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# DATA STRUCTURE

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# CHAPTER 7

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## TREE

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# TREE

- ❑ We see tree in nature. The tree has root, branches, sub-branches and leaves. From the concept of natural tree, the computer scientists get the idea of a data structure, which is graphically similar to natural tree.
- ❑ Natural tree is a **bottom-up figure**. However, the graphical representation of the **data structure tree** is a **top-down figure**.

# TREE

- ✓ ☐ A tree is a finite collection of nodes that has **one to many relationship among nodes**.
- ✓ ☒ A tree is a **hierarchical structure**.
  - ☐ An ordered tree is a list of nodes that has a specially designated node **called root node**.
  - ☐ The connection line between two nodes is **called edge**.
  - ☐ The node that has no child node is **called leaf node**.  
The node that has child node is called **parent node**.
  - ☐ A tree can be implemented (stored in memory) as an **array or a linked list**.

# TREE

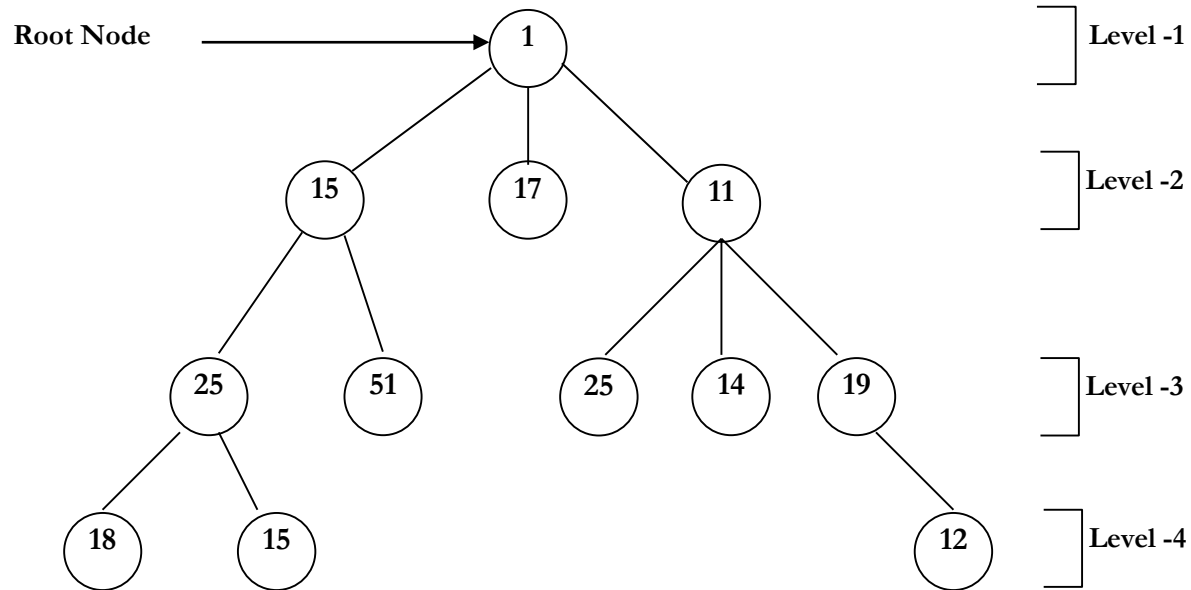


Figure 7.1: A Tree

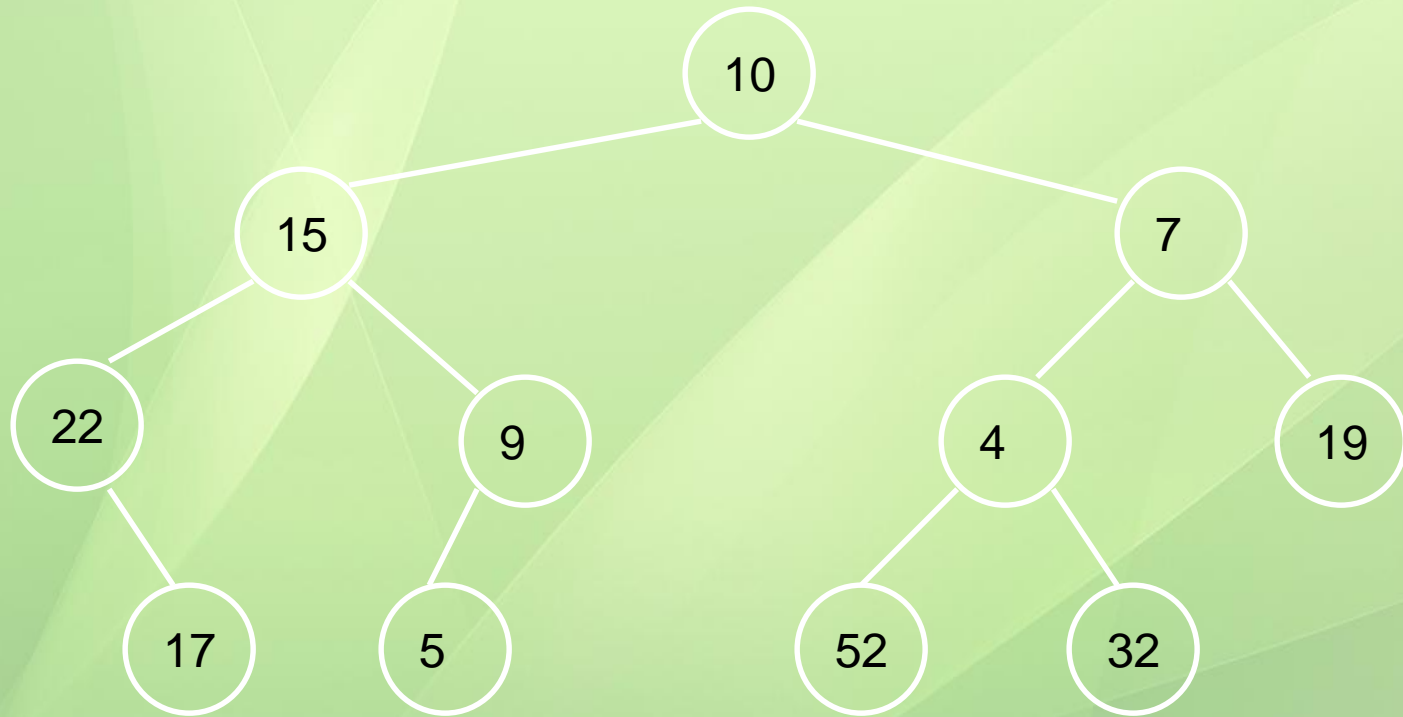
# Binary Tree

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- A binary tree is finite set of nodes, where there is a special node called root node and every node (including root node) has at best two children.
  - The trees excluding root node are called left sub-tree and right sub-tree.
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# Binary Tree

- Each node can have at most 2 children



# Binary Tree

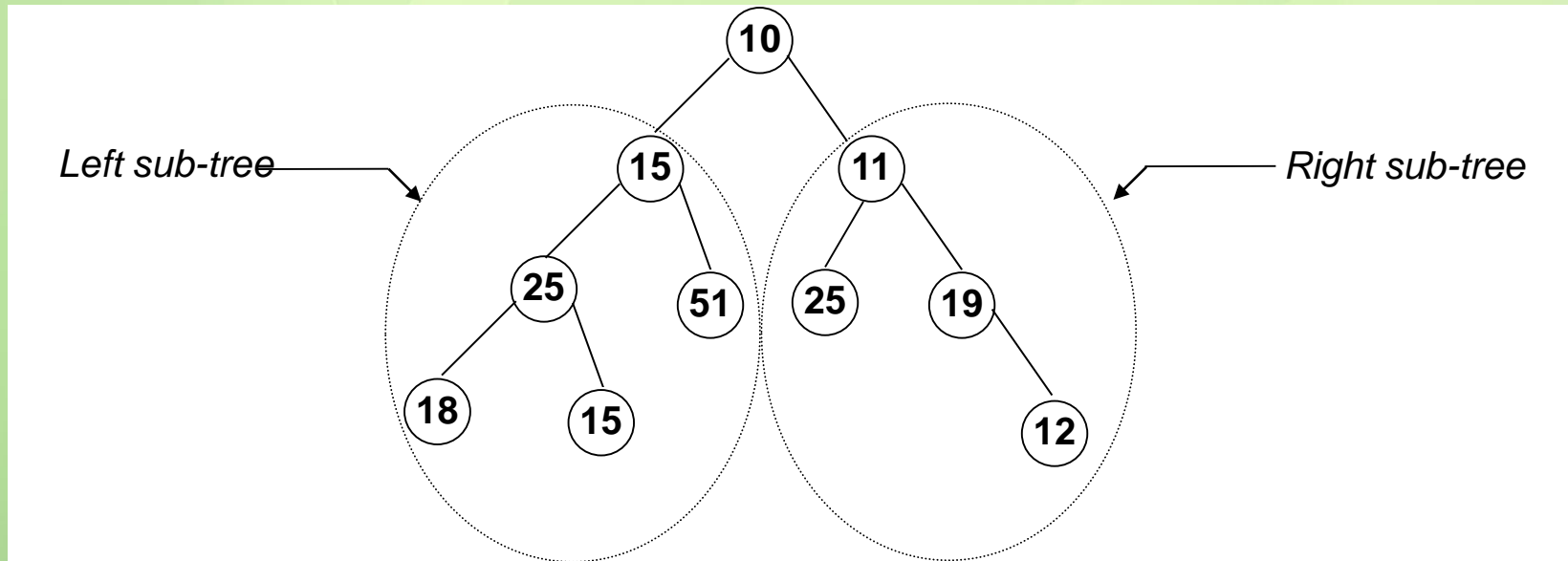
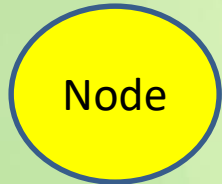


