

(9)

Unless otherwise stated a rings are commutative with 1.

Defⁿ: valuation ring:

- ring A
- A integral domain, field of fractions K
 - $x \in K \Rightarrow x \in A$ or $\frac{1}{x} \in A$. $\therefore A$ not a field

Example:

1) $A = \mathbb{C}[x]_p$ $\varphi = x \in \mathbb{C}[x]$

$K = \mathbb{C}(x)$

Algebraic analogue of L'Hospital's rule

2) $A = \mathbb{C}[[x]]_p$ $\varphi = x \in \mathbb{C}[[x]]$

3) $A = \text{Convergent power series}$

Lemma: A is a valuation ring $\Rightarrow A$ local

Proof: Suppose f, g non-units, non-zero. WLOG let $f/g \in A$.

$(1 + \frac{f}{g}) \in A \Rightarrow \frac{f+g}{g} \in A$

if $f+g$ is a unit then $\frac{1}{g} \in A \Rightarrow g$ unit. Contradiction

if fg is a unit then $\frac{1}{fg} \in A \Rightarrow \frac{1}{g} \in A \Rightarrow g$ unit. Contradiction.

This gives \nexists non-units form an ideal, hence unique maximal.

Prop: A - \mathbb{C} -algebra. Ring of fractions $F \subseteq \mathbb{C}(x)$ $\xrightarrow{\text{finitely generated, transcendence degree 1}}$
 A valuation ring \Rightarrow

$\mathbb{C} \rightarrow A \rightarrow A/m_A$

is an isomorphism.

Proof:

only need to check surjectivity. \mathbb{C}

Suppose $\exists f \in A$ s.t. $\frac{f}{1} \notin \text{image of } \mathbb{C} \rightarrow A/m_A$

But \mathbb{C} alg. closed $\Rightarrow f+m_A$ transcendent over \mathbb{C} .

$\Rightarrow H(f+m_A) \neq 0$ for any polynomial H

$\Rightarrow H(f) = \text{unit}$ for any polynomial H

Note: $\mathbb{C}(f)$ has transcendence deg 1 over \mathbb{C} .
 Same statement can be made for $\mathbb{C}(\bar{f})$.

~~But~~ ~~unit~~

But f unit $\Rightarrow \mathbb{C}(f) \subseteq A \subseteq F$.

Transcendence deg of $\mathbb{C}(f) = F \Rightarrow \mathbb{C}(f) : \mathbb{C}(f)$ algebraic

$\Rightarrow \forall g \in F$ satisfies a polynomial over A , say

$$g^n + a_{n-1}g^{n-1} + \dots + a_1g + a_0 = 0 \quad a_i \in \mathbb{C}(f) \subseteq A$$

$$\Rightarrow g = -(a_{n-1} + a_{n-2}g^{-1} + \dots + a_0g^{-(1-n)})$$

$$\Rightarrow \text{if } \frac{1}{g} \in A, g \in A$$

$$\Rightarrow A = F$$

Not possible.

$\mathcal{F} :=$ All transcendence degree 1 fields, / \mathbb{C} finitely generated

Let $F \in \mathcal{F}$

$$R(F) = \{A \subseteq F \mid \mathbb{C} \subseteq A \text{ and } A \text{ is a valuation ring}\}$$

Suppose $A \in R(F)$

$$\text{Let } t \in m_A - m_A^2$$

$$f \in A \Rightarrow f/t \text{ or } t/f \text{ is in } A$$

$$\text{if } f/t \in A \text{ then } f = tx$$

$$\text{if } t/f \in A \text{ then } t/f \in m_A$$

$$\Rightarrow t \in m_A^2 \text{ Not possible}$$

~~Still not possible~~

Remains to show $m_A - m_A^2$ is non-empty.

This will follow from Nakayama if we assume can prove that m_A is finitely generated.

Ex: 1. Complete the above proof and conclude that m_A is principal.

2. Every ideal of A is of the form m_A^k .

• Now we try to impose a topology on $R(F)$. !!

$$\bullet \mathcal{P}^F = \text{set of maps } F \rightarrow \mathcal{P}^F = \prod_F \mathcal{P}^F$$

Give $\prod_F \mathcal{P}^F$ a product topology. In this we get a compact set, by Tychonoff.

