rightarrow l_{\text{append}}^1 \mid D_{\text{append}}^1(\epsilon \mid \mathbf{1} \mid \mathbf{10}\sigma_{\text{all}}) \\l_{\text{append}}^1 &\rightarrow \epsilon \mid \mathbf{1}l_{\text{append}}^1 \\D_{\text{append}}^1 &\rightarrow \mathbf{00} \mid \mathbf{1}D_{\text{append}}^1\bar{\mathbf{1}} \\\sigma_{\text{all}} &\rightarrow \epsilon \mid \mathbf{0}\sigma_{\text{all}} \mid \mathbf{1}\sigma_{\text{all}}\end{aligned}$$

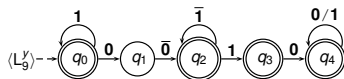
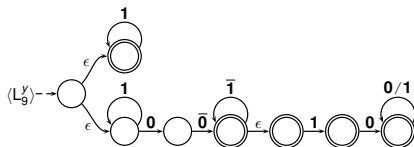
After Mohri-Nederhoff transformation

$$\begin{aligned}L_9^y &\rightarrow l_{\text{append}}^1 \mid D_{\text{append}}^1(\epsilon \mid \mathbf{1} \mid \mathbf{10}\sigma_{\text{all}}) \\l_{\text{append}}^1 &\rightarrow \epsilon \mid \mathbf{1}l_{\text{append}}^1 \\D_{\text{append}}^1 &\rightarrow \mathbf{00}\hat{D}_{\text{append}}^1 \mid \mathbf{1}D_{\text{append}}^1 \\\hat{D}_{\text{append}}^1 &\rightarrow \bar{\mathbf{1}}\hat{D}_{\text{append}}^1 \mid \epsilon \\\sigma_{\text{all}} &\rightarrow \epsilon \mid \mathbf{0}\sigma_{\text{all}} \mid \mathbf{1}\sigma_{\text{all}}\end{aligned}$$

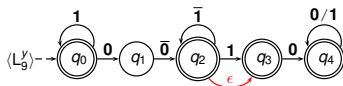
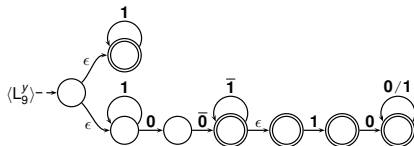
# Automaton for $L_9^y$



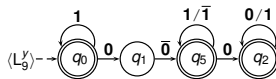
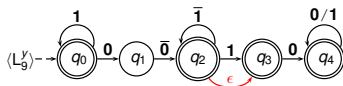
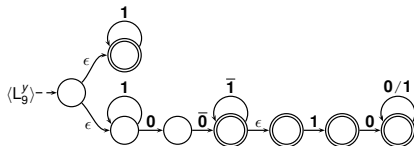
# Automaton for $L_9^y$



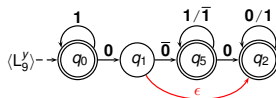
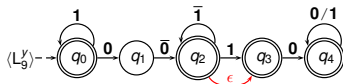
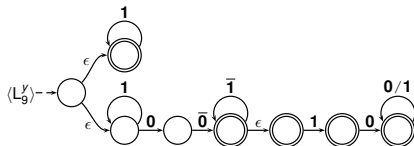
# Automaton for $L_9^y$



# Automaton for $L_9^y$

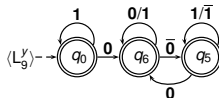
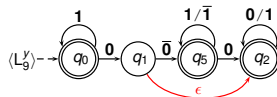
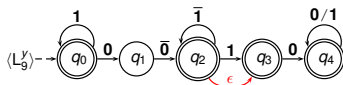
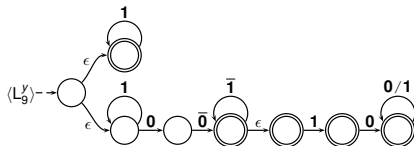


