

Affine Varieties:

- 1) K - will denote a fixed algebraically closed ground field (\mathbb{C})
- 2) Rings are always assumed to be commutative with unity. If I is an ideal in a ring R we will write this as $I \trianglelefteq R$ and denote radical of I , by $\sqrt{I} := \{ f \in R \mid f^k \in I \text{ for some } k \in \mathbb{N} \}$. The ideal generated by a subset S of a ring will be written as $\langle S \rangle$.
- 3) Degree of a polynomial f is always meant to be its total degree i.e. the biggest integer $d \in \mathbb{N}$ s.t. f contains a non-zero monomial $x_1^{i_1} \dots x_n^{i_n}$ with $i_1 + \dots + i_n = d$.

Defⁿ 1.1 (Affine algebraic sets varieties)

(i) We call $\mathbb{A}^n := \mathbb{A}_K^n := \{(a_1, \dots, a_n) \mid a_i \in K\}$ if the affine n -space over K .

(ii) For a subset $S \subset K[x_1, \dots, x_n]$ of polynomials we call $V(S) := \{x \in \mathbb{A}^n \mid f(x) = 0 \text{ for } f \in S\} \subset \mathbb{A}^n$ the zero locus of S . Subsets of this form are called affine varieties. If $S = \{f_1, \dots, f_k\}$ is a finite set we will write $V(S) = V(\{f_1, \dots, f_k\}) = V(f_1, \dots, f_k)$

Lemma: I.2

- (a) For any $s_1 \subset s_2 \subset K[x_1, \dots, x_n]$ we have $V(s_1) \supset V(s_2)$
- (b) For any $s_1, s_2 \subset K[x_1, \dots, x_n]$ we have $V(s_1) \cup V(s_2) = V(s_1 \cup s_2)$, where as usual we set $s_1 \cup s_2 := \{fg : f \in s_1, g \in s_2\}$.
- (c) If J is any index set and $s_i \subset K[x_1, \dots, x_n]$ for all $i \in J$ then $\bigcap_{i \in J} V(s_i) = V(\bigcup_{i \in J} s_i)$.

In particular, finite unions and arbitrary intersections of affine algebraic sets are again affine algebraic sets.

Pf: (a) If $x \in V(s_2) \Rightarrow f(x) = 0 \forall f \in s_2 \Rightarrow f(x) = 0 \forall f \in s_1 \Rightarrow x \in V(s_1)$.

(b) If $x \in V(s_1) \cup V(s_2) \Rightarrow f(x) = 0 \forall f \in s_1$ or $g(x) = 0 \forall g \in s_2$. In any case this means that $(fg)(x) = 0$ $\forall f \in s_1$ and $g \in s_2 \Rightarrow x \in V(s_1 \cup s_2)$.

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If $x \notin V(s_1) \cup V(s_2) \Rightarrow x \notin V(s_1)$ and $x \notin V(s_2)$.

Then $\exists f, g$ s.t. $f(x) \neq 0 \wedge g(x) \neq 0$. Then $(fg)(x) \neq 0$, and hence $x \notin V(s_1 \cup s_2)$.

(c) We have $x \in \bigcap_{i \in J} V(s_i) \Leftrightarrow f(x) = 0 \forall f \in s_i \forall i \in J$.

$\Leftrightarrow x \in V\left(\bigcup_{i \in J} s_i\right)$.

* Examples: 2.3.

(1) The Affine n -space $A^n = V(0)$. Similarly the empty set $\emptyset = V(1)$ is also a.a.s.

(2) Any pt. $(a_1, \dots, a_n) \in A^n$ is an a.a.s. $\{a\} = V(x_1 - a_1, \dots, x_n - a_n)$.

By the previous lemma, finite subsets of A^n are then a.a.s as well.

(3) Linear subspaces of A^n are a.a.s. (why?)

(4) If $X \subset A^n$ & $Y \subset A^m$ are a.a.s. Then so

is the product $X \times Y \subset A^n \times A^m = A^{n+m}$.