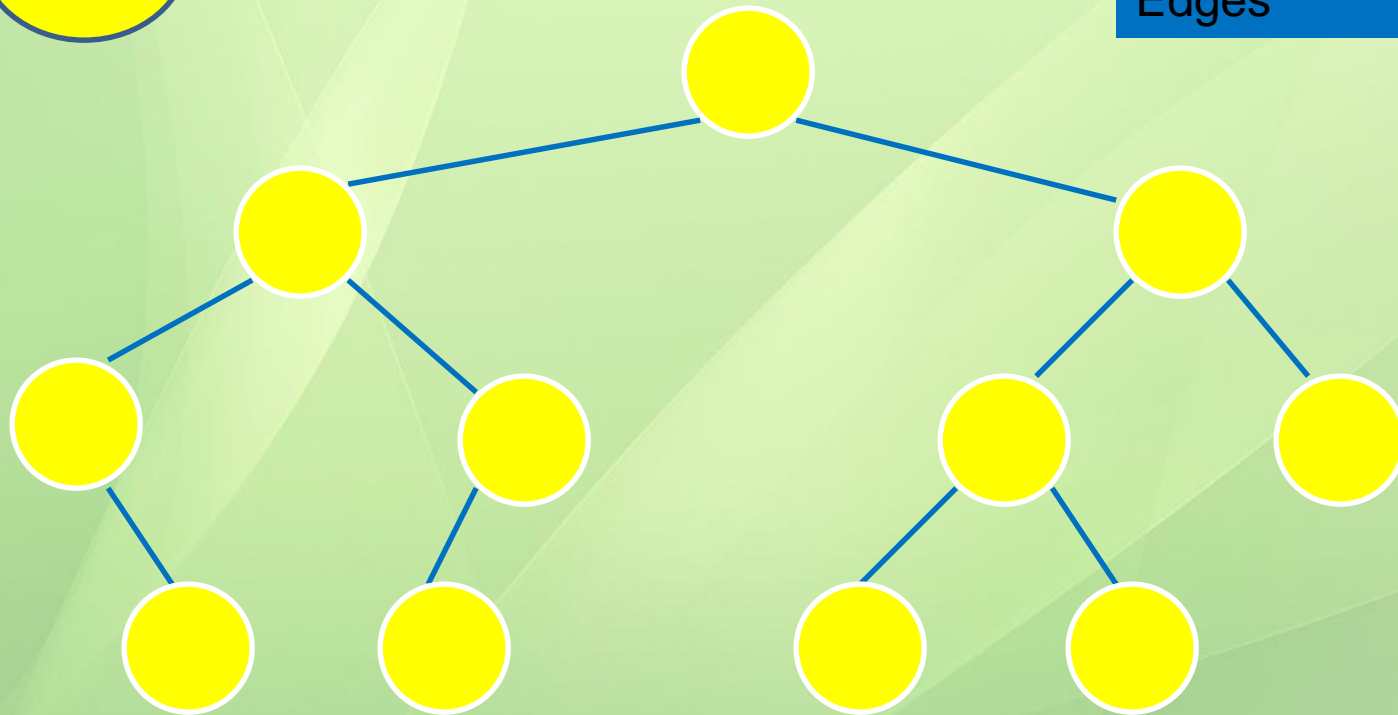
Figure 7.2: A binary tree



# Parts of a Tree

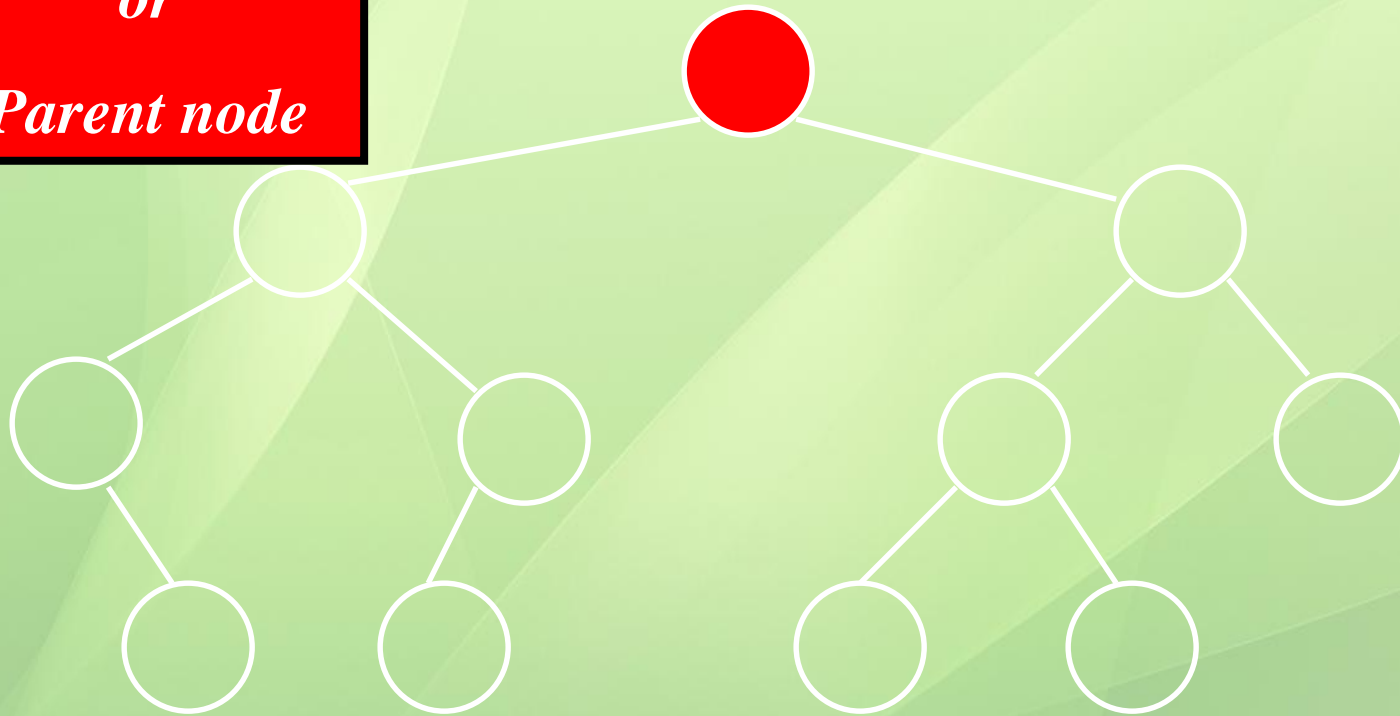


Edges



# Parts of a Tree

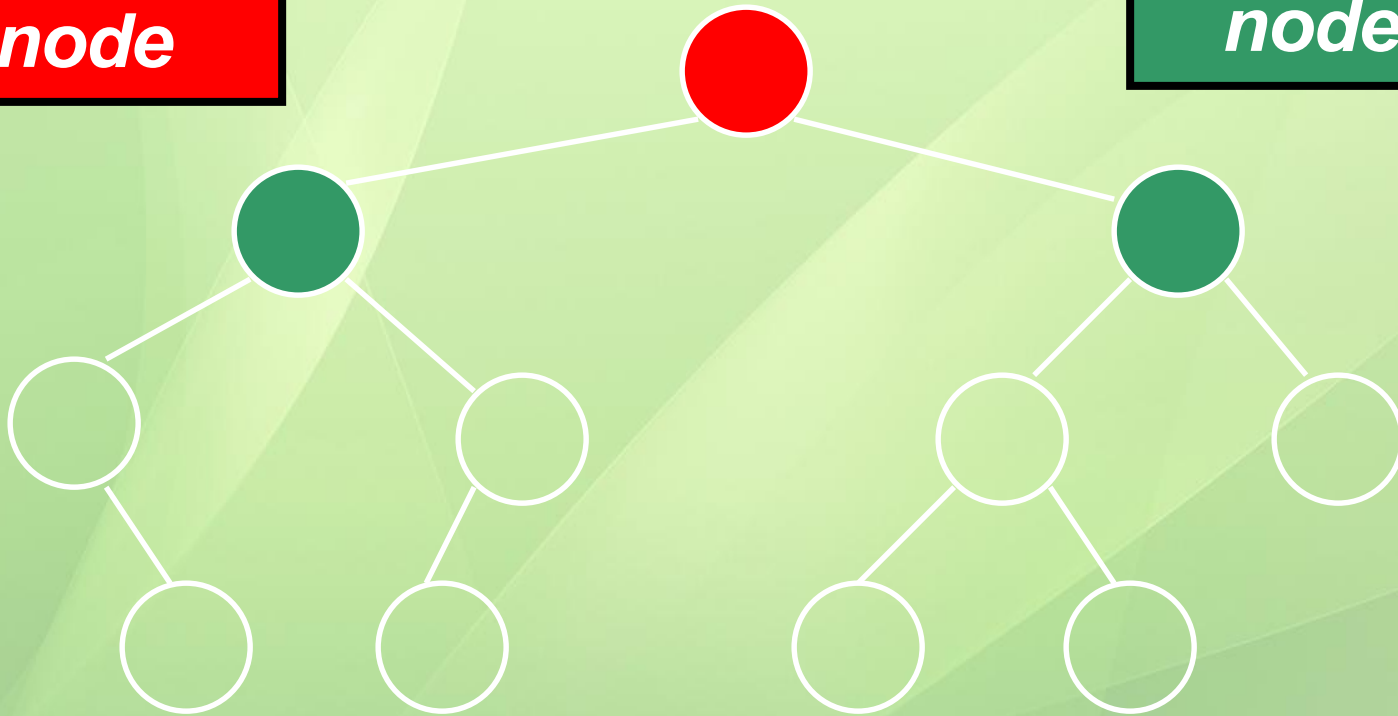
*Root*  
*or*  
*Parent node*



# Parts of a Tree

*parent  
node*

*child  
nodes*

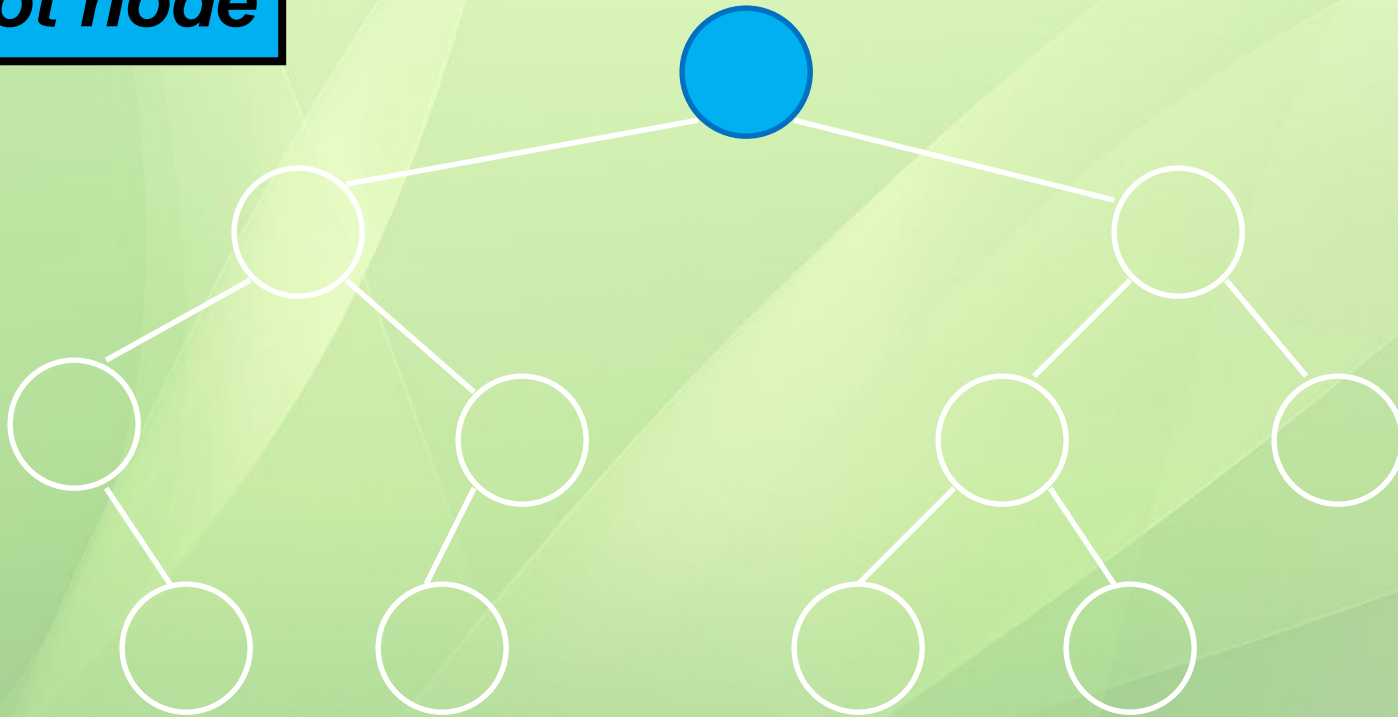


# Parts of a Tree



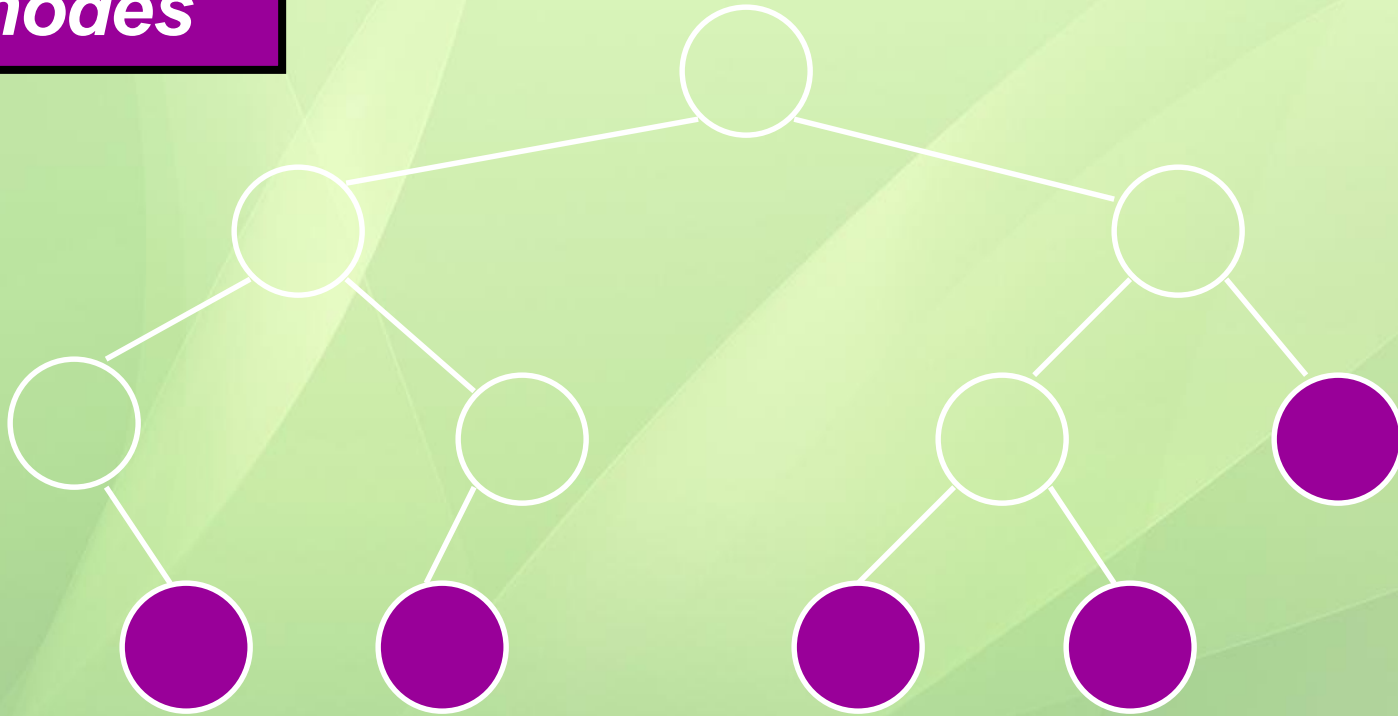
# Parts of a Tree

***root node***



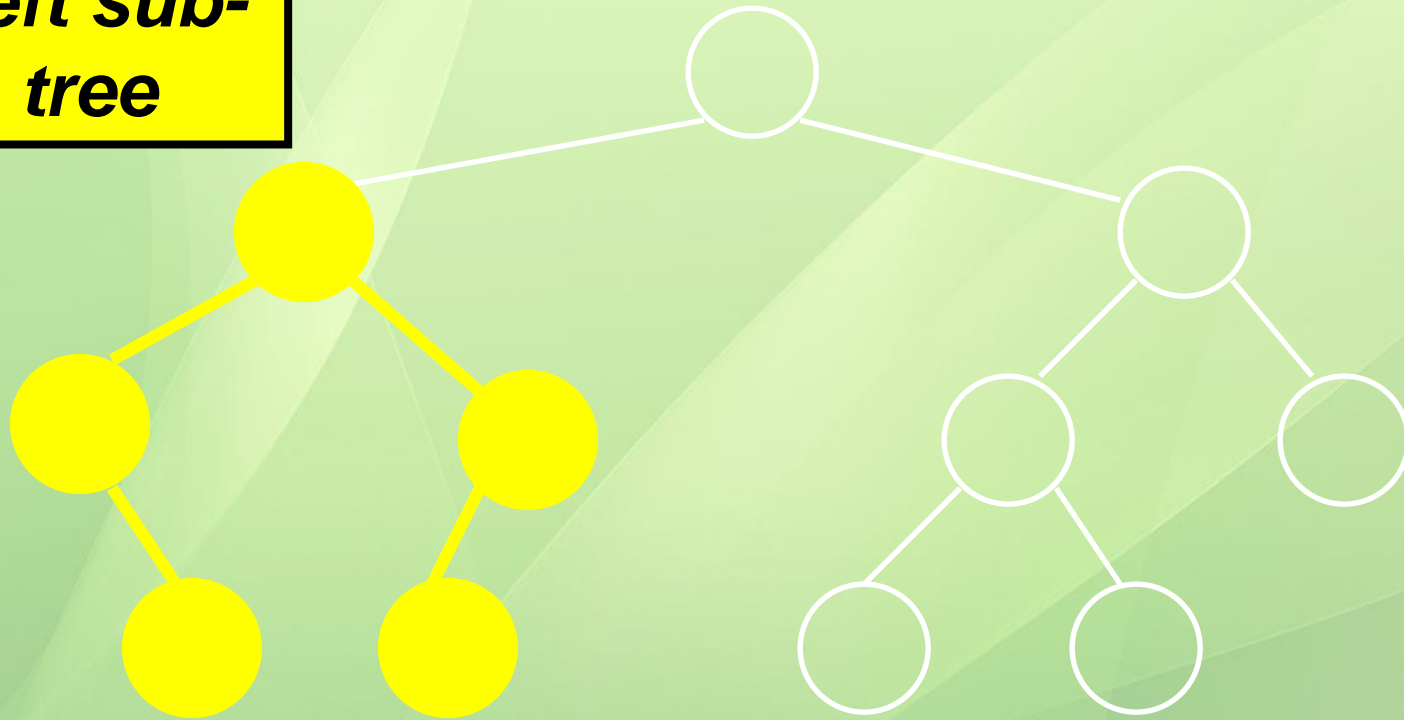
# Parts of a Tree

*leaf  
nodes*



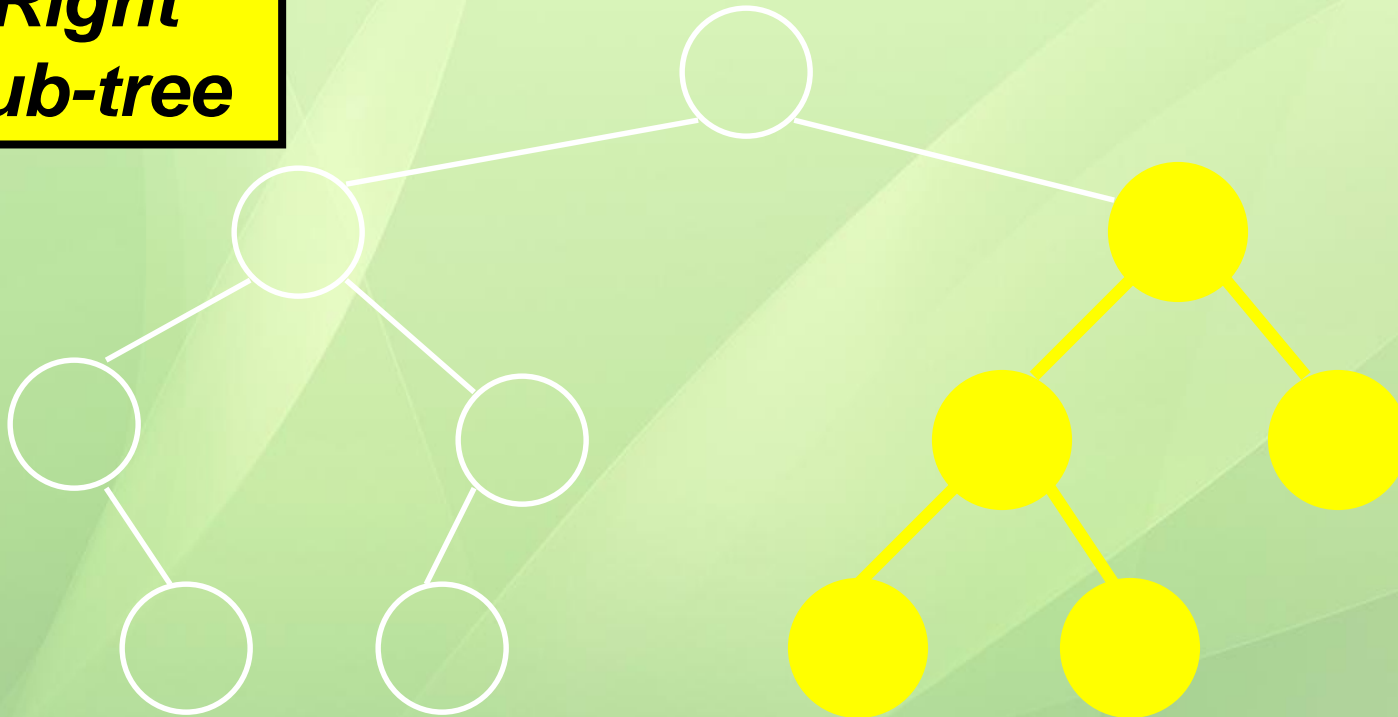
# Parts of a Tree

***Left sub-  
tree***



# Parts of a Tree

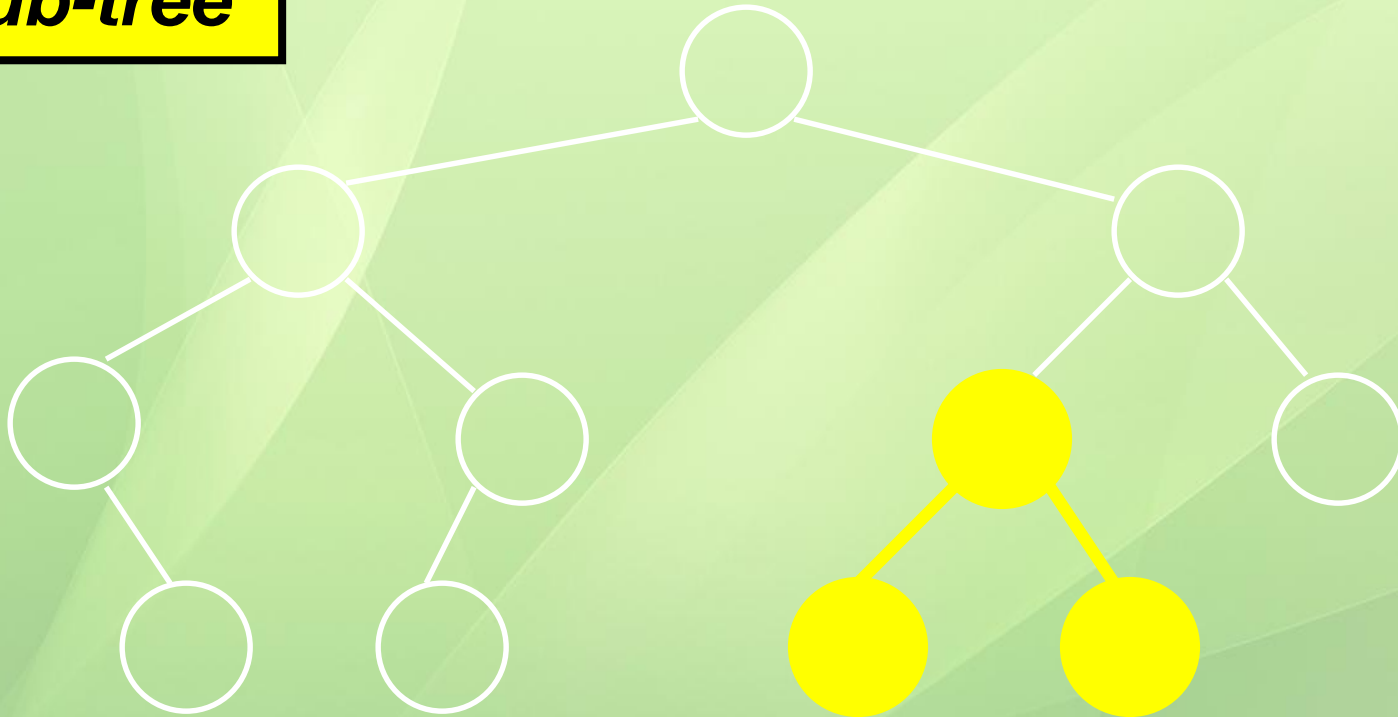
***Right  
sub-tree***





# Parts of a Tree

***sub-tree***



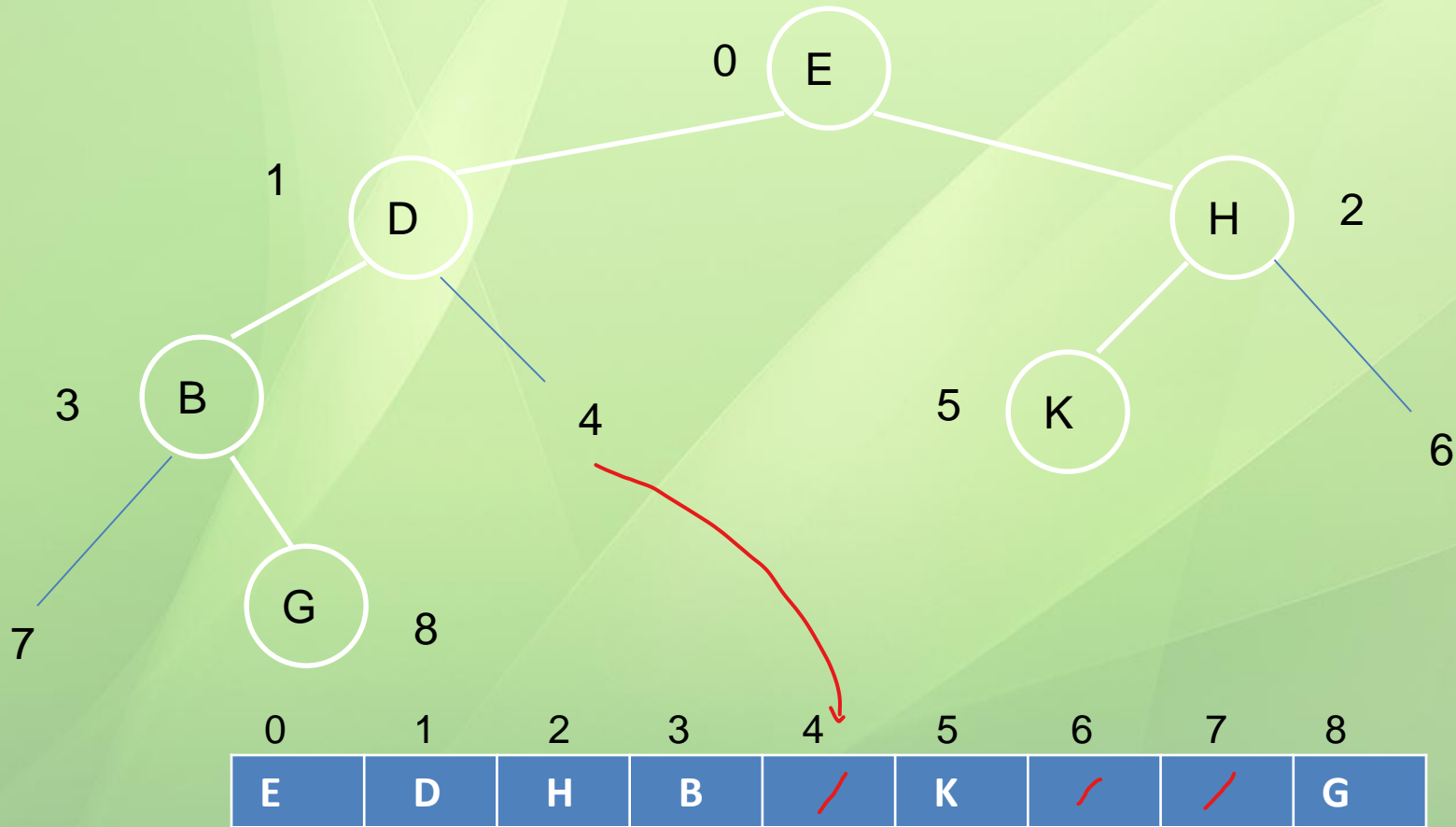
# Parts of a Tree

***sub-tree***

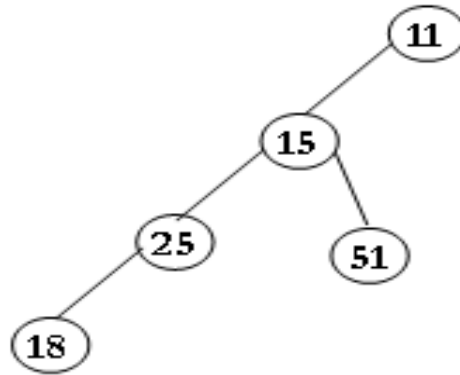


# Array Representation of a Tree

0, 1, 2, 3, . . . are positions or indices



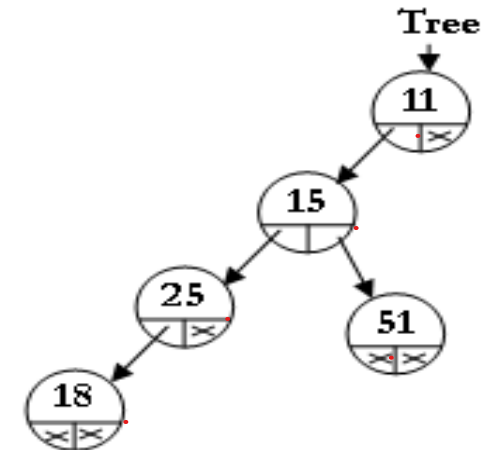
# Binary Tree Representation



(a): A Binary Tree

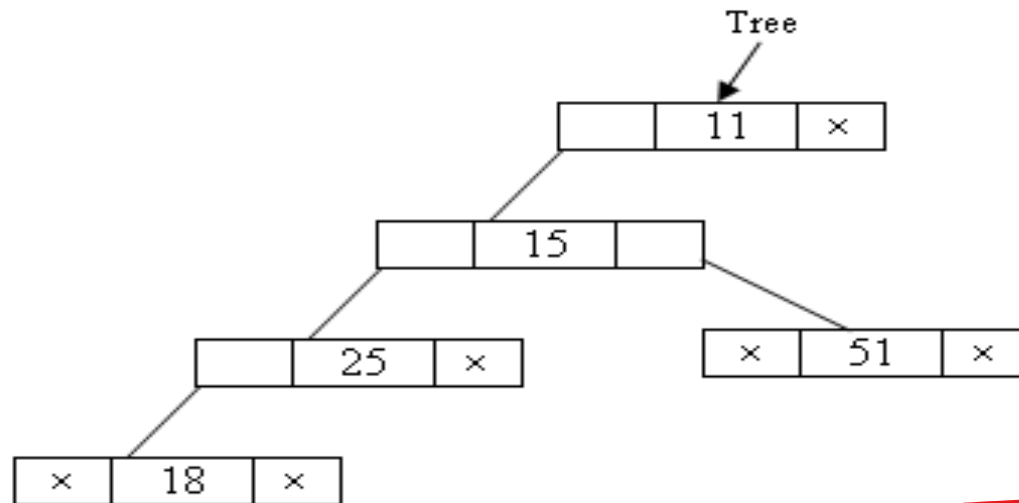
11	15	-	25	51			18
----	----	---	----	----	--	--	----

(b): Tree as an array



(c): Tree as a linked list (nodes are shown in round shape)

# Binary Tree



(d) Tree as a linked list (nodes are shown in rectangular shape)

Figure 7.3: Tree implementation (store in memory)

CT-2  
4 marks

# Binary Tree

## □ Full binary tree:

- If a binary tree contains nodes in such a way that every node has at least two children except the leaf nodes, then the tree is called a full binary tree.

