

# Trees

---

A **tree** is a connected simple undirected graph with no simple circuits.

A **forest** is a (not necessarily connected) simple undirected graph with no simple circuits.

## Theorems

---

A graph  $G$  is a **tree** iff there is a **unique** simple path between any two vertices of  $G$ .

---

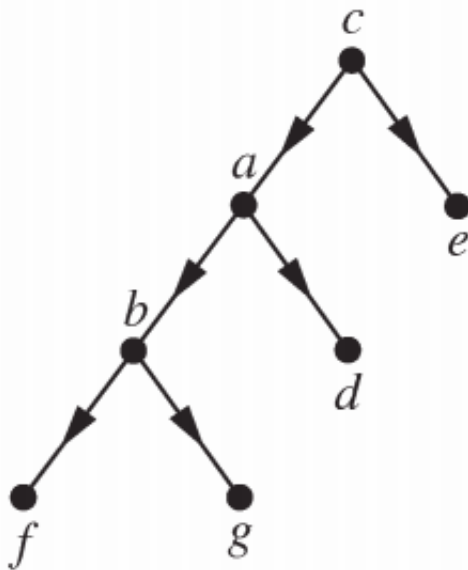
Every tree,  $T = (V, E)$  with  $|V| \geq 2$ , has at least two vertices that have degree 1.

---

Every tree with  $n$  vertices has exactly  $n - 1$  edges.

## Rooted trees

A **rooted tree** is a pair  $(T, r)$  where  $T = (V, E)$  is a tree, and  $r \in V$  is a chosen **root** vertex of the tree. Often, the edges of a rooted tree are viewed as being directed:



For each node  $v \neq r$  the **parent** is the unique vertex  $u$  such that  $(u, v) \in E$ .  $v$  is then called a **child** of  $u$ . Two vertices with the same parent are called **siblings**.

A **leaf** is a vertex with no children. Non-leaves are called **internal vertices**. In the example above,  $f, g, d, e$  are leaves.

The **height** of a rooted tree is the length of the longest directed path from the root to any leaf. In the example above, the height of the graph is **2**.

The **ancestors** of a vertex  $v$  are all vertices  $u \neq v$  such that there is a directed path from  $u$  to  $v$ . In the example above, the ancestors of  $b$  are  $a$  and  $c$ .

The **descendants** of a vertex  $v$  are all vertices  $u \neq v$  such that there is a directed path from  $v$  to  $u$ . In the example above, the descendants of  $c$  are every other vertex in the graph.

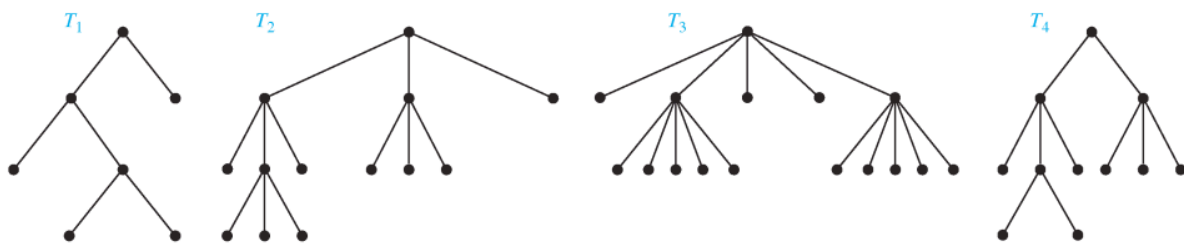
The **subtree** rooted at  $v$ , is the subgraph containing  $v$  and all its descendants, and all directed edges between them.

## m-ary trees

For  $m \geq 1$ , a rooted tree is called a **m-ary tree** if every internal node has at most  $m$  children.

It is called a **full m-ary tree** if every internal node has exactly  $m$  children.

An **m-ary tree** with  $m = 2$  is called a **binary tree**.



$T_1, T_2, T_3$  are **full** binary, 3-ary and 5-ary trees (respectively).  $T_4$  is a **non-full** 3-ary tree, as not every internal node has exactly 3 children.

**Note that the root node is considered an internal node.**

## Counting vertices

### Theorems

$\forall m \geq 1$ , every full **m-ary tree** with  $i$  internal vertices has exactly  $n = m \cdot i + 1$  vertices.

**Proof:** Every vertex other than the root is a child of an internal vertex. There are thus  $m \cdot i$  such children, plus 1 root.

$\forall m \geq 1$  a full **m-ary tree** with:

1.  $n$  vertices has  $i = (n - 1)/m$  internal vertices and  $l = [(m - 1)n + 1]/m$  leaves.
2.  $i$  internal vertices has  $n = m \cdot i + 1$  vertices and  $l = (m - 1)i + 1$  leaves.
3. If  $m \geq 2$ , then if the **m-ary tree** has  $l$  leaves then it has  $n = (ml - 1)/(m - 1)$  vertices and  $i = (l - 1)/(m - 1)$  internal vertices.

**Proof:** All of these can be derived from  $n = l + i$  and  $n = m \cdot i + 1$ .

There are at most  $m^h$  leaves in an **m-ary tree** of height  $h$ .

**Proof:** By induction on  $h \geq 0$ .

If an **m-ary tree** has  $l$  leaves, and  $h$  is its height, then  $h \geq \lceil \log_m l \rceil$ .

