

# Operating System – Unit 2

## Topic: Processes and it's States

### Process:

- **What is it?:** Think of a process as a task or a job that a computer is currently doing.
- **More Explanation:** When you open an app or software on your computer, it becomes a process. Each process has its own space in the computer's memory and its own resources (like CPU time) to do its job.
- **Why Important?:** Operating systems manage processes by giving them resources, scheduling their execution, and ensuring they don't interfere with each other, which keeps your computer running smoothly even when handling multiple tasks at once.

### Program:

- **What is it?:** Imagine a recipe book containing instructions for making a dish. Similarly, a program contains instructions for the computer to perform certain tasks.
- **More Explanation:** When you install software or create a script, it's a program. It's like a list of steps the computer follows to complete a task, such as running a game, editing a document, or browsing the internet.
- **Why Important?:** Programs are the building blocks of what a computer can do. The operating system manages these programs, helping them run efficiently and ensuring they don't interfere with each other's tasks.

### Difference between Process and Program ?

#### 1. Nature:

- **Program:** Think of a program as a recipe book sitting on a shelf - it's a set of instructions waiting to be used.

- **Process:** When you take a recipe from the book and start cooking, that's like a process - it's the actual activity happening in the kitchen.

## 2. Existence:

- **Program:** A program is like that recipe book until you decide to cook something; it stays as instructions on your shelf.
- **Process:** The process is like the actual cooking - it's what happens when you follow the recipe and make a dish.

## 3. Function:

- **Program:** A program doesn't actively do anything by itself; it's waiting until someone decides to use it.
- **Process:** A process is doing something actively; it's the computer working on tasks based on the instructions from the program.

## 4. State:

- **Program:** Until it's opened and used, a program is just a set of instructions - it's not actively doing anything.
- **Process:** A process is active and using computer resources like memory and CPU power to perform tasks.

## 5. Lifecycle:

- **Program:** A program goes through different stages - from being written by a developer, stored on a computer, to being executed when needed.
- **Process:** A process is created when the program is executed, does its job, and then ends or terminates when it's done.

## 6. Interaction with OS:

- **Program:** A program interacts with the operating system when it's opened or executed by a user.
- **Process:** A process actively interacts with the OS while it's running - it asks for resources and gets managed by the OS.

## Process Context:

- **What is it?:** Imagine you're playing a video game and you pause it to go do something else. When you come back and resume, you start exactly where you left off.
- **More Explanation:** The process context is like this pause-and-resume feature. It holds all the important information about what a program was doing, like the current instructions it's running, where it's storing data, and what it needs to do next. This information is usually stored in a data structure called Process Control Block or Process Descriptor.
- **Why Important?:** This is important because if the computer has to switch to another task or program and then return to the first one, it needs to remember exactly where it left off to keep things running smoothly.
- **Divided into two parts:** It is divided into two parts:

**Hardware Context:** It includes the processors (general purpose and special purpose) registers, i.e PC, SP, PSW, etc.

**Software Context:** It includes open files, memory regions, etc.

## Ques: What are Process States?

**Ans:** Process states in an operating system are like the different stages a task or program goes through from the moment it's created to when it's finished. Think of it as a journey that a program takes. In other words, Process states refer to the different conditions or stages that a computer program or task can be in as it runs on a computer. These states typically include "New," "Ready," "Running," "Blocked" (or "Waiting"), and "Terminated" (or "Exit"). The operating system manages processes between these states to ensure efficient and orderly execution of tasks. Here are the main process states:

**1. New:** This is where a process begins. It's like a new idea in your mind that you want to turn into a project. At this stage, the operating system prepares the process for execution, like setting up the needed resources.

A new process is added to a data structure called **"Ready Queue"**, also known as ready pool or pool of executable processes. All processes are stored in a FIFO(First-in, First-out) manner.

