

# The Okounkov-Vershik approach to representations of Symmetric Groups

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## Overview:

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We studied in this course how the RSK correspondence gives us a description of the irreducible representations of the symmetric groups. However the method used was indirect and though it gave us a complete description of the irreducible reps. it did not give any algebraic relation between Young's tableaux and the irreducible reps.

In this approach on the other hand we will see that the correspondence between Young's tableaux and the irreducible representations of the symmetric groups arise from their inductive natures. We will give an explicit isomorphism using the content vectors of the Young's tableaux and the spectrum vectors of the symmetric groups.

In this report first we study the group  $S_n$  and derive some basic properties of its irreducible representations which are derived simply by the relations between its generators. Next we will define the spectrum vectors of  $S_n$  and describe the action of  $S_n$  on it. Next we will define the content vectors for standard Young's tableaux. Finally we will prove the one to one correspondence between the spectrum vectors and the content vectors which is the main result of the paper. The paper goes on to prove a recursive formula for calculating the characters, we will not state this.

## Notations:

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$S_n := n^{th}$  symmetric group  $S_0 := \{0\}$

$S_n^- :=$  equivalence classes of the irreducible representations of  $S_n$  over  $\mathbb{C}$

$s_i := (i \ i + 1)$

$X_i \in \mathbb{C}[S_n]$  and  $X_i := (1 \ i) + (2 \ i) + \dots + (i - 1 \ i)$

$Z_n :=$  center of  $\mathbb{C}[S_n]$

$A_n :=$  subalgebra of  $\mathbb{C}[S_n]$  generated by  $Z_1, Z_2, \dots, Z_n$

$\mathbb{C}[S_n]^H :=$  centralizer of  $H$  in  $S_n$

$Z(l, k) := \mathbb{C}[S_{l+k}]^{S_l}$

## The branching graph of $S_n$

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First we will prove that the branching graph is multiplicity free for  $S_n$ . This will help us to construct a canonical basis for  $S_n^-$  called the GZ-basis.

$\bigcup_{n \geq 0} S_n^-$  is called the **branching graph**.  $S_n^-$  is called the  $n^{th}$  level of the branching graph. There are edges only between two consecutive branching levels. Between  $\mu \in S_n$  and  $\nu \in S_{n+1}$  there are  $k$  edges, where  $k = \dim \text{Hom}_{S_n}(\mu, \nu)$ . This number is well defined thanks to Schur's lemma. If  $k \neq 0$ , we say  $\mu \rightarrow \nu$ . If  $k \leq 1$  for all  $\mu$  and  $\nu$  then we say that the graph is **multiplicity free**.

*Proposition 1.*

$$\mathbb{C}[S_n] = \bigoplus_{\lambda \in S_n^-} \text{End}_{\mathbb{C}}(V^\lambda)$$

Proof:

As direct sums of representations is a representation, we get a map from  $\Phi: \mathbb{C}[S_n] \rightarrow \bigoplus \text{End}_{\mathbb{C}}(V^\lambda)$ . If  $\Phi(x) = \Phi(y)$  for  $x, y \in S_n$  then  $x, y$  should act the same on every representation of  $S_n$  in particular on the regular representation, so  $x = y$ . Finally  $\dim \mathbb{C}[S_n] = \dim \bigoplus \text{End}_{\mathbb{C}}(V^\lambda) = n!..$

*Proposition 2.*

Let  $H \subset G$  and let  $V$  be an irreducible  $G$ -module and  $U$  be an irreducible  $H$ -module. Then,

1. multiplicity of  $U$  in  $V = \dim \text{Hom}_H(U, V)$
2.  $\text{Hom}_H(U, V)$  is an irreducible module of  $\mathbb{C}[G]^H$

Proof:

The first result is just Schur's lemma.

Let  $f, g \in \text{Hom}_H(U, V)$  Fix an element  $u \in U$ . Then there is an  $H$ -intertwiner  $V \rightarrow V$  which takes  $f(u)$  to  $g(u)$  (we can construct this intertwiner by defining it on each  $H$ -invariant subspace of  $V$ ). Composition with this intertwiner gives a map taking  $f$  to  $g$ . Because every possible endomorphism of  $V$  can be represented by an element of  $\mathbb{C}[G]$  we get the irreducibility..