# Automaton for $L_9^y$

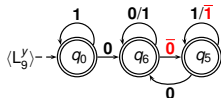
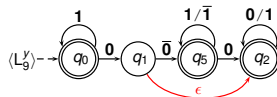
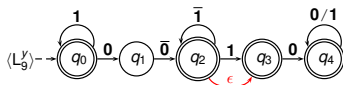
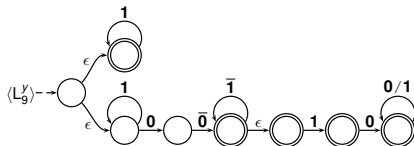




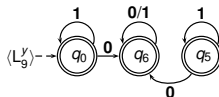
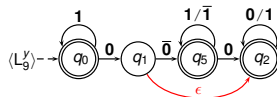
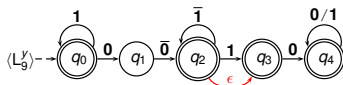
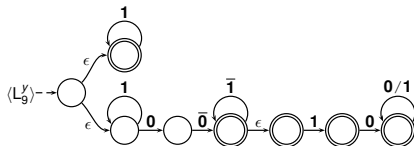
# Automaton for $L_9^y$



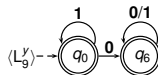
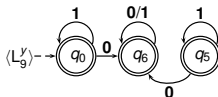
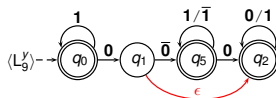
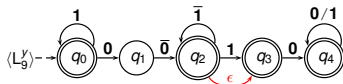
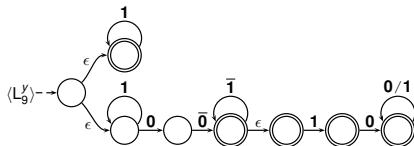
# Automaton for $L_9^y$



# Automaton for $L_9^y$



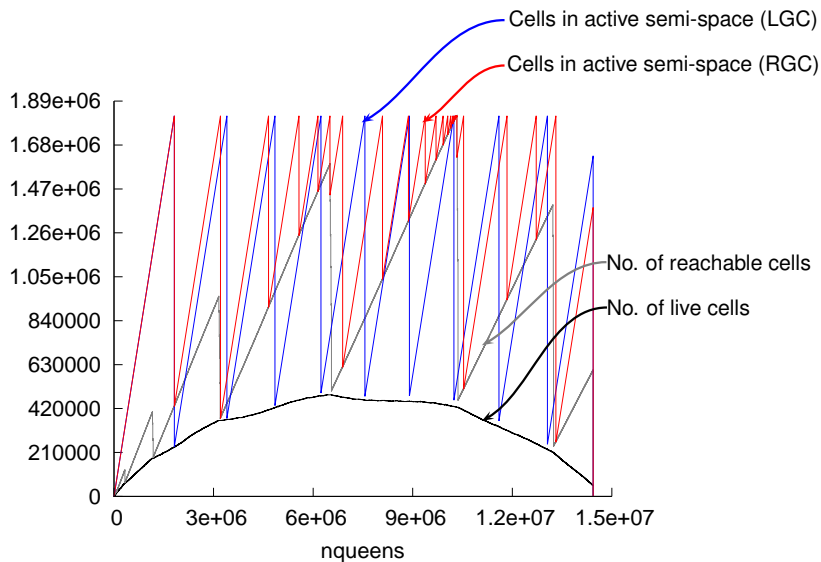
# Automaton for $L_9^y$



# Experimental Setup

- ▶ Built a prototype consisting of:
  - ▶ An ANF-scheme interpreter
  - ▶ Liveness analyzer
  - ▶ A single-generation copying collector.
- ▶ The collector optionally uses liveness
  - ▶ Marks a link during GC only if it is live.
- ▶ Benchmark programs are mostly from the no-fib suite.

