

**Abstract—This is a review of the paper on parallelizing complex event processing (CEP) by Edward Curry and others. It is divided into four sections: an overview of the research problems, the description of old solutions, a detailed breakdown of the new proposed technique, and a critical assessment of its effectiveness.**

## I. RESEARCH PROBLEM

Real-time data streams are increasingly common in the world of technology and are of an ever-growing nature. These streams require an architecture that can process them fast, accurately and at scale. These architectures work on the principle of pattern detection to comb through these streams of raw data to identify meaningful events. A popular technique is *Complex Event Processing (CEP)* that leverages distributed and parallel computing to optimize and analyze these events. However, it is tightly coupled in nature which limits its ability to work effectively with existing parallel algorithms. The main challenges are to keep the computation time, the latency and resource utilization of CEP to a minimum. Moreover, having to perform this in real-time poses even stricter constraints of time. Also, identifying and comparing dynamic patterns from thousands of possibilities simultaneously increases the complexity of the challenge. Finally, all this should support scalability and minimize operational costs.

The paper explains the CEP technique to be a centralized processing system that takes *primitive events* from the sources and combines them into complex higher-level events. It joins the primitive events in an incremental fashion towards a combined pattern called a *partial match*. This partial match is continuously compared to the *full match* that is defined by the user's desired event. The partial match is a graph of states depicting different stages of the detected pattern. Once the graph matches the goal, the event is triggered.

Two techniques have been suggested to parallelize this entire process: (a) *data-parallel* and (b) *state-parallel*. Data parallel approaches distribute the input stream to different partitions based on predefined rules. Each partition routes data to execution units and partial matches are created. Finally, a merger joins these matches to create and identify the full match. Main limitations of this are redundancy in partitioning and suffering from data skew. The state parallel approach partitions the input stream based on events and each type of event is sent to a different execution unit. The partial matches are passed on from one unit to the next sequentially before achieving a full match. The ordering of units is pre-set. While this solves the redundancy and skew challenge it limits parallel units to only the number of states.

The paper proposes a new solution called HYPERSONIC which creates a hybrid of these techniques to take the best of both worlds. It takes a *hybrid-parallel approach*. Its two-tier system divides the workload according to states, followed by data operators within each state unit. This allows unbounded limits for parallel work and extends a shared memory system within the state units to minimize communication overhead. This system can scale quickly and effectively to all volumes of data, all varieties of data and available resources. It allows efficient allocation of execution units and data between them to handle all kinds of loads.

Technical contributions of the paper include the proposal of a hybrid-parallel approach for a two-tiered load balanced CEP system. It lays out the details of the architecture and a high-fidelity design of the system. It expressingly addresses the challenges faced by previous solutions and compares the solution against popular models by extensive experimentation. Also, it compares the performance speedup of the solution over the baseline of other state-of-art systems. Finally, the author also suggests areas of extension on this approach to create additional models that can cater to domain specific challenges.

## II. OLD TECHNIQUES

The previous techniques introduced in a paper take a data-parallel approach. Each of the techniques replaces the operator with a *split-process-merge* assembly that works with two input streams. For multiple streams, many such assemblies are strung together in sequence. They are as follows:

### A. Round Robin (RR)

The Round-Robin strategy is a technique employed to distribute incoming information or events across multiple servers. The objective is to guarantee that each server receives an equal number of events. It follows a time based window approach for distributing the workload evenly amongst all units.

The system counts each event that arrives. It then checks if the number of events that have arrived matches the expected number. If they match, the system sends the next set of events to a different server. However, if the number of events doesn't match the expected number, the system keeps sending the incoming events to the current server. At the same time, it keeps track of the number of events that have arrived. Finally, all servers send the data to the merger. This process guarantees the even distribution of workload among all servers. It helps improve efficiency and minimize delays, especially when dealing with large volumes of data that require real-time processing. Think of it as a well-structured assembly line, where every worker (or server) receives an equal share of the work, ensuring that no one is overwhelmed while others remain idle. However, the drawback is that it doesn't consider the workload differences amongst the servers.

