# C3W3 Modified Triplet Loss

July 28, 2025

## 1 Modified Triplet Loss: Ungraded Lecture Notebook

In this notebook you'll see how to calculate the full triplet loss, step by step, including the mean negative and the closest negative. You'll also calculate the matrix of similarity scores.

## 1.1 Background

The original triplet loss function looks like this:

$$\mathcal{L}_{\text{Original}} = \max{(\mathbf{s}(A,N) - \mathbf{s}(A,P) + \alpha, 0)},$$

where the inputs are the Anchor A, Positive P and Negative N.

As you learned in the lectures, this loss can be improved by including the mean negative and the closest negative terms, to create a new full loss function.

$$\mathcal{L}_1 = \max\left(mean\_neg - s(A, P) + \alpha, 0\right)$$

$$\mathcal{L}_2 = \max\left(closest\_neg - s(A, P) + \alpha, 0\right)$$

$$\mathcal{L}_{\mathrm{Full}} = \mathcal{L}_1 + \mathcal{L}_2$$

Let me show you what that means exactly, and how to calculate each step.

#### 1.2 Imports

[1]: import numpy as np import tensorflow as tf

2025-07-26 17:17:19.911346: I tensorflow/tsl/cuda/cudart\_stub.cc:28] Could not find cuda drivers on your machine, GPU will not be used.

2025-07-26 17:17:20.058169: I tensorflow/tsl/cuda/cudart\_stub.cc:28] Could not find cuda drivers on your machine, GPU will not be used.

2025-07-26 17:17:20.059773: I tensorflow/core/platform/cpu\_feature\_guard.cc:182] This TensorFlow binary is optimized to use available CPU instructions in performance-critical operations.

To enable the following instructions: AVX2 AVX512F FMA, in other operations, rebuild TensorFlow with the appropriate compiler flags.

2025-07-26 17:17:21.046576: W

tensorflow/compiler/tf2tensorrt/utils/py\_utils.cc:38] TF-TRT Warning: Could not find TensorRT

### 1.3 Similarity Scores

The first step is to calculate the matrix of similarity scores using cosine similarity so that you can look up s(A, P), s(A, N) as needed for the loss formulas.

#### 1.3.1 Two Vectors

First you will calculate the similarity score for 2 vectors using cosine similarity.

 $s(v_1,v_2)=$  cosine similarity $(v_1,v_2)=\frac{v_1\cdot v_2}{\|v_1\|\ \|v_2\|}$  \* Try changing the values in the second vector to see how it changes the cosine similarity.

```
[2]: # Two vector example
     # Input data
     v1 = np.array([1, 2, 3], dtype=float)
     v2 = np.array([1, 2, 3.5], dtype=float) # notice the 3rd element is offset by
      →0.5
     ### START CODE HERE ###
     # Try modifying the vector v2 to see how it impacts the cosine similarity
     # v2 = v1
                                # identical vector
     # v2 = v1 * -1
                                # opposite vector
     # v2 = np.array([0,-42,1], dtype=float) # random example
     ### END CODE HERE ###
     print("-- Inputs --")
     print("v1 :", v1)
     print("v2 :", v2, "\n")
     # Similarity score
     def cosine_similarity(v1, v2):
         numerator = tf.math.reduce sum(v1*v2) # takes the dot product between v1_
      \rightarrow and v2. Equivalent to np.dot(v1, v2)
         denominator = tf.math.sqrt(tf.math.reduce_sum(v1*v1) * tf.math.
      →reduce_sum(v2*v2))
         return numerator / denominator
     print("-- Outputs --")
     print("cosine similarity :", cosine_similarity(v1, v2).numpy())
    -- Inputs --
    v1 : [1. 2. 3.]
```

```
v1 : [1. 2. 3.]
v2 : [1. 2. 3.5]
-- Outputs --
cosine similarity : 0.9974086507360697
```

Observe that here we are explicitly dividing by  $\sqrt{\|v_1\|\|v_2\|}$ , to compute the cosine similarity. How-

ever, the output of the Siamese network as you have seen it so far includes a normalizing layer, so that  $||v_1|| = ||v_2|| = 1$ 

#### 1.3.2 Two Batches of Vectors

Now you will see how to calculate the similarity scores, using cosine similarity for 2 batches of vectors. These are rows of individual vectors, just like in the example above, but stacked vertically into a matrix. They would look like the image below for a batch size (row count) of 4 and embedding size (column count) of 5.