# GC behavior as a graph



# Results as Tables

## Analysis Performance:

Program	sudoku	lcss	gc_bench	knightstour	treejoin	nqueens	lambda
Time (msec)	120.95	2.19	0.32	3.05	2.61	0.71	20.51
DFA size	4251	726	258	922	737	241	732
Precision(%)	<b>87.5</b>	<b>98.8</b>	<b>99.9</b>	<b>94.3</b>	<b>99.6</b>	<b>98.8</b>	<b>83.8</b>

# Results as Tables

## Garbage collection performance

Program	# Collected cells per GC		#GCs		MinHeap (#cells)		GC time (sec)	
	RGC	LGC	RGC	LGC	RGC	LGC	RGC	LGC
sudoku	490	1306	22	9	1704	589	.028	.122
lc55	46522	51101	8	7	52301	1701	.045	.144
gc_bench	129179	131067	9	9	131071	6	.086	.075
nperm	47586	174478	14	4	202597	37507	1.406	.9
fibheap	249502	251525	1	1	254520	13558	.006	.014
knightstour	2593	314564	1161	10	508225	307092	464.902	14.124
treejoin	288666	519943	2	1	525488	7150	.356	.217
nqueens	283822	1423226	46	9	1819579	501093	70.314	24.811
lambda	205	556	23	8	966	721	.093	2.49

► LGC collects more garbage than RGC.



# Results as Tables

## Garbage collection performance

Program	# Collected cells per GC		#GCs		MinHeap (#cells)		GC time (sec)	
	RGC	LGC	RGC	LGC	RGC	LGC	RGC	LGC
sudoku	490	1306	22	9	1704	589	.028	.122
lc55	46522	51101	8	7	52301	1701	.045	.144
gc_bench	129179	131067	9	9	131071	6	.086	.075
nperm	47586	174478	14	4	202597	37507	1.406	.9
fibheap	249502	251525	1	1	254520	13558	.006	.014
knightstour	2593	314564	1161	10	508225	307092	464.902	14.124
treejoin	288666	519943	2	1	525488	7150	.356	.217
nqueens	283822	1423226	46	9	1819579	501093	70.314	24.811
lambda	205	556	23	8	966	721	.093	2.49

► # collections of LGC no higher than RGC. Often, smaller.

# Results as Tables

## Garbage collection performance

Program	# Collected cells per GC		#GCs		MinHeap (#cells)		GC time (sec)	
	RGC	LGC	RGC	LGC	RGC	LGC	RGC	LGC
sudoku	490	1306	22	9	1704	589	.028	.122
lc55	46522	51101	8	7	52301	1701	.045	.144
gc_bench	129179	131067	9	9	131071	6	.086	.075
nperm	47586	174478	14	4	202597	37507	1.406	.9
fibheap	249502	251525	1	1	254520	13558	.006	.014
knightstour	2593	314564	1161	10	508225	307092	464.902	14.124
treejoin	288666	519943	2	1	525488	7150	.356	.217
nqueens	283822	1423226	46	9	1819579	501093	70.314	24.811
lambda	205	556	23	8	966	721	.093	2.49

- Programs require smaller heaps to execute with LGC.

# Results as Tables

## Garbage collection performance

Program	# Collected cells per GC		#GCs		MinHeap (#cells)		GC time (sec)	
	RGC	LGC	RGC	LGC	RGC	LGC	RGC	LGC
sudoku	490	1306	22	9	1704	589	.028	.122
lcss	46522	51101	8	7	52301	1701	.045	.144
gc_bench	129179	131067	9	9	131071	6	<b>.086</b>	<b>.075</b>
nperm	47586	174478	14	4	202597	37507	<b>1.406</b>	<b>.9</b>
fibheap	249502	251525	1	1	254520	13558	.006	.014
knightstour	2593	314564	1161	10	508225	307092	<b>464.902</b>	<b>14.124</b>
treejoin	288666	519943	2	1	525488	7150	<b>.356</b>	<b>.217</b>
nqueens	283822	1423226	46	9	1819579	501093	<b>70.314</b>	<b>24.811</b>
lambda	205	556	23	8	966	721	.093	2.49

► GC time is smaller for LGC in some cases. . .