Remark: Let f & g be polynomials that vanish on a certain subset $X \subset A^n$. Then $f+g$ & $\frac{f}{g}$

for any polynomial h clearly vanish on X as well.

This means that the set $S \subset K[x_1, \dots, x_n]$ defining an affine variety $X = V(S)$ is certainly not unique: For any $f, g \in S$ and any polynomial

h we can add $f+g$ & hf to S without changing its zero locus, so that we always have $V(S) = V(\tilde{S})$. In particular any affine variety in A^n can be written as the zero locus of an ideal in $K[x_1, \dots, x_n]$.

As any ideal in $K[x_1, \dots, x_n]$ is f.g. by [Hilbert's Basis Theory] this means that moreover that any affine algebraic set can be written as the zero locus of finitely many polynomials.

* Prop of $V(\cdot)$: For any $\mathbb{J}_1, \mathbb{J}_2, \mathbb{J}_2 \subseteq K[x_1, \dots, x_n]$. we have.

$$(a) V(\sqrt{\mathbb{J}}) = V(\mathbb{J})$$

$$(b) V(\mathbb{J}_1) \cup V(\mathbb{J}_2) = V(\mathbb{J}_1 \cap \mathbb{J}_2) = V(\mathbb{J}_1 + \mathbb{J}_2)$$

$$(c) V(\mathbb{J}_1) \cap V(\mathbb{J}_2) = V(\mathbb{J}_1 + \mathbb{J}_2)$$

Pf: Checking.

* Remark 1.9. is important since it is in some sense the basis of AG. It relates geometric objects (a.a.s.) to algebraic objects (ideals). We have already assigned a.a.s. to ideals in Def^{*} 1.1. and Remark 1.9., so let's now introduce an operation which does the opposite job.

Def^{*} (1.6): (Ideal of a subset of A^n):

let $X \subset A^n$ be any subset, then (ideal of X) \Rightarrow

$$I(X) := \{ f \in K[x_1, \dots, x_n] \mid f(a) = 0 \quad \forall a \in X \}$$

This is indeed an ideal. (check).

Remark: (1.7):

(g) In analogy to Lemma (1.2) it is obvious that the ideal of a subset reverses inclusions as well.

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If X is always a radical ideal:

(h) Note that $I(X)$ is always a radical ideal:

If $f^k \in I(X)$ for some $f \in K[x_1, \dots, x_n]$ and
 $k \in \mathbb{N}$ then $f^k(x) = 0 \forall x \in X$ and hence

$$f(x) = 0 \forall x \in X \Rightarrow f \in I(X).$$

Hence first operation $I(\cdot)$ $\iff V(\cdot)$.

a.a.s.

radical ideals.

By a certain result of C.A. note this is actually
a bijection (Hilbert Nullstellensatz).

Prop. 1.10. $\text{(\textcircled{1})} \checkmark$

For any a.a.s. $X \subset A^n$ we have $V(I(X)) = X$

(a) For any a.a.s. $J \subseteq K[x_1, \dots, x_n]$ we have $I(V(J)) = J$.

(b) For any ideal $J \subseteq K[x_1, \dots, x_n]$ we have $I(V(J)) = J$.

In particular there is an "inclusion-reversing" bijection

Affine a.s. in $A^n \iff \{\text{radical ideals in } K[x_1, \dots, x_n]\}$

$$X \mapsto I(X)$$

$$V(J) \leftarrow J$$

Pf: Three of the four inclusions of (a) & (b)
are actually easy:

(a) " \supset ": If $x \in X$ then $f(x) = 0 \vee f \in I(X)$. \Rightarrow
 $x \in V(I(X))$.

(b) " \supset ": If $f \in \sqrt{J}$, then $f^k \in J$ for some $k \in \mathbb{N}$.

It follows that $f^k(x) = 0 \vee x \in V(J)$, hence also
 $f(x) = 0 \vee x \in V(J)$, & thus $f \in I(V(J))$.