The data is set up so that  $v_{1\_1}$  and  $v_{2\_1}$  represent duplicate inputs, but they are not duplicates with any other rows in the batch. This means  $v_{1\_1}$  and  $v_{2\_1}$  (green and green) have more similar vectors than say  $v_{1\_1}$  and  $v_{2\_2}$  (green and magenta).

You will see two different methods for calculating the matrix of similarities from 2 batches of vectors.

First you will create the similarity matrix for batches  $v_1$  and  $v_2$ , filling each element of the matrix at a time. This involves two nested for loops, which isn't very efficient. However it is very pedagogic, since you get to see how each element of the similarity matrix is created.

```
[3]: # Two batches of vectors example
     # Input data
     v1_1 = np.array([1.0, 2.0, 3.0])
     v1_2 = np.array([9.0, 8.0, 7.0])
     v1_3 = np.array([-1.0, -4.0, -2.0])
     v1_4 = np.array([1.0, -7.0, 2.0])
     v1 = np.vstack([v1_1, v1_2, v1_3, v1_4])
     v2_1 = v1_1 + np.random.normal(0, 2, 3) # add some noise to create approximate_
      \hookrightarrow duplicate
     v2_2 = v1_2 + np.random.normal(0, 2, 3)
     v2_3 = v1_3 + np.random.normal(0, 2, 3)
     v2_4 = v1_4 + np.random.normal(0, 2, 3)
     v2 = np.vstack([v2_1, v2_2, v2_3, v2_4])
     print("-- Inputs --")
     print(f"v1 : \n{v1}\n")
     print(f"v2 : \n{v2}\n")
     # Batch sizes must match
     b = len(v1)
     print(f"Batch sizes match : {b == len(v2)}\n")
     # Similarity scores
     # Option 1 : nested loops and the cosine similarity function
     sim_1 = np.zeros([b, b]) # empty array to take similarity scores
```

```
# Loop
for row in range(0, sim_1.shape[0]):
    for col in range(0, sim_1.shape[1]):
        sim_1[row, col] = cosine_similarity(v2[row], v1[col]).numpy()
print("-- Outputs --")
print("Option 1 : loop")
print(sim_1)
-- Inputs --
v1 :
[[ 1. 2. 3.]
[9. 8. 7.]
 [-1. -4. -2.]
[1. -7. 2.]
v2 :
[[-4.16578958 2.9674321 -0.62896203]
 [ 9.82422985  6.76743218  8.15714369]
 [ 2.17241552 -8.55048662 -1.32886256]
 [ 1.47697008 -6.61283851 5.75044885]]
Batch sizes match : True
-- Outputs --
Option 1 : loop
[[-0.00611012 -0.25294786 -0.27296571 -0.69176758]
 [ 0.88454751  0.99189309 -0.80343237 -0.1999424 ]
 [-0.56663032 -0.46798755 0.84842692 0.90554573]
 [ 0.16548246  0.00519596  0.33083789  0.90754126]]
```

Now, you can repeat the procedure applying vectorization, so the computations are more efficient. For this small example you will not notice a difference, but for training a big model this is crucial.

```
-- Outputs --
Option 2 : vector normalization and dot product

tf.Tensor(
[[-0.00611012 -0.25294786 -0.27296571 -0.69176758]
  [ 0.88454751   0.99189309 -0.80343237 -0.1999424 ]
  [-0.56663032 -0.46798755   0.84842692   0.90554573]
  [ 0.16548246   0.00519596   0.33083789   0.90754126]], shape=(4, 4),

dtype=float64)
```

Outputs are the same : True

## 1.4 Hard Negative Mining

You will now calculate the mean negative  $mean\_neg$  and the closest negative  $close\_neg$  used in calculating  $\mathcal{L}_1$  and  $\mathcal{L}_2$ .

```
\begin{split} \mathcal{L}_1 &= \max \left( mean\_neg - \mathrm{s}(A,P) + \alpha, 0 \right) \\ \mathcal{L}_2 &= \max \left( closest\_neg - \mathrm{s}(A,P) + \alpha, 0 \right) \end{split}
```

You'll do this using the matrix of similarity scores you already know how to make, like the example below for a batch size of 4. The diagonal of the matrix contains all the s(A, P) values, similarities from duplicate question pairs (aka Positives). This is an important attribute for the calculations to follow.