# Results as Tables

## Garbage collection performance

Program	# Collected cells per GC		#GCs		MinHeap (#cells)		GC time (sec)	
	RGC	LGC	RGC	LGC	RGC	LGC	RGC	LGC
sudoku	490	1306	22	9	1704	589	<b>.028</b>	<b>.122</b>
lc55	46522	51101	8	7	52301	1701	<b>.045</b>	<b>.144</b>
gc_bench	129179	131067	9	9	131071	6	.086	.075
nperm	47586	174478	14	4	202597	37507	1.406	.9
fibheap	249502	251525	1	1	254520	13558	<b>.006</b>	<b>.014</b>
knightstour	2593	314564	1161	10	508225	307092	464.902	14.124
treejoin	288666	519943	2	1	525488	7150	.356	.217
nqueens	283822	1423226	46	9	1819579	501093	70.314	24.811
lambda	205	556	23	8	966	721	<b>.093</b>	<b>2.49</b>

► ...and larger in some.

# Lazy evaluation

- ▶ An evaluation strategy in which evaluation of an expression is postponed until its value is needed

# Lazy evaluation

- ▶ An evaluation strategy in which evaluation of an expression is postponed until its value is needed
  - ▶ Binding of a variable to an expression **does not force evaluation** of the expression

# Lazy evaluation

- ▶ An evaluation strategy in which evaluation of an expression is postponed until its value is needed
  - ▶ Binding of a variable to an expression **does not force evaluation** of the expression
- ▶ Every expression is evaluated at most once

# Laziness: Example

```
(define (length l)
  (if (null? l)
      return 0
      return (+ 1 (length (cdr l)))))
```

```
(define (main)
  (let a ← ( a BIG closure ) in
    (let b ← (+ a 1) in
      (let c ← (cons b nil) in
        (let w ← (length c) in
          (return w))))))
```



# Handling lazy semantics: Challenges

- ▶ Laziness complicates liveness analysis itself.

# Handling lazy semantics: Challenges

- ▶ Laziness complicates liveness analysis itself.
  - ▶ Data is made live by evaluation of closures

# Handling lazy semantics: Challenges

- ▶ Laziness complicates liveness analysis itself.
  - ▶ Data is made live by evaluation of closures
  - ▶ In lazy languages, the place in the program where this evaluation takes place cannot be statically determined

# Handling lazy semantics: Challenges

- ▶ Laziness complicates liveness analysis itself.
  - ▶ Data is made live by evaluation of closures
  - ▶ In lazy languages, the place in the program where this evaluation takes place cannot be statically determined
- ▶ Liveness-based garbage collector significantly more complicated than that for an eager language.

# Handling lazy semantics: Challenges

- ▶ Laziness complicates liveness analysis itself.
  - ▶ Data is made live by evaluation of closures
  - ▶ In lazy languages, the place in the program where this evaluation takes place cannot be statically determined
- ▶ Liveness-based garbage collector significantly more complicated than that for an eager language.
  - ▶ Need to track liveness of closures

# Handling lazy semantics: Challenges

- ▶ Laziness complicates liveness analysis itself.
  - ▶ Data is made live by evaluation of closures
  - ▶ In lazy languages, the place in the program where this evaluation takes place cannot be statically determined
- ▶ Liveness-based garbage collector significantly more complicated than that for an eager language.
  - ▶ Need to track liveness of closures
  - ▶ But a closure can escape the scope in which it was created

# Handling lazy semantics: Challenges

- ▶ Laziness complicates liveness analysis itself.
  - ▶ Data is made live by evaluation of closures
  - ▶ In lazy languages, the place in the program where this evaluation takes place cannot be statically determined
- ▶ Liveness-based garbage collector significantly more complicated than that for an eager language.
  - ▶ Need to track liveness of closures
  - ▶ But a closure can escape the scope in which it was created
  - ▶ Solution: carry the liveness information in the closure itself

