

# THEANO: A Tool for Verifying the Consistency and Completeness in Tabular Requirements

Aurora Francesca Zanenga

a.zanenga@studenti.unibg.it  
University of Bergamo  
Dalmine, BG, Italy

Andrea Bombarda

andrea.bombarda@unibg.it  
University of Bergamo  
Dalmine, BG, Italy

Nunzio Marco Bisceglia

n.bisceglia1@studenti.unibg.it  
University of Bergamo  
Dalmine, BG, Italy

Angelo Gargantini

angelo.gargantini@unibg.it  
University of Bergamo  
Dalmine, BG, Italy

Benedetta Ippoliti

b.ippoliti@studenti.unibg.it  
University of Bergamo  
Dalmine, BG, Italy

Akshay Rajhans

arajhans@mathworks.com  
MathWorks  
Natick, MA, USA

Claudio Menghi

claudio.menghi@unibg.it  
University of Bergamo  
Dalmine, BG, Italy  
McMaster University  
Hamilton, ON, Canada

## Abstract

Tabular requirements prescribe software behavior through an “if-then” paradigm. Verifying the consistency and completeness of tabular requirements is crucial, as it can identify specification errors, reduce development time and costs, and help prevent potential failures. THEANO is a verification tool designed to ensure the consistency and completeness of tabular requirements formulated with Simulink Requirements Tables. This demonstration paper presents the key features of THEANO, illustrates its application through an automotive case study, and discusses the underlying implementation and design choices. An online video walkthrough of the case study is also available: [youtu.be/p71bKupmRUQ](https://youtu.be/p71bKupmRUQ)

## CCS Concepts

- Software and its engineering → Requirements analysis;  
*Formal software verification.*

## Keywords

Simulink, Requirements Table, Tabular Expressions, Consistency, Completeness.

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## 1 Introduction

*Tabular requirements specifications* (e.g., [8, 10, 16]) have been extensively studied by the academic community (e.g., [7, 9, 17, 18, 21]) and are applied in industry to support the specification and analysis of software requirements [6, 22]. These specifications are facilitated by industrial tools, such as the Requirements Table (RT) block [14] from the Simulink® Requirements Toolbox™ [13].

Software engineers require tools that support the design of tabular requirements and check for their *completeness* and *consistency*. Recently, we introduced THEANO [1], a tool designed to verify the completeness and consistency of tabular requirements represented as Requirements Tables. Completeness and consistency checks [11] enable engineers to determine whether the requirements fully define system behavior for all possible inputs (*completeness*) and ensure that they do not compel the system to exhibit contradictory behaviors for the same input (*consistency*). Existing tools for the analysis of tabular requirements specifications assist software engineers in verifying the consistency and completeness (e.g., [5, 21]). For example, Simulink provides mechanisms to detect inconsistencies and incomplete requirements [12], contingent upon specific syntactic conditions. THEANO advances these capabilities by offering enhanced support for *flexible* and *stateful* requirements.

This paper details the implementation of THEANO and demonstrates its application using an automotive running example. Specifically, the implementation part discusses the main design decisions for THEANO and presents a class diagram summarizing its implementation structure. The demonstration illustrates how THEANO supports the design of RT and how it can help detect incompletenesses and inconsistencies in the requirements.

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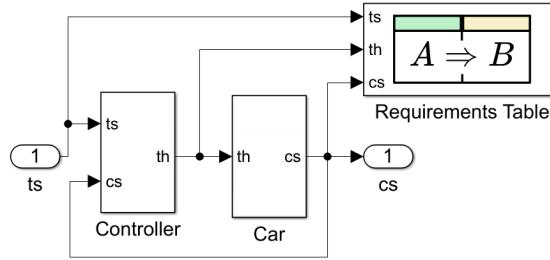


Figure 1: Simulink Model for a Speed Cruise Control System

ID	Pre.	Post.
1	cs < ts	th > prev(th)

(a) Version v1

ID	Pre.	Post.
1	cs > ts	th < prev(th)
2	ts - 2 ≤ cs ≤ ts + 2	th == prev(th)

(b) Version v2

ID	Pre.	Post.
1	cs ≤ ts	th ≥ prev(th)
2	cs ≥ ts	th ≤ prev(th)
3	ts - 2 ≤ cs ≤ ts + 2	th == prev(th)

(c) Version v3

Figure 2: Requirements Tables for Our Running Example

This paper is organized as follows: Section 2 presents our illustrative example and introduces the concept of flexible and stateful requirements, Section 3 describes the implementation of THEANO, Section 4 demonstrates its application in the running example, and Section 5 concludes with a discussion of our findings and directions for future work.

