



NETWORKS

Chapter V
The
Transport Layer

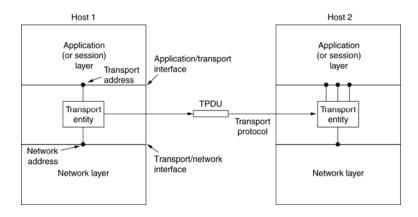
Chapter 5

The Transport Layer

The Transport Service

- Services Provided to the Upper Layers
- Transport Service Primitives
- Berkeley Sockets
- An Example of Socket Programming:
 - An Internet File Server

Services Provided to the Upper Layers

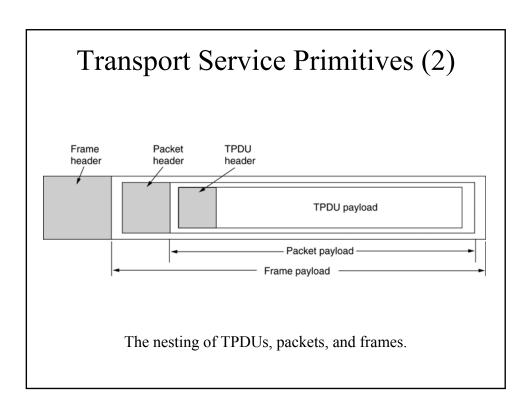


The network, transport, and application layers.

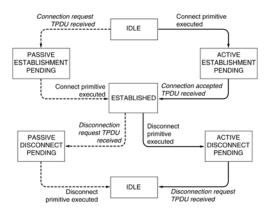
Transport Service Primitives

Primitive	Packet sent	Meaning
LISTEN	(none)	Block until some process tries to connect
CONNECT	CONNECTION REQ.	Actively attempt to establish a connection
SEND	DATA	Send information
RECEIVE	(none)	Block until a DATA packet arrives
DISCONNECT	DISCONNECTION REQ.	This side wants to release the connection

The primitives for a simple transport service.







A state diagram for a simple connection management scheme. Transitions labeled in italics are caused by packet arrivals. The solid lines show the client's state sequence. The dashed lines show the server's state sequence.

Berkeley Sockets

Primitive	Meaning
SOCKET	Create a new communication end point
BIND	Attach a local address to a socket
LISTEN	Announce willingness to accept connections; give queue size
ACCEPT	Block the caller until a connection attempt arrives
CONNECT	Actively attempt to establish a connection
SEND	Send some data over the connection
RECEIVE	Receive some data from the connection
CLOSE	Release the connection

The socket primitives for TCP.

```
Socket
                                                                                              /* This page contains a client program that can request a file from the server program * on the next page. The server responds by sending the whole file. */
                                                                                              #include <sys/types.h>
#include <sys/socket.h>
#include <netinet/in.h>
#include <netdb.h>
Programming
                                                                                               #define SERVER_PORT 12345
#define BUF_SIZE 4096
                                                                                                                                                                   /* arbitrary, but client & server must agree */
/* block transfer size */
           Example:
                                                                                               int main(int argc, char **argv)
                                                                                              int c, s, bytes;
char buf[BUF_SIZE];
struct hostent *h;
struct sockaddr_in channel;
    Internet File
                                                                                                                                                                   /* buffer for incoming file */
/* info about server */
/* holds IP address */
                    Server
                                                                                                 s = socket(PF_INET, SOCK_STREAM, IPPROTO_TCP);
if (s <0) fatal("socket");
memset(&channel, 0, sizeof(channel));
channel.sin_family= AF_INET;
memcpy(&channel.sin_addr.s addr, h->h addr, h->h length);
channel.sin_port= htons(SERVER_PORT);
                                                                                                 \label{eq:connect} \begin{split} c = connect(s, (struct sockaddr *) \& channel, sizeof(channel)); \\ if (c < 0) fatal("connect failed"); \end{split}
                                                                                                 /* Connection is now established. Send file name including 0 byte at end. */ write(s, argv[2], strlen(argv[2])+1);
                                                                                                 /* Go get the file and write it to standard output. */
while (1) {
    bytes = read(s, buf, BUF_SIZE);
    if (bytes <= 0) exit(0);
    write(1, buf, bytes);
    /* write to standard output */
} /* write to standard output */
        Client code using
                                sockets.
                                                                                               fatal(char *string)
                                                                                                 printf("%s\n", string);
exit(1);
```