## □ Complete binary tree:

- If a binary tree contains nodes in such a way that every level except the deepest(last) has as many nodes as possible and the nodes of the deepest level are in as left as possible, the tree is called complete binary tree. (online picture) ✓

- ~~□ All full binary trees are complete binary trees.~~

Not Right

# Binary Tree

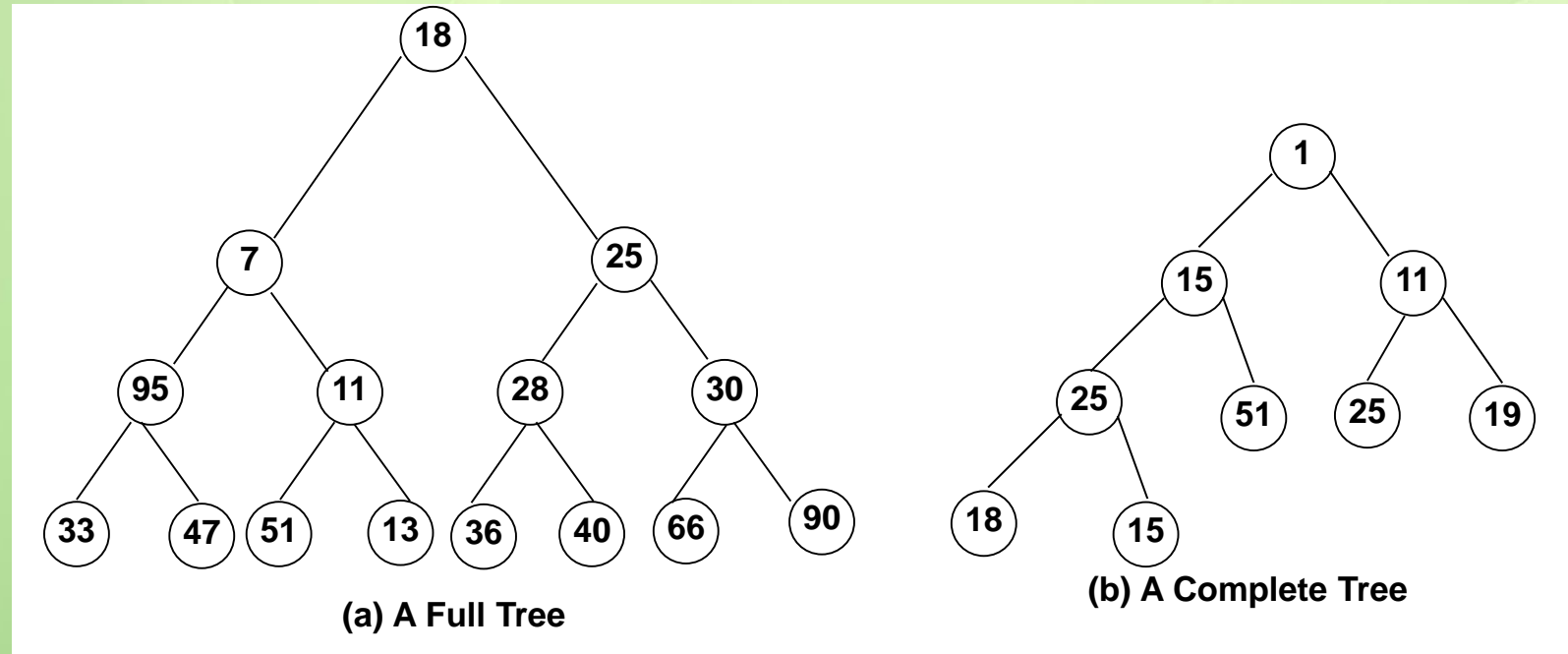


Figure 7.4: Pictorial view of Full tree and complete tree

# Binary Tree

If there are  $k$  levels in a **full binary tree**, then the number of nodes is as follows:

$$n = 2^k - 1.$$

For example, if  $k = 3, n = 7$   
 $k = 4, n = 15.$

✓ When  $n$  is known, then for **complete or full binary** tree we get,

$$k = \lceil \log_2(n+1) \rceil \quad // \lceil x \rceil \text{ means } \underline{\text{ceiling of } x \text{ to the next integer}}$$

For example,

$$\text{when } n = 17; \quad k = \lceil \log_2(17+1) \rceil = \lceil \log_2(18) \rceil = \lceil 4.1..... \rceil = 5$$

$$\text{when } n = 34; \quad k = \lceil \log_2(34+1) \rceil = \lceil \log_2(35) \rceil = \lceil 5.2.... \rceil = 6; \text{ etc.}$$



# Binary Tree in Array

Parent child relationship(from parent to child):

If we consider the root's position is

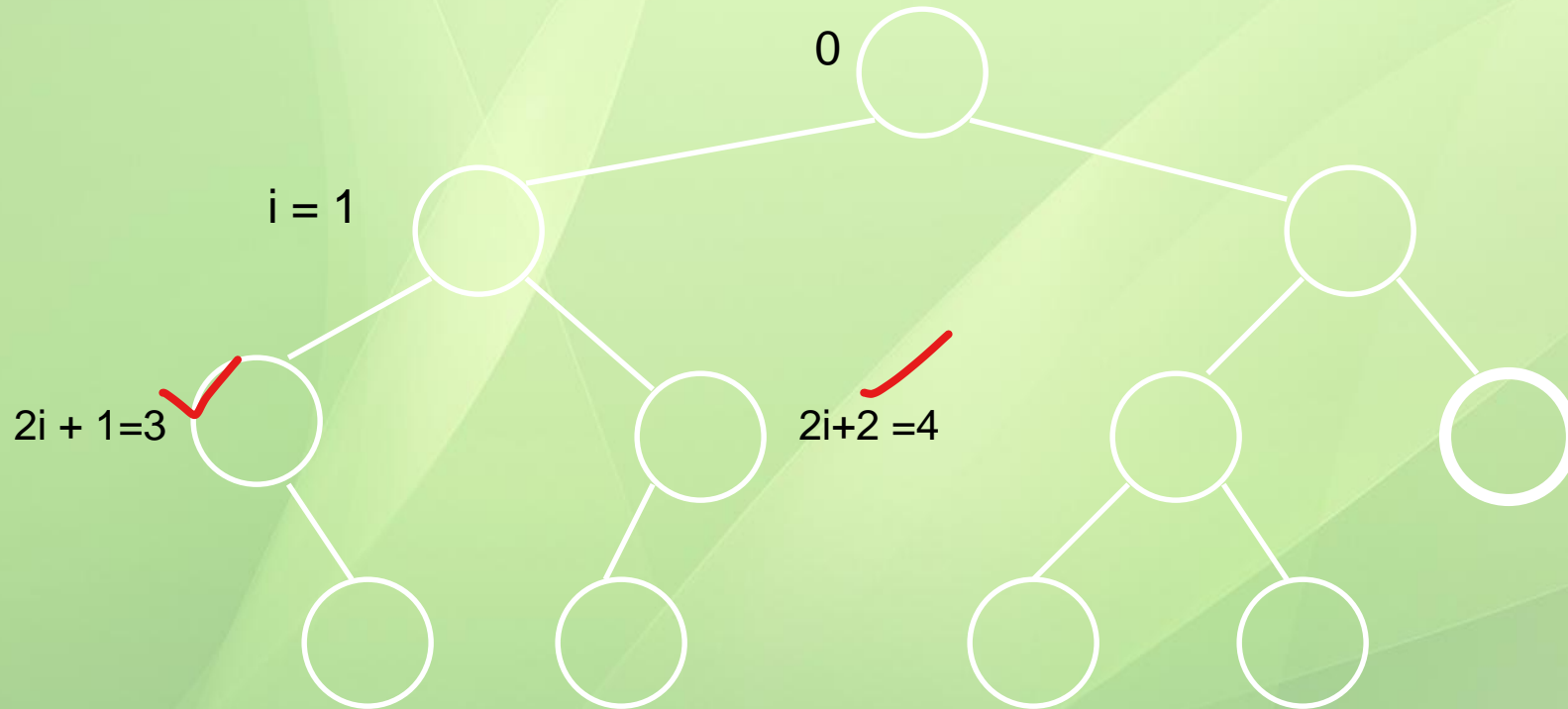
0,

Parent's position(index) =  $i$ ,

Left child's position(index) =  $2i+1$ ,

Right child's position(index) =  $2i+2$ .