~~$\mathbb{C}(f) \in R(F)$~~

• $A \in \mathcal{R}(F)$. Define

$$\varphi_A: F \longrightarrow \mathbb{P}^1$$

$$f \longmapsto \begin{cases} f + m_A \in A/m_A \cong \mathbb{C} & \text{if } f \in A \\ \infty & \text{else} \end{cases}$$

• Next we define

$$\varphi_{\square}: \mathcal{R}(F) \longrightarrow \mathbb{P}^F$$

$$A \longmapsto \varphi_A$$

$$\text{Injectivity: } \varphi_A = \varphi_{A'} \Rightarrow \frac{1}{f} \in A \Leftrightarrow \frac{1}{f} \in A' \Rightarrow A = A'$$

So endow $\mathcal{R}(F)$ with the subspace topology using φ_{\square} .

• Next we need to show $\mathcal{R}(F) \xrightarrow{\varphi_{\square}} \mathbb{P}^F$ is a closed embedding. (we will drop φ_{\square} and assume $\mathcal{R}(F) \subseteq \mathbb{P}^F$ i.e. $A = \varphi_A$)

$$\text{Let } \psi: F \longrightarrow \mathbb{P}^1 \in \overline{\mathcal{R}(F)}$$

$$A_{\psi} := \{f \in F \mid \psi(f) \neq \infty\}$$

• A_{ψ} ring

$f, g \in A_{\psi} \Rightarrow$ Because $\psi \in \overline{\mathcal{R}(F)}$, using the we will show $\psi(f+g) = \psi(f) + \psi(g)$

for $\varepsilon > 0$, take discs of radius ε about $z \in \psi(f), \psi(g), \psi(f+g)$. U_1, U_2, U_3

$$\text{Take } U = (\pi^{-1} \mathbb{P}^1) \cup U_1 \cup U_2 \cup U_3$$

Then U open in \mathbb{P}^F .

$$\Rightarrow \exists A \in \mathcal{R}(F) \text{ s.t. } \varphi_A \in U$$

Consider $|\psi(f) + \psi(g) - \psi(f+g)| < 3\varepsilon$ by triangle in. similarly, for $fg, g-f$.

• A_{ψ} valuation ring

Need to show $f \in A_{\psi}$ or $\frac{1}{f} \in A_{\psi}$

if $\psi(f) \neq \infty$ we are done

else look at $\psi(\frac{1}{f})$ and approximate this by valuation ring. This will give us that

$$\psi(\frac{1}{f}) \neq \infty \Rightarrow \frac{1}{f} \in A_{\psi}$$

What the fuck is going on?

Our intention is to create a C.R.S. whose field of meromorphic functions is exactly F . We are trying to use valuation rings as points.

Now because

Let us see for \mathbb{P}^1 itself:

$$F = \mathbb{C}(x)$$

Q. What are all the valuation rings of $\mathbb{C}(x)$?

\mathfrak{m} a maximal ideal in $\mathbb{C}[x]$, then $\mathbb{C}[x]_{\mathfrak{m}}$ is valuation.

Since \mathfrak{m} correspond to points ~~in~~ \mathbb{C} these give all the ideal points other than ∞ .

Q. How to get infinity?

$$\mathbb{C}\left[\frac{1}{t}\right]_{1/t} : D$$

Q. Why are these all the valuation rings?

We had shown that A/\mathfrak{m}_A is \mathbb{C} .

$$\text{For } A = \mathbb{C}[t]_t \quad \mathfrak{m}_A = t\mathbb{C}[t]_t$$

What is the canonical isomorphism

$$\mathbb{C} \longrightarrow t\mathbb{C}[t]_t / t\mathbb{C}[t]_t$$

$$\text{Claim: } \frac{p(x)}{q(x)} \mapsto \frac{p(0)}{q(0)}$$

$$\text{Proof: if } p(0) \neq 0, \quad p(t) = t(\dots) + p(0) \mapsto \text{goes to } 0$$

$$\text{Need to show } t \mid \frac{p(t)}{q(t)} - \frac{p(0)}{q(0)}$$

This is almost tautology.