**2. Ready:** Imagine you're all set to work on your project, but you haven't started yet. In the "Ready" state, the process is prepared to run, but it's waiting for its turn to get the CPU's attention. There may be many other "Ready" processes in line, waiting for their turn to execute, just like people in a queue.

The assignment of CPU to the first process on the ready queue is called dispatching.

**3. Running:** This is when the process gets its chance to use the CPU and execute its instructions. It's like you actively working on your project. The CPU is focused on this process, and it's doing its job.

Each process is assigned a time slice for the execution. A Time slice is the time limit for which a process gets a CPU to execute.

**5. Interrupt:** If the process does not voluntarily release the CPU before the time slice expires, then an interrupt is generated. An "interrupt" refers to a signal sent to the operating system to temporarily pause the execution of a process.

Upon receiving the interrupt, the OS temporarily suspends the process and saves its state. It then switches the CPU's attention to handle the interrupt request.

**6. Blocked (or Waiting):** Sometimes, a process needs something external to continue. For example, it might be waiting for data from a slow hard drive or for you to type something. In this state, it's like putting your project on hold until you get the missing piece of information. The process moves to the "Blocked" state, and the CPU can work on other tasks while it waits.

**7. Terminated (or Exit):** Eventually, the process completes its task and reaches the "Terminated" state. It's like finishing your project and marking it as completed. The operating system then cleans up the resources and removes the process from the system.

### Why a process is terminated ?

1. **Completed its task:** The process finishes what it was supposed to do.
2. **Manual termination:** A user or system administrator deliberately stops the process.
3. **Encountered an error:** The process encounters an unrecoverable error.
4. **Exceeded allocated resources:** It uses more resources than permitted or available.
5. **Priority-based termination:** Another higher-priority process needs resources, leading to the termination of lower-priority ones.

### Why Operating System retain information on a terminated process ?

1. **Resource deallocation:** Ensures resources used by the process are properly released and made available for other tasks.
2. **Error logging:** Retains information for error analysis or debugging purposes to understand why the process terminated.
3. **Parent-child relationship:** A parent process might need information about the terminated child process's outcome or status.
4. **Cleanup operations:** Allows the OS to perform necessary cleanup tasks associated with the terminated process.
5. **I/O Pending:** The process has an I/O pending completion.

### Ques: What is Process State Transition Diagram?

Or

### Explain the lifecycle of a process with the help of diagram.

**Ans:** A "Process State Transition Diagram" in operating systems is a graphical representation that details the different states a process can occupy and how it transitions between these states throughout its lifetime within the operating

system. These diagrams help in visualizing the journey of a process, from its creation to its termination or completion.

The transition of a process from one state to another depends on the flow of execution of the process. It's not necessary for a process to undergo all states.

In a process state transition diagram, you typically have the following key states and transitions:

**1. New:** This is where a process begins. It's like a new idea in your mind that you want to turn into a project. At this stage, the operating system prepares the process for execution, like setting up the needed resources.

A new process is added to a data structure called **"Ready Queue"**, also known as ready pool or pool of executable processes. All processes are stored in a FIFO(First-in, First-out) manner.

**2. Ready:** Imagine you're all set to work on your project, but you haven't started yet. In the "Ready" state, the process is prepared to run, but it's waiting for its turn to get the CPU's attention. There may be many other "Ready" processes in line, waiting for their turn to execute, just like people in a queue.

The assignment of CPU to the first process on the ready queue is called dispatching.

**3. Running:** This is when the process gets its chance to use the CPU and execute its instructions. It's like you actively working on your project. The CPU is focused on this process, and it's doing its job.

Each process is assigned a time slice for the execution. A Time slice is the time limit for which a process gets a CPU to execute.

This state transition is indicated as:

**Dispatch(ProcessName) : Ready → Running**

**5. Interrupt:** If the process does not voluntarily release the CPU before the time slice expires, then an interrupt is generated. An "interrupt" refers to a signal sent

to the operating system to temporarily pause the execution of a process.