# Parent Child Relationship



# Binary Tree in Array

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Child parent relationship (from child to parent):

Child's position (index):  $k$  ✓

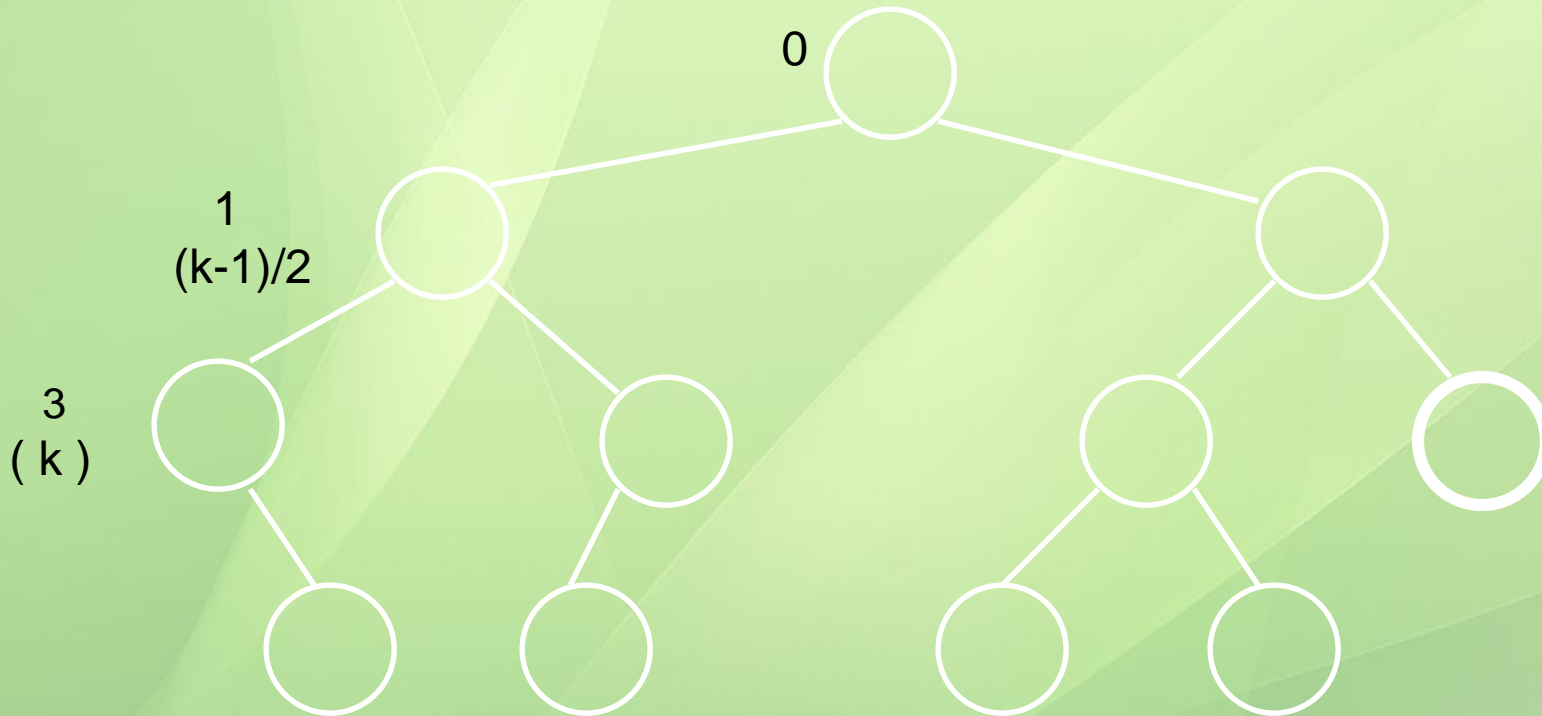
Parent's position:  $(k-1)/2$ , ✓

Where / denotes Integer division.

---

# Child Parent Relationship

---



# Binary Tree in Array

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Parent child relationship (from parent to child):

If we consider the root's position is 1,

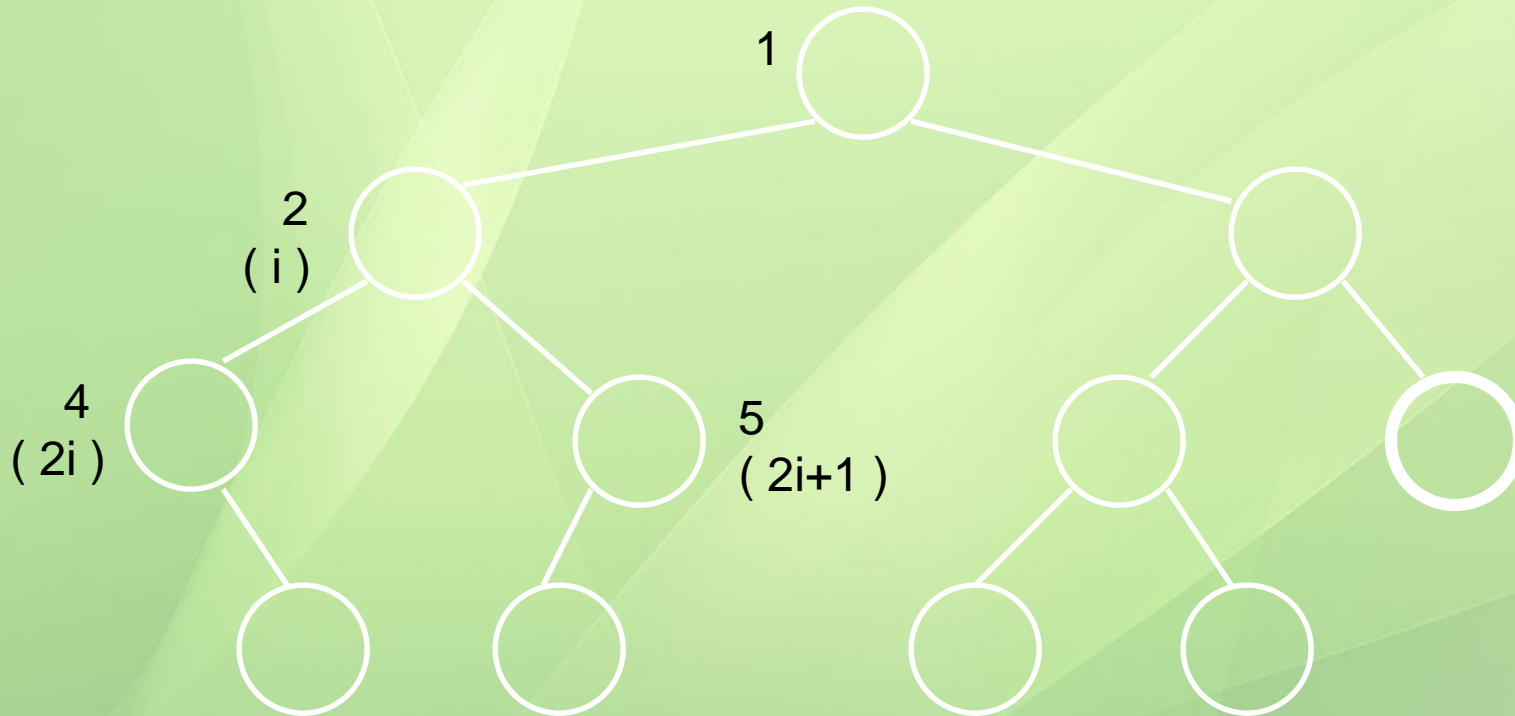
Parent's position(index) =  $i$ ,

Left child's position(index) =  $2i$ ,

Right child's position(index) =  $2i + 1$ .

---

# Parent Child Relationship



# Binary Tree in Array

---

Child parent relationship (from child to parent):

Child's position (index):  $k$

Parent's position:  $k/2$ , / denotes

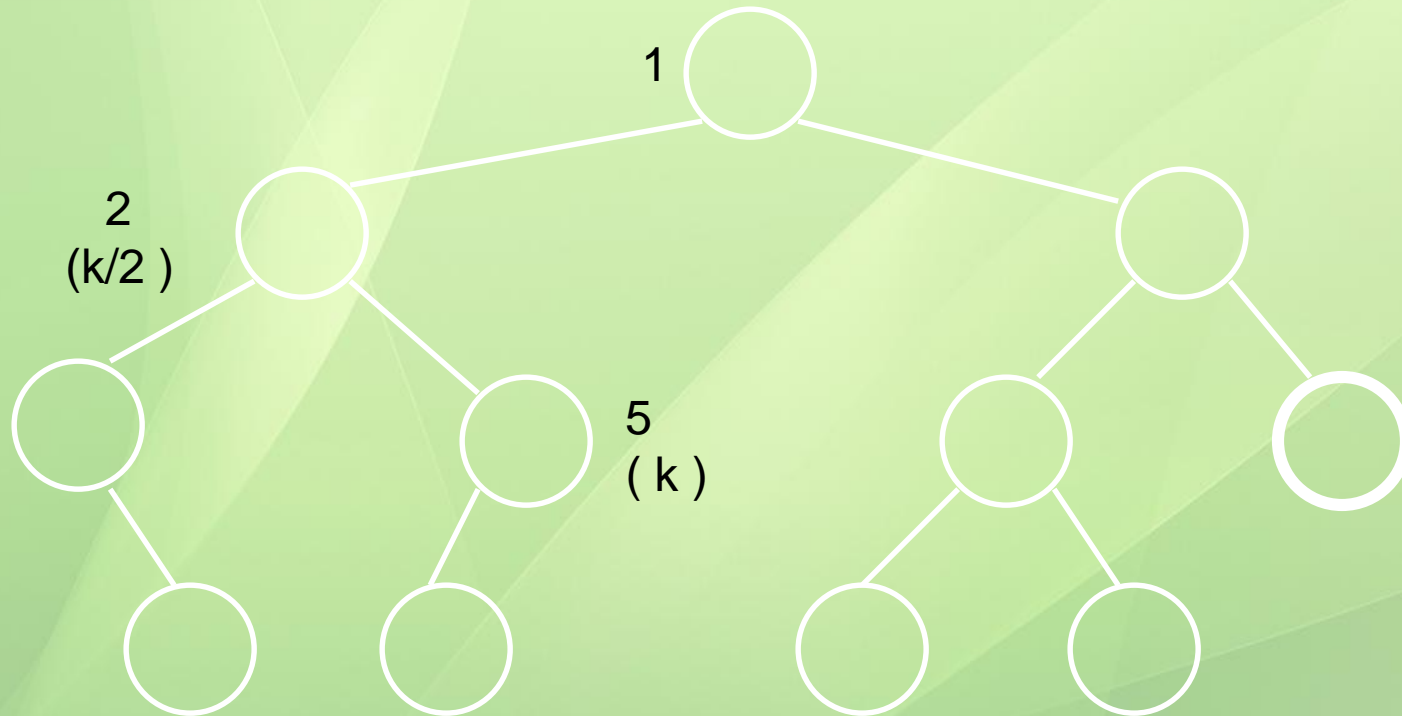
Integer division.

If the binary tree is a complete then array representation is efficient.