So by associating each point valuation ring $\mathbb{C}[x]_{\mathfrak{m}}$ to a map ~~map~~ $\varphi_{\mathfrak{m}}$, we are just associating to each point evaluation of regular functions at that point!

So in general we are looking at the co-ordinate ring of a non-singular algebraic ~~curve~~ ^{curve} ~~surface~~. The localizations of these at various points will be \mathfrak{m}_A , the function field will be F .

Lemma: $A \in \mathcal{R}(A)$ valuation $\Rightarrow A$ dur

Proof: $0 \neq z \in \mathcal{M}_A \Rightarrow \mathbb{C}[z] \subseteq \mathcal{M}_A \subseteq A$ and $\mathbb{C}[z]$ is a polynomial ring
(Because $z \notin \mathbb{C}$ and \mathbb{C} is algebraically closed, so z must be transcendental / \mathbb{C}).

$S = \{g \in F \mid g \text{ satisfies poly on } \mathbb{C}[z], \text{ integral eq}^n\}$

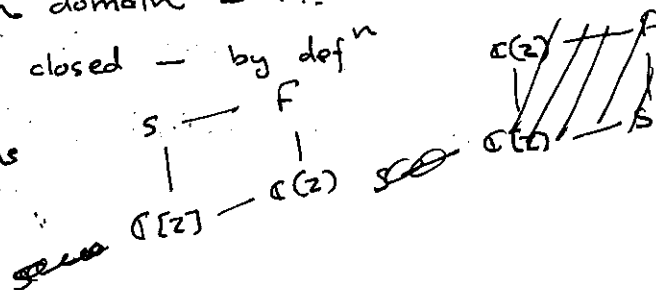
Then, we know S is a dedekind domain in F

To show this need to show: S is

- dim 1 — using going down & that $\mathbb{C}[z]$ has dim 1
- noetherian domain — !?!
- integrally closed — by defⁿ

field of fractions
of $S = F$?

Yes



~~Define~~ ~~M_A~~ ~~A~~ ~~S~~

- A valuation $\Rightarrow A$ integrally closed

Because suppose $x \in F$ is root of a monic
 $f(w) \in \mathbb{C}[w] \subseteq A[w]$ &

if $x \notin A \Rightarrow \frac{1}{x} \in A$, but x then can be
written as a poly in $\frac{1}{x}$, A and hence is in A
contradiction

$\Rightarrow S \subseteq A$

- $M_S := \mathcal{M}_A \cap S$ ideal in S

$\Rightarrow S_{M_S} \subseteq A$ (we are inverting elements outside
 M_S i.e. outside \mathcal{M}_A which are
already units in A)

- S dedekind domain $\Rightarrow S_{M_S}$ is a dur

- So $A = S_{M_S}$ because \nexists any ring between a dur and its
field of fractions

Note: We have somehow proven here the missing fact that
 A is noetherian. The hard part still unproven is that
" S " is noetherian.

THE local uniformization th^m

Let $A \subseteq F$ be a valuation ring with maximal ideal \mathfrak{m} .

Then $\exists x, y \in \mathfrak{m}$ s.t.

$$xA = \mathfrak{m}$$

$$F = \mathbb{C}(x, y)$$

$$\exists p \in \mathbb{C}[x, y] \text{ s.t. } p(x, y) = 0,$$

$$\partial_x p(x, y) \neq 0, \quad \partial_y p(x, y) \neq 0$$

Proof:

$$A \text{ d.v.r.} \Rightarrow \mathfrak{m} = xA \text{ for some } x \in \mathfrak{m} \setminus \mathfrak{m}^2$$

S - integral closure of $\mathbb{C}[x]$ in F , we saw $S \subseteq A$

Choose $y \in S$ satisfying, $y \notin \mathfrak{m} \cap S$ but y lies in every other maximal ideal containing x in S

$$\left((x) = (\mathfrak{m} \cap S) \cdot (\mathfrak{p}_1) \cdot (\mathfrak{p}_2) \cdots (\mathfrak{p}_k) \text{ Dedekind} \right)$$