# Handling lazy semantics: Challenges

- ▶ Laziness complicates liveness analysis itself.
  - ▶ Data is made live by evaluation of closures
  - ▶ In lazy languages, the place in the program where this evaluation takes place cannot be statically determined
- ▶ Liveness-based garbage collector significantly more complicated than that for an eager language.
  - ▶ Need to track liveness of closures
  - ▶ But a closure can escape the scope in which it was created
  - ▶ Solution: carry the liveness information in the closure itself
  - ▶ For precision: need to update the liveness information as execution progresses



# Handling possible non-evaluation

- ▶ Liveness no longer remains independent of demand  $\sigma$

# Handling possible non-evaluation

- ▶ Liveness no longer remains independent of demand  $\sigma$ 
  - ▶ If (**car**  $x$ ) is not evaluated at all, it does not generate any liveness for  $x$

# Handling possible non-evaluation

- ▶ Liveness no longer remains independent of demand  $\sigma$ 
  - ▶ If **(car x)** is not evaluated at all, it does not generate any liveness for  $x$
- ▶ Require a new terminal **2** with following semantics

$$\mathbf{2}\sigma \hookrightarrow \begin{cases} \emptyset & \text{if } \sigma = \emptyset \\ \{\epsilon\} & \text{otherwise} \end{cases}$$

$$\mathcal{L}app((\mathbf{car} \ x), \sigma) = x.\{\mathbf{2}, \mathbf{0}\}\sigma$$

# Scope for future work

- ▶ Reducing GC-time.

# Scope for future work

- ▶ Reducing GC-time.
  - ▶ Reducing re-visits to heap nodes.

# Scope for future work

- ▶ Reducing GC-time.
  - ▶ Reducing re-visits to heap nodes.
  - ▶ Basing the implementation on full Scheme, not ANF-Scheme

# Scope for future work

- ▶ Reducing GC-time.
  - ▶ Reducing re-visits to heap nodes.
  - ▶ Basing the implementation on full Scheme, not ANF-Scheme
- ▶ Increasing the scope of the method.

# Scope for future work

- ▶ Reducing GC-time.
  - ▶ Reducing re-visits to heap nodes.
  - ▶ Basing the implementation on full Scheme, not ANF-Scheme
- ▶ Increasing the scope of the method.
  - ▶ Lazy languages. (ISMM 2016)



# Scope for future work

- ▶ Reducing GC-time.
  - ▶ Reducing re-visits to heap nodes.
  - ▶ Basing the implementation on full Scheme, not ANF-Scheme
- ▶ Increasing the scope of the method.
  - ▶ Lazy languages. (ISMM 2016)
  - ▶ Higher order functions.

# Scope for future work

- ▶ Reducing GC-time.
  - ▶ Reducing re-visits to heap nodes.
  - ▶ Basing the implementation on full Scheme, not ANF-Scheme
- ▶ Increasing the scope of the method.
  - ▶ Lazy languages. (ISMM 2016)
  - ▶ Higher order functions.
    - ▶ Specialize all higher order functions (Firstification)

# Scope for future work

- ▶ Reducing GC-time.
  - ▶ Reducing re-visits to heap nodes.
  - ▶ Basing the implementation on full Scheme, not ANF-Scheme
- ▶ Increasing the scope of the method.
  - ▶ Lazy languages. (ISMM 2016)
  - ▶ Higher order functions.
    - ▶ Specialize all higher order functions (Firstification)
    - ▶ Analysis on the firstified program

# Scope for future work

- ▶ Reducing GC-time.
  - ▶ Reducing re-visits to heap nodes.
  - ▶ Basing the implementation on full Scheme, not ANF-Scheme
- ▶ Increasing the scope of the method.
  - ▶ Lazy languages. (ISMM 2016)
  - ▶ Higher order functions.
    - ▶ Specialize all higher order functions (Firstification)
    - ▶ Analysis on the firstified program
    - ▶ For partial applications, carry information about the *base* function

