

# Undecidable languages, Reducibility

204213 Theory of Computation

Jittat Fakcharoenphol

Kasetsart University

January 20, 2009

# Outline

- 1 Reviews
- 2 Undecidable languages
- 3 Reductions

# Comparing the size of two infinite sets

- A function  $f : A \rightarrow B$  is **one-to-one** if for  $x, y \in A$ ,  $x \neq y$  implies that  $f(x) \neq f(y)$ .
- $f$  is called **onto** if for all  $y \in B$ , there exists  $x \in A$  such that  $f(x) = y$ .
- If  $f$  is both one-to-one and onto,  $f$  is called a **correspondence**.

# Comparing the size of two infinite sets

- A function  $f : A \rightarrow B$  is **one-to-one** if for  $x, y \in A$ ,  $x \neq y$  implies that  $f(x) \neq f(y)$ .
- $f$  is called **onto** if for all  $y \in B$ , there exists  $x \in A$  such that  $f(x) = y$ .
- If  $f$  is both one-to-one and onto,  $f$  is called a **correspondence**.
- If a correspondence exists between two sets, we say that they have the same size.

## Definition

A set  $A$  is **countable** if either it is finite or it has the same size as  $\mathcal{N}$ .

# Countable sets

- Natural numbers  $\mathcal{N}$ , Even numbers  $\mathcal{E}$ , Integers  $\mathcal{R}$ , Rational numbers  $\mathcal{Q}$

# Countable sets

- Natural numbers  $\mathcal{N}$ , Even numbers  $\mathcal{E}$ , Integers  $\mathcal{R}$ , Rational numbers  $\mathcal{Q}$
- A set of all finite strings  $\Sigma^*$

# Countable sets

- Natural numbers  $\mathcal{N}$ , Even numbers  $\mathcal{E}$ , Integers  $\mathcal{R}$ , Rational numbers  $\mathcal{Q}$
- A set of all finite strings  $\Sigma^*$
- A set of all Turing machines.



# Countable sets

- Natural numbers  $\mathcal{N}$ , Even numbers  $\mathcal{E}$ , Integers  $\mathcal{R}$ , Rational numbers  $\mathcal{Q}$
- A set of all finite strings  $\Sigma^*$
- A set of all Turing machines.
  - Each TM can be encoded as a string,
  - All strings are countable,
  - List all strings, skip those which are not encodings of TM's.

# Diagonalization

## Theorem 1

$\mathcal{N}$  and  $\mathcal{P}(N)$  have different sizes, i.e.,  $\mathcal{P}(N)$  is uncountable.

## Proof.

- We'll prove by contradiction. Thus, assume that  $\mathcal{P}(N)$  is countable, i.e., there is a correspondence  $F : \mathcal{N} \rightarrow \mathcal{P}(N)$ .

# Diagonalization

## Theorem 1

$\mathcal{N}$  and  $\mathcal{P}(N)$  have different sizes, i.e.,  $\mathcal{P}(N)$  is uncountable.

## Proof.

- We'll prove by contradiction. Thus, assume that  $\mathcal{P}(N)$  is countable, i.e., there is a correspondence  $F : \mathcal{N} \rightarrow \mathcal{P}(N)$ .
- Construct a set  $X$  as follows: for any natural number  $i$ ,  $i \in X$  iff  $i \notin F(i)$ .

# Diagonalization

## Theorem 1

$\mathcal{N}$  and  $\mathcal{P}(N)$  have different sizes, i.e.,  $\mathcal{P}(N)$  is uncountable.

## Proof.

- We'll prove by contradiction. Thus, assume that  $\mathcal{P}(N)$  is countable, i.e., there is a correspondence  $F : \mathcal{N} \rightarrow \mathcal{P}(N)$ .
- Construct a set  $X$  as follows: for any natural number  $i$ ,  $i \in X$  iff  $i \notin F(i)$ .
- We can show that there is no  $i \in \mathcal{N}$  such that  $X = F(i)$ .

# Diagonalization

## Theorem 1

$\mathcal{N}$  and  $\mathcal{P}(N)$  have different sizes, i.e.,  $\mathcal{P}(N)$  is uncountable.