---

# Child Parent Relationship

---





# Linked representation of Binary Tree

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```
struct node
{
    int data;
    node *lchild; //pointer to left child
    node *rchild; //pointer to right child
};
```

If the tree is not complete then linked representation is efficient.

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# Traversal Technique of a Binary Tree

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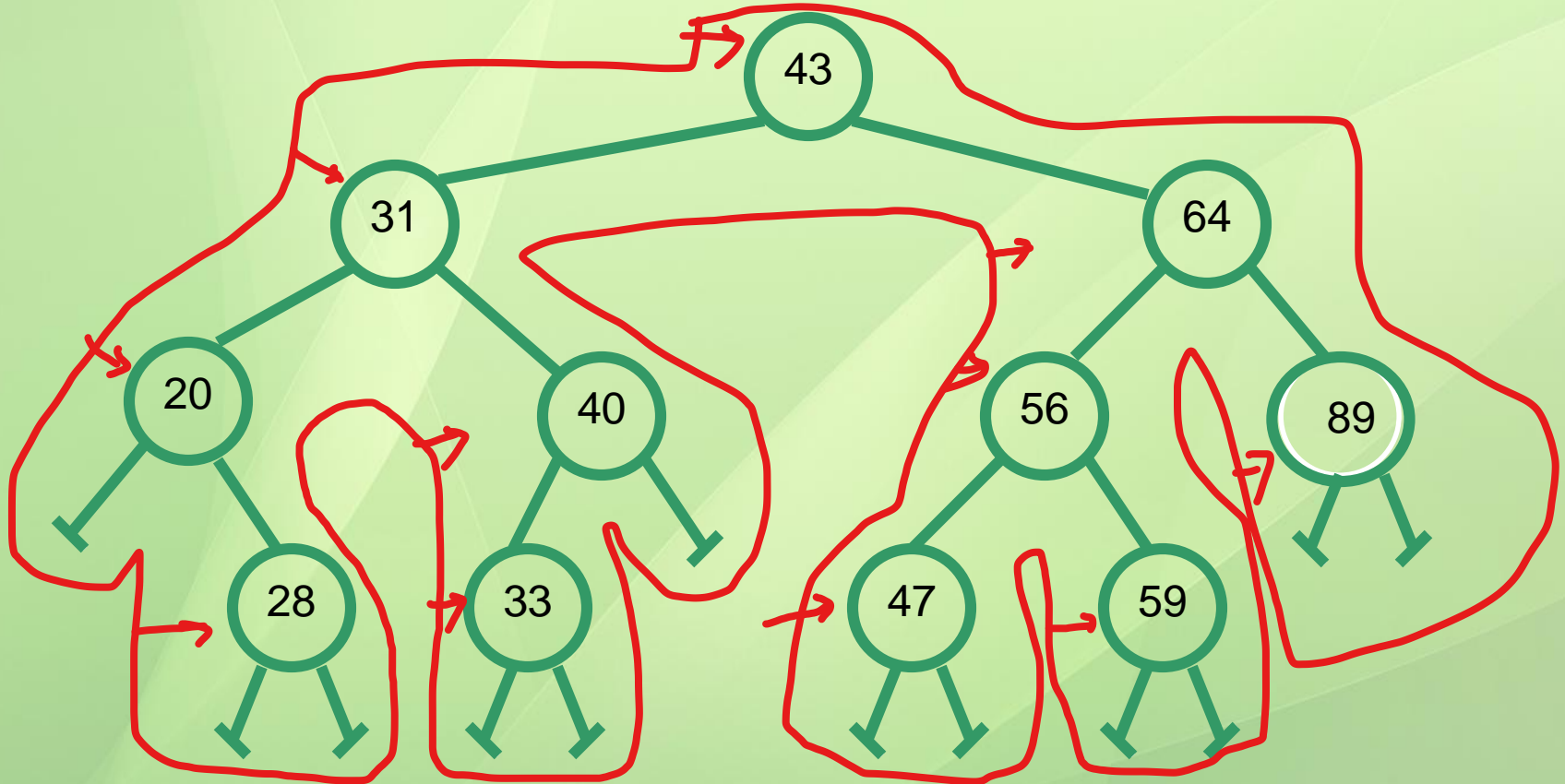
- ❑ There are three main traversal techniques (methods) for a binary tree. Such as
    - ❑ Pre-order Traversal Method
    - ❑ In-order Traversal Method
    - ❑ Post-order Traversal Method
-

# Pre-order Traversal Method

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- ☐ Visit the root (node).
  - ☐ Traverse the left sub-tree (in pre-order)
  - ☐ Traverse the right sub-tree (in pre-order)
-

# Example: Preorder



43	31	20	28	40	33	64	56	47	59	89
----	----	----	----	----	----	----	----	----	----	----

# Another Example of Preorder

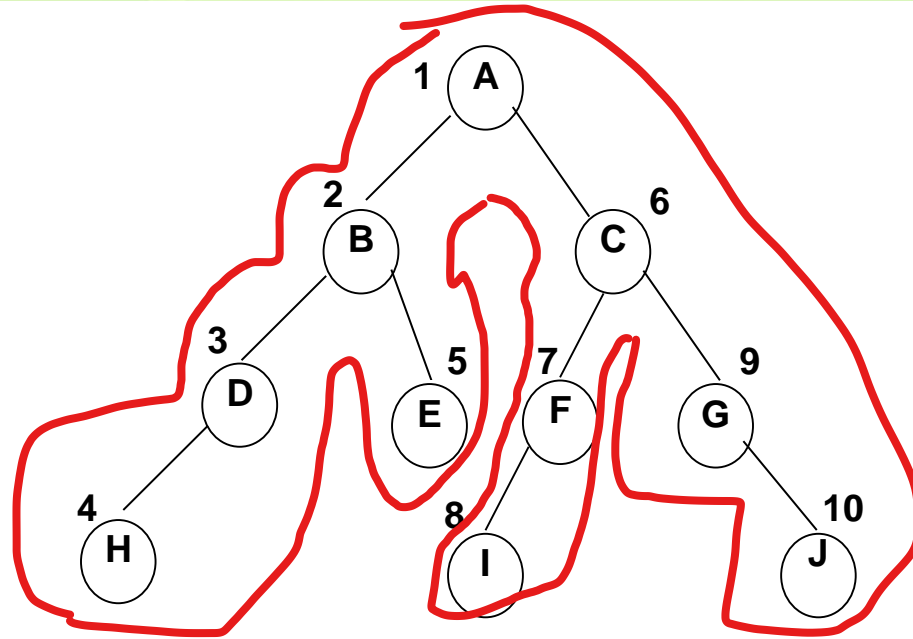


Figure 7.5: Pre-order traversal method

Visiting sequence:

A B D H E C F I G J

# Pre-order Traversal Method

## Algorithm 7.1: Algorithm for pre-order traversal

1. Input a binary tree

2. `preorder` (node \* curptr)

{

    if (curptr != NULL)

    {

        print curptr→data;

```
preorder
```

 (curptr→lchild);

```
preorder
```

 (curptr→ rchild);

    }

}

3. Output: the information of the nodes.

# Array based algorithm for preorder method



```
preorder (int i, int tree [ ])
{
if (i<n) cout<< tree[i];
preorder (2*i+1, tree);
preorder(2*i+2, tree);
main()
{
input tree[n]// an array of size n
preorder (0, tree);
}
```

# Inorder Traversal

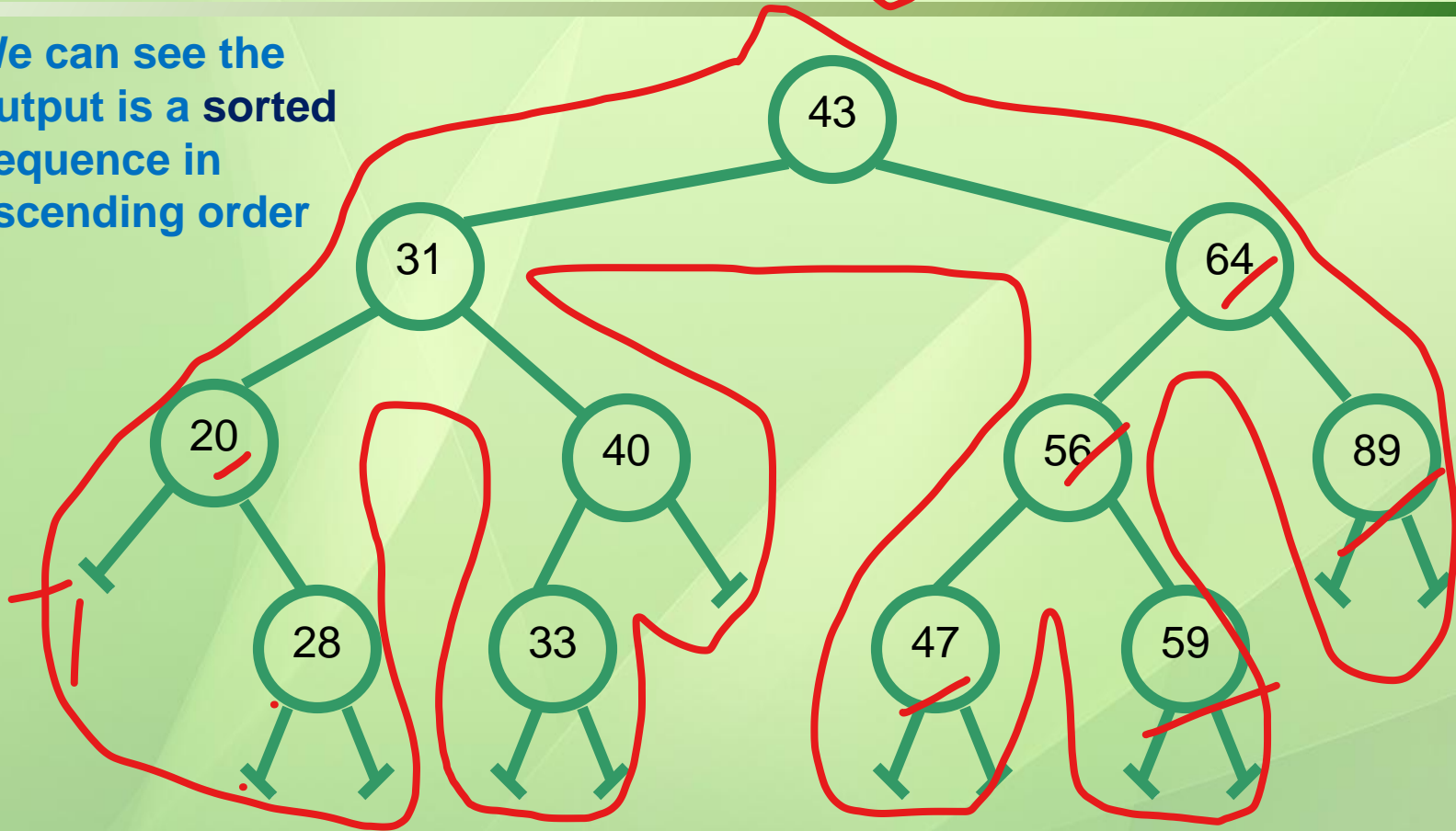
---

- ❑ Traverse the left subtree (inorder).
  - ❑ Visit the root (node).
  - ❑ Traverse the right subtree (inorder).
-



# Example: Inorder

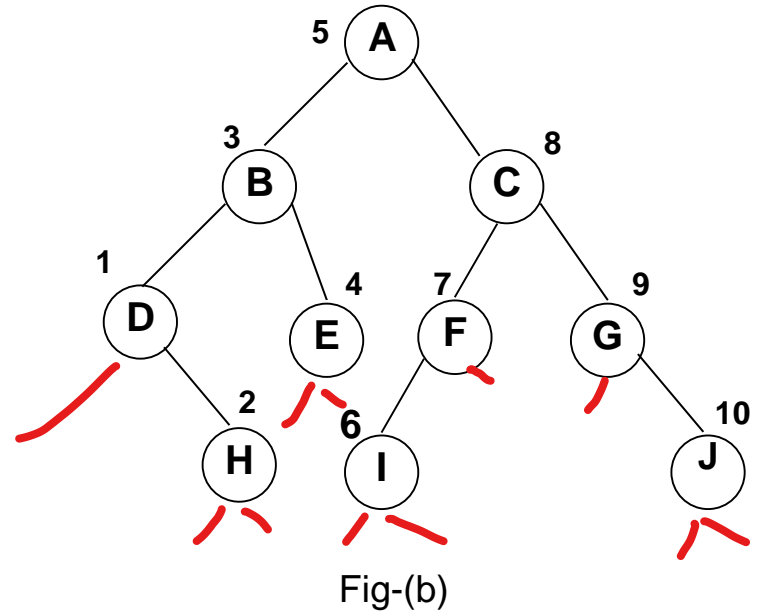
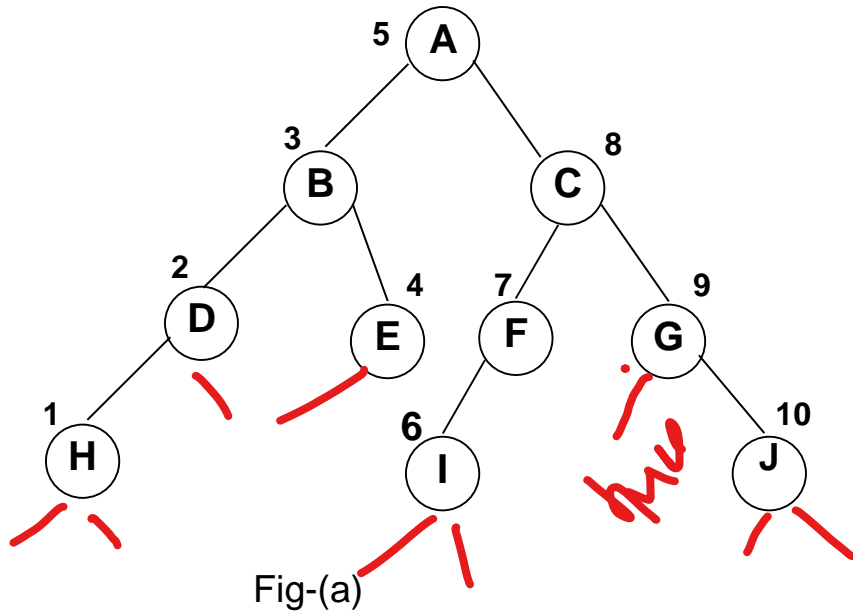
We can see the output is a sorted sequence in ascending order



20	28	31	33	40	43	47	56	59	<u>64</u>	89
----	----	----	----	----	----	----	----	----	-----------	----

2<sup>nd</sup> time visit to print 20

# In-order Traversal



Visiting Sequences:

H D B E A I F C G J

D H B E A I F C G J

# In-order Traversal Method

## Algorithm 7.2: Algorithm for in-order traversal

1. Input a binary tree.

2. **inorder** (node \* curptr)

{

    if (curptr != NULL)

    {

**inorder** (curptr→lchild);

        print curptr→data;

**inorder** (curptr→rchild);

    }

}

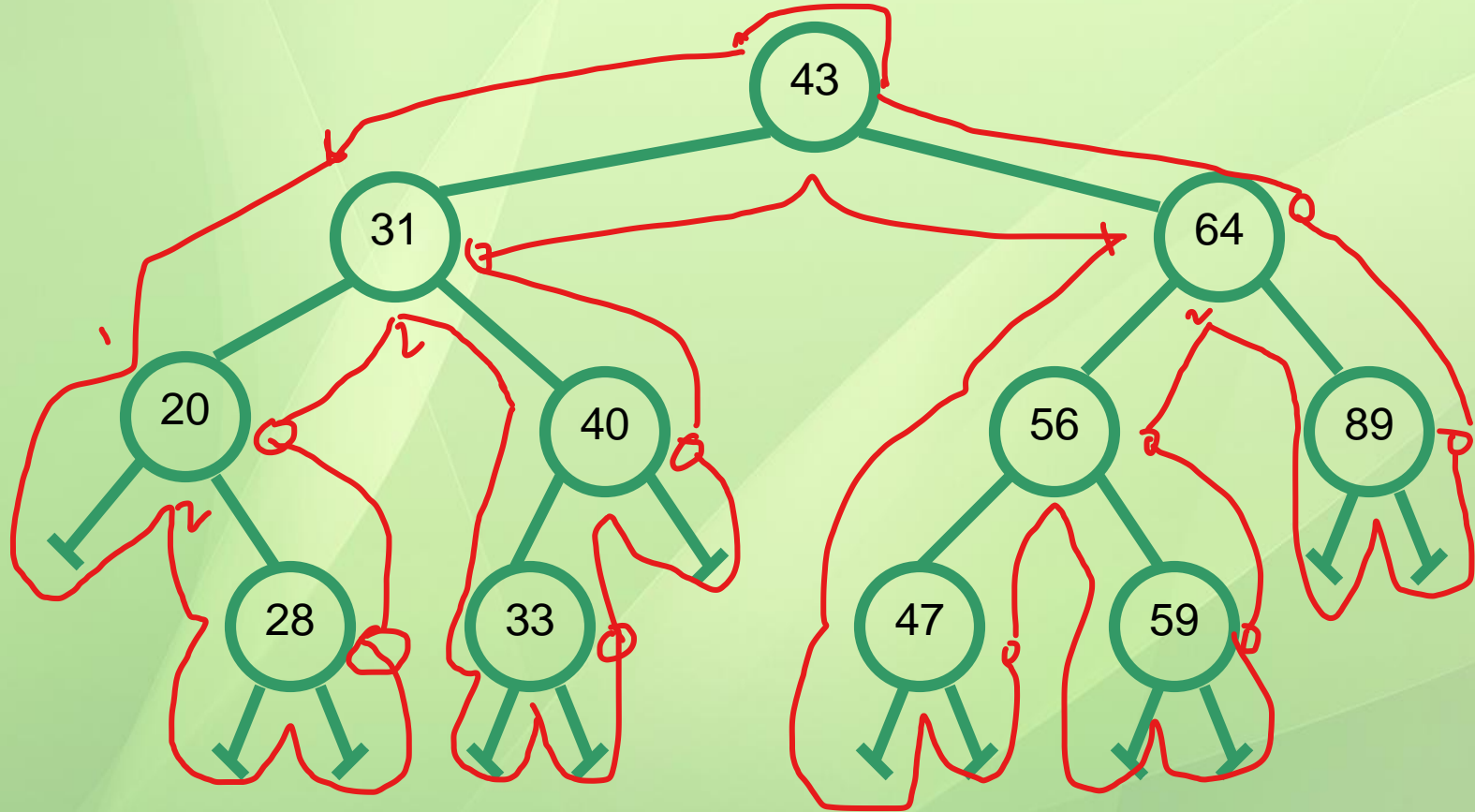
3. Output: the information of the nodes.

# Postorder Traversal

---

- ❑ Traverse the left subtree (postorder).
  - ❑ Traverse the right subtree (postorder).
  - ❑ Visit the root (node).
-

# Example: Postorder



28	20	33	40	31	47	59	56	89	64	43
----	----	----	----	----	----	----	----	----	----	----

# Post-order Traversal Method

---

**Algorithm 7.3:** Algorithm for post-order traversal ✓

1. Input a binary tree.

2. `postorder` (node \* curptr)

{

if (curptr != NULL)

{

`postorder` (curptr→lchild);

`postorder` (curptr→rchild);

print curptr→data;

}

}

3. Output: the information of the nodes.

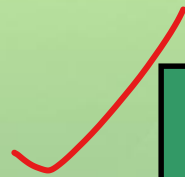
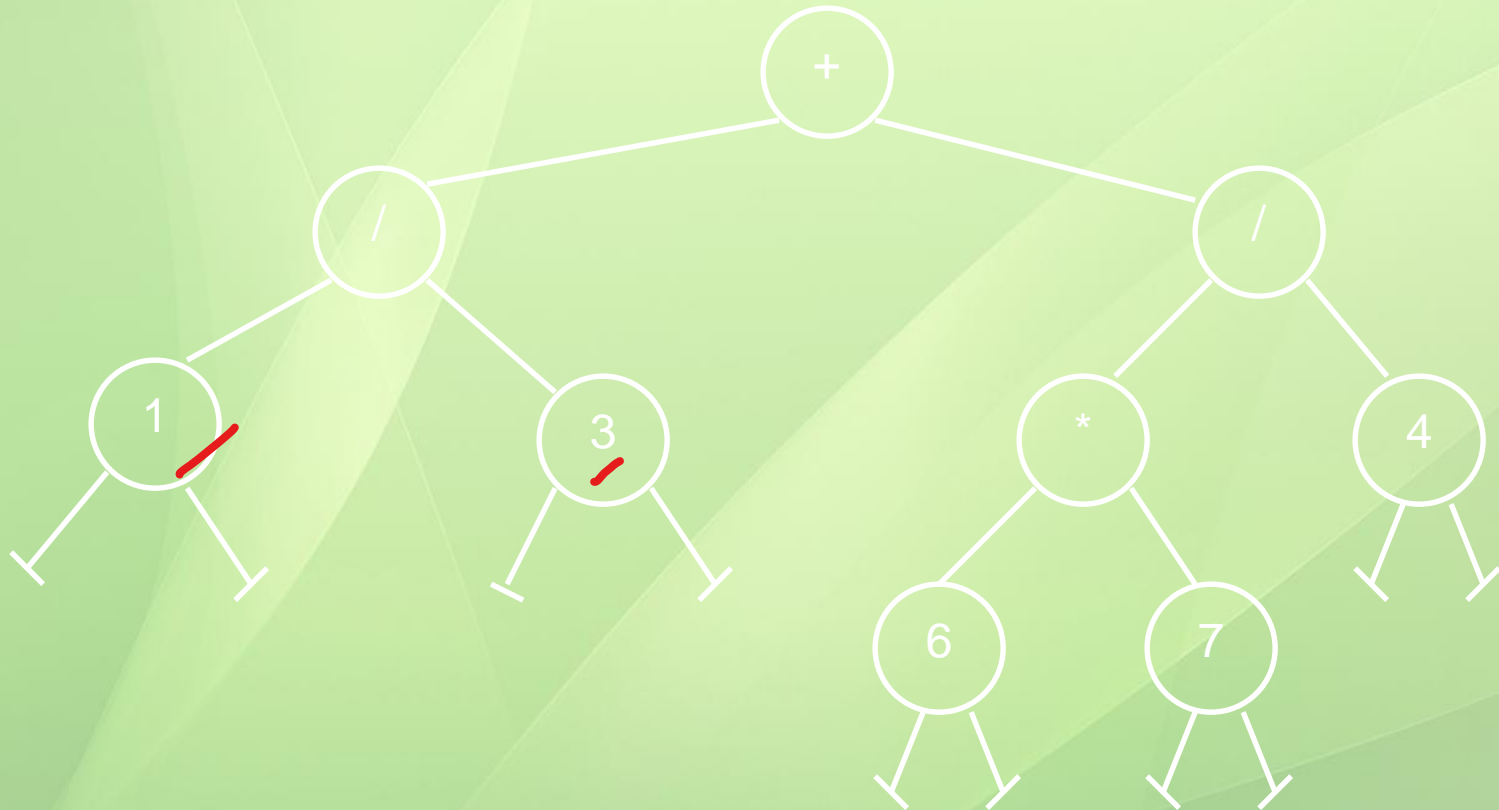
---

# ✓ Expression Tree

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- ❑ A Binary Tree built with operands and operators.
  - ❑ Also known as a parse tree.
  - ❑ Use in compilers.
-

# Example: Expression Tree



$1/3 + 6*7/4$



# ✓ Binary Search Tree(BST)

---

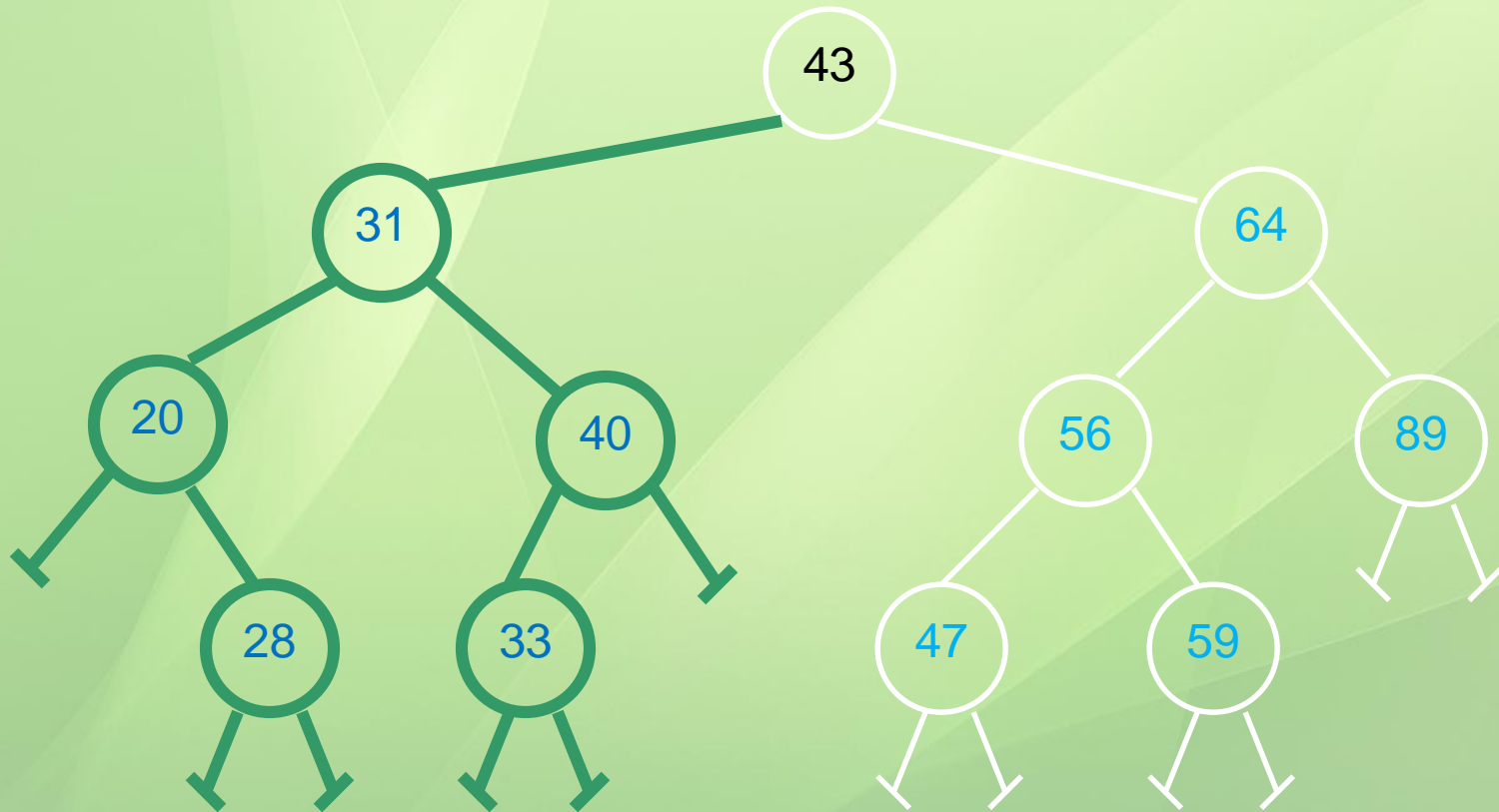
A **BST** is a Binary Tree such that:

- ❑ Every node entry has a **unique** key or value.
  - ❑ All the values in the **left subtree** of a node are **smaller(less)** than the value of the node.
  - ❑ All the values in the **right subtree** of a node are **greater** than the value of the node.
  - ❑ Left and right **sub-trees** are also BST.
-

## Example 1: *Key or value is an integer*

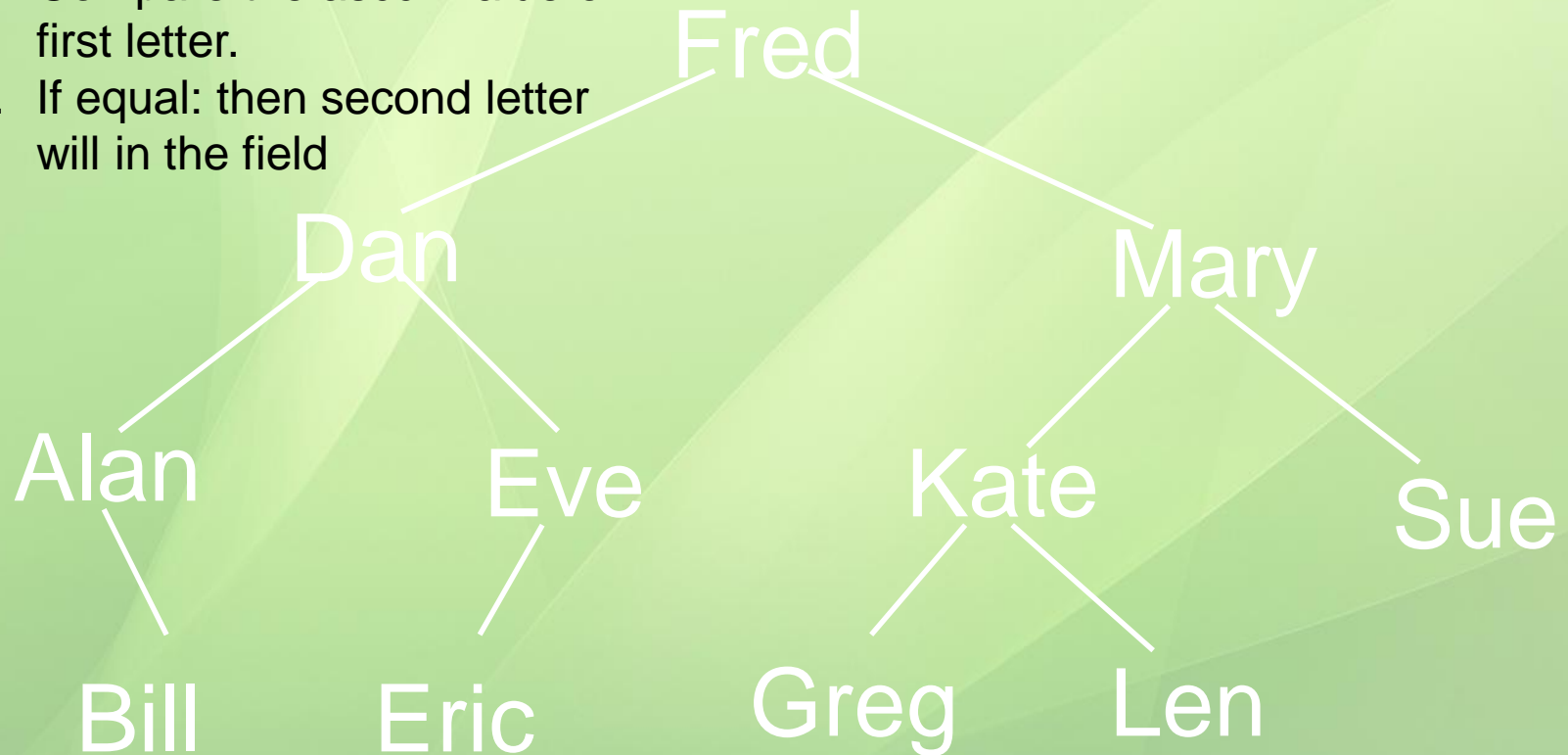


## Example 1: *key or value is an integer*



## **Example 2:** *Key or value is a string*

1. Compare the asccii value of first letter.
2. If equal: then second letter will in the field



# Creation of BST Using Recursive Function

---