# Scope for future work

- ▶ Reducing GC-time.
  - ▶ Reducing re-visits to heap nodes.
  - ▶ Basing the implementation on full Scheme, not ANF-Scheme
- ▶ Increasing the scope of the method.
  - ▶ Lazy languages. (ISMM 2016)
  - ▶ Higher order functions.
    - ▶ Specialize all higher order functions (Firstification)
    - ▶ Analysis on the firstified program
    - ▶ For partial applications, carry information about the *base* function
- ▶ Using the notion of *demand* for other analysis.

# Scope for future work

- ▶ Reducing GC-time.
  - ▶ Reducing re-visits to heap nodes.
  - ▶ Basing the implementation on full Scheme, not ANF-Scheme
- ▶ Increasing the scope of the method.
  - ▶ Lazy languages. (ISMM 2016)
  - ▶ Higher order functions.
    - ▶ Specialize all higher order functions (Firstification)
    - ▶ Analysis on the firstified program
    - ▶ For partial applications, carry information about the *base* function
- ▶ Using the notion of *demand* for other analysis.
  - ▶ Program Slicing (Under Review as of September 2016)

# Scope for future work

- ▶ Reducing GC-time.
  - ▶ Reducing re-visits to heap nodes.
  - ▶ Basing the implementation on full Scheme, not ANF-Scheme
- ▶ Increasing the scope of the method.
  - ▶ Lazy languages. (ISMM 2016)
  - ▶ Higher order functions.
    - ▶ Specialize all higher order functions (Firstification)
    - ▶ Analysis on the firstified program
    - ▶ For partial applications, carry information about the *base* function
- ▶ Using the notion of *demand* for other analysis.
  - ▶ Program Slicing (Under Review as of September 2016)
  - ▶ Strictness Analysis

# Scope for future work

- ▶ Reducing GC-time.
  - ▶ Reducing re-visits to heap nodes.
  - ▶ Basing the implementation on full Scheme, not ANF-Scheme
- ▶ Increasing the scope of the method.
  - ▶ Lazy languages. (ISMM 2016)
  - ▶ Higher order functions.
    - ▶ Specialize all higher order functions (Firstification)
    - ▶ Analysis on the firstified program
    - ▶ For partial applications, carry information about the *base* function
- ▶ Using the notion of *demand* for other analysis.
  - ▶ Program Slicing (Under Review as of September 2016)
  - ▶ Strictness Analysis
    - ▶ All path problem, requires doing intersection of demands



# Scope for future work

- ▶ Reducing GC-time.
  - ▶ Reducing re-visits to heap nodes.
  - ▶ Basing the implementation on full Scheme, not ANF-Scheme
- ▶ Increasing the scope of the method.
  - ▶ Lazy languages. (ISMM 2016)
  - ▶ Higher order functions.
    - ▶ Specialize all higher order functions (Firstification)
    - ▶ Analysis on the firstified program
    - ▶ For partial applications, carry information about the *base* function
- ▶ Using the notion of *demand* for other analysis.
  - ▶ Program Slicing (Under Review as of September 2016)
  - ▶ Strictness Analysis
    - ▶ All path problem, requires doing intersection of demands
    - ▶  $\Rightarrow$  intersection of CFGs  $\Rightarrow$  under-approximation

# Conclusions

- ▶ Proposed a liveness-based GC scheme.
- ▶ Not covered in this talk:
  - ▶ The soundness of liveness analysis.
  - ▶ Details of undecidability proof.
  - ▶ Details of handling lazy languages.
- ▶ A prototype implementation to demonstrate:
  - ▶ the precision of the analysis.
  - ▶ reduced heap requirement.
  - ▶ reduced GC time for a majority of programs.
- ▶ Unfinished agenda:
  - ▶ Improving GC time for a larger fraction of programs.
  - ▶ Extending scope of the method.