## Proof.

- We'll prove by contradiction. Thus, assume that  $\mathcal{P}(N)$  is countable, i.e., there is a correspondence  $F : \mathcal{N} \rightarrow \mathcal{P}(N)$ .
- Construct a set  $X$  as follows: for any natural number  $i$ ,  $i \in X$  iff  $i \notin F(i)$ .
- We can show that there is no  $i \in \mathcal{N}$  such that  $X = F(i)$ .
  - (Proof by contradiction.)

# Diagonalization

## Theorem 1

$\mathcal{N}$  and  $\mathcal{P}(N)$  have different sizes, i.e.,  $\mathcal{P}(N)$  is uncountable.

## Proof.

- We'll prove by contradiction. Thus, assume that  $\mathcal{P}(N)$  is countable, i.e., there is a correspondence  $F : \mathcal{N} \rightarrow \mathcal{P}(N)$ .
- Construct a set  $X$  as follows: for any natural number  $i$ ,  $i \in X$  iff  $i \notin F(i)$ .
- We can show that there is no  $i \in \mathcal{N}$  such that  $X = F(i)$ .
  - (Proof by contradiction.)
- Thus,  $F$  is not onto. (Because  $X \in \mathcal{P}(N)$ , but no one maps to  $X$ .)

# Diagonalization

## Theorem 1

$\mathcal{N}$  and  $\mathcal{P}(N)$  have different sizes, i.e.,  $\mathcal{P}(N)$  is uncountable.

## Proof.

- We'll prove by contradiction. Thus, assume that  $\mathcal{P}(N)$  is countable, i.e., there is a correspondence  $F : \mathcal{N} \rightarrow \mathcal{P}(N)$ .
- Construct a set  $X$  as follows: for any natural number  $i$ ,  $i \in X$  iff  $i \notin F(i)$ .
- We can show that there is no  $i \in \mathcal{N}$  such that  $X = F(i)$ .
  - (Proof by contradiction.)
- Thus,  $F$  is not onto. (Because  $X \in \mathcal{P}(N)$ , but no one maps to  $X$ .)
- This leads to the contradiction as required.



# Previous proof in table form



# Some languages is not Turing-recognizable

- Note that  $\mathcal{P}(N)$  is of the same size as the set all of languages.

# Some languages is not Turing-recognizable

- Note that  $\mathcal{P}(N)$  is of the same size as the set all of languages. Thus, the set of all languages is uncountable.

# Some languages is not Turing-recognizable

- Note that  $\mathcal{P}(N)$  is of the same size as the set all of languages. Thus, the set of all languages is uncountable.
- However, the set of all Turing machines is countable.
- Since each TM recognizes only one language, there's some language that no TM's recognize.

$A_{TM}$ 

Recall the language

$$A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w \}$$

$A_{TM}$ 

Recall the language

$$A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w \}$$

Recall the definition: a language is **decidable** if some TM decides it.

# Review: $A_{TM}$ is recognizable

Just simulate  $M$  on  $w$ . Accept when  $M$  accepts, reject when  $M$  rejects.

## Theorem 2

$A_{TM}$  is undecidable

# Proof (1)

- Assume that  $A_{TM}$  is decidable. We'll try to obtain a contradiction.



# Proof (1)

- Assume that  $A_{TM}$  is decidable. We'll try to obtain a contradiction.
- Since  $A_{TM}$  is decidable, there exists a TM  $H$  that decides it.

# Proof (1)

- Assume that  $A_{TM}$  is decidable. We'll try to obtain a contradiction.
- Since  $A_{TM}$  is decidable, there exists a TM  $H$  that decides it. For TM  $M$  and input  $w$ , we have that

$$H(\langle M, w \rangle) = \begin{cases} \text{accept} & \text{if } M \text{ accepts } w \\ \text{reject} & \text{if } M \text{ does not accept } w \end{cases}$$

## Proof (2)

- Given  $H$ , we can construct another TM  $E$  with  $H$  as a subroutine.

## Proof (2)

- Given  $H$ , we can construct another TM  $E$  with  $H$  as a subroutine. Given  $\langle M, w \rangle$ ,  $E$  calls  $H$  to check if  $M$  accepts  $w$ , then acts the opposite.