(a) " \subset ": As X is a.s we know by Remark 1.9
that $X = V(J)$ for some ideal J . Then $I(V(J)) = \sqrt{J} \supset J$
by (b) " \supset ", so taking the zero locus we obtain
 $V(I(J)) \subset V(J)$ by Lemma 1.9 (a). This means
exactly that $V(I(X)) \subset X$.

Only the inclusions $I(V(J)) \subset \sqrt{J}$ of (b) " \subset " is left
to prove and it uses commutative algebra ~~with~~ with
the convention K is algebraically closed.

The additional bijection statement now follows directly
from (a) & (b), together with the observations $\sqrt{J} = J$
since J is radical, $I(X)$ is actually a radical ideal
by Remark (), and both operations ~~reverse~~ reverse
inclusions by Lemma () & Remark ().

Examples:

(a) Let $J \trianglelefteq K$ be a non-zero ideal. As $K[x_1]$ is a P.I.D., we have $J = \langle f \rangle$ for a polynomial $f = (x_1 - a_1)^{k_1} \cdots (x_1 - a_{k_1})^{k_m}$ for some distinct pts. $a_1, \dots, a_{k_1} \in \mathbb{A}^1$ & $k_1, \dots, k_m \in \mathbb{N}_{>0}$. The zero locus $V(J) = V(f) = \{a_1, \dots, a_{k_1}\} \subset \mathbb{A}^1$ then contains the data of the zeros of f , but no longer of the multiplicities k_1, \dots, k_m . Consequently, by proposition,

$I(V(J)) = \sqrt{J} = \langle (x_1 - a_1), \dots, (x_1 - a_{k_1}) \rangle$ is ~~ideal~~
just the ideal of all polynomial vanishing at a_1, \dots, a_{k_1} (with any order).

(b) If we had not assumed K to be a.c., the Nullstellensatz would already break down in the simple example (a): The prime (and hence radical) ideal $J = \langle x_1^2 + 1 \rangle \trianglelefteq \mathbb{R}[x_1]$ has empty zero locus in $\mathbb{A}^1_{\mathbb{R}}$, so we would obtain $I(V(J)) = I(\emptyset) = \mathbb{R}[x_1] \neq J = \sqrt{J}$.

(c) The ideal $J = \langle x_1 - a_1, \dots, x_n - a_n \rangle \trianglelefteq K[x_1, \dots, x_n]$ is maximal, and hence radical. As its zero locus is $V(J) = \{\bar{a}\}$, $\bar{a} = (a_1, \dots, a_n)$, we conclude by Prop. that the ideal of the pt. \bar{a} is $I(\{\bar{a}\}) = \mathbb{I}(V(J)) = J = \langle x_1 - a_1, \dots, x_n - a_n \rangle$.

In fact, pts in A^n are clearly just the minimal non-empty algebraic sets in A^n , so by the inclusion-reversing operations of the Nullstellensatz they correspond exactly to the maximal (proper) ideals in $K[x_1, \dots, x_n]$. Hence the bijection in prop. restricts

to a bijection

$$\{ \text{points in } A^n \} \xleftrightarrow{1:1} \{ \text{maximal ideals in } K[x_1, \dots, x_n] \}$$

so the maximal ideals considered above are actually the only maximal ideals in the polynomial ring.

Now with the help of nullstellensatz \rightarrow .

Lemma:

For any affine as. $x_1, x_2 \in A^n$ we have

$$(a) I(x_1 \cup x_2) = I(x_1) \cap I(x_2)$$

$$(b) I(x_1 \cap x_2) = \sqrt{I(x_1) + I(x_2)}$$

\Rightarrow (a) A polynomial $f \in K[x_1, \dots, x_n]$ is contained in $I(x_1 \cup x_2) \Leftrightarrow f(x_1) = 0 \wedge f(x_2) = 0 \Leftrightarrow x_1 \notin V(f) \wedge x_2 \notin V(f) \Leftrightarrow f \in I(x_1) \cap I(x_2)$.

