Document 0096

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√ RSTR ↓
                                                                                                            PAT-arg
     Two firms were expelled ev-w1241f1 - - - ev-w1328f1 - - - ev-w1328f1
    Also , Mr. Vargas was barred from association with any NASD member .
                                                                                   CONJ.member
                                              who apparently has an unpublished phone number , also could n't be reached ev-w2510t
                                                                                                                                       CONTRD
While neither admitting nor denying wrongdoing , Triton and Mr. Chase consented to findings of violations in connection with limited-partnership sales .
                                                      PAT-arg
    Officials of Triton could n't be reached for comment .
[20096017]

(RSTR)

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