Then we cannot have

$$(\mathfrak{m} \cap S) \supseteq (\mathfrak{p}_1) \cdots (\mathfrak{p}_k)$$

Let $p \in \mathbb{C}[x](y)$ be minimal poly of $y / \mathbb{C}[x]$.

$$T := \mathbb{C}[x, y] / p(x, y)$$

$$\text{Look at } \begin{array}{ccc} \mathbb{C}[x, y] & \longrightarrow & S \\ (x, y) & \longmapsto & (x, y) \end{array}$$

$$p(x, y) \longmapsto \ker$$

$$\text{Claim: } \ker = p(x, y)$$

$$\text{Suppose } f(x, y) = 0$$

Then because y is integral over $\mathbb{C}[x]$ we can use division to get

$$f(x, y) = p(x, y) \cdot g(x, y) \text{ for some } g \in \mathbb{C}[x](y)$$

$$\Rightarrow x \text{ satisfies } f(x, y) - p(x, y)g(x, y) = 0 \quad \forall y$$

$$\Rightarrow f(x, y) - g(x, y)p(x, y) = 0 \quad \forall y$$

$$\Rightarrow p(x, y) \mid f(x, y)$$

$$\Rightarrow \ker = p(x, y)$$

$$\text{So } p(x, y) \text{ irred. / } \mathbb{C}[x][y] \text{ also } p(x, y) \text{ irred. / } \mathbb{C}[x, y]$$

$$T \hookrightarrow S \Rightarrow T \text{ domain}$$

$$\mathbb{C} \longrightarrow A \longrightarrow A/\mathfrak{m} \cong \mathbb{C}$$

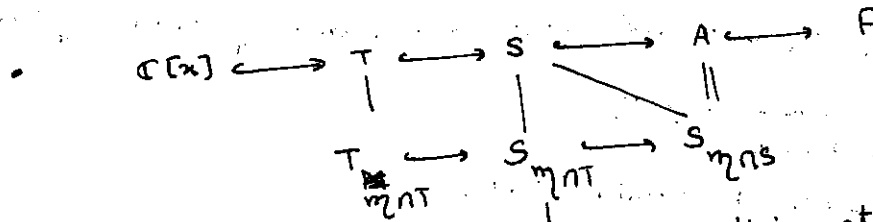
$$y \longmapsto c$$

$$\bullet \quad x \in \mathfrak{m} \cap T, \quad \cancel{y \in \mathfrak{m} \cap T} \quad y - c \in \mathfrak{m} \cap T$$

$$\frac{T}{(x, y-c)} = \frac{\mathbb{C}[x, y]}{(p(x, y), x, y-c)} = \frac{\mathbb{C}[x, y]}{(x, y-c)} \cong Y$$

$\Rightarrow (x, y-c)$ maximal in T

$$\Rightarrow (x, y-c) = \mathfrak{m} \cap T$$



\hookrightarrow not localizing at $\mathfrak{m} \cap T$ but at
 \mathfrak{P} multiplicative set $T \setminus \mathfrak{m} \cap T$

maximal ideals in $S_{\mathfrak{m} \cap T} \iff$ maximal ideals in S
 containing $\mathfrak{m} \cap T$. why?
 $(x, y-c)$

Every max ideal containing x contains y except $\mathfrak{m} \cap S$
 and hence cannot contain $y-c$

So only max ideal in $S_{\mathfrak{m} \cap T}$ corresponds to $\mathfrak{m} \cap S$
 $\Rightarrow S_{\mathfrak{m} \cap T}$ local

From this somehow conclude that, $T_{\mathfrak{m} \cap T} = S_{\mathfrak{m} \cap T} = S_{\mathfrak{m} \cap S} = A$

We know that S is a f.g. $\mathbb{C}[x]$ module
 hence S is a f.g. T module
 hence $S_{\mathfrak{m} \cap T}$ is f.g. $T_{\mathfrak{m} \cap T}$ module

(We are using following facts:

$A \subseteq F$ a valuation ring, then A is maximally integrally
 closed and any such ring is a valuation ring).