By the nullstellensatz of Prop. we obtain:

$$(b) \text{ By the nullstellensatz of Prop. we obtain: } I(x_1 \cap x_2) \stackrel{\text{1.10.(a)}}{=} \sqrt{I(x_1) + I(x_2)} = \sqrt{V(I(x_1)) \cap V(I(x_2))} = I(V(I(x_1)) \cap V(I(x_2)))$$

$$\stackrel{\text{1.10.(b)}}{=} \sqrt{I(x_1) + I(x_2)}.$$

Remark: Recall from remark 1.9. that ideals of affine varieties are always radical. So in particular Lemma shows that the intersections of radical ideals are again radical \rightarrow which can't be checked directly \rightarrow .

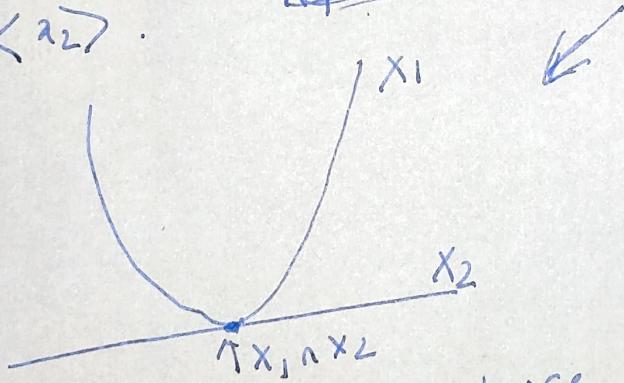
In contrast, sums of radical ideals are in general not radical, which is why taking the radical in Lemma is really necessary.

There's also a geometric interpretation behind this fact:

$x_1, x_2 \subset \mathbb{A}^n$ affine a.s. with $I(x_1) = \langle x_2 - x_1^2 \rangle$.

~~REMARK~~ whose real pts.

$$\& I(x_2) = \langle x_2 \rangle$$



$x_1 \cap x_2$ is just the origin hence with the ideal

$$I(x_1 \cap x_2) = I(0) = \langle x_1, x_2 \rangle$$

But $I(x_1) + I(x_2) = \langle x_2 - x_1^2, x_2 \rangle = \langle x_1^2, x_2 \rangle$ is not a radical ideal: only its radical $= I(x_1 \cap x_2)$
 $= \langle x_1, x_2 \rangle$.

The geometric meaning of the non-radical ideal $I(x_1) \cap I(x_2)$
 $= \langle x_1^2, x_2 \rangle$ is simply that x_1 & x_2 are tangent
 at their intersection point: In a linear approximation
 defining eqns $x_2 = x_1^2$ & $x_2 = 0$ coincide, and both
 describe the x_1 -axis. Hence we could imagine that
 the intersection $x_1 \cap x_2$ extends from the origin
 to an infinitesimally small amount in the x_1 -direction,
 as indicated in the picture — so that ~~the~~ x_1 -axis
 not quite vanish on the intersections, only x_1^2 does.
 more precise way to explain: Intersection multiplicity
 $\dim_{\mathbb{C}} \frac{\mathbb{C}[x,y]}{\langle x_1^2, x_2 \rangle} = 2$ at the origin, encoding the
 tangential intersection numerically. ~~Here~~ we
 will introduce "Schemes": shortly where it enlarges
 the geometric category of varieties to include
 "objects extending by infinitesimally small amounts
 in some directions", which will then yield a statement
 analogous to proposition (A). That affine subschemes of \mathbb{A}^n
 are in bijection to arbitrary ideals in $\mathbb{K}[x_1, \dots, x_n]$.
 In this language of x_1 & x_2 will then be the scheme
 corresponding to the non-radical ideal $\langle x_1^2, x_2 \rangle$.

* Defn: (Zariski Topology):
 Before introducing we will give some notions:
 $X \subset A^n$ be an affine algebraic set. A polynomial
 func. or X is a map $X \rightarrow K$ that is of the
 form $x \mapsto f(x)$ for some $f \in K[x_1, \dots, x_n]$, by
 the ring of all polynomial func'ns on

Remark 1.

X is just the quotient ring

$$A(X) = K[x_1, \dots, x_n]/I(X) \quad \xrightarrow{\text{Used in relative setting.}}$$

polynomial
func'ns on X .

co-ordinate ring of

The affine variety X .
a.s.

It also has a K -vector space and which is K -bilinear
structure \Rightarrow K -algebra structure.

By this co-ordinate ring we can study subset

$S \subset A(Y)$ of polynomial func's on Y we define
 (a) the zero locus as $V(S) := \{x \in Y \mid f(x) = 0 \quad \forall f \in S\}$.

(b) for a subset $X \subset Y$ the ideal of X in Y is

defined to be

$$I(X) := I_Y(X) := \left\{ f \in A(Y) \mid \begin{array}{l} f(x) = 0 \forall \\ x \in X \end{array} \right\} \subseteq A(Y).$$

Hence we define the Zariski-topology on X to be the topology whose closed sets are exactly the affine sub-a.s. of X . \Rightarrow the subsets of the form $V(s)$ for some $s \in A(X)$.

By Lemma, & Example, this forms a topology.

Here $V(0) = X$ & $V(c) = \{x \in A^1 \mid c - \text{any non-zero constant}\}$

Also, for just affine algebraic sets, these can be defined.

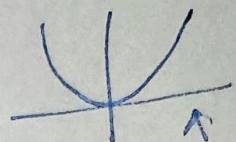
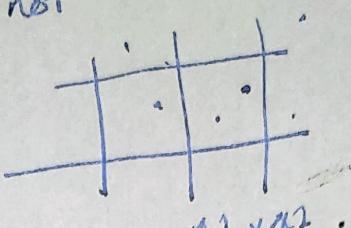
Example: (Topology on complex varieties):

(1) The metric unit ball $A = \{x \in A^1_{\mathbb{C}} \mid \|x\| \leq 1\}$ in $A^1_{\mathbb{C}}$ is clearly closed in the classical (euclidean) topo but not in Zariski-topology.

In fact the Zariski-closed subsets of $A^1_{\mathbb{C}}$ are only the finite sets & $A^1_{\mathbb{C}}$ itself. In particular the Zariski-closure of A $\bar{A} = A^1_{\mathbb{C}}$. Hence we saw that any Zariski-closed subset is also closed in the classical topology (since it is given by eqn's among polynomial func's which are cont. in the classical topology).

(2) $f: A^1 \rightarrow A^1$ be any injective map $\Rightarrow f$ is automatical cont. in the Zariski-topology.

(3) In general there is a notion of product topology
 However the Zariski-top of an affine variety
 $X \times Y$ is not the product top. For example -
 $V(x_1 - x_2) = \{(a, a), a \in K\} \subset \mathbb{A}^2$ is closed in
 the Zariski-top. but not in the product top
 of $\mathbb{A}^2 \times \mathbb{A}^2$.



\uparrow
 closed
 in \mathbb{A}^2
 but not in
 $\mathbb{A}^2 \times \mathbb{A}^2$

Distinguished open sets \Rightarrow
 For $f \in A(X)$, we define the

(4). In \mathbb{A}^2 there are 3-building blocks of closed subsets :

$$a = \{0\} \rightsquigarrow V(a) = \mathbb{A}^2 \setminus \text{all of } \mathbb{A}^2$$

$$a = (f(x,y)) \rightsquigarrow V(a) = \{(x,y \in \mathbb{A}^2 \mid f(x,y) = 0\}$$

$$a = (x_1, y-b) \rightsquigarrow V(a) = \{(a, b)\} \Rightarrow \text{a point.}$$

Distinguished open sets \Rightarrow

For $f \in A(X)$, we define the distinguished $D(f)$ as $D(f) = \{x \in X \mid f(x) \neq 0\} = X \setminus V(f)$.