### B. Join the short queue (JSQ)

The Join-the-Shortest-Queue (JSQ) strategy is a method used to manage incoming data by directing them to the server with the least processing workload.

The system operates by monitoring every incoming event and comparing it to the expected number using a function called *ProcessEvent*s. Once the total number of events matches the expected number, the system assigns the next batch of events to the server with the shortest queue for processing using a function called *UpdateServer*. This function retrieves processing load information from all downstream servers and selects the one with the lowest workload and sets it as *newPref*. This ensures efficient event distribution and processing. If the total number of events does not match the expected number, the system will consistently direct incoming events to the same server it has been using. Meanwhile, it will maintain a running tally of the received events in a counter. Finally, all servers send their matches to the merger which combines them into a full match. This leads to increased efficiency and reduced waiting time, particularly when dealing with large amounts of data that require quick processing. It's similar to a production line where each worker receives an equal share of the workload, preventing overload for some workers and underutilization for others. However, it can still suffer bottlenecks because the shortest queue might still have the largest memory load. Also, it can't keep information about downstream server loads in real-time.

### C. Least loaded server first (LLSF)

The Least-Loaded-Server-First (LLSF) strategy is a dynamic method for assigning incoming data or events to the server with the least amount of load. The server with the lowest memory usage is considered to have the least load.

The function called *ProcessEvent*s is responsible for handling incoming events. It keeps track of the number of events received and compares this count to a predetermined expected number. If the count matches the expected number, the function calls the *UpdateServer* function to determine the server with the least amount of memory usage. This server is then designated as the preferred server for processing the next batch of events. The events in the next batch are sent to this preferred server. However, if the count doesn't match the expected number, the function continues to send the incoming events to the current server and increments the count. The *UpdateServer*

algorithm chooses the server that has the least amount of memory usage. To do this, it examines the memory usage of all servers and keeps track of each value. It then compares these values to identify which one is the lowest, indicating the server with the least memory usage. The preferred server is then set to be this server.

The LLSF strategy employs continuous monitoring of server memory usage in real-time. It directs incoming events to the server with the least used memory. This approach ensures that the workload is evenly distributed across all servers, resulting in improved efficiency and reduced waiting times.

LLSF outperforms the RR or JSQ because it considers the load size and can maintain a balanced parallel workload. Therefore, despite increasing the input rates it doesn't suffer bottlenecks and produces the highest event throughput.

### III. NEW TECHNIQUE

The previous techniques all depend on having a good partitioning scheme which despite being the most optimal can cause some data duplication in case of event overlaps between partitions. Moreover, they can not do anything beyond limited parallelization if the data is skewed. Currently, the paper introduces a new technique to solve the problems but on a single pattern match. In the future this can be extended to multiple patterns. The new technique follows a hybrid-parallelization approach:

#### **HYPERSONIC (HYbrid ParallelElization appRoach for Scalable cOmpLex eveNt processing applications.)**

The described method is built upon a parallel architecture with two tiers to identify patterns. An automaton, a self-operating machine that follows a set sequence of actions, is used to represent the pattern. In the outer layer of the architecture, a state-parallel approach is followed for splitting. The execution units are assigned to each state of the automaton based on their expected workload. In the inner layer, a data-parallel approach is followed for further splitting. The incoming data stream is divided among the available execution units using a local load balancing strategy that operates in parallel.

Each state is represented by an agent. These agents handle all the calculations associated with their respective states. The outer layer of the automaton allows for parallel execution of the agents, while the inner layer processes the units in a data-parallel way. This design ensures efficient and effective operation of the automaton. The agents receive two input streams. One is the output stream of partial matches from the agent before them. The other is a substream of the system input stream that is limited to the specific event type needed. Each agent then compares the new events with the accepted partial matches and sends out the extended matches into the output stream.

The inner parallelization layer is built on a *shared-memory* model. In contrast, the outer layer does not rely on that assumption. Adjacent agents communicate through a producer-consumer queue that is shared by their execution units. As a result, this method can be used in both single-server and mixed environments.