```
struct node {  
    int data;  
    node *lchild;  
    node *rchild;  
};  
node *nptr;  
//Recursive Function for BST creation  
node *creatBST(node *root, int item)  
{  
    if (root==NULL)  
    {  
        nptr=new(node);  
        nptr->data=item; nptr->lchild=NULL; nptr->rchild=NULL;  
        root=nptr;  
        return root;  
    }  
}
```

---

# Creation of BST Using Recursive Function

---

else

{

if (item < root->data)

root->lchild=creatBST(root->lchild,item);

else if (item> root->data)

root->rchild=creatBST(root->rchild,item);

else cout<<"Duplicate not allowed";

return root;

}

}

---

# Main function and Recursive function

---

```
int main()
{
    node *root;
    root=NULL;
    int i, item;
    for(i=0; i<5;++i)
    {
        cin>>item;
        root=creatBST(root, item);
    }
    //call inorder( ) to print data
    return 0;
}
```

---

# Searching a particular node

---

- ❑ Compare with the root if **equal** then **found**.
  - ❑ Else if the node value is **less** search the left subtree.
  - ❑ **Otherwise (for greater)** search the right subtree.
  - ❑ Searching cost is  **$O(\log_2 n)$**  if BST is a complete.
-



# Searching

Example:

59



# Searching

Example:

61



# Searching Algorithm

❑ **Algorithm 7.4:** Algorithm to find out a particular node value of BST

1. Input **BST** and a node value, **x**;
2. Repeat Step-3 to Step-5 until we find the value or we go beyond the tree.
3. If **x is equal** to root node value, searching is successful (print “Found”) and terminate the algorithm.
4. If **x is less** than root node value, we have to search the left sub-tree (by treating it as a BST).
5. Else we have to search right sub-tree (by treating it as a BST).
6. Otherwise the node value is not present in BST (print “Not Found”).
7. Output: Print message “**FOUND**” or “**NOT FOUND**”

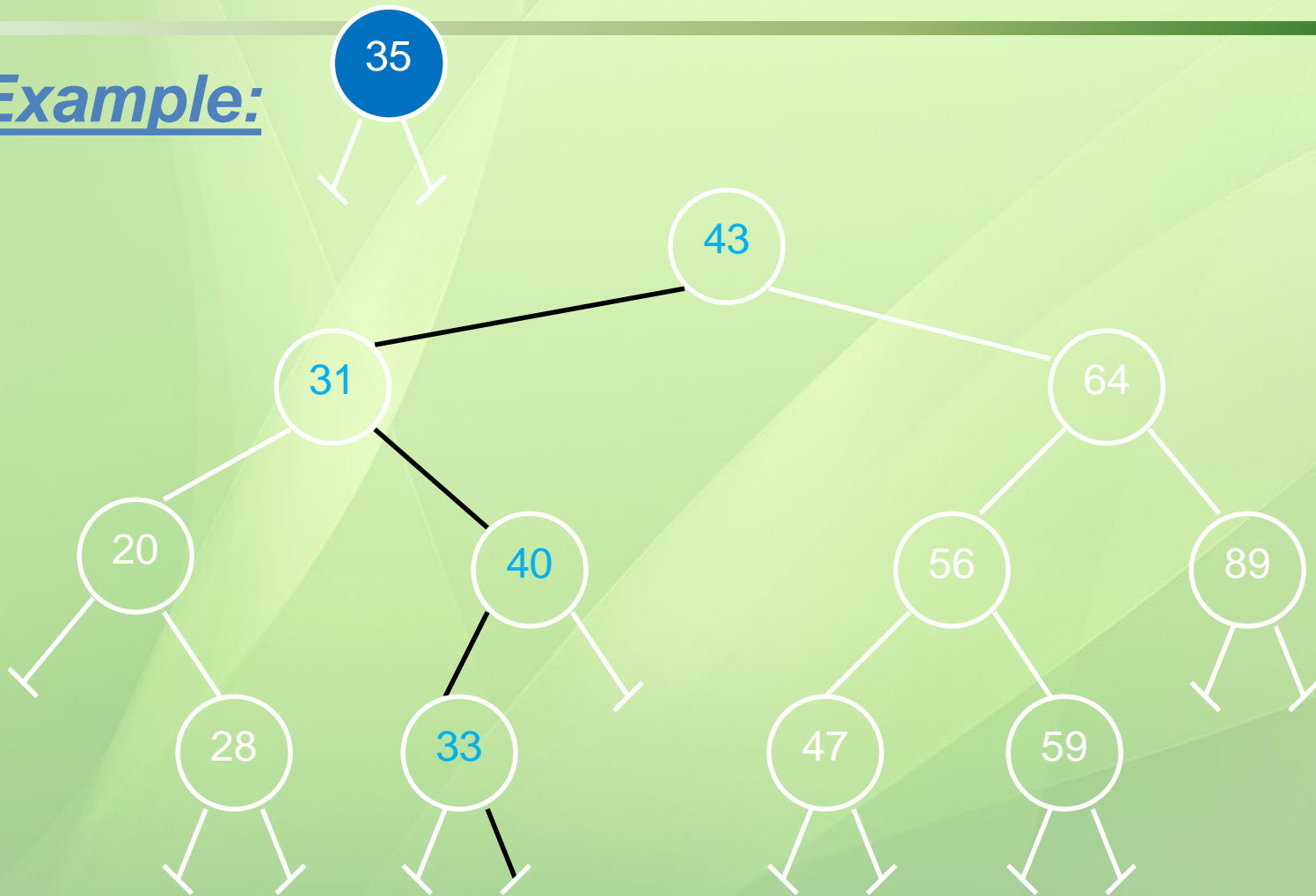
# Insert or Add

---

- ☐ Create **new node** for the item.
  - ☐ Find the **parent node** the node.
  - ☐ **Add or** Attach new node as a **leaf**.
-

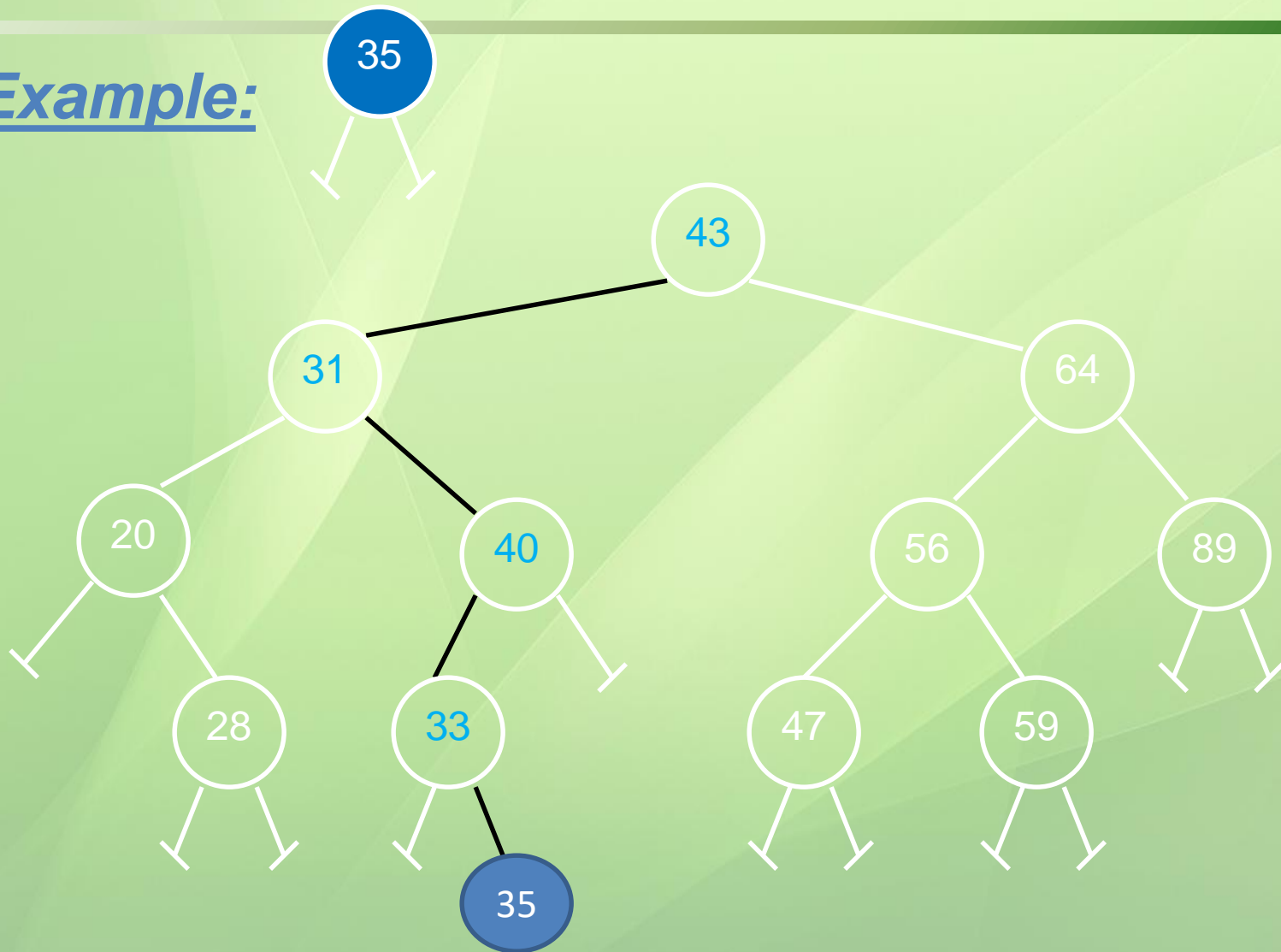
# Insert or Add

Example:



# Insert or Add

Example:



# Algorithm to Add

## ❑ Algorithm 7.5: Algorithm to insert (add) a node to a BST

1. Input BST and a new node;
2. Repeat Step-3 to Step-5 until we find the value or we go beyond the tree.
3. If  $x$  is equal to root node value, searching is successful and terminate the algorithm.
4. If  $x$  is less than root node value, we have to search the left sub-tree (by treating it as a BST).
5. Else we have to search right sub-tree (by treating it as a BST).
6. If the new node is less the parent node link new node as a left child.
7. Otherwise link the new node as a right child.
8. Output: Updated BST.

# Delete a node from BST

---

□ Find or locate the node (searching)

Case 1:

□ if the target node is a leaf node, then we just delete the node.

Case 2:

□ if the target node has only one child, then we make link between the child and parent node of the target node and delete the node.

---



# Deletion from BST

---

## Case 3:

if the target node has **two children** (with grand children also).

**Search left sub-tree** of the target node and find the node with maximum value and **mark** it.

**Replace** the node value by the maximum value.

**Delete** the marked node (the node with maximum value).

(We can do it using right subtree also in that case minimum value will be used).

---

# Deletion from BST

## Example of Case 3:



# Deletion from BST

## Example of case 3:



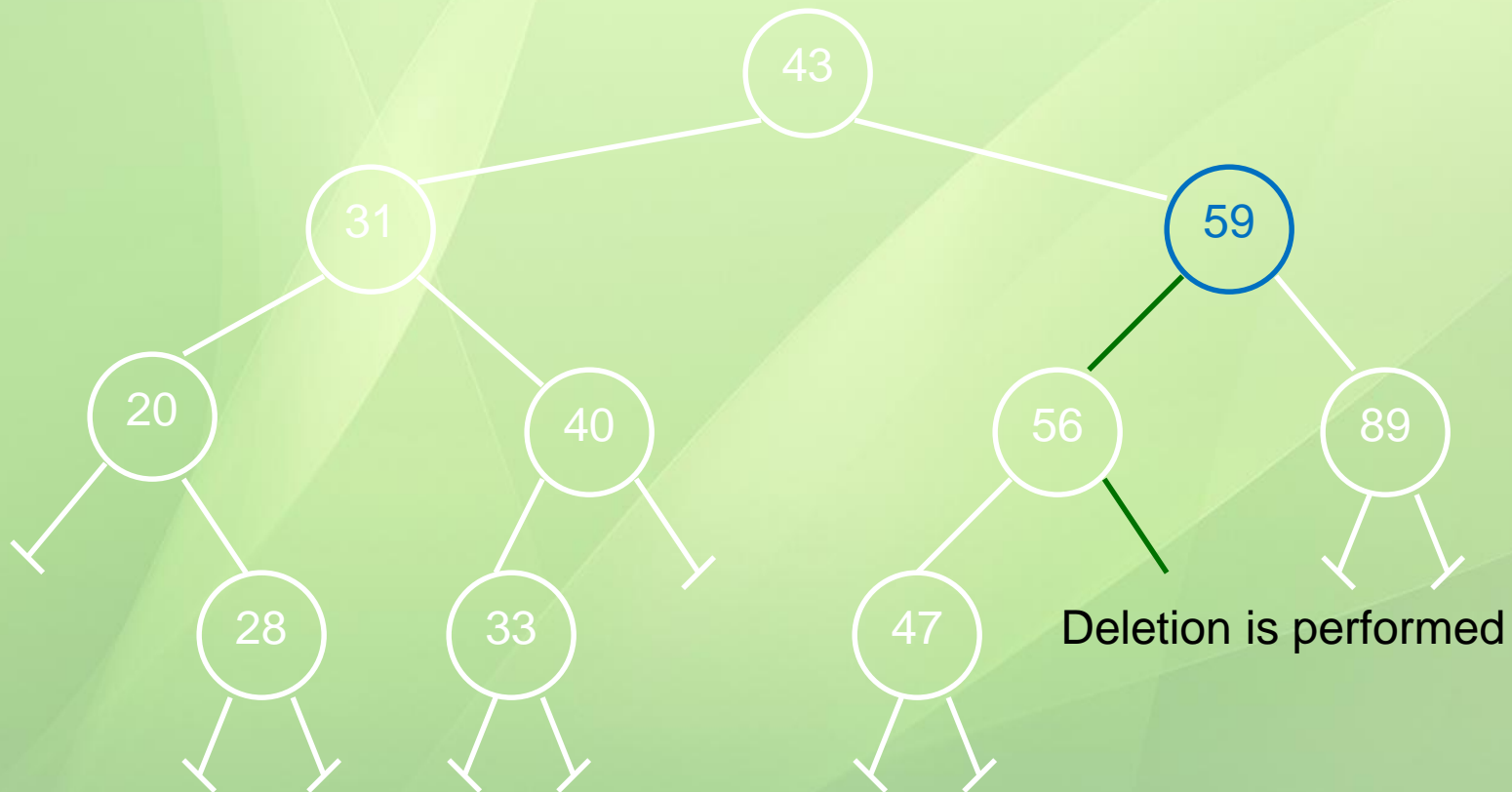
# Deletion from BST

## Example of case 3:



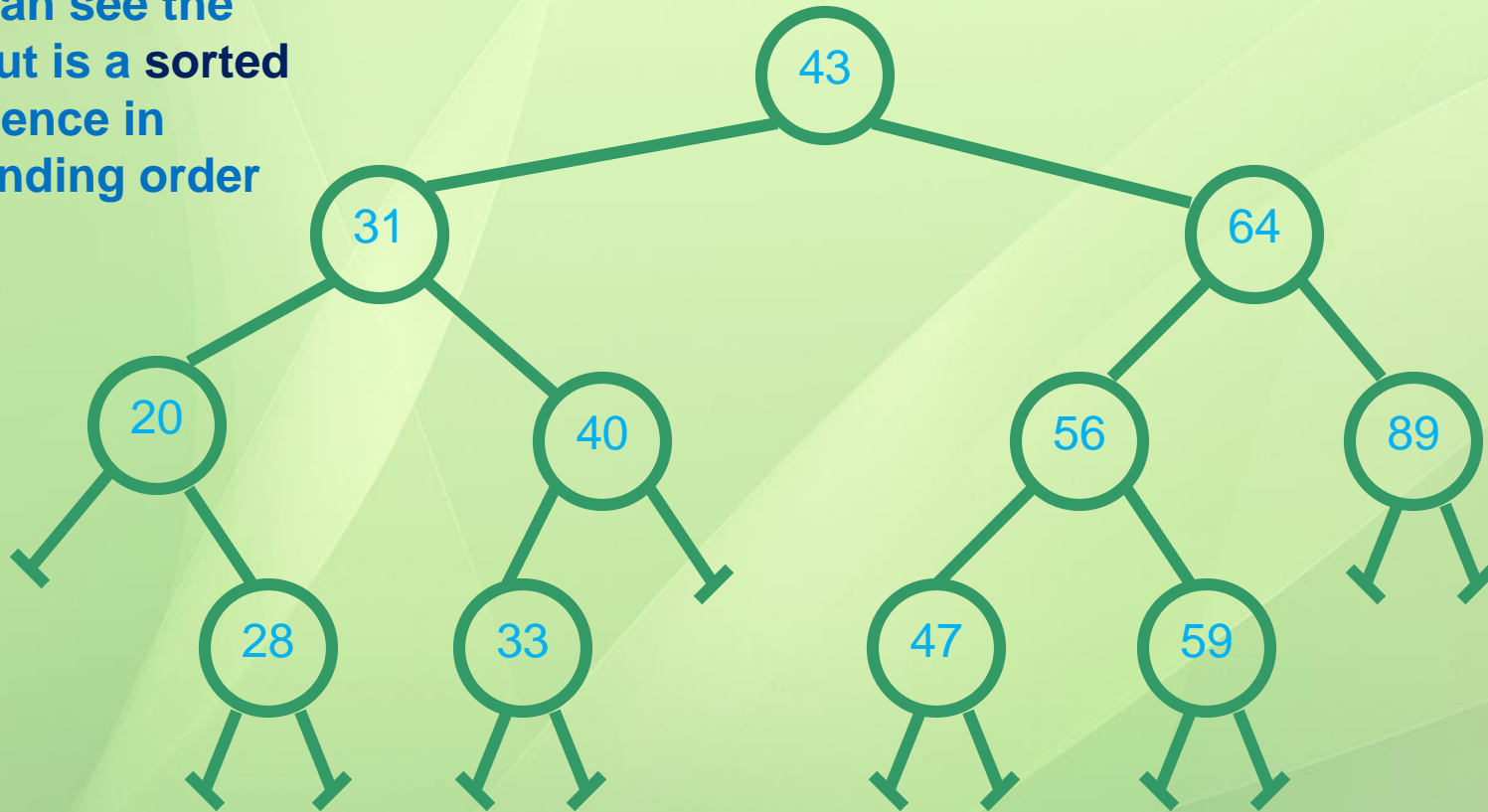
# Deletion from BST

## Example of Case 3:



# Inorder Traversal of a BST

We can see the output is a **sorted** sequence in **ascending order**



20	28	31	33	40	43	47	56	59	64	89
----	----	----	----	----	----	----	----	----	----	----

# Heap

---

- ❑ It is a **complete** binary tree.
  - ❑ each node value is **greater or smaller than** the node value of **its children**.
-

# Shape of a Complete Binary Tree

---



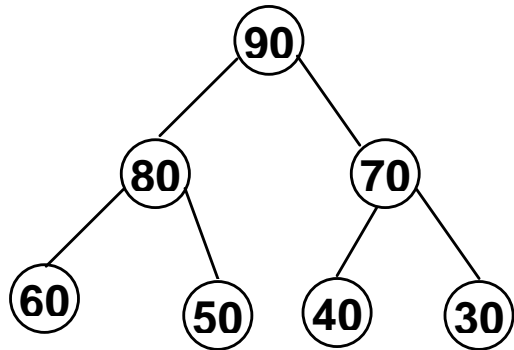


# Types of Heap

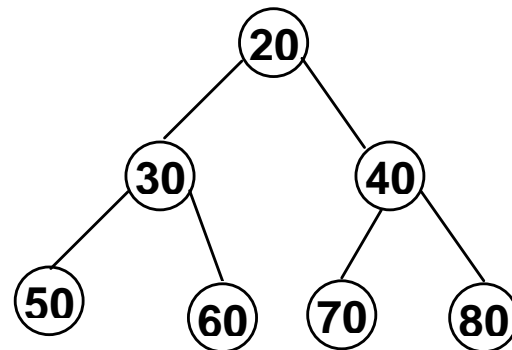
---

- Max-heap and Min-heap
  - If the node value is greater than the node value of its children, then the heap is called max heap.
  - Otherwise, the heap is called min heap.
  - Array implementation of a heap is efficient.
-

# Heap [contd.]



(a): A max heap



(b): A min heap

Figure 7.12: Graphical representation of Heap

# Heap Creation Process

---

1. Add an element as root of the heap.
  2. Select second element as left child and place it in proper position by comparing it with its parent.
  3. Select next element as right child and place it in proper position by comparing it with its parent.