*Proposition 3.*

$\mathbb{C}[S_{n+1}]^{S_n}$  is abelian. The branching graph is multiplicity free.

Proof:

Notice that for any  $\pi \in S_{n+1} \exists \theta \in S_n$  such that  $\theta^{-1}\pi\theta = \pi^{-1}$ . For this let  $(a_0 a_1 \dots a_n)$  be a cycle in disjoint cycle decomposition of  $\pi$  with  $a_0 > a_i \forall i$  then set  $\theta(a_0) = a_0$  and  $\theta(a_i) = a_{n+1-i}$  for  $i > 0$ . Similarly for all other cycles. This permutation does the job, call it  $\theta_\pi$ . We also have  $\theta_{\pi^{-1}} = \theta_\pi^{-1}$ .

By definition,  $\mathbb{C}[S_{n+1}]^{S_n} = \{x \in S_{n+1} \mid h^{-1}xh = x \forall h \in S_n\}$ . So  $\mathbb{C}[S_{n+1}]^{S_n}$  is generated as a vector space by elements of the form  $\sum_{\theta \in S_n} \theta^{-1}\pi\theta$ . So it suffices to prove

$$[\sum_{\theta \in S_n} \theta^{-1} \pi \theta][\sum_{\theta \in S_n} \theta^{-1} \sigma \theta] = [\sum_{\theta \in S_n} \theta^{-1} \sigma \theta][\sum_{\theta \in S_n} \theta^{-1} \pi \theta]$$

For this we give a one to one bijection between the elements of the two sides. It is easy to check that if  $\rho = \theta_1^{-1} \pi \theta_1 \theta_2^{-1} \sigma \theta_2$  then  $\rho = (\theta_2 \theta_\rho)^{-1} \sigma (\theta_2 \theta_\rho) (\theta_1 \theta_\rho)^{-1} \pi (\theta_1 \theta_\rho)$  and because  $\theta_{\pi^{-1}} = \theta_\pi^{-1}$  this is a bijection.

As  $\text{Hom}_{S_n}(U, V)$  is an irreducible representation over  $\mathbb{C}[S_{n+1}]^{S_n}$  for any  $U \in S_n^-$ ,  $V \in S_{n+1}^-$  we get that  $\dim \text{Hom}_{S_n}(U, V) \leq 1$ . So the branching graph is multiplicity free..

If  $\mu \in S_{n+1}^-$  then,

$$\mu = \bigoplus \nu, \text{ direct sum over all } \nu \in S_n^-, \nu \rightarrow \mu$$

Repeating this we obtain a basis for  $\mu$  indexed by all possible chains  $T = \mu_0 \rightarrow \mu_1 \rightarrow \dots \rightarrow \mu_n \rightarrow \mu$ . This basis normalized according to the  $S_{n+1}$  invariant form is called the **GZ-basis**. We will denote these by  $v_T$ .

*Proposition 4.*

$A_n$  is the algebra of all the operators diagonal in the GZ-basis  $\{v_T\}$ . In particular, it is a maximal commutative subalgebra of  $\mathbb{C}[S_n]$ . Each  $v_T$  is uniquely determined by the eigenvalues of the elements of  $A_n$  on it.

Proof:

If  $T = \mu_0 \rightarrow \mu_1 \rightarrow \dots \rightarrow \mu_n$ . Let  $P_{\mu_i}$  denote the projection onto  $\mu_i$ . Then by Schur's lemma and the fact that the branching graph is multiplicity free we get that  $P_{\mu_i} \in Z_i$  so that  $P_T = P_{\mu_0} P_{\mu_1} \dots P_{\mu_n} \in A_n$  which is the projection onto  $v_T$ . The algebra generated by these  $P_T$ 's is the algebra of all operators diagonal in GZ-basis. This is a maximal commutative subalgebra so it must be all of  $A_n$ ..

*Proposition 5.*

$Z(l, k)$  is generated by the elements

1.  $X_{l+1}, X_{l+2}, \dots, X_{l+k}$
2. the group  $S_k$  which permutes the elements  $\{l+1, l+2, \dots, l+k\}$
3.  $Z_l$ .

Proof:

I have not understood the proof of this result..