Prop: X be an a.a.s. then $D(f)$ form a basis.

Proposition: A non-empty affine variety X is irreducible a.s.

$\Leftrightarrow A(X)$ is an I.D.

Pf: As X is non-empty, $A(X) \neq 0$.

" \Rightarrow " Suppose not, then. $\exists f_1, f_2 \neq 0 \in A(X)$ s.t. $f_1 f_2 = 0 \Rightarrow X_1 = V(f_1), X_2 = V(f_2)$ are not closed, not equal to X , and $X_1 \cup X_2 = V(f_1) \cup V(f_2) = V(f_1 f_2) = V(0) = X$.

hence X is reducible.
" \Leftarrow " Assume that X is ~~reducible~~ ^{reducible}. $X = X_1 \cup X_2$ too.
 $X_1, X_2 \not\subseteq X$. By the bijection of the relative Nullstellensatz, $I(X_i) \neq \{0\}$ in $A(X)$ too $i=1, 2$.
So we can choose non-zero $f_i \in I(X_i)$. Then
 $f_1 f_2$ vanishes on $X_1 \cup X_2 = X$. Hence $f_1 f_2 = 0 \in A(X)$

$\neq \text{I.D.}$

relative Nullstellensatz \Rightarrow
affine sub a.s. of $Y \overset{\text{1..2}}{\longleftrightarrow} \text{radical ideals in } A(Y)$

* Other prop: $\begin{cases} (1) \text{ open subsets of i.m. top space are.} \\ \text{int. and dense.} (2) Y \subset X \text{ i.m.} \Rightarrow \bar{Y} \text{ also i.m.} \end{cases}$

(3) $f: X \rightarrow P$ cont. If X is i.m. $\Rightarrow f(X)$ is.

(3)

Defⁿ: (Irreducible):
A top space X is irreducible if it can't be
written as $X = X_1 \cup X_2$ for closed subsets,
 $X_1, X_2 \not\subseteq X$. We call im. a.s. affine variety $X \subset \underline{A^n}$.

In the Zariski top. the algebraic characterization
of irreducibility & connectedness is the following.

Prop 2.7
Let X be a disconnected affine variety; with
 $X = X_1 \cup X_2$ for two disjoint closed subsets $X_1, X_2 \subset X$.

Then $A(X) \cong A(X_1) \times A(X_2)$.

Pf: As $X_1 \cup X_2 = X$ we obtain in $A(X)$
 $I(X_1) \cap I(X_2) = I(X_1 \cup X_2) = I(X) = \{0\}$.

On the other hand, from $X_1 \cap X_2 = \emptyset$ we have in

$$A(X), \quad \sqrt{I(X_1) + I(X_2)} = I(X_1 \cap X_2) = I(\emptyset) = \langle \textcircled{1} \rangle.$$

Thus also $I(X_1) + I(X_2) = \langle \textcircled{1} \rangle$, so by C.R.T.

we conclude that

$$\underline{A(X) \cong A(X)/I(X_1) \times A(X)/I(X_2)}$$

Example:

(1) Let f be an irr. polynomial in $K[x, y]$. Then \mathfrak{f} generates a prime ideal in $K[x, y]$ since $K[x, y]$ is an UFD, so the zero set $y = V(f)$ is irreducible. We call it the affine curve of degree d ($\deg f = d$).

More generally if f is irr. in $A_2 K[x_1, \dots, x_n]$ we obtain a affine variety $y = V(f)$, which is called a surface if $n=3$ or a hypersurface if $n>3$.

Algebra-geometric dictionary:

$$A = K[x_1, \dots, x_n], \quad K = \bar{K}.$$

$$x \mapsto I(x)$$

$$j \mapsto V(j).$$

Algebra.

max. ideals of A

prime " " A

radical " " A

maximal " if A/α

Geometry:

points in A^n

affine varieties in A^n

Closed subsets of A^n

pts of $V(\alpha)$..