  4. Select next element as left or right child and place it in proper position by comparing it with its parent and grand parents (if any).
-

# Heap Creation [contd.]

---

- ❑ We have to compare parent and child and check whether parent is smaller if smaller, swap the elements.
  - ❑ By repeating the process we can have a max-heap.
-

# Heap Creation [contd.]

---

- Given List: 45 28 52 25 60 70
  - Create heap using the above data.
-

# Heap Creation [contd.]

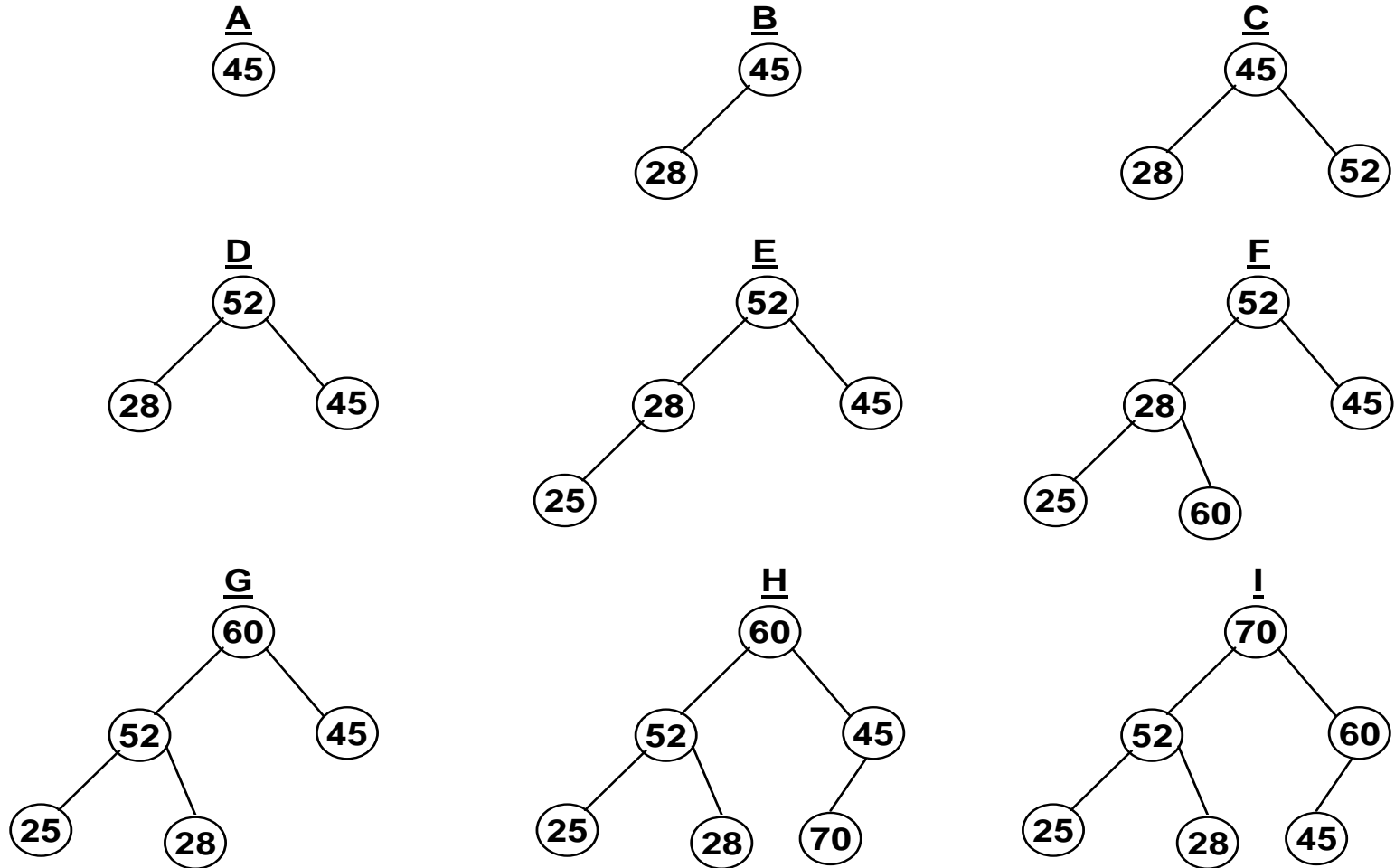


Figure 7.13: Heap creation process (pictorial view)

# Algorithm to create a heap (pseudocode)

---

1. Take an array,  $A[1:n]$  with data and a variable,  $temp$  [we shall consider and rearrange data using  $temp$  one by one]
  2. for ( $i = 2$ ;  $i \leq n$ ;  $++i$ )  
{  
     $temp = a[i]$ ;  
     $k = i$ ;  
    while ( $k > 0$  and  $A[k/2] < temp$ )  
    {  
         $A[k] = A[k/2]$ ; //copy parent's value to child's position  
         $k = k/2$ ;       //consider data of upper/parent's position  
    }  
     $A[k] = temp$ ;  
} // end of for
  3. Output: a heap
-

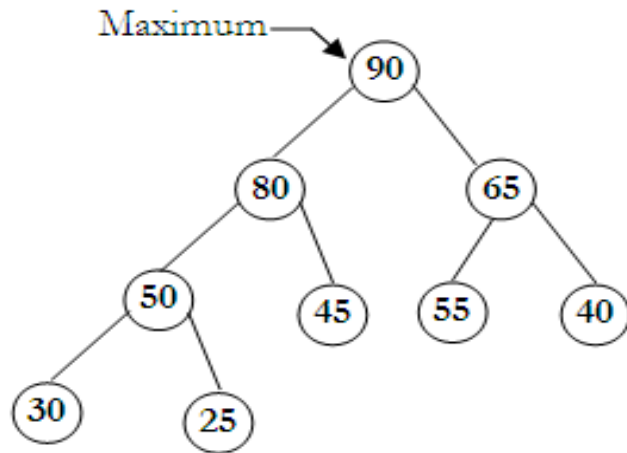
# Delete maximum from a max-heap

---

1. Take last data in temporary variable ( $\text{temp} = a[n]$ )
  2. Assume there is a **hole** at the root node.
  3. **Compare** greater child of the hole with data in temp and **place the greater one** in the hole (root).
  4. Repeat step 3 until there is no hole or the hole becomes a **leaf node**.
  5. **Place** the value of temp in the hole at the **last level**.
-

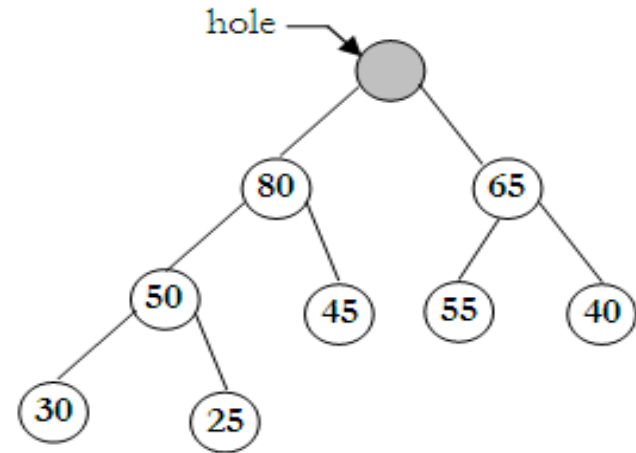


# Delete maximum from a max-heap [contd.]

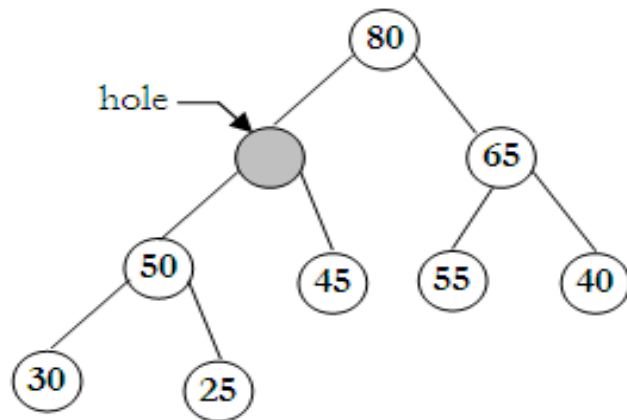


(a) A Max heap

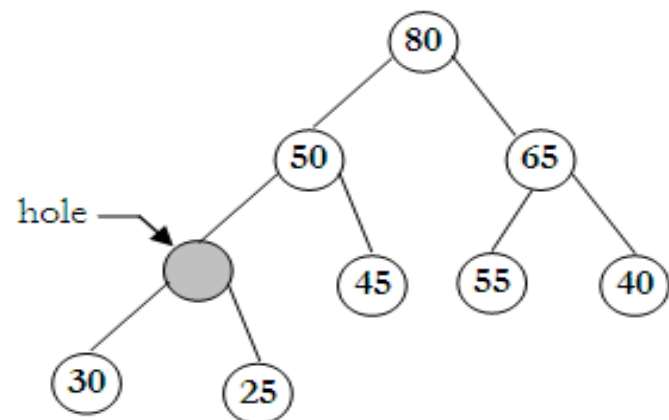
Temp = 25



(b)



(c)



(d)

# Delete maximum from a max-heap [contd.]

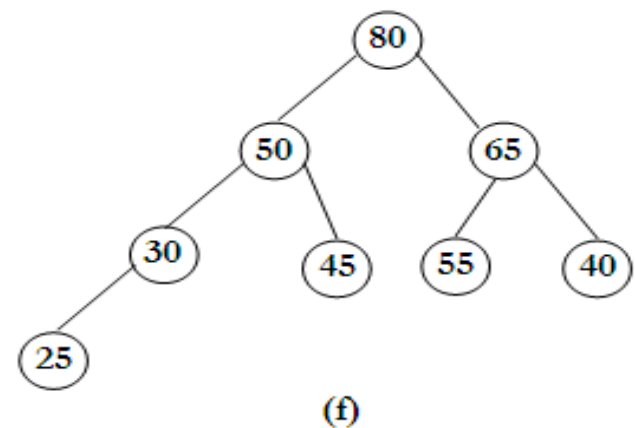
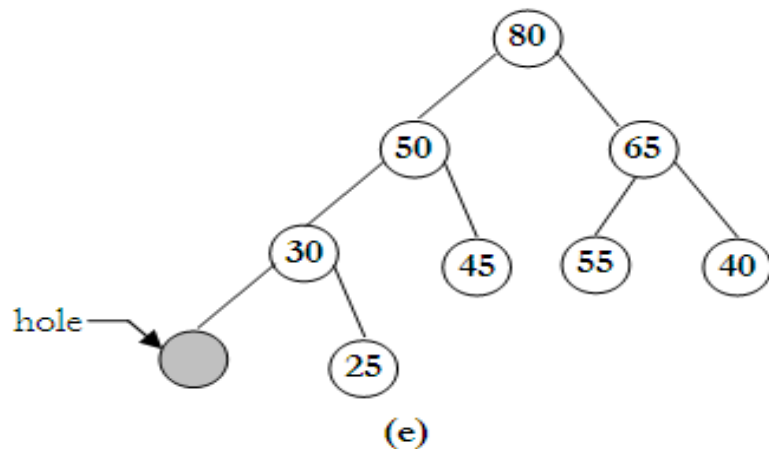


Figure 7.14: Delete the Maximum from a Max heap (pictorial view)

Temp = 25

Put value of temp in hole at last level

# Algorithm to delete the Maximum (pseudocode)

1. Input a heap,  $a[1:n]$ . // The heap as an array
2.  $temp = a[n]$ ; // data of last position is in temp
3.  $i = 2$ ;
4. while ( $i \leq n$ )  
{  
    if ( $(i < n)$  and  $(a[i] < a[i+1])$ ) // find greater child  
         $i = i + 1$ ;  
    If ( $temp \geq a[i]$ ) break; // if temp is greater than greater child,  
        stop  
     $a[i/2] = a[i]$ ;  $i = 2 * i$  // copy child's value to parent's position  
}  
     $a[i/2] = temp$ ;
5. Output updated heap with  $(n - 1)$  elements.

# Heapsort

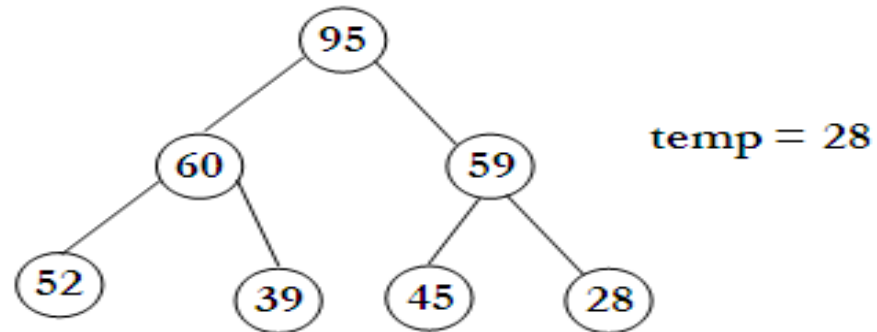
---

1. Create heap
  2. Place the last node value in a temporary place
  3. Place the root node value in the last place
  4. Rearrange the tree except last node
  5. Repeat step 2 to 4.(online)
-

# Heap sort in detail

1. Create a heap with  $n$  numbers. We can create heap using the heap creation algorithm.
2. Place the value of  $n^{\text{th}}$  (last) node in a temporary variable.
3. Place the value of the first node (root at present) in the  $n^{\text{th}}$  position.
4. Treat there is a hole at the root.
5. Compare the children of the hole and place the greater child in the hole.
6. Repeat step-5 until the hole is a leaf node.
7. Place the value in the temporary place in the (last) hole.
8. Consider the rest of the data (which are in a heap) except last one.
9. Repeat the step-2 to step-7 until there is only one element in the heap.

# Heap sort [contd.]

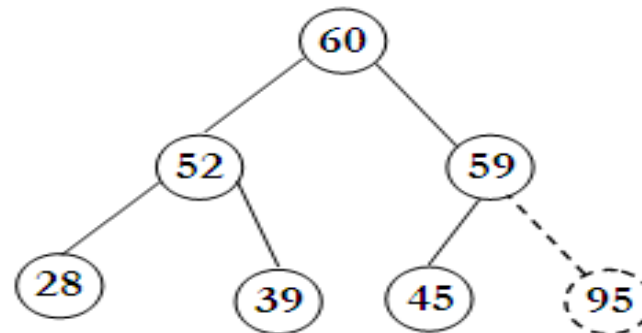


A Max heap with n elements

Array:

95	60	59	52	39	45	28
----	----	----	----	----	----	----

a) A heap and its corresponding array

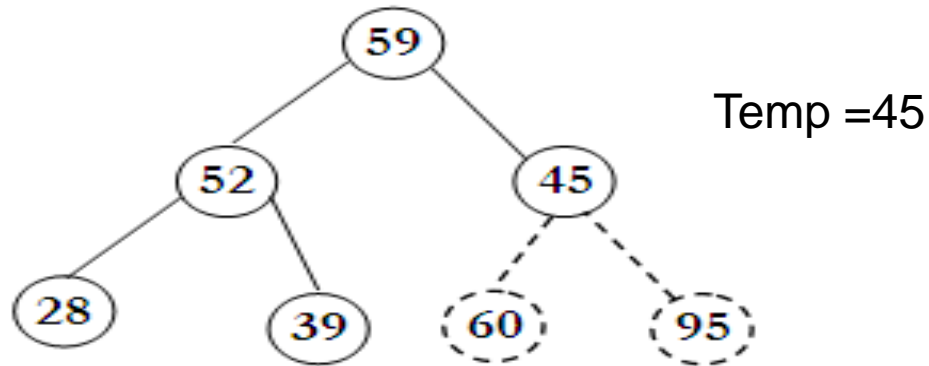


Array:

60	52	59	28	39	45	95
----	----	----	----	----	----	----

b) First step of sorting process using heap

# Heap sort [contd.]



Array: 

59	52	45	28	39	60	95
----	----	----	----	----	----	----

c) Second step of sorting process using heap

---      ---      ---      ---      ---      ---  
 ---      ---      ---      ---      ---      ---  
 ---      ---      ---      ---      ---      ---

Array: 

28	39	45	52	59	60	95
----	----	----	----	----	----	----

d) Sorted data

Figure 7.15: Pictorial view of heap sorting process

# Heap Sort

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1. Input an array,  $a[1:n]$  with random data
2. `heapify (a, n);`
3. `for (k = n; k > 1; --k)`  
    `rearrange (a, k);`
4. Output a sorted list in  $a[1:n]$ ;

Here `heapify` and `rearrange` are two functions.  
Then function `heapify` builds a heap and  
`rearrange` function adjusts data for sorting.

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THANK YOU

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