*Proposition 6.*

$X_1, X_2, \dots, X_n$  generate by  $A_n$ .

Proof:

$Z(n) \subset Z(n-1, 1) = \langle Z(n-1), X_n \rangle$  So by induction we get  $A_n = \langle X_1, X_2, \dots, X_n \rangle$ ..

## The spectrum vectors of $S_n$

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In this section we will define spectrum of  $S_n$  and give a description of the action of  $S_n$  on them. By the end of this section we will have almost proved that the irreducible representations of  $S_n$  are in  $\mathbb{Q}$ .

The GZ-basis  $\{v_T\}$  is the common eigenbasis for the  $X_i$ 's. Define,

$$\alpha_T := \alpha(v_T) := (a_1, a_2, \dots, a_n) \in \mathbb{C}^n \text{ where } X_i v_T = a_i v_T \text{ and}$$

$$\text{spec}(n) := \{\alpha_T : v_T \in \text{GZ-basis}\} \subset \mathbb{C}^n$$

For any  $\alpha \in \text{spec}(n)$  let  $T_\alpha$  be the corresponding path and  $v_\alpha$  the corresponding eigenvector. Define an equivalence relation  $\sim$  on  $\text{spec}(n)$  as  $\alpha \sim \beta \Leftrightarrow v_\alpha$  and  $v_\beta$  are in the same irreducible representation  $\Leftrightarrow T_\alpha$  and  $T_\beta$  end at the same vertex. Note that since  $X_i$ 's generated  $A_n$  a  $\text{spec}(n)$  vector uniquely determines the corresponding GZ-basis element.

We say  $s_i$  is **admissible** with respect to  $\alpha \in \text{spec}(n)$  if  $s_i v_\alpha \in \text{spec}(n)$  and  $s_i v_\alpha \neq \pm v_\alpha$

*Proposition 7.*

1.  $s_i X_j = X_j s_i, j \neq i, i+1$
2.  $s_i X_i + 1 = X_{i+1} s_i$

Proof:

Follows from definition..

*Proposition 8.*

If  $V$  is an irreducible  $\mathbb{C}[S_{l+k}]$  module and  $U$  an irreducible  $\mathbb{C}[S_l]$  module then  $\dim \text{Hom}_{S_l}(U, V) \leq k!$ . In particular if  $\mu \rightarrow \nu \rightarrow \lambda$  then there is at the most one  $\nu' \neq \nu$  such that  $\mu \rightarrow \nu' \rightarrow \lambda$ .

Proof:

$\text{Hom}_{S_l}(U, V)$  is an irreducible module over  $Z(l, k) = \langle Z(l), S_k, X_{l+1}, \dots, X_{l+k} \rangle$ . So  $\text{Hom}_{S_l}(U, V) = \langle Z(l)v, S_k v, X_{l+1}v, \dots, X_{l+k}v \rangle$  for any  $v \in \text{Hom}_{S_l}(U, V)$ . Let  $v$  be the common eigenvector of  $Z(l), X_{l+1}, \dots, X_{l+k}$ , these commute and hence have a common eigenvector. Now  $\langle Z(l)v, X_{l+1}v, \dots, X_{l+k}v \rangle = \langle v \rangle$ . So  $\dim \text{Hom}_{S_l}(U, V) = \dim \langle S_k v \rangle \leq k!$ . The second statement follows by putting  $k = 2$ ..

*Proposition 9.*

Suppose  $\alpha = (a_1, a_2, \dots, a_i, a_{i+1}, \dots, a_n) \in \text{spec}(n)$  then,

1.  $a_i \neq a_{i+1}$
2. if  $a_i = a_{i+1} \pm 1$  then  $s_i v_\alpha = \pm v_\alpha$

3. if  $a_i = a_{i+1} \pm 1$  then  $\alpha' = (a_1, a_2, \dots, a_{i+1}, a_i, \dots, a_n) \in \text{spec}(n)$  and  $\alpha' \sim \alpha$ .  
Further,  $v_{\alpha'} = \left(s_i - \frac{1}{a_{i+1}-a_i}\right) v_{\alpha}$ .