# Proof (2)

- Given  $H$ , we can construct another TM  $E$  with  $H$  as a subroutine. Given  $\langle M, w \rangle$ ,  $E$  calls  $H$  to check if  $M$  accepts  $w$ , then acts the opposite.
- $E =$  "On input  $\langle M, w \rangle$  where  $M$  is a TM:

# Proof (2)

- Given  $H$ , we can construct another TM  $E$  with  $H$  as a subroutine. Given  $\langle M, w \rangle$ ,  $E$  calls  $H$  to check if  $M$  accepts  $w$ , then acts the opposite.
- $E =$  “On input  $\langle M, w \rangle$  where  $M$  is a TM:
  - ① Run  $H$  on input  $\langle M, w \rangle$ .

# Proof (2)

- Given  $H$ , we can construct another TM  $E$  with  $H$  as a subroutine. Given  $\langle M, w \rangle$ ,  $E$  calls  $H$  to check if  $M$  accepts  $w$ , then acts the opposite.
- $E =$  “On input  $\langle M, w \rangle$  where  $M$  is a TM:
  - 1 Run  $H$  on input  $\langle M, w \rangle$ .
  - 2 If  $H$  accepts, **reject**. If  $H$  rejects, **accept**.”

# Proof (3)

- We'll use a variant of  $E$  to get to the contradiction.



# Proof (3)

- We'll use a variant of  $E$  to get to the contradiction.
- Given Construct another TM  $D$  with  $H$  as a subroutine.

# Proof (3)

- We'll use a variant of  $E$  to get to the contradiction.
- Given Construct another TM  $D$  with  $H$  as a subroutine.  $D$ 's input is an encoding to a TM.

# Proof (3)

- We'll use a variant of  $E$  to get to the contradiction.
- Given Construct another TM  $D$  with  $H$  as a subroutine.  $D$ 's input is an encoding to a TM. Given  $\langle M \rangle$ ,  $D$  calls  $H$  to check if  $M$  accepts **its own description**  $\langle M \rangle$ , then acts the opposite.

# Proof (3)

- We'll use a variant of  $E$  to get to the contradiction.
- Given Construct another TM  $D$  with  $H$  as a subroutine.  $D$ 's input is an encoding to a TM. Given  $\langle M \rangle$ ,  $D$  calls  $H$  to check if  $M$  accepts **its own description**  $\langle M \rangle$ , then acts the opposite.
- Think of  $\langle M \rangle$  as a source code of  $M$ . Running  $M$  on  $\langle M \rangle$  is just like running  $M$  on its source code.

# Proof (4)

- Formally,  $D =$  “On input  $\langle M \rangle$  where  $M$  is a TM:
  - Run  $H$  on input  $\langle M, \langle M \rangle \rangle$ .
  - If  $H$  accepts, **reject**. If  $H$  rejects, **accept**.”

# Proof (4)

- Formally,  $D =$  “On input  $\langle M \rangle$  where  $M$  is a TM:
  - Run  $H$  on input  $\langle M, \langle M \rangle \rangle$ .
  - If  $H$  accepts, **reject**. If  $H$  rejects, **accept**.”
- Thus,

$$D(\langle M \rangle) = \begin{cases} \text{accept} & \text{if } M \text{ does not accept } \langle M \rangle \\ \text{reject} & \text{if } M \text{ accept } \langle M \rangle \end{cases}$$

# Proof (4)

- Formally,  $D =$  “On input  $\langle M \rangle$  where  $M$  is a TM:
  - Run  $H$  on input  $\langle M, \langle M \rangle \rangle$ .
  - If  $H$  accepts, **reject**. If  $H$  rejects, **accept**.”
- Thus,

$$D(\langle M \rangle) = \begin{cases} \text{accept} & \text{if } M \text{ does not accept } \langle M \rangle \\ \text{reject} & \text{if } M \text{ accept } \langle M \rangle \end{cases}$$

- What is  $D(\langle D \rangle)$ ? (Run  $D$  on its description)

# Proof (4)

- Formally,  $D =$  “On input  $\langle M \rangle$  where  $M$  is a TM:
  - Run  $H$  on input  $\langle M, \langle M \rangle \rangle$ .
  - If  $H$  accepts, **reject**. If  $H$  rejects, **accept**.”
- Thus,

$$D(\langle M \rangle) = \begin{cases} \text{accept} & \text{if } M \text{ does not accept } \langle M \rangle \\ \text{reject} & \text{if } M \text{ accept } \langle M \rangle \end{cases}$$