## 2 Flexible and Stateful Requirements

Tabular requirements can be defined in any Simulink model, such as the one for a vehicle’s Cruise Control system reported in Figure 1. The Cruise Control system is designed to maintain a constant vehicle speed by automatically adjusting acceleration or deceleration as needed. The model in Figure 1 includes an RT. Figure 2 presents three potential RT examples applicable to the Cruise Control system. Each row in the table represents a system requirement, defined by an identifier (ID), a precondition (Pre.), and a postcondition (Post.). The inputs for the RTs are the current speed of the vehicle (cs), the desired target speed (ts), and the throttle (th) applied to the vehicle.

*Flexible requirements* do not specify exact values in the postcondition of a requirement. Unlike *rigid* postconditions, which define precise values for system variables, flexible requirements specify a range of values for the variables to support multiple equally valid implementations. For example, the requirement from the Requirements Table in Figure 2a is flexible: When the precondition is satisfied ( $cs < ts$ ), the postcondition ( $th > \text{prev}(th)$ ) does not prescribe an exact value for the throttle variable (th).

*Stateful requirements* use the previous value of a variable (a.k.a. “previous state”) in the precondition of a requirement. This enables engineers to specify the temporal behaviors of a system. For example, the third requirement from the Requirements Table in Figure 2b is stateful: When the precondition is satisfied ( $ts - 2 \leq cs \leq ts + 2$ ), the postcondition ( $th == \text{prev}(th)$ ) specifies that the value of the th equals its previous value. The requirement is stateful because

Table 1: Encodings for the Requirements Tables

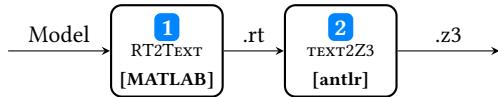
Encoding	Bound	Trace	Semantics
UeUfFs	Unbounded	Function	Fixed Step
UeUfVs	Unbounded	Function	Variable Step
UeArFs	Unbounded	Array	Fixed Step
UeArVs	Unbounded	Array	Variable Step
BeUfFs	Bounded	Function	Fixed Step
BeUfVs	Bounded	Function	Variable Step
BeArFs	Bounded	Array	Fixed Step
BeArVs	Bounded	Array	Variable Step

the value the th assumes depends on the value it assumed in the previous step (a.k.a. state).

In practice, requirements often contain at least some flexible and stateful requirements. Simulink and many other tools have limited support for the analysis of consistency and completeness in stateful requirements, as reasoning about temporal behaviors introduces additional complexity. The availability of tools to analyze such requirements would enable earlier validation of requirements, possibly leading to better requirements and safer implementations. THEANO mitigates this need by supporting flexible and stateful requirements. To reach this objective, THEANO is implemented as follows.

## 3 Implementation

Figure 3 presents the main components of THEANO: the RT2TEXT (1) and the TEXT2Z3 (2) components. The RT2TEXT (1) component is a MATLAB (R2023a) script that extracts the requirements from the Requirements Tables. The TEXT2Z3 (2) component is a translator developed in antlr [4] (v4.5) that translates the Requirements Tables into a logical formula embedded into a Z3Py [19] Python script. The script embeds a call to an SMT solver (Z3 [20]) that returns *sat* if the logical formula is satisfiable, or *unsat* if it is not. This section describes the TEXT2Z3 (2) component since



**Figure 3: Implementation of THEANO**

it produces the logical formulae encoding the requirements and implements the eight different encodings supported by THEANO.

To encode Requirements Tables and verify completeness and consistency, THEANO supports the eight encodings from Table 1. The encodings enable engineers to select whether they want to consider an unbounded (Ue) or bounded (Be) encoding, they plan to use a fixed (Fs) or a variable (Vs) step solver for simulating their models, and they want the SMT solver to use arrays (Ar) or uninterpreted functions (Uf). An extensive description of the advantages and disadvantages of each of these encodings and their implication on software development is out of scope, and the interested reader can refer to our original publication [1]. The TEXT2Z3 (2) component is designed to support all these encodings.

Figure 4 presents the class diagram, for a portion of the TEXT2Z3 component, describing the relevant classes and their relations. The abstract class *RT2Z3Visitor* translates an RT into a Z3 formula. This class implements the methods provided by the interface *RTVisitor*, which describes how to translate the different constructs of an RT. Specifically, the interface *RTVisitor* contains one method for every RT construct that specifies the translator's behavior for that specified rule. The class *RT2Z3Visitor* parameterizes the interface *RTVisitor* with the type returned by its methods (*Z3Formula*). The class *RT2Z3Visitor* has some abstract methods whose behavior depends on the selected encoding and is implemented by its sub-classes.