Proof:

Let  $v_T$  be a GZ-basis element. Let  $s_i v_T = \sum_{v_R \in \text{GZ-basis}} c_R v_R$ , then by proposition 7.1 and the linear independence of the GZ-basis and second part of proposition 8, we get that either 1.  $s_i v_T = c_T v_T$  or 2.  $s_i v_T = c_T v_T + c_{T'} v_{T'}$ . Then using proposition 7.2 we get 2 in case 1 and 3 in case 2 and 1 is automatically proved by elimination..

We will prove later using content vectors that all the  $a'_i$ 's are integers and that there exist a sequence of admissible transformations taking  $v_T$  to  $v_{T'}$  (if  $v_T$  and  $v_{T'}$  are in the same irreducible representation) so that the action of  $S_n$  is by proposition 8.3 which consists of rational numbers so we get the result,

*Proposition 10.*

All irreducible representations of  $S_n$  are over  $\mathbb{Q}$ .

## The Young's graph

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In this section we will define the Young's graph and describe some of its basic properties. The correspondence between Young's tableaux and irreducible representations will become apparent.

The  $n^{\text{th}}$  level of the **Young graph** consists of partitions of  $n$ . There are edges only between two vertices on consecutive levels. A partition  $\mu = (n-1) \vdash (\mu_1, \mu_2, \dots, \mu_l)$  is connected by an edge to partitions of the form  $\mu' = n \vdash (\mu_1, \mu_2, \dots, \mu_{i-1}, \mu_i + 1, \mu_{i+1}, \dots, \mu_l)$ . We associate to these partitions empty Young tableaux and call these the Young frames.

For any partition  $\lambda \vdash n$  we will look at the **Standard Young's Tableau** of shape  $\lambda$ . In these unlike the semistandard young's tableaux, all the entries are distinct. Call this  $\mathbf{Tab}_{\lambda}$  and let  $\mathbf{Tab}_n := \bigcup_{\lambda \vdash n} \mathbf{Tab}_{\lambda}$

For  $\lambda = n \vdash (\lambda_1, \dots, \lambda_k)$  denote by  $T^{\lambda} \in \mathbf{Tab}_{\lambda}$  be the tableau containing  $\{\lambda_1 + \dots + \lambda_{i-1} + 1, \lambda_1 + \dots + \lambda_{i-1} + 2, \dots, \lambda_1 + \dots + \lambda_{i-1} + \lambda_i\}$  in the  $i^{\text{th}}$  row.

For  $\pi \in S_n$  we can define action of  $\pi$  on  $T \in \mathbf{Tab}_{\lambda}$  by making  $\pi$  act on each entry of  $T$ . We say  $\pi$  is **admissible** with respect to  $T$  if  $\pi T \in \mathbf{Tab}_{\lambda}$ . Define  $\pi_{T,\lambda}$  to be the unique permutation such that  $\pi_{T,\lambda} T = T^{\lambda}$ .

*Proposition 11.*

The set  $\mathbf{Tab}_n$  is in one to one correspondence with the set of all paths till the  $n^{\text{th}}$  level in the Young graph. In particular, the set  $\mathbf{Tab}_{\lambda}$  is in one to one correspondence with the set of paths ending in  $\lambda$ .

Proof:

If  $\mu = \mu_0 \rightarrow \mu_1 \rightarrow \dots \rightarrow \mu_n$  is a path in the Young graph, one new box is added to the Young frame  $\mu_n$  at the  $i^{th}$  level. Put  $i$  in this box. Thus we get a standard young tableau. It is easy to see that this is a bijection..

*Proposition 12.*

$T \in Tab_\lambda$  then there is a sequence of admissible transpositions taking  $T$  to  $T_\lambda$ . Consequently, if  $S, T \in Tab_\lambda$  then  $S$  can be obtained from  $T$  using an admissible permutation.

Proof:

The proof is by induction on  $n$ . Suppose it is true for  $n$ . Consider  $n + 1$ . Suppose  $n + 1$  is not in the last box of the last row in  $T$ . Then swap  $n + 1$  and  $n$ , then  $n + 1$  and  $n - 1$  and so on till  $n + 1$  reaches the last box of the last row. All these transpositions are admissible. Then by induction we can permute the rest of the tableau *admissibly* so to get  $T_\lambda$ .