### 1.4.1 Mean Negative

 $mean\_neg$  is the average of the off diagonals, the s(A, N) values, for each row.

## 1.4.2 Closest Negative

 $closest\_neg$  is the largest off diagonal value, s(A, N), that is smaller than the diagonal s(A, P) for each row. \* Try using a different matrix of similarity scores.

First, try the implementation in NumPy.

```
\# sim = 2 * np.random.random sample((b,b)) -1 \# random similarity scores_{\sqcup}
 \hookrightarrow between -1 and 1
\# sim = sim 2
                                                 # the matrix calculated
spreviously using vector normalization and dot product
### END CODE HERE ###
# Batch size
b = sim.shape[0]
print("-- Inputs --")
print(f"sim:")
print(sim)
print(f"shape: {sim.shape}\n")
# Positives
# All the s(A,P) values : similarities from duplicate question pairs (akau
→Positives)
# These are along the diagonal
sim_ap = np.diag(sim)
print("sim_ap:")
print(np.diag(sim_ap))
# Negatives
# all the s(A,N) values: similarities the non duplicate question pairs (aka<sub>1</sub>)
\hookrightarrowNegatives)
# These are in the off diagonals
sim_an = sim - np.diag(sim_ap)
print("\nsim_an:")
print(sim_an)
print("\n-- Outputs --")
# Mean negative
# Average of the s(A,N) values for each row
mean neg = np.sum(sim an, axis=1, keepdims=True) / (b - 1)
print("\nmean_neg:")
print(mean_neg)
# Closest negative
# Max s(A,N) that is \leq s(A,P) for each row
mask_1 = np.identity(b) == 1
                                        # mask to exclude the diagonal
mask_2 = sim_an > sim_ap.reshape(b, 1) # mask to exclude sim_an > sim_ap
mask = mask_1 \mid mask_2
sim_an_masked = np.copy(sim_an) # create a copy to preserve sim_an
sim_an_masked[mask] = -2
closest_neg = np.max(sim_an_masked, axis=1, keepdims=True)
```

```
print("\nclosest_neg :")
    print(closest_neg)
    -- Inputs --
    sim:
    [[ 0.9 -0.8 0.3 -0.5]
     [-0.4 0.5 0.1 -0.1]
    [ 0.3 0.1 -0.4 -0.8]
     [-0.5 -0.2 -0.7 0.5]]
    shape: (4, 4)
    sim_ap:
    [[ 0.9 0.
                0. 0.]
     [ 0.
           0.5 0.
                     0.]
     ΓΟ.
           0. -0.4 0. 1
     [ 0.
           0.
                0.
                     0.5]]
    sim_an:
    [[ 0. -0.8 0.3 -0.5]
     [-0.4 0.
                0.1 - 0.1
     [ 0.3 0.1 0. -0.8]
     [-0.5 -0.2 -0.7 0.]]
    -- Outputs --
    mean_neg:
    [[-0.33333333]]
     [-0.13333333]
     [-0.133333333]
     [-0.4666667]]
    closest_neg :
    [[0.3]]
     [ 0.1]
     [-0.8]
     [-0.2]]
    Now have a look at the implementation in TensorFlow.
[6]: # Hardcoded matrix of similarity scores
    sim_hardcoded = np.array(
         [0.9, -0.8, 0.3, -0.5],
            [-0.4, 0.5, 0.1, -0.1],
            [0.3, 0.1, -0.4, -0.8],
            [-0.5, -0.2, -0.7, 0.5],
        ]
    )
```

```
sim = sim_hardcoded
### START CODE HERE ###
# Try using different values for the matrix of similarity scores
\# sim = 2 * np.random.random\_sample((b,b)) -1 \# random similarity scores_{\sqcup}
\hookrightarrowbetween -1 and 1
\# sim = sim 2
                                                  # the matrix calculated
 →previously using vector normalization and dot product
### END CODE HERE ###
# Batch size
b = sim.shape[0]