finclude <sys/types.hb finclude <sys/tentl.hb finclude <sys/socket.hb finclude <netfinetfuin.hb finclude <netfinetfuin.hb finclude <netfinetfuin.hb finclude <netfinetfuin.hb finetfuine SERVER_PORT 12345 fidefine BUF_SIZE 10 int mainfuin such chart samuf(). /* This is the server code */ Socket /* arbitrary, but client & server must agree */ /* block transfer size */ **Programming** int main(int argc, char *argv[]) Example: { int s, b, l, fd, sa, bytes, on = 1; char buf[BUF_SIZE]; struct sockaddr_in channel; "A Build address structure to bind to socket. */ memset(&channel, 0, sizeof(channel); /* zero channel */ channel.sin_family = AF_INET; channel.sin_ddfr_s_addr = hton(INADDR_ANY); channel.sin_port = htons(SERVER_PORT); Internet File Server (2) /* Passive open. Wait for connection. */ s = socket(AF_INET, SOCK_STREAM, IPPROTO_TCP); /* create socket */ $b = bind(s, (struct sockaddr *) \& channel, sizeof(channel)); \\ if (b < 0) fatal("bind failed"); \\$ I = listen(s, QUEUE_SIZE); if (I < 0) fatal("listen failed"); /* Socket is now set up and bound. Wait for connection and process it. */ while (1) { sa = accept(s, 0, 0); if (sa < 0) fatal("accept failed"); } /* block for connection requesting (sa < 0) fatal("accept failed"); /* block for connection request */ read(sa, buf, BUF_SIZE); /* read file name from socket */ /* open the file to be sent back */ while (1) { bytes = read(fd, buf, BUF_SIZE); /* read from file */ if (bytes <= 0) break; /* check for end of file */ write(sa, buf, bytes); /* write bytes to socket */ Client code using

Elements of Transport Protocols

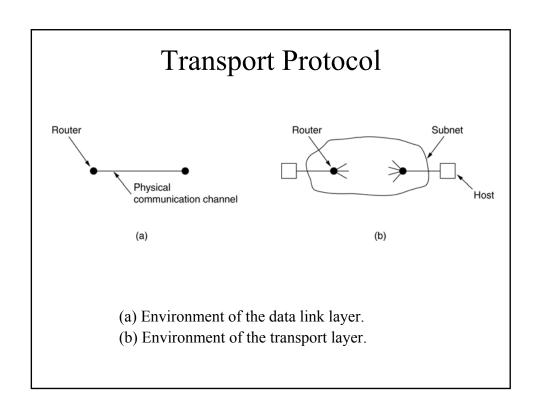
close(fd); close(sa);

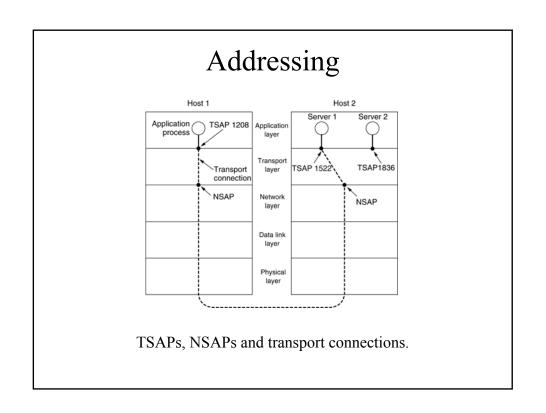
/* close file */
/* close connection */

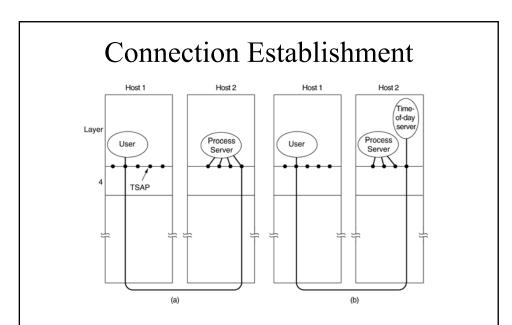
Addressing

sockets.

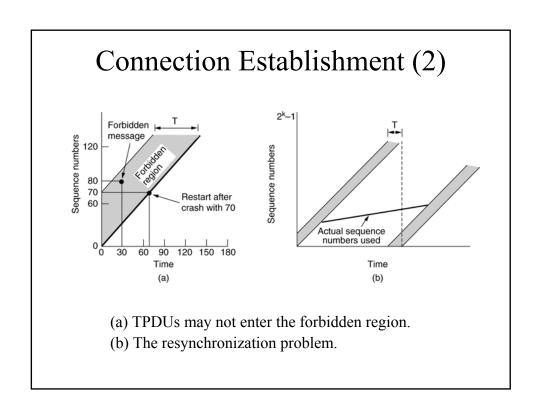
- Connection Establishment
- Connection Release
- Flow Control and Buffering
- Multiplexing
- Crash Recovery



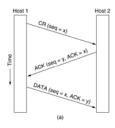


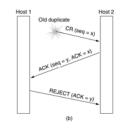


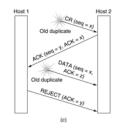
How a user process in host 1 establishes a connection with a time-of-day server in host 2.



Connection Establishment (3)



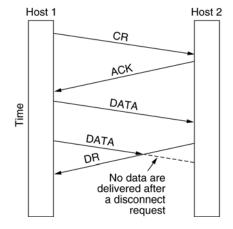




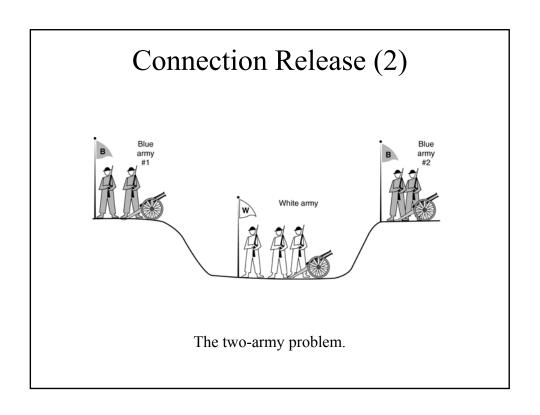
Three protocol scenarios for establishing a connection using a three-way handshake. CR denotes CONNECTION REQUEST.

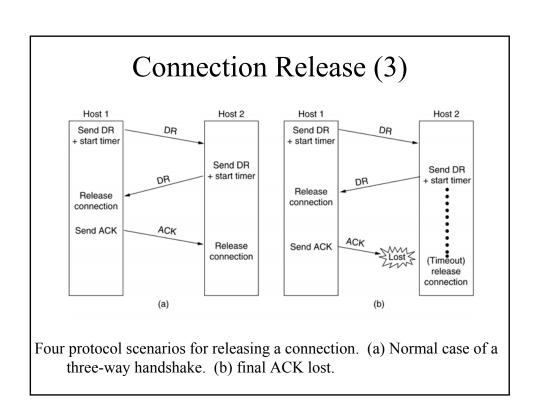
- (a) Normal operation,
- (b) Old CONNECTION REQUEST appearing out of nowhere.
- (c) Duplicate CONNECTION REQUEST and duplicate ACK.

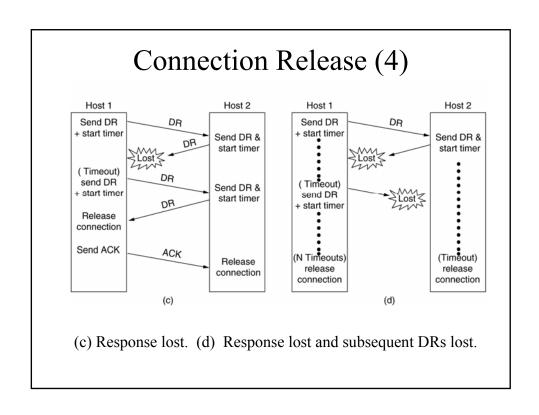
Connection Release

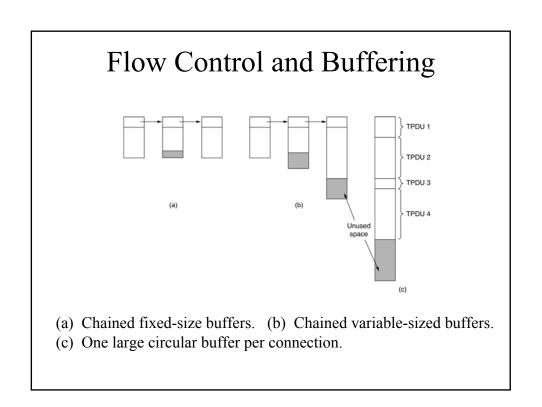


Abrupt disconnection with loss of data.





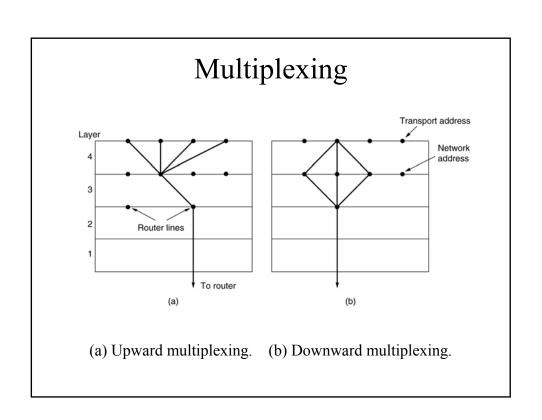




Flow Control and Buffering (2)

	<u>A</u>	Message	B	Comments
1	-	< request 8 buffers>	-	A wants 8 buffers
2	•	<ack 15,="" =="" buf="4"></ack>	•	B grants messages 0-3 only
3	-	<seq 0,="" =="" data="m0"></seq>	-	A has 3 buffers left now
4	-	<seq 1,="" =="" data="m1"></seq>	-	A has 2 buffers left now
5	\rightarrow	<seq 2,="" =="" data="m2"></seq>	•••	Message lost but A thinks it has 1 left
6	•	<ack 1,="" =="" buf="3"></ack>	-	B acknowledges 0 and 1, permits 2-4
7	-	<seq 3,="" =="" data="m3"></seq>	-	A has 1 buffer left
8	-	<seq 4,="" =="" data="m4"></seq>	-	A has 0 buffers left, and must stop
9	-	<seq 2,="" =="" data="m2"></seq>	-	A times out and retransmits
10	•	<ack = 4, buf = 0>	•	Everything acknowledged, but A still blocked
11	•	<ack 4,="" =="" buf="1"></ack>	-	A may now send 5
12	•	<ack 4,="" =="" buf="2"></ack>	-	B found a new buffer somewhere
13	-	<seq 5,="" =="" data="m5"></seq>	-	A has 1 buffer left
14	-	<seq 6,="" =="" data="m6"></seq>	-	A is now blocked again
15	•	<ack 6,="" =="" buf="0"></ack>	-	A is still blocked
16	•••	<ack 6,="" =="" buf="4"></ack>	•	Potential deadlock

Dynamic buffer allocation. The arrows show the direction of transmission. An ellipsis (...) indicates a lost TPDU.



Crash Recovery

Strategy	used by	receiving	host

	First	First ACK, then write			write, then	ACK
Strategy used by sending host	AC(W)	AWC	C(AW)	C(WA)	W AC	WC(A)
Always retransmit	ОК	DUP	ок	ОК	DUP	DUP
Never retransmit	LOST	ОК	LOST	LOST	ок	ок
Retransmit in S0	ОК	DUP	LOST	LOST	DUP	ок
Retransmit in S1	LOST	ок	ОК	ОК	ок	DUP

OK = Protocol functions correctly
DUP = Protocol generates a duplicate message
LOST = Protocol loses a message

Different combinations of client and server strategy.

A Simple Transport Protocol

- The Example Service Primitives
- The Example Transport Entity
- The Example as a Finite State Machine

The Example Transport Entity

Network packet	Meaning
CALL REQUEST	Sent to establish a connection
CALL ACCEPTED	Response to CALL REQUEST
CLEAR REQUEST	Sent to release a connection
CLEAR CONFIRMATION	Response to CLEAR REQUEST
DATA	Used to transport data
CREDIT	Control packet for managing the window

The network layer packets used in our example.

The Example Transport Entity (2)

Each connection is in one of seven states:

- 1. Idle Connection not established yet.
- 2. Waiting CONNECT has been executed, CALL REQUEST sent.
- 3. Queued A CALL REQUEST has arrived; no LISTEN yet.
- 4. Established The connection has been established.
- 5. Sending The user is waiting for permission to send a packet.
- 6. Receiving A RECEIVE has been done.
- 7. DISCONNECTING a DISCONNECT has been done locally.

The Example Transport Entity (3)

```
#define MAX_MSG_SIZE 8192
#define MAX_PKT_SIZE 512
                                                         /* largest message in bytes */
/* largest packet in bytes */
 #define TIMEOUT 20
#define CRED 1
#define OK 0
#define ERR_FULL -1
#define ERR_REJECT -2
#define ERR_CLOSED -3
#define LOW_ERR -3
typedef int transport_address; typedef enum {CALL_REQ,CALL_ACC,CLEAR_REQ,CLEAR_CONF,DATA_PKT,CREDIT} pkt_type; typedef enum {IDLE,WAITING,QUEUED,ESTABLISHED,SENDING,RECEIVING,DISCONN} cstate;
/* Global variables. */
transport_address listen_address; int listen_conn;
                                                        /* local address being listened to */
                                                         /* connection identifier for listen */
unsigned char data[MAX_PKT_SIZE];
                                                        /* scratch area for packet data */
 transport_address local_address, remote_address;
                                                          /* state of this connection */
  unsigned char *user_buf_addr;
                                                        /* pointer to receive buffer */
  int byte_count;
                                                        /* send/receive count */
                                                        /* set when CLEAR_REQ packet received */
/* used to time out CALL_REQ packets */
  int clr_req_received;
  int timer:
int credits;
} conn[MAX_CONN + 1];
                                                        /* number of messages that may be sent */
/* slot 0 is not used */
```

The Example Transport Entity (4)

```
void sleep(void);
                                              /* prototypes */
void wakeup(void);
void to_net(int cid, int q, int m, pkt_type pt, unsigned char *p, int bytes);
void from_net(int *cid, int *q, int *m, pkt_type *pt, unsigned char *p, int *bytes);
int listen(transport_address t)
{ /* User wants to listen for a connection. See if CALL_REQ has already arrived. */
 int i. found = 0:
 for (i = 1; i \le MAX\_CONN; i++)
                                             /* search the table for CALL_REQ */
     if (conn[i].state == QUEUED && conn[i].local address == t) {
          found = i;
          break;
    }
 if (found == 0) {
     /* No CALL_REQ is waiting. Go to sleep until arrival or timeout. */
     listen_address = t; sleep(); i = listen_conn;
 conn[i].state = ESTABLISHED;
                                             /* connection is ESTABLISHED */
                                             /* timer is not used */
 conn[i].timer = 0;
```

The Example Transport Entity (5)

```
\label{eq:listen_conn} \begin{split} & \text{listen\_conn} = 0; \\ & \text{to\_net(i, 0, 0, CALL\_ACC, data, 0);} \\ & \text{return(i);} \end{split}
                                                          /* tell net to accept connection */
/* return connection identifier */
int connect(transport_address I, transport_address r)
{ /* User wants to connect to a remote process; send CALL_REQ packet. */
 data[0] = r; data[1] = I;
                                                          /* CALL_REQ packet needs these */
  i = MAX_CONN;
                                                          /* search table backward */
 while (conn[i].state != IDLE && i > 1) i = i -1; if (conn[i].state == IDLE) {
      /* Make a table entry that CALL_REQ has been sent. */
      cptr = &conn[i];
      cptr->local address = I; cptr->remote_address = r;
      cptr->state = WAITING; cptr->clr_req_received = 0;
cptr->credits = 0; cptr->timer = 0;
      to_net(i, 0, 0, CALL_REQ, data, 2);
      sleep(); /* v
if (cptr->state == ESTABLISHED) return(i);
                                                          /* wait for CALL_ACC or CLEAR_REQ */
      if (cptr->clr_req_received) {
            /* Other side refused call. */
cptr->state = IDLE;
                                                          /* back to IDLE state */
            to net(i, 0, 0, CLEAR_CONF, data, 0); return(ERR_REJECT);
 } else return(ERR_FULL);
                                                          /* reject CONNECT: no table space */
```

The Example Transport Entity (6)

```
int send(int cid, unsigned char bufptr[], int bytes)
{ /* User wants to send a message. */
 int i, count, m;
 struct conn *cptr = &conn[cid];
/* Enter SENDING state. */
 cptr->state = SENDING;
 cptr->byte_count = 0;
                                             /* # bytes sent so far this message */
 if (cptr->clr_req_received == 0 && cptr->credits == 0) sleep();
 if (cptr->clr_req_received == 0) {
    /* Credit available; split message into packets if need be. */
         if (bytes - cptr->byte_count > MAX_PKT_SIZE) {/* multipacket message */
              count = MAX_PKT_SIZE; m = 1; /* more packets later */
                                            /* single packet message */
         } else {
              count = bytes - cptr->byte_count; m = 0; /* last pkt of this message */
         for (i = 0; i < count; i++) data[i] = bufptr[cptr->byte_count + i];
         to_net(cid, 0, m, DATA_PKT, data, count); /* send 1 packet */
         cptr->byte_count = cptr->byte_count + count; /* increment bytes sent so far */
                                            /* loop until whole message sent */
    } while (cptr->byte_count < bytes);
```

The Example Transport Entity (7)

```
cptr->credits - -;
cptr->state = ESTABLISHED;
return(OK);
                                             / * each message uses up one credit */
 } else {
     cptr->state = ESTABLISHED;
     return(ERR_CLOSED);
                                              /* send failed: peer wants to disconnect */
int receive(int cid, unsigned char bufptr[], int *bytes)
{ /* User is prepared to receive a message. */
 struct conn *cptr = &conn[cid];
 if (cptr->clr_req_received == 0) {
     /* Connection still established; try to receive. */
     cptr->state = RECEIVING;
     cptr->user_buf_addr = bufptr;
     cptr->byte_count = 0;
     data[0] = CRED;
     data[1] = 1;
     to_net(cid, 1, 0, CREDIT, data, 2);
                                              /* send credit */
                                              /* block awaiting data */
     *bytes = cptr->byte_count;
 cptr->state = ESTABLISHED;
 return(cptr->clr_req_received ? ERR_CLOSED : OK);
```

The Example Transport Entity (8)

```
int disconnect(int cid)
{ /* User wants to release a connection. */
 struct conn *cptr = &conn[cid];
if (cptr->clr_req_received) {
    cptr->state = IDLE;
                                       /* other side initiated termination */
                                       /* connection is now released */
    to_net(cid, 0, 0, CLEAR_CONF, data, 0);
                          /* we initiated termination */
    cptr->state = DISCONN;
                                       /* not released until other side agrees */
    to_net(cid, 0, 0, CLEAR_REQ, data, 0);
return(OK);
void packet_arrival(void)
int count, i, q, m;
pkt_type ptype; /* CALL_REQ, CALL_ACC, CLEAR_REQ, CLEAR_CONF, DATA_PKT, CREDIT */
 unsigned char data[MAX_PKT_SIZE];
                                      /* data portion of the incoming packet */
from_net(&cid, &q, &m, &ptype, data, &count); /* go get it */
cptr = &conn[cid];
```

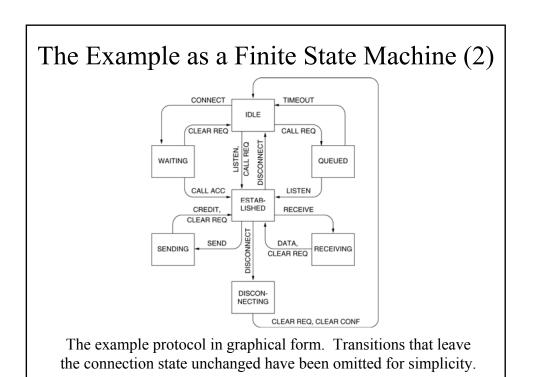
The Example Transport Entity (9)

The Example Transport Entity (10)

```
void clock(void)
{/* The clock has ticked, check for timeouts of queued connect requests. */
int i;
struct conn *cptr;

for (i = 1; i <= MAX_CONN; i++) {
    cptr = &conn[i];
    if (cptr->timer > 0) {
        cptr->timer --;
        if (cptr->timer == 0) {
            cptr->state = IDLE;
            to_net(i, 0, 0, CLEAR_REQ, data, 0);
        }
}
```

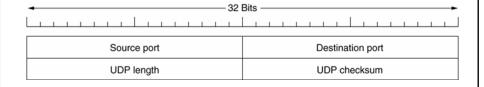
The Example as a Finite State Machine LISTEN The example protocol as a CONNECT finite state machine. Each P4: A5/Idle P4: A6/Disc entry has an optional P5: A7/Estat P5: A8/Send SEND predicate, an optional action, RECEIVE and the new state. The tilde Call_req indicates that no major action Call_acc is taken. An overbar above a Clear_req A10/Estab predicate indicate the negation -/Idle Clear_conf of the predicate. Blank entries DataPkt correspond to impossible or Credit 중 Timeout invalid events.



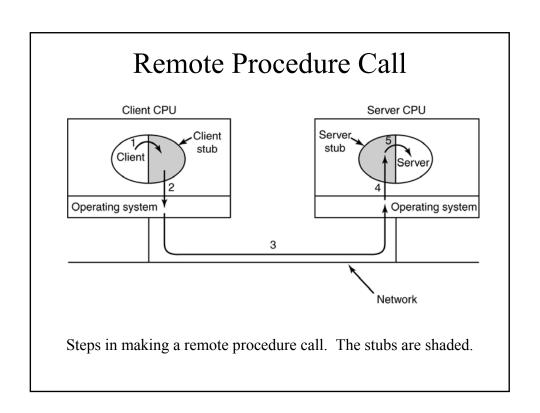
The Internet Transport Protocols: UDP

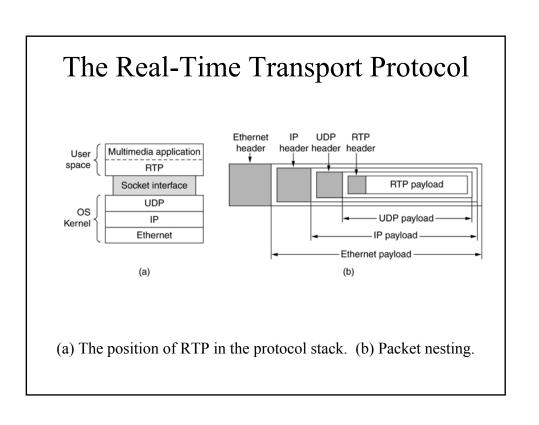
- Introduction to UDP
- Remote Procedure Call
- The Real-Time Transport Protocol

Introduction to UDP

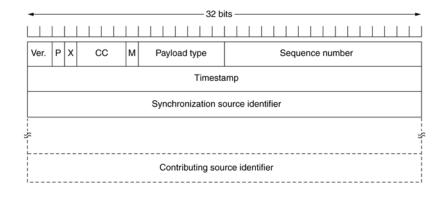


The UDP header.





The Real-Time Transport Protocol (2)



The RTP header.

The Internet Transport Protocols: TCP

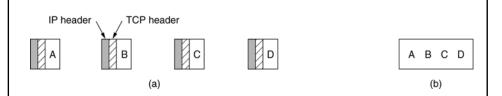
- Introduction to TCP
- The TCP Service Model
- The TCP Protocol
- The TCP Segment Header
- TCP Connection Establishment
- TCP Connection Release
- TCP Connection Management Modeling
- TCP Transmission Policy
- TCP Congestion Control
- TCP Timer Management
- Wireless TCP and UDP
- Transactional TCP

The TCP Service Model

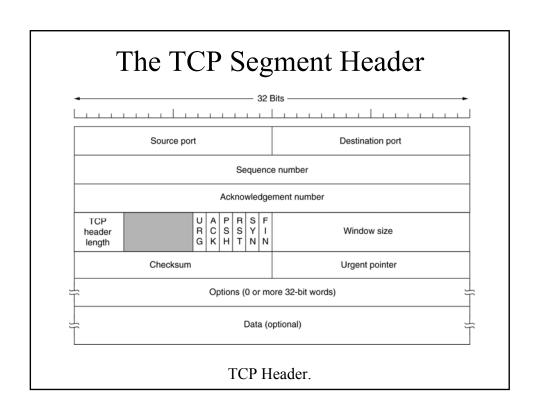
Port	Protocol	Use
21	FTP	File transfer
23	Telnet	Remote login
25	SMTP	E-mail
69	TFTP	Trivial File Transfer Protocol
79	Finger	Lookup info about a user
80	HTTP	World Wide Web
110	POP-3	Remote e-mail access
119	NNTP	USENET news

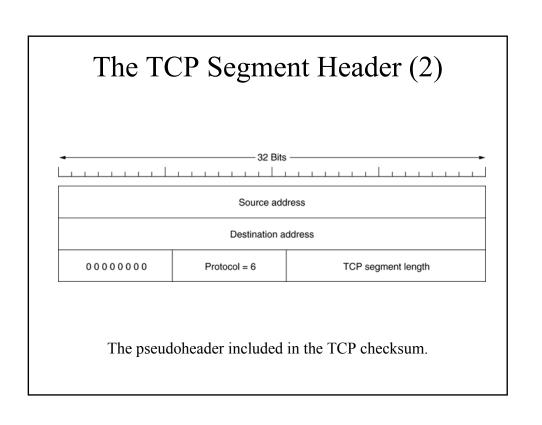
Some assigned ports.

The TCP Service Model (2)

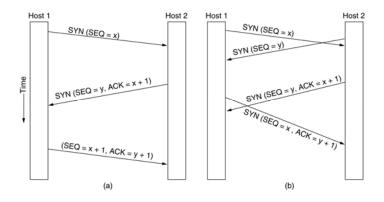


- (a) Four 512-byte segments sent as separate IP datagrams.
- (b) The 2048 bytes of data delivered to the application in a single READ CALL.





TCP Connection Establishment



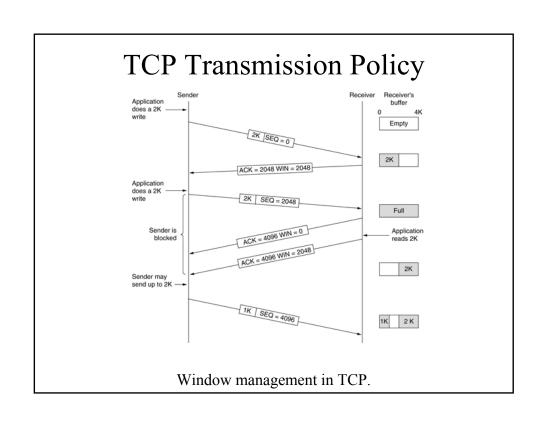
- (a) TCP connection establishment in the normal case.
- (b) Call collision.

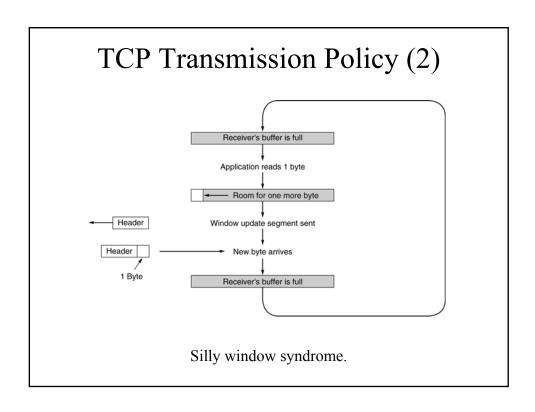
TCP Connection Management Modeling

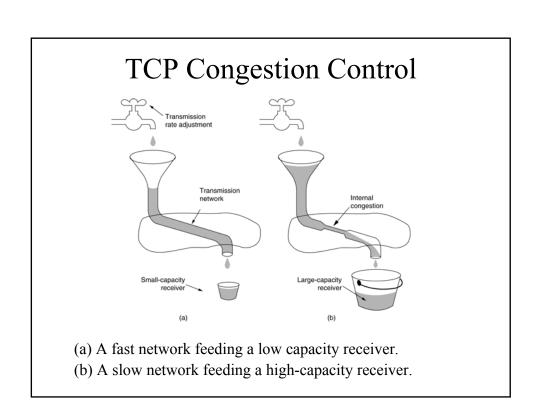
State	Description
CLOSED	No connection is active or pending
LISTEN	The server is waiting for an incoming call
SYN RCVD	A connection request has arrived; wait for ACK
SYN SENT	The application has started to open a connection
ESTABLISHED	The normal data transfer state
FIN WAIT 1	The application has said it is finished
FIN WAIT 2	The other side has agreed to release
TIMED WAIT	Wait for all packets to die off
CLOSING	Both sides have tried to close simultaneously
CLOSE WAIT	The other side has initiated a release
LAST ACK	Wait for all packets to die off

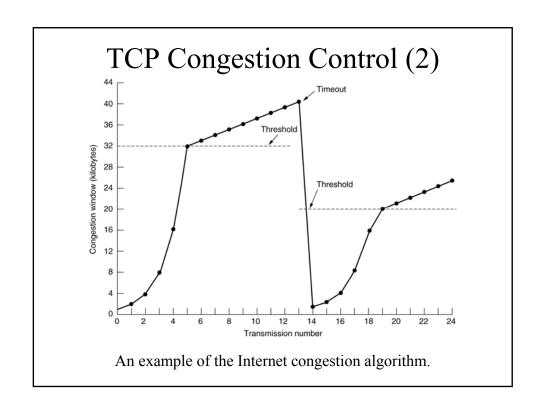
The states used in the TCP connection management finite state machine.

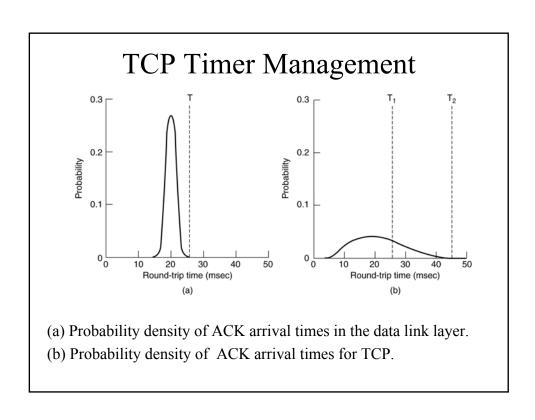
TCP Connection Management Modeling (2) TCP connection CLOSED management finite state CLOSE/ machine. The heavy solid line is the normal path for a client. The heavy dashed line is the normal path for a ► ESTABLISHED server. The light lines are CLOSE/FIN CLOSE/FIN unusual events. Each transition is labeled by the FIN WAIT 1 CLOSING event causing it and the ACK/action resulting from it, FIN + ACK/ACK separated by a slash. CLOSED

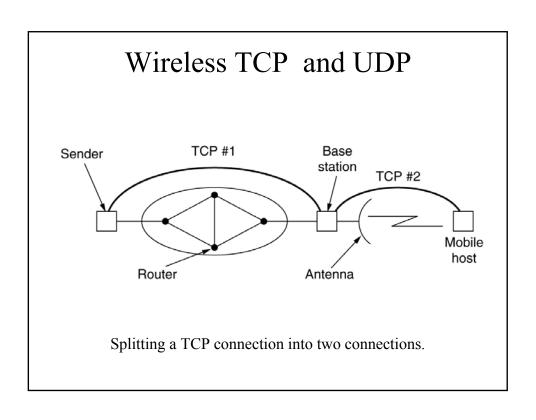


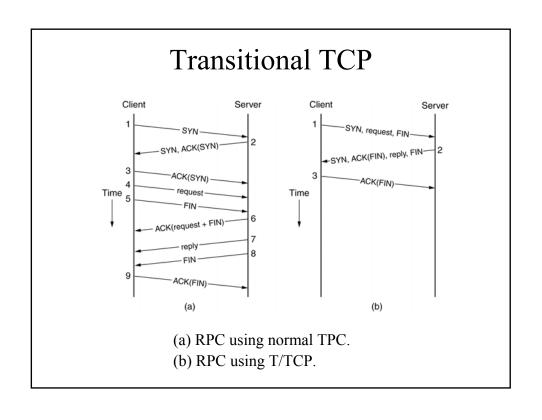








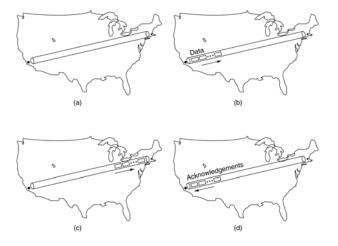




Performance Issues

- Performance Problems in Computer Networks
- Network Performance Measurement
- System Design for Better Performance
- Fast TPDU Processing
- Protocols for Gigabit Networks

Performance Problems in Computer Networks



The state of transmitting one megabit from San Diego to Boston (a) At t = 0, (b) After 500 µsec, (c) After 20 msec, (d) after 40 msec.

Network Performance Measurement

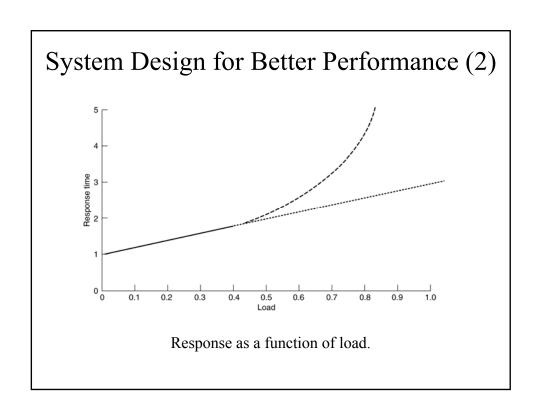
The basic loop for improving network performance.

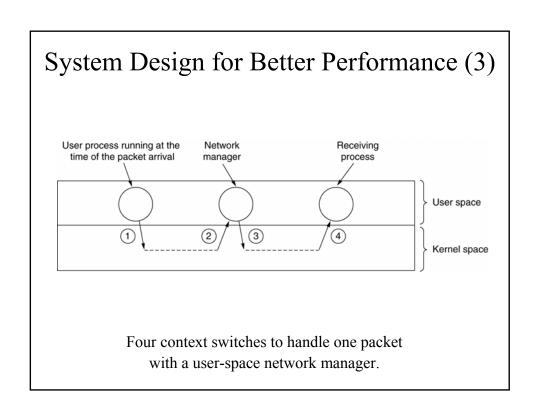
- 1. Measure relevant network parameters, performance.
- 2. Try to understand what is going on.
- 3. Change one parameter.

System Design for Better Performance

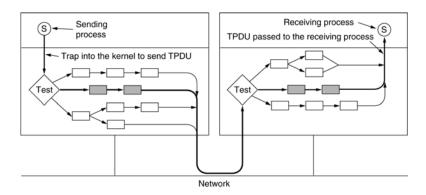
Rules:

- 1. CPU speed is more important than network speed.
- 2. Reduce packet count to reduce software overhead.
- 3. Minimize context switches.
- 4. Minimize copying.
- 5. You can buy more bandwidth but not lower delay.
- 6. Avoiding congestion is better than recovering from it.
- 7. Avoid timeouts.





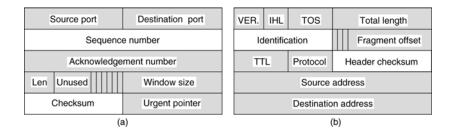
Fast TPDU Processing



The fast path from sender to receiver is shown with a heavy line.

The processing steps on this path are shaded.

Fast TPDU Processing (2)



(a) TCP header. (b) IP header. In both cases, the shaded fields are taken from the prototype without change.