### The correspondence

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Finally we define the content vectors for a Standard Young's tableau and give the one to one correspondence.

For  $T \in Tab_n$  define

1.  $i_T(i) := \text{number of the row in which } i \text{ appears in } T$
2.  $j_T(i) := \text{number of the column in which } i \text{ appears in } T$
3.  $C_T := (i_T(1) - j_T(1), i_T(2) - j_T(2), \dots, i_T(n) - j_T(n))$

Define the set of content vectors **Cont<sub>n</sub>** as the set of all vectors  $\alpha = (a_1, a_2, \dots, a_n) \in \mathbb{Z}^n$  such that

1.  $a_1 = 0$
2.  $\{a_q + 1, a_q - 1\} \cap \{a_1, a_2, \dots, a_{q-1}\} \neq \{\}$  for  $q > 1$
3. If  $a_p = a_q$  for some  $p < q$  then  $\{a_q + 1, a_q - 1\} \subseteq \{a_{p+1}, \dots, a_{q-1}\}$

We define an **equivalence relation** on  $Cont_n$  as  $\alpha \approx \beta$  if  $\exists \pi \in S_n$  such that  $\pi\alpha = \beta$ .

*Proposition 13.*

If  $\alpha = (a_1, a_2, \dots, a_n) \in Cont_n$  then,

1. if  $a_q > 0$  then  $a_q - 1 \in \{a_1, a_2, \dots, a_{q-1}\}$  and if  $a_q < 0$  then  $a_q + 1 \in \{a_1, a_2, \dots, a_{q-1}\}$
2. if  $a_p = a_q$  and  $a_p \notin \{a_{p+1}, \dots, a_{q-1}\}$  then  $\exists! s_-, s_+ \in \{p + 1, \dots, q - 1\}$  such that  $a_{s_-} = a_p - 1$  and  $a_{s_+} = a_p + 1$ .

*Proposition 14.*

For  $T \in Tab_n$  we have  $C_T \in Cont_n$  and the map  $T \rightarrow C_T$  is a bijection. If  $\alpha, \beta \in Cont_n$  and  $\alpha = C_T$  and  $\beta = C_S$  then  $\alpha \approx \beta$  if and only if  $T, S$  have the same shape.

*Proposition 15.*

$$spec(n) \subseteq Cont_n$$

Proof:

The proofs of the above three theorems are combinatorial, simple but very long. They can be found in the references. Note that proposition 15 completes the proof of proposition 10..

*Proposition 16.*

If  $\alpha \in spec(n)$  and  $\alpha \approx \beta, \beta \in Cont_n$  then  $\beta \in spec(n)$  and  $\alpha \sim \beta$ .

Proof:

It suffices to show that if  $s_i$  is admissible in  $Tab_n$  then the corresponding  $s_i$  is admissible in  $spec(n)$ . In  $Tab_n$ ,  $s_i$  swaps the elements  $i$  and  $i + 1$  so that the corresponding elements  $a_i$  and  $a_{i+1}$  of  $C_T$  are swapped, which is *admissible* in  $spec(n)$ . So it remains to show that  $s_i$  is admissible in  $Tab_n$  only if  $a_i \neq a_{i+1} \pm 1$ . If  $a_i = a_{i+1} \pm 1$  then  $i$  and  $i + 1$  are in the adjacent anti-diagonals. In this case one can check that swapping them is not admissible..

*Proposition 17.*

$$spec(n) = Cont_n \text{ and } \sim = \approx.$$

Proof:

$\# \{spec(n)/\sim\} = \# \{S_n^-\} = \# \{Cont_n/\approx\} =$  number of partitions of  $n$ . And each equivalence class of  $Cont_n$  is either completely contained inside an equivalence class of  $spec(n)$  or does not intersect at all..

Putting in words this final theorem gives a correspondence between the GZ-basis and the standard Young's tableaux of size  $n$ .

## Final remarks and references

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Unlike with the RSK approach it is possible to generalize the above technique to that of groups which have inductive structures. Further, using skew hooks one can give a recursive formula for the characters of  $S_n^-$ .

1. Okounkov and Vershik, *A New Approach to Representation Theory of Symmetric Groups*
2. Ceccherini-Silberstein, Scarabotti, Tolli, *Representation theory of Symmetric Groups*