From this somehow conclude that $T_{\mathfrak{m} \cap T} = S_{\mathfrak{m} \cap T} = A$

Clearly $T_{\mathfrak{m} \cap T}$ has field of fractions $\mathbb{C}(x, y)$

Therefore, $F = \mathbb{C}(x, y)$.

claim: $\partial_x p(0, c) \neq 0$

Suppose not. Then $(y-c)^2 \mid p(0, y)$, say $p(0, y) = (y-c)^2 g(y)$

$$\text{Now, } \left(\frac{T}{xT} \right)_{\mathfrak{m} \cap T} = \frac{T_{\mathfrak{m} \cap T}}{xT_{\mathfrak{m} \cap T}} = \frac{A_{\mathfrak{m} \cap A}}{xA_{\mathfrak{m} \cap A}} = \frac{A}{\mathfrak{m}} = \mathbb{C}$$

$\frac{I}{xT} = \frac{\mathbb{C}[Y]}{(y-c)^2 g(y)}$ which would give that $\left(\frac{I}{xT}\right)_{\mathfrak{m}_T}$ is not a domain

but it a field, which is a contradiction.

Converse: (Jacobson criteria for plane curves)

Let $F = \mathbb{C}(x, y)$ be a function field / \mathbb{C} , $p(x, y) \in \mathbb{C}[x, y]$ such that $p(x, y) = 0$. Let $(a, b) \in \mathbb{C}^2$ s.t. $p(a, b) = 0$, $\partial_2 p(a, b) \neq 0$.

Then, $\exists! A \in \mathcal{R}(F)$ s.t. $(x-a, y-b) \in \mathfrak{m}_A$ and $\mathfrak{m}_A = (x-a)A$.

Proof: ~~There exists a proof.~~

~~Hence Proved.~~

Any such A contains $\mathbb{C}[x, y]$

Note, if $g(x, y) \in \mathbb{C}[x, y]$ then

$$g(x, y) = 0 \iff \varphi_A(g(x, y)) = 0$$

for such an A , $g(x, y) - g(a, b) \in \mathfrak{m}_A$

Therefore such an A contains all fractions of the form

$$\frac{f(x, y)}{g(x, y)} \text{ with } f, g \in \mathbb{C}[x, y] \text{ and } g(a, b) \neq 0$$

$\because g(a, b)$ is a unit, $g(x, y)$ not a unit in \mathfrak{m}_A

~~So, A contains all fractions of the form $\frac{f(x, y)}{g(x, y)}$~~

(i.e. A contains ring of fractions of $\mathbb{C}[x, y]_{\mathfrak{m}}$)

\mathfrak{m} = maximal ideal of $\mathbb{C}[x, y]$ generated by $(x-a, y-b)$

We wish to see: $\mathbb{C}[x, y]_{\mathfrak{m}}$ is a DVR

If we show this then as $A \supseteq \mathbb{C}[x, y]_{\mathfrak{m}}$

we will have $A = \mathbb{C}[x, y]_{\mathfrak{m}}$ by maximality of DVR

This will prove uniqueness and existence

By Taylor's theorem,

$$0 = p(x, y) = \partial_1 p(a, b)(x-a) + \partial_2 p(a, b)(y-b) \pmod{\mathfrak{m}^2}$$

$$\Rightarrow y-b = -\frac{\partial_1 p(a, b)}{\partial_2 p(a, b)}(x-a)$$

Thus, $(x-a)$ generated $\mathfrak{m}/\mathfrak{m}^2$ and hence by Nakayama

$(x-a)$ generates \mathfrak{m} in $\mathbb{C}[x, y]_{\mathfrak{m}}$ which means DVR. \square

$p(x,y)=0$ plane curve with (a,b) smooth point i.e. $\partial_2 p|_{(a,b)} \neq 0$
 Then, by implicit function theorem, y can be regarded as a holomorphic function of x .

Defⁿ: Regular Local Ring: (A, \mathfrak{m}) Noetherian local, $K = A/\mathfrak{m}$ residue field
 if $\dim A = \dim_K (\mathfrak{m}/\mathfrak{m}^2)$ then A regular local.

Implicit function th^m:

$p \in \mathbb{C}[T_1, T_2]$, $(a,b) \in \mathbb{C}^2$, $p(a,b)=0$, $\partial_2 p(a,b) \neq 0$, \mathbb{R}

Then, $\exists \epsilon > 0, \eta > 0$ and a holomorphic function

$h: D_\epsilon \ni a \longrightarrow D_\eta \ni b$ s.t.

$\forall (x,y) \in D_\epsilon \times D_\eta \quad p(x,y)=0 \Leftrightarrow y=h(x).$

Notation: $A \in R(F)$, $f \in F$

$$f_* A := f_*(\varphi_A) := \varphi_A(f) = \begin{cases} \infty & \text{if } f \notin A \\ f + \mathfrak{m}_A & \text{if } f \in A \end{cases}$$

• Complex Structure on $R(F)$:

Prop: Let $A_0 \in R(F)$ (compact Hausdorff) and let t generates

$$\mathfrak{m}_0 := \mathfrak{m}_{A_0}.$$

Then,

t_* maps some open nbd N of A_0 homeomorphically onto an open subset of \mathbb{C} .
 Moreover, for any such N , if $f \in A_0$ and $N_f := \{A \in N \mid f \in A\} = N \cap \{f \mid \varphi_A(f) \neq \infty\}$ is also an open nbd. of A_0 and $f_* t_*^{-1}: N_f \longrightarrow \mathbb{C}$ is holomorphic.

Proof: By proof of local uniformization,

$\exists y \in \mathfrak{m}_0$ s.t. $F = \mathbb{C}(t,y)$,

$\exists p$, poly in 2 variables, $p(t,y)=0$, $\partial_2 p(a,b) \neq 0$

Pick ϵ, η, h as in implicit fⁿ th^m taking care that

$$\partial_2 p(a,b) \neq 0 \quad \forall (a,b) \in D_\epsilon \times D_\eta$$

Map the open set

$$\{A \in R(F) \mid t_* A \neq \infty, y_* A \neq \infty\}$$

into $\{(a,b) \in \mathbb{C}^2 \mid p(a,b) \neq 0\}$ using

$$(t_*, y_*): R(F) \longrightarrow \mathbb{P}^1 \times \mathbb{P}^1$$

$$A \longmapsto (t_* A, y_* A)$$

$D_\varepsilon := \varepsilon$ -neighborhood of 0 in \mathbb{C}

$$N^* := (t_*, y_*)^{-1} \left(\overline{D_{\varepsilon/2} \times D_{\varepsilon/2}} \cap \{(a, b) \mid p(a, b) = 0\} \right)$$

By converse of local uniformization:

$$N^* \longrightarrow \overline{D_{\varepsilon/2} \times D_{\varepsilon/2}} \cap \{ \quad \} \text{ is bijective}$$

⇒ Prove that t_*, f_* are continuous.

This will then give that the above map is a homeo.

Remains to show $f_* = t_*^{-1}$ holo.

By converse of local uniformization th^m ,

$$f \in A_0 \Rightarrow f = \frac{g_1(t, y)}{g_2(t, y)} \quad g_2(0, 0) \neq 0$$

• Prop: $\mathcal{R}(F)$ unconnected. Every meromorphic function on $\mathcal{R}(F)$ is of the form f_* for a unique $f \in F$.

Proof: \mathcal{M} -ring of meromorphic functions on $\mathcal{R}(F)$

$$\begin{array}{ccc} \text{Have} & F & \longrightarrow \mathcal{M} \\ & f & \longmapsto f_* \quad \text{injective} \end{array}$$

Let $f \in F \setminus \mathbb{C}$, we will show

$$[F: \mathbb{C}(t)] = [\mathcal{M}: \mathbb{C}(t)]$$

→ Have to show $\mathcal{R} = \mathcal{F} \longrightarrow \mathcal{X}$ is a functor.
 \downarrow
category of fields category of CRs ~~not~~

→ for $X \in \mathcal{X}$ have to define a natural transformation

$$X \longrightarrow \mathcal{R} \mathcal{M}(X)$$

which should be an isomorphism.

$$x \longmapsto A_x = \{ f \in \mathcal{M}(X) \mid f(x) \neq \infty \}$$