Upon receiving the interrupt, the OS temporarily suspends the process and saves its state. It then switches the CPU's attention to handle the interrupt request.

**6. Blocked (or Waiting):** Sometimes, a process needs something external to continue. For example, it might be waiting for data from a slow hard drive or for you to type something. In this state, it's like putting your project on hold until you get the missing piece of information. The process moves to the "Blocked" state, and the CPU can work on other tasks while it waits.

This state transition is indicated as:

**Block(ProcessName) : Running → Blocked**

**7. Terminated (or Exit):** Eventually, the process completes its task and reaches the "Terminated" state. It's like finishing your project and marking it as completed. The operating system then cleans up the resources and removes the process from the system.

The arrows or transitions in the diagram represent how a process can move from one state to another. For instance, a process in the "Ready" state can transition to the "Running" state when it gets CPU time, or it might transition to the "Blocked" state if it's waiting for something.

Process state transition diagrams are used to help both users and system administrators understand and manage how processes behave in an operating system, which is essential for efficient multitasking and resource management. These diagrams provide a visual tool for analyzing and optimizing process behavior in complex computing environments.

**(Draw the Process State Transition Diagram)**

### **Process Control Block (PCB):**

- **What is it?:** Think of PCB as a file containing all the essential information about a process, stored by the operating system. It is a data structure used by operating system to store all information about a process. It is also known as process descriptor.
- **Information Stored:** PCB holds crucial details about a process, like its ID, process states, program counter (current instruction), CPU registers, memory allocation, I/O status information and more.
- **Why Important?:** PCB is important because it keeps track of a process's status and enables the operating system to manage and control processes efficiently.
- **Functionality:** Whenever a process runs or stops, the OS updates the PCB with the process's current status and stores it in memory. This way, the OS can easily switch between processes, manage resources, and resume processes from where they were paused.

### **Discuss the various functions performed by PCB ?**

**Or**

### **What are the various informations stored in PCB ?**

1. **ID Card for Processes:** PCB holds a special ID number for each task the computer is doing, so it knows which task is which.
2. **Remembering Where a Task Left Off:** It remembers exactly where each task was paused, so when it comes back to that task, it starts from the right spot.
3. **Keeping Track of What a Task Needs:** PCB knows what resources (like memory or time) each task needs to work properly.



4. **Process State Information:** It keeps track of the current state of a process (e.g., running, ready, waiting) to manage its execution and allocate resources accordingly.
5. **Program Counter (PC) and Registers:** PCB stores the current value of the program counter (PC), indicating the address of the next instruction to be executed. Additionally, it retains the contents of CPU registers associated with the process.
6. **Memory Management Information:** It stores information about the process's memory allocation, such as the base and limit registers, indicating the memory segment allocated to the process.
7. **I/O Status Information:** PCB keeps track of the process's I/O requests, including pending I/O operations, status of I/O devices allocated to the process.
8. **Accounting and Process Statistics:** PCB maintains statistics and accounting information, like CPU usage, execution time, resource utilization, or the number of times a process has been executed.
9. **Context Switching Details:** During a context switch (switching between processes), the OS saves and restores the contents of PCB, enabling the system to resume processes from where they were paused. PCB helps CPU quickly switch between these tasks without forgetting what they were doing.
10. **Process Scheduling Information:** PCB contains details for process scheduling, including priority levels, scheduling queues, or pointers used by the scheduler to manage the execution order of processes.

### **Process Address Space:**

- **What is it?:** Imagine a big office building where each room has its own purpose and contains different things.

- **More Explanation:** The process address space is like the office building. It's the memory area a process uses, divided into sections for storing different things like the program code, variables, and the operating system's data.
- **Why Important?:** This space keeps the process's information separate from other processes, preventing them from interfering with each other's data.

## Process Address Structure:

### 1. Code Block:

- **What it holds:** This part of memory stores the program's instructions or code.
- **Purpose:** It keeps the executable code, allowing the CPU to read and execute the program