#### *B. Agents*

Agents in this architecture receive two input streams: the *match stream* (MS) and the *event stream* (ES). Each agent produces an output stream. To store events and partial matches, agents have two local buffers: an *event buffer* (EB) and a *match buffer* (MB). When a new event or partial match is received, it is compared against the items in the opposite buffer. Extended matches are then added to the output stream. The item is also stored in its respective buffer for future combinations. Parallel execution units in the form of *event workers* and *match workers* handle these tasks. The technique also incorporates a process for removing outdated events and matches from their appropriate buffers to avoid overflowing the buffers and ensure accurate evaluation. The system removes expired events by considering the timestamp of the most recent partial match, and it eliminates partial matches based on the timestamp of the most recent event.

### C. Execution Units Allocation

In this architecture-, the outer layer divide-s the available exe-cution units among the agents. The inner layer then assigns each e-xecution unit a role as an *eve-nt worker* or a *match worker*. The purpose- of the outer load balancer is to optimize- system performance by partitioning the- execution units among the age-nts.

To preve-nt possible congestion caused by multiple- workers trying to write to the same- buffers at the same time-, the buffers are divide-d among them. Each worker takes care- of a specific part of the EB or MB. When a worke-r receives a ne-w event, it communicates with all the- matching workers to access their corre-sponding MB parts and then adds the eve-nt to its own EB part. This approach enhances the ove-rall efficiency of the syste-m and enables it to function fully in a distributed se-ting.

The inner layer assigns diffe-rent roles to each e-xecution unit; event worke-r or match worker. At the start, worker role-s are allocated based on a simple- heuristic. Roughly half of the units are randomly se-lected as eve-nt workers, while the re-maining units become match workers. Howe-ver, this approach often results in worke-rs being idle for exte-nded periods due to diffe-rences in values. As a re-sult, the system's performance- is not optimal. To enhance- stability, a role-dynamic model is utilized. Whe-n the system starts, each e-xecution unit assigned to an agent is give-n a primary role and also takes on a secondary role-. During runtime, an execution unit prioritize-s its primary role. If there is no curre-nt work available, it temporarily switches to the- secondary role and checks the- second input stream for any new data. This strate-gy efficiently distributes the- workload on the agent and helps addre-ss situations where one input stre-am has a significantly higher rate than the othe-r. As the execution units alternate between their roles, they have to simultaneously manage fragments of both the EB and the MB and satisfy access requests for both from other units. While this leads to more synchronization operations as compared to the basic model, the benefits greatly outweigh this negative impact.

HYPERSONIC demonstrate-s substantial throughput improvements, reaching up to a thousand time-s faster than non-parallelized syste-ms. Its memory balancing sche-me avoids storing duplicate partial matches. This e-nsures optimal distribution of memory accesse-s and better cache utilization. Moreover, using a *queue-based* input avoids bottlenecks. The purging mechanism also helps it maintain free memory.

## IV. CRITICAL ANALYSIS

In the era of emerging stream management systems, managing complex streams of events poses significant challenge-s. This article outlines the HYPERSONIC CEP as a solution, me-rging the popular data-parallel and state-parallel approaches and creating a hybrid and scalable model. Validated against several state-of-the-art real-world CEP systems, the HYPERSONIC effe-ctively supports increased systems loads, data variations and a significantly higher threshold. Its pattern detection mee-ts stream management systems' real-time latency constraints and uses less memory.

The approach proposed by the paper can be extended with further research. Future e-fforts can be aimed towards extensions towards its architecture. These may include full distributed execution, pattern detection across multi and nested patterns, and better evaluation mechanisms. However, it also inherits some limitations of its hybrid counterparts. Similar to data-parallel approaches, the on-the-fly executions can result in highly fluctuating execution unit allocation policy. Also, the pipelined nature of the state-parallel approach in the outer layer raises the lower bound of the event detection latency. A limitation that isn't mentioned is the assumption of the execution units to be homogenous, while in reality they could have very different capabilities which can affect the performance.

Overall, the paper performs a good job of combining old approaches into an effective technique for complex event processing. It goes over the most common challenges of CEP and provides

extensive experimentation to address these. However, this technique is a first attempt at hybrid-parallelization and future work will only continue to benefit from research.