print("-- Inputs --")
print("sim :")
print(sim)
print("shape :", sim.shape, "\n")
# Positives
# All the s(A,P) values : similarities from duplicate question pairs (aka_1
→Positives)
# These are along the diagonal
sim_ap = tf.linalg.diag_part(sim) # this is just a 1D array of diagonal elements
print("sim ap :")
# tf.linalg.diag makes a diagonal matrix given an array
print(tf.linalg.diag(sim_ap), "\n")
# Negatives
# all the s(A,N) values : similarities the non duplicate question pairs (aka_{\sqcup}
\hookrightarrowNegatives)
# These are in the off diagonals
sim_an = sim - tf.linalg.diag(sim_ap)
print("sim_an :")
print(sim an, "\n")
print("-- Outputs --")
# Mean negative
# Average of the s(A,N) values for each row
mean_neg = tf.math.reduce_sum(sim_an, axis=1) / (b - 1)
print("mean_neg :")
print(mean_neg, "\n")
# Closest negative
# Max s(A,N) that is \leq s(A,P) for each row
mask_1 = tf.eye(b) == 1
                                   # mask to exclude the diagonal
mask_2 = sim_an > tf.expand_dims(sim_ap, 1) # mask to exclude sim_an > sim_ap
```

```
mask = tf.cast(mask_1 | mask_2, tf.float64)
sim_an_masked = sim_an - 2.0*mask
closest_neg = tf.math.reduce_max(sim_an_masked, axis=1)
print("closest_neg :")
print(closest_neg, "\n")
-- Inputs --
sim :
[[ 0.9 -0.8 0.3 -0.5]
[-0.4 \quad 0.5 \quad 0.1 \quad -0.1]
 [ 0.3 0.1 -0.4 -0.8]
 [-0.5 -0.2 -0.7 0.5]]
shape: (4, 4)
sim_ap :
tf.Tensor(
              0. 0.]
[[ 0.9 0.
 [0. 0.5 0. 0.]
 [ 0.
         0. -0.4 0.]
 [ 0.
         0. 0. 0.5]], shape=(4, 4), dtype=float64)
sim_an :
tf.Tensor(
[[ 0. -0.8 0.3 -0.5]
 [-0.4 \quad 0. \quad 0.1 \quad -0.1]
 [ 0.3 0.1 0. -0.8]
 [-0.5 -0.2 -0.7 \ 0.], shape=(4, 4), dtype=float64)
-- Outputs --
mean_neg :
tf.Tensor([-0.33333333 -0.13333333 -0.46666667], shape=(4,),
dtype=float64)
closest_neg :
tf.Tensor([ 0.3  0.1 -0.8 -0.2], shape=(4,), dtype=float64)
1.5 The Loss Functions
The last step is to calculate the loss functions.
\mathcal{L}_1 = \max\left(mean\_neg - s(A, P) + \alpha, 0\right)
\mathcal{L}_2 = \max\left(closest\_neg - \mathsf{s}(A, P) + \alpha, 0\right)
\mathcal{L}_{\mathrm{Full}} = \mathcal{L}_1 + \mathcal{L}_2
```

```
[7]: # Alpha margin
     alpha = 0.25
     # Modified triplet loss
     # Loss 1
     1_1 = tf.maximum(mean_neg - sim_ap + alpha, 0)
     print(f"Loss 1: {l_1}\n")
     # Loss 2
     1_2 = tf.maximum(closest_neg - sim_ap + alpha, 0)
     print(f"Loss 2: {1_2}\n")
     # Loss full<
     l_full = l_1 + l_2
     # Cost
     cost = tf.math.reduce_sum(l_full)
     print("-- Outputs --")
     print("Loss full :")
     print(l_full, "\n")
     print("Cost :", "{:.3f}".format(cost))
    Loss 1: [0.
                        0.
                                    0.51666667 0.
                                                         ]
    Loss 2: [0. 0. 0. 0.]
    -- Outputs --
    Loss full :
```

## 1.6 Summary

tf.Tensor([0.

dtype=float64)

Cost : 0.517

0.

There were a lot of steps in there, so well done. You can now calculate a modified triplet loss, incorporating the mean negative and the closest negative. You also learned how to create a matrix of similarity scores based on cosine similarity.

0.51666667 0.

], shape=(4,),

[]: