Predicting Food Security with Machine Learning

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Motivation - The Problem

- We lack the ability to identify food insecure populations in time to intervene. Humanitarian response tends to trail the onset of food security crises.
- Currently use the Integrated Food Security Phase Classification System (IPC). The IPC relies on a convergence of evidence approach rather than a formal model (IPC, 2012)
- The IPC has been accused of being too complex, requiring extensive information, and vulnerable to political influence (The Economist, 2017; De Waal, 2018)
- Need to have a data-driven, transparent framework to provide accurate, frequent, spatial granular predictions of food security crises

Motivation - The Efforts

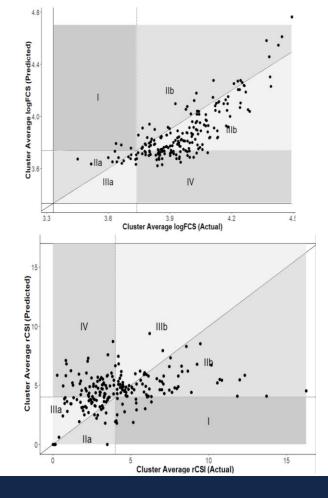
- Night-lights data to predict village-level poverty (asset index) (Chen and Nordhaus 2011; Henderson et al. 2012), noisy and lack of variation in certain areas
- Mobile phone data (Blumenstock et al., 2015; Steele et al., 2017) accurate but hard to scale
- Satellite imagery (Engstrom et al., 2017; Donaldson and Storeygard, 2016) lack of labeled data to extract structured information
- Combining night-lights and satellite imagery in a CNN model (up to 70% accuracy)
 (Jean et al., 2016; Babenko et al. 2017)
- Reliance on satellite imagery and Nightlights data (Head et al. 2017)
 - works in some areas better than others (SSA; Nepal and Haiti are problematic)
 - does not capture changes in poverty over time
 - does not do so well with other development metrics: nutrition, health

Motivation - The Opportunity

- Recent increase in available data related to food security, geography, weather, and market price for food.
- These data are often evaluated in isolation and require a systematic framework of combining data from different sources, frequency and spatial scale.

Motivation - The Challenge

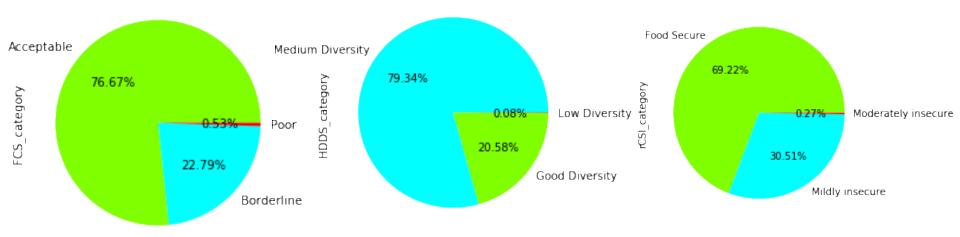
 Lentz et al. (2019) incorporate these data into a single predictive model of food security early warning and achieved R squared up to 0.65 and categorical accuracy up to 90%



Motivation - The Challenge

- Capturing villages that face a potential threat of hunger, i.e. the most food insecure groups
- As with any rare event, the low rate of severe food insecurity in the baseline data tend to be ignored by the models
- Use machine learning and data techniques to address this imbalance to capture a higher fraction of these rare events.

Detecting Rare but Relevant households



FCS
Food Consumption Score

HDDS Household Dietary Diversity Scale

rCSI Reduced Coping Strategy Index



Research Question

- Can build an early warning system of food security in areas where data are scarce and data collection is costly? (Hutchinson, 1991)
- Successfully detect the rare events food insecurity, informing the when and where food shortages tend to happen
- A framework that is automatically updated, generalizable, scalable and cost-effective

Summary

- Build ML models to predict cluster-level food security status for targeting, aid purposes in times of food shortage
- Use LSMS data for Malawi, Tanzania and Uganda as ground truth
- Use market price of food staples, weather shocks in growing seasons, and geospatial features around clusters to predict potential food security challenges
- Use data techniques (sampling, cross-validation, data segmentation) to improve prediction performance
- Correctly categorize 63-84 % of food insecurity categories and up to 20-57% of the most food insecure categories

Data

LSMS survey

- Ground truth data in Uganda/Tanzania/Malawi
- Three different rounds each with broad spatial coverage
- Household assets, demographics, geolocation of clusters
- Distance to roads and markets reflects access to market and information

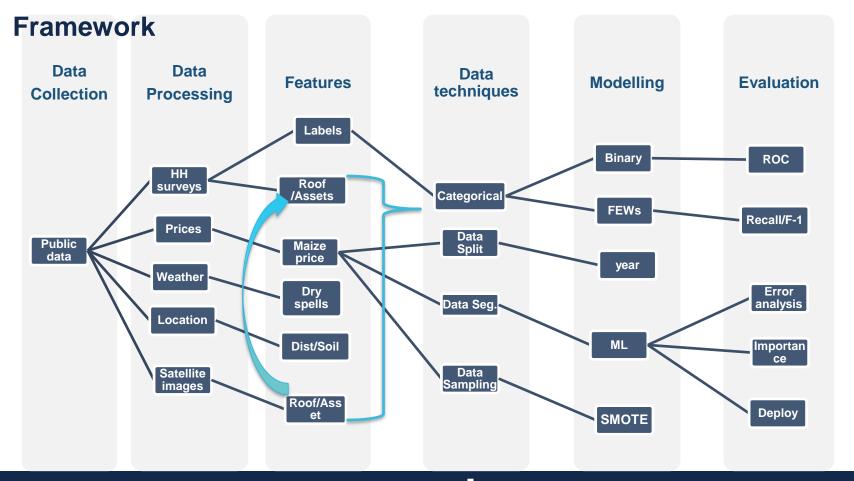
Prices

- Major grain prices (maize, rice, nuts, beans etc.) in main agricultural markets prices, collectedly monthly
- Lagged one month before the survey time

Weather

- Precipitation/temperature from remote sensing are relevant to agricultural production
- From the previous growing season

Legend Food Consumption Score 2010 0 - 28Tanzania Uganda Malawi 1000 km



Decisions, decisions

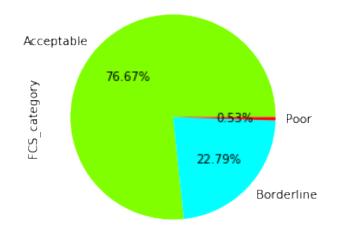
- 1. Categorical versus continuous prediction
- 2. If categorical, how do we address rare events?
- 3. How do we split the data?
- 4. What algorithm do we use?

Categorical vs Continuous?

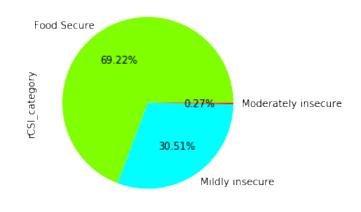
Focus on the categorical prediction for the given cutoffs of each food security measures.

- Recall rate of the insecure villages is more important than the over all accuracy.
- Typically, classifiers are more sensitive to detecting the majority class and less sensitive to the minority class.
- Close to the actual policy scenarios where policy makers need to locate places with most insecure households.
- Apply the down sampling, over sampling, and synthetic data techniques to force the model to learn about the tail of the distribution

Detecting Rare but Relevant households



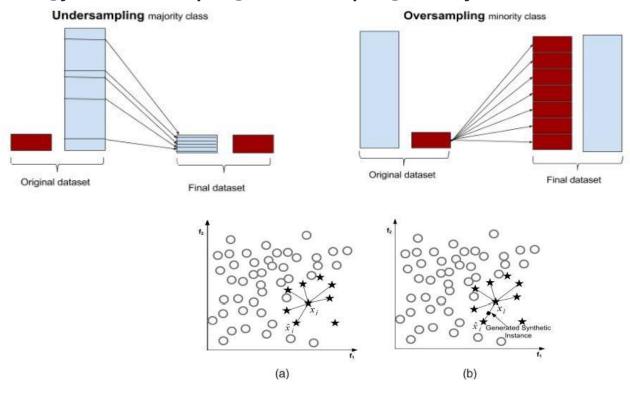
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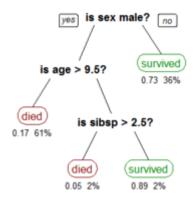
Methodology: Under sampling, Over sampling and Synthetic data



Methodology: Classification Algorithms

For structured data like ours (unlike text or pure image), tree-based methods are popular (Weiss, 2014; Tischio and Weiss 2019)

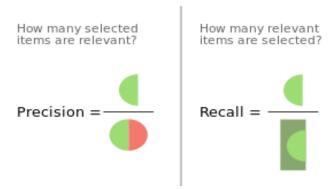
- 1. Classification Tree (base learner)
- 2. Random Forest (parallel)
- 3. Gradient Boosting (sequential)

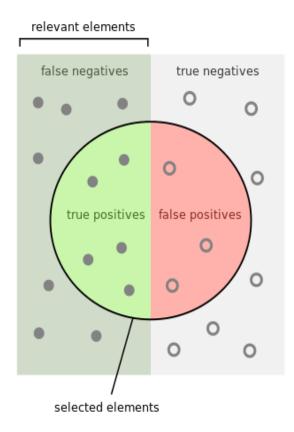




Methodology: Result Measurements

- 1. Recall (are we getting all the insecure households?)
- 2. Precision (are we mistakenly categorizing secure households as insecure?)
- 3. F-1 score (balance recall and precision)
- 4. Overall categorical accuracy





Compare to baseline Model

- Logistic Regression
- Data split: year split (cross-validated)
- Data segmentation: by country
- Down/over sampling:
 None

Variable groups:

- Asset: cellphone ownership, floor/roof material, asset index
- Location: elevation, distance to road, urban/rural
- 3. Market : food price, market thinness
- 4. Weather: dry spells, average temperature and rain

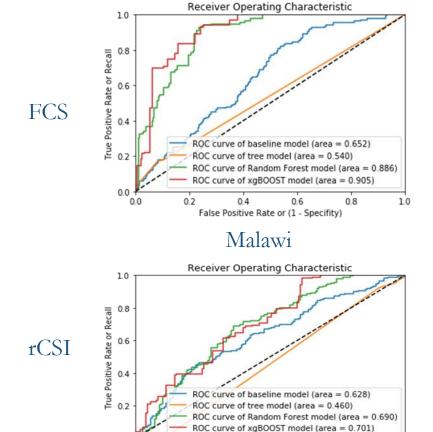


Methodology: Cross-validation

- Rare events of food insecurity tend to vary a lot year by year, i.e. 1 or 2 cases in a good year vs over 50 cases in a bad year
- Use any two years as training data to predict the third year
- Average out the performance after cross-validation to get more stable and trustworthy result

Results for binary cut-off

0.0



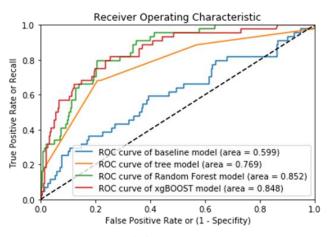
0.4

False Positive Rate or (1 - Specifity)

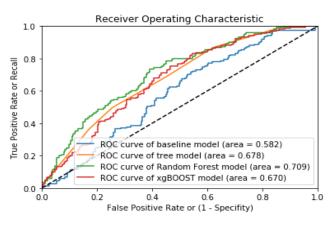
0.6

0.8

1.0



Tanzania

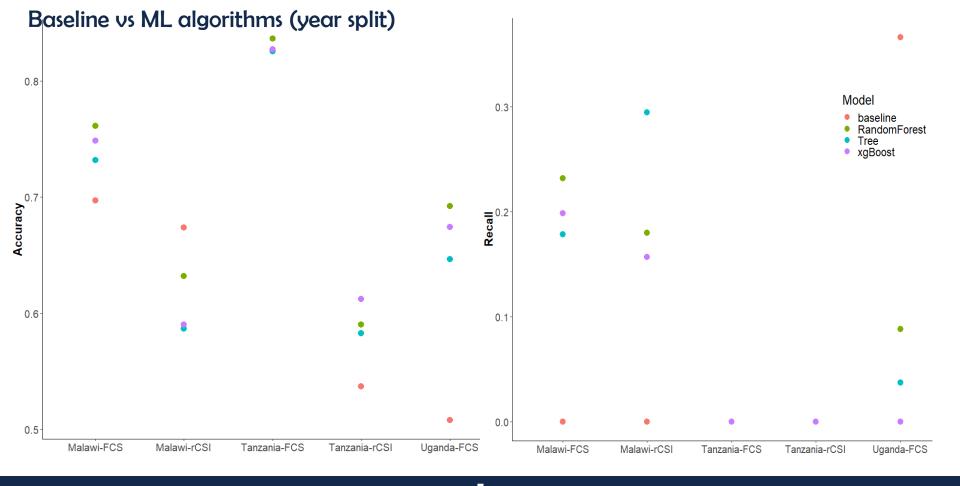


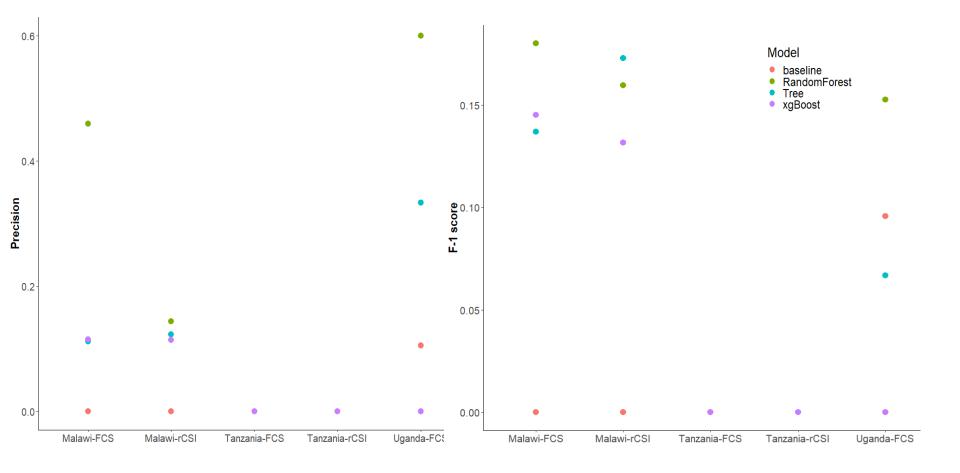
In table format... Binary Baseline vs ML algorithms, no oversampling (year split) Similar accuracy, higher recall

| Country | Food Security Measure | Overall Accuracy (baseline) | Overall Accuracy (ML) | Recall Rate Insecure category (baseline) | Recall Rate Insecure category (ML) |
|--|--------------------------|-----------------------------------|-----------------------------|--|--|
| Malawi 2010/11, 2013 to predict 2015/16 | FCS | 0.71 | 0.75-0.76 | 0.26 | 0.18-0.38 |
| | rCSI | 0.69 | 0.60-0.63 | 0.36 | 0.54-0.72 |
| Tanzania 2010/11, 2012/13 to predict 2014/15 | FCS | 0.81 | 0.82-0.84 | 0.06 | 0.08-0.29 |
| | rCSI | 0.55 | 0.59-0.63 | 0.29 | 0.43-0.54 |
| Uganda 2010,2011 to predict 2012 | FCS | 0.67 | 0.59-0.71 | 0.36 | 0.33-0.36 |

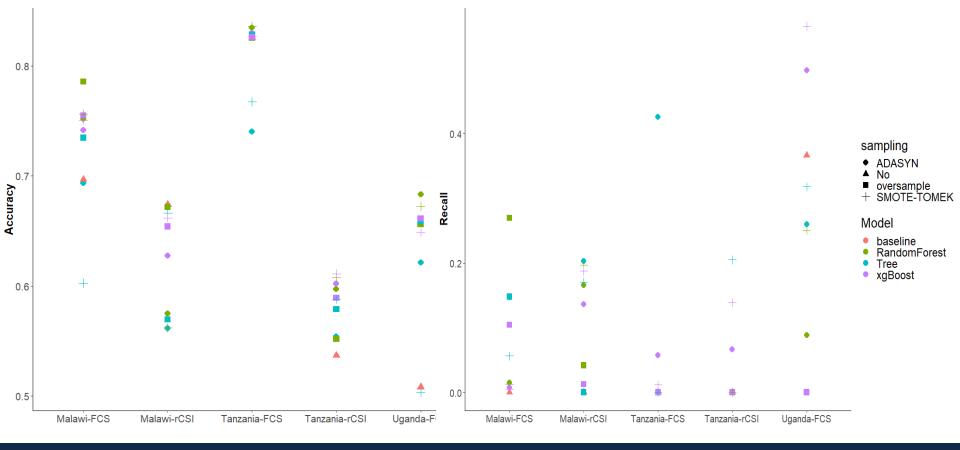
Table 2: Baseline vs ML algorithms with down/over sample technique

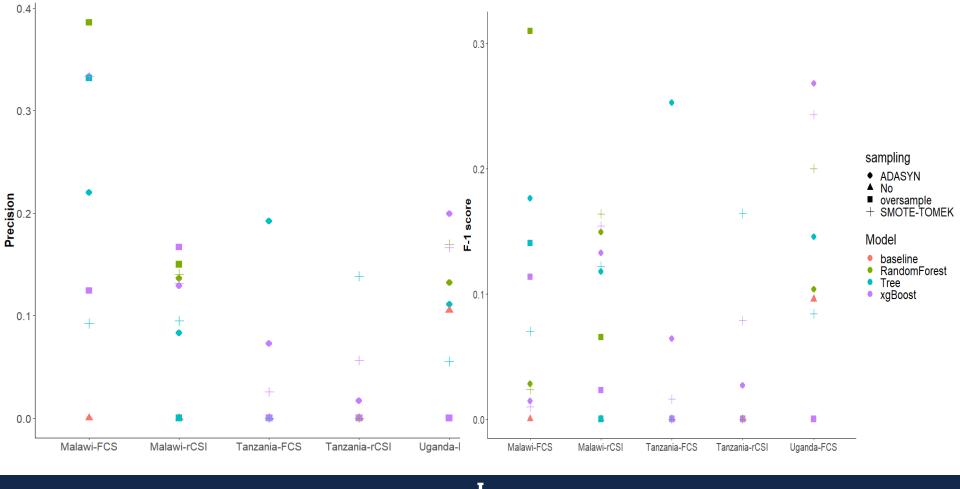
| Country | Food Security Measure | Recall Rate Insecure category (Baseline) | Recall Rate Insecure category ML + Oversample | Recall Rate Insecure category ML + SMOTE- TOMEK | Recall Rate Insecure category ML + ADASYN |
|----------|-----------------------------|--|---|--|---|
| Malawi | FCS | 0.27 / 0.00 | 0.30 / 0.27 | 0.33 / 0.06 | 0.53 /0.15 |
| _ | rCSI | 0.36 / 0.00 | 0.33 / 0.00 | 0.42 / 0.20 | 0.46 / 0.20 |
| Tanzania | FCS | 0.01 / 0.00 | 0.08 / 0.00 | 0.22 / 0.01 | 0.23 / 0.43 |
| | rCSI | 0.32 / 0.00 | 0.41 / 0.00 | 0.44 / 0.20 | 0.47 / 0.06 |
| Uganda | FCS | 0.18 / 0.37 | 0.21 / 0.00 | 0.26 / 0.57 | 0.20 / 0.50 |





Baseline vs ML algorithms with down/over sample technique

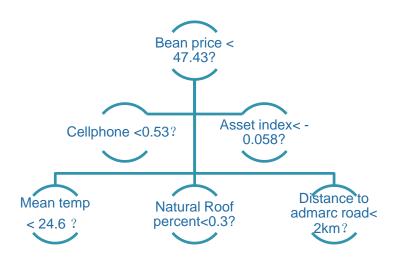


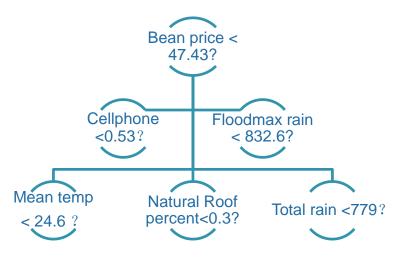


For most severe food security category with oversampling

| Country | Food Security Measure | Overall Accuracy (baseline) | Overall Accuracy (ML) | Recall Rate Insecure category (baseline) | Recall Rate Insecure category (ML) |
|----------|--------------------------|-----------------------------------|-----------------------------|--|--|
| Malawi | FCS | 0.70 | 0.69-0.75 | 0.00 | 0.01-0.27 |
| | rCSI | 0.67 | 0.58-0.63 | 0.00 | 0.00-0.20 |
| Tanzania | FCS | 0.83 | 0.74-0.84 | 0.00 | 0.00-0.40 |
| | rCSI | 0.54 | 0.55-0.60 | 0.00 | 0.00-0.52 |
| Uganda | FCS | 0.51 | 0.62-0.68 | 0.37 | 0.00-0.57 |

Feature importance





Original

Oversample



Random Forest Feature Importance

| Variable | Importance | Std |
|----------------------|------------|------|
| number_celphones | 0.12 | 0.11 |
| cell_phone | 0.09 | 0.10 |
| roof_natural | 0.05 | 0.06 |
| asset_index | 0.04 | 0.03 |
| FS_month | 0.04 | 0.02 |
| floor_dirt_sand_dung | 0.03 | 0.06 |
| dist_popcenter | 0.03 | 0.02 |
| dist_road | 0.03 | 0.02 |
| percent_ag | 0.03 | 0.02 |
| maxdaysnorain | 0.03 | 0.02 |
| lhz_beans_price | 0.03 | 0.02 |
| dist_admarc | 0.03 | 0.02 |
| floodmax | 0.02 | 0.02 |
| clust_maize_mktthin | 0.02 | 0.02 |

| Variable | Importance | Std |
|----------------------|------------|------|
| Valiable | Importance | Siu |
| roof_natural | 0.11 | 0.07 |
| cell_phone | 0.09 | 0.10 |
| floor_dirt_sand_dung | 0.08 | 0.04 |
| number_celphones | 0.05 | 0.10 |
| roof_iron | 0.04 | 0.06 |
| day1rain | 0.04 | 0.01 |
| clust_beans_price | 0.04 | 0.01 |
| lhz_maxdaysnorain | 0.03 | 0.02 |
| lhz_nuts_mktthin | 0.03 | 0.01 |
| asset_index | 0.03 | 0.02 |
| household_head_age | 0.03 | 0.02 |
| clust_maize_price | 0.03 | 0.02 |
| dist_road | 0.03 | 0.02 |

Original

Oversample



XGBOOST Feature Importance

| Variable | Importance |
|----------------------|-------------------|
| roof_natural | 0.11 |
| cell_phone | 0.09 |
| floor_dirt_sand_dung | 0.08 |
| number_celphones | 0.05 |
| roof_iron | 0.04 |
| day1rain | 0.04 |
| clust_beans_price | 0.04 |
| lhz_maxdaysnorain | 0.03 |
| lhz_nuts_mktthin | 0.03 |
| asset_index | 0.03 |
| Household_head_age | 0.03 |
| clust_maize_price | 0.03 |
| dist_road | 0.03 |
| clust_maize_mktthin | 0.02 |

| Variable | Importance |
|----------------------|------------|
| Cellphone | 0.11 |
| num_cell | 0.09 |
| floor_dirt_sand_dung | 0.07 |
| roof_iron | 0.06 |
| asset_index | 0.06 |
| dist_popcenter | 0.06 |
| lhz_day1rain | 0.06 |
| Ihz_maize_price | 0.06 |
| dist_road | 0.06 |
| Household_head_age | 0.05 |
| region19 | 0.05 |
| percent_ag | 0.05 |
| region9 | 0.05 |

| Variable | Importance |
|----------------------|-------------------|
| num_cellphones | 0.15 |
| roof_iron | 0.15 |
| region3 | 0.15 |
| dist_road | 0.12 |
| floor_dirt_sand_dung | 0.12 |
| roof_natural | 0.12 |
| Cellphone | 0.12 |
| floodmax | 0.08 |
| | |

Malawi

Tanzania

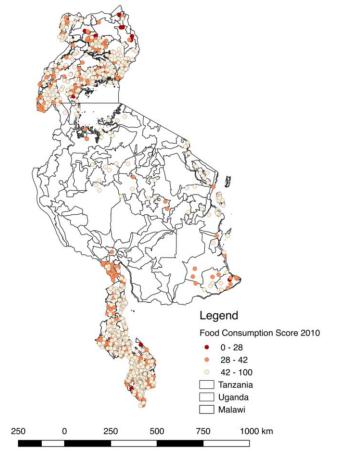
Uganda



Methodology: Data Split

Split by year, by region or random

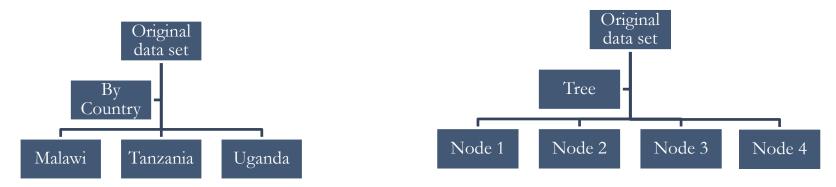
For different application purposes and different data structures





Methodology: Data Segmentation

- 1. By country
- Entire dataset of three countries
- 3. By urban and rural
- 4. Auto-segmentation by training a shallow tree in each country based on observables



Ongoing work

- Price variable selection: which crop, where, and when
- Asset/roof variable prediction from satellite imagery
- Spatial-temporal correlation on data split
- Continuous approach: count of insecure HH for each cluster

Future Steps:

- Model generalization: What happens when we directly apply models trained on one country/region to predict another
- Model deploy and update: Compare the results of using one year, with a dynamic process of constantly updating model with new survey data
- Prediction at "grid" level where satellite imagery available
 Zhou, Baylis, Lentz, and Michelson



Conclusions

- Combined with data techniques, machine learning methods not only improve prediction accuracy in general, but particularly on households that are vulnerable to food price shocks.
- 2. An automated, updated and scalable food security system based on publicly available data, advanced data techniques can assist the work of food aid and humanitarian responses in a timely, transparent, and efficient fashion.

Thank you!