- What is  $D(\langle D \rangle)$ ? (Run  $D$  on its description)

$$D(\langle D \rangle) = \begin{cases} \text{accept} & \text{if } D \text{ does not accept } \langle D \rangle \\ \text{reject} & \text{if } D \text{ accept } \langle D \rangle \end{cases}$$



# Proof (4)

- Formally,  $D =$  “On input  $\langle M \rangle$  where  $M$  is a TM:
  - Run  $H$  on input  $\langle M, \langle M \rangle \rangle$ .
  - If  $H$  accepts, **reject**. If  $H$  rejects, **accept**.”
- Thus,

$$D(\langle M \rangle) = \begin{cases} \text{accept} & \text{if } M \text{ does not accept } \langle M \rangle \\ \text{reject} & \text{if } M \text{ accept } \langle M \rangle \end{cases}$$

- What is  $D(\langle D \rangle)$ ? (Run  $D$  on its description)

$$D(\langle D \rangle) = \begin{cases} \text{accept} & \text{if } D \text{ does not accept } \langle D \rangle \\ \text{reject} & \text{if } D \text{ accept } \langle D \rangle \end{cases}$$

- Is this possible? (Try each case.)

# Diagonalization Proof

- Was that a diagonalization proof?

# Diagonalization Proof

- Was that a diagonalization proof?
- Try it. Rows are all TM's. Columns are all encodings of TM's.

# Turing Unrecognizable

- We know that there exists some language that is not recognizable by any TM's.

# Turing Unrecognizable

- We know that there exists some language that is not recognizable by any TM's. What are they?

# Turing Unrecognizable

- We know that there exists some language that is not recognizable by any TM's. What are they? Are they crazy, unthinkable languages?

# Turing Unrecognizable

- We know that there exists some language that is not recognizable by any TM's. What are they? Are they crazy, unthinkable languages?
- Not really. We'll demonstrate one such language.

# Decidable/recognizable

A language is called **co-Turing recognizable** if its complement is Turing recognizable.

## Theorem 3

*A language is decidable iff it is Turing recognizable and co-Turing recognizable.*



## Theorem 4

$\overline{A_{TM}}$  is not Turing-recognizable.

## Theorem 4

$\overline{A_{TM}}$  is not Turing-recognizable.

### Proof.

- We know that  $A_{TM}$  is recognizable.
- If  $\overline{A_{TM}}$  is also recognizable, from previous theorem, we have that  $A_{TM}$  must also be decidable.
- But  $A_{TM}$  is undecidable; thus,  $\overline{A_{TM}}$  is not recognizable.



# More undecidable languages

- Now that we know that  $A_{TM}$  is undecidable.
- We want to show that other problems are also undecidable.
- A general technique for this is **reduction**.

# Reduction

- We use reduction all the time.

# Reduction

- We use reduction all the time.
- To solve  $A$ , maybe it's easy to “reduce” it to another problem  $B$ .

# Reduction

- We use reduction all the time.
- To solve  $A$ , maybe it's easy to “reduce” it to another problem  $B$ .
  - Thus, if we can solve  $B$  then we can solve  $A$ .

# Reduction

- We use reduction all the time.
- To solve  $A$ , maybe it's easy to “reduce” it to another problem  $B$ .
  - Thus, if we can solve  $B$  then we can solve  $A$ .
- Think another way: **what if  $A$  is unsolvable?**

# Halting problem

Let  $HALT_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ halts on input } w\}$

## Theorem 5

*$HALT_{TM}$  is undecidable.*



# Emptiness

Let  $E_{TM} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) = \emptyset\}$

## Theorem 6

*$E_{TM}$  is undecidable.*

# Regular languages

Let  $REGULAR_{TM} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) \text{ is regular}\}$

## Theorem 7

*$REGULAR_{TM}$  is undecidable.*

# Equivalence

Let

$$EQ_{TM} = \{\langle M_1, M_2 \rangle \mid M_1 \text{ and } M_2 \text{ are TM's and } L(M_1) = L(M_2)\}$$

## Theorem 8

*$EQ_{TM}$  is undecidable.*