The *RT2Z3Visitor* class is extended by two abstract classes, *BoundedVisitor* and *UnboundedVisitor*, detailing the translation for some constructs of the Requirements Tables. The *BoundedVisitor* class has two attributes: the bound and the current index. The method *visit*, when parameterized with an object of type *Variable*, uses the index to generate a formula referring to the value of the signal variable at that index. The method *visit* applied to an object of type *PrevExpression* calculates the previous index from the value of the index attribute. The methods *visit* applied to an object of type *IsStartup* or  *IsNotStartup* check if the index refers to the system startup time. The attribute *bound* contains the bound to be considered by the bounded encoding and is used by the subclasses of the *BoundedVisitor* via the method *getBound*. The *UnboundedVisitor* class provides an alternative unbounded implementation for the abstract methods of the class *RT2Z3Visitor*. Since this encoding is not bounded, these methods use a variable “*i*” to generate a formula encoding the value of the signal in a generic index.

The *BoundedVisitor* and *UnboundedVisitor* classes are extended by four different classes each representing the four bounded (i.e., BeUffs, BeUfvS, BeArFs, BeArVs) and unbounded (i.e., UeUffs, UeUfvS, UeArFs, UeArVs) encodings. Figure 4 presents the classes *BeArFs* and *UeArFs* as an example. These classes override the *visit* method parameterized with an object of class *DurFormula* that requires an invariant to hold for a specific duration. The implementation of this method depends on the encoding: The fixed and

variable steps differ in how the portion of the trace to be considered is computed and whether functions or arrays to encode the trace are used. To realize this behavior, we use the strategy pattern as detailed in Figure 4.

Figure 4 shows that the *RT2Z3Visitor* is related with an encoder represented by class *Encoder* defining (a) the monotonicity constraint mandating the time to increase (method *getMonConst*), (b) a string that defines the variable used to encode the trace (method *getVarDef*), (c) an expression that represents the value assumed by a signal (*sn*) at a specific position (*pos*)<sup>1</sup> (method *getTrPos*), (d) a formula that is true if a position is a startup position (method *isStart*), (e) an expression that for a given position returns the value of that signal in its previous position (method *prev*). The *BoundedEncoder* and *UnboundedEncoder* classes define the behavior of the method *getMonConst* for a finite and infinite number of positions for the trace. The implementations of the encoder classes use the methods provided by the *StepEncoder* class.

The *StepEncoder* class has two subclasses and provides the methods *defTimestamp* and *getSig* that rely on the *TraceEncoder*. The abstract method *getMConst* (used by method *getMonConst* of the *Encoder*) returns the monotonicity constraint for a specific signal *s* and position *p*. The *FixedStepEncoder* and the *VariableStepEncoder* provide two implementations for this method.

The *TraceEncoder* provides two methods: *defTimestamp* defines the variable that will encode the timestamp of the system, and *getSig* returns an *Z3Exp* that accesses the value of the signal *s* at index *i*. The behaviors of these methods depend on whether an encoding based on arrays or an uninterpreted function is selected and implemented by the classes *UfEncoder* and *ArEncoder*.

To summarize, depending on the selected encodings an object of appropriate classes *BeUffs*, *BeUfvS*, *BeArFs*, *BeArVs*, *UeUffs*, *UeUfvS*, *UeArFs*, or *UeArVs* are created. These classes use an appropriate *Encoder*, *StepEncoder*, and *TraceEncoder* to convert the RTs into Z3 formulae.

## 4 Demonstration

We used the *BeUffs* encoding for our demonstration, based on the results reported in the research paper associated with this demonstration [15]. We demonstrate how THEANO helps find incompleteness and inconsistencies on the RT from our automotive running example.

Specifically, THEANO detects that the RT shown in Figure 2a is *incomplete*; version *v1* of the RT does not specify the system behavior when the current speed (*cs*) is greater or equal to the target speed (*ts*).

To solve the problem, engineers proposed the modified version *v2* of the RT, as shown in Figure 2b. This version incorporates cases where the current speed (*cs*) exceeds the target speed (*ts*) and where it is within  $\pm 2$  km/h of the target speed. THEANO confirms that the RT is *complete* since it specifies the value of the outputs for all the possible inputs. However, THEANO detects that the RT is *inconsistent*. If the current speed (*cs*) is lower than the target speed (*ts*), the preconditions of requirements 1 and 3 are satisfied. However, the postcondition of requirement 1 forces the throttle

<sup>1</sup>Note that the position is modeled by a String since it can represent a generic position with index “*i*”.

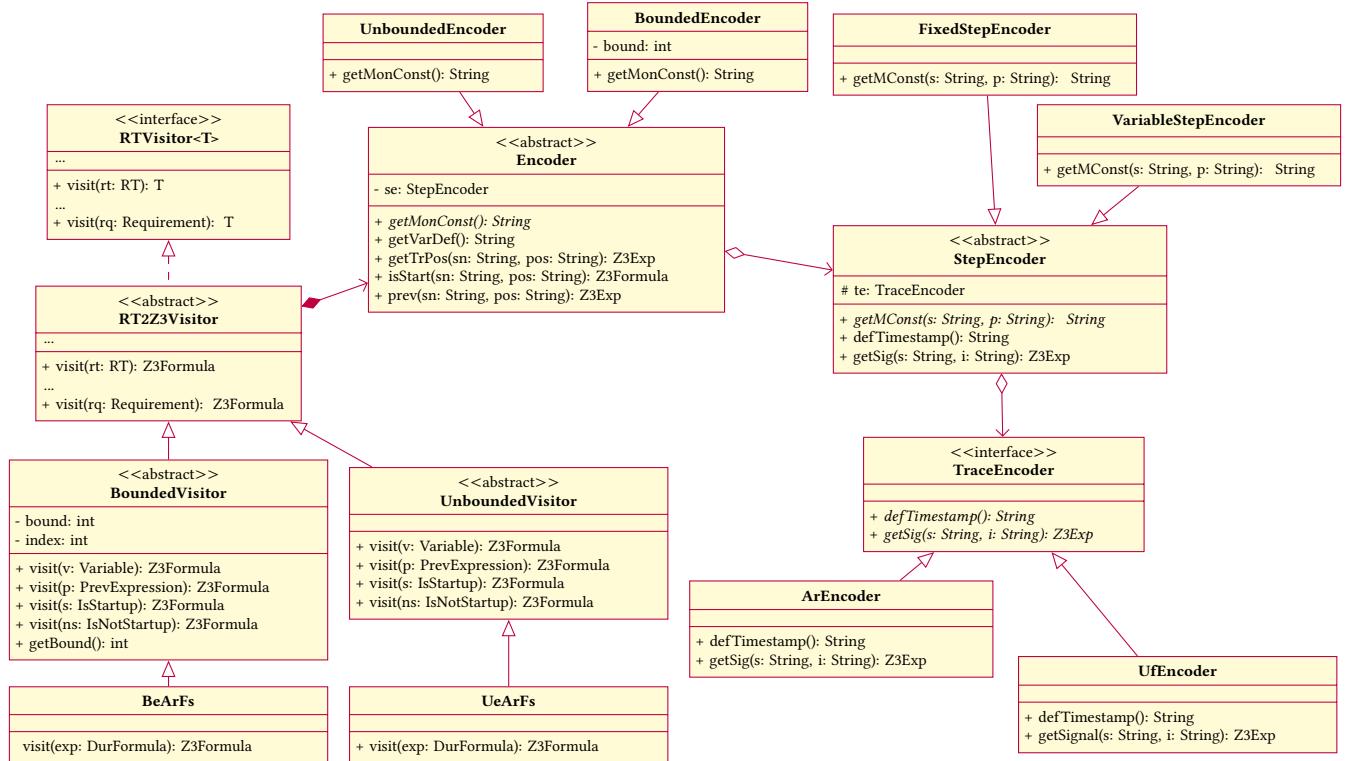


Figure 4: Class Diagram for the Translator of THEANO

```

●●●
java -jar Theano.jar -i TableCar_v3.rt -o TableCar_v3_completeness.py
-e BeUffs -t completeness -b 6

java -jar Theano.jar -i TableCar_v3.rt -o TableCar_v3_consistency.py
-e BeUffs -t consistency -b 6

python TableCar_v3_completeness.py; python TableCar_v3_consistency.py

Requirements Table Complete (unsat)
Requirements Table Consistent (unsat)

```

Figure 5: Results of Our Running Example from THEANO

(th) to be greater than the previous value (**prev** (th)), while the postcondition of requirement 3 forces its value to match its previous value (**prev** (th)). This conflict leads to an inconsistency in the RT.

To resolve this, engineers developed version *v3* of the RT, shown in Figure 2c, by modifying the preconditions and postconditions for requirements 1 and 2. THEANO can not detect any *incompleteness* and *inconsistency* of this RT since (a) it defines the software behaviors for all the possible inputs and (b) it does not force the system to behave differently when two preconditions are satisfied.

Figure 5 shows the results of our demonstration using THEANO, which runs both the completeness and consistency checks for the version *v3* of the RT from Figure 2c. The tool requires as input the Requirements Table (-i), the encoding to be used (-e), the type of check to be done (-t), and the bound (-b). Each tool call

produces a Python file (specified with the -o argument) which must be executed to actually perform the checks.

## 5 Conclusion

This demonstration paper shows how the scientific contribution from [15] has been transferred into the tool THEANO. Unlike the original publication [15], it demonstrates the tool with an automotive case study and discusses our implementation details and design decisions. THEANO is integrated with Simulink. Future work will consider integrating it with other tools.

## Tool and Data Availability

THEANO is publicly available as an open source tool [1]. Two videos showing how to install THEANO [3] and how to run the example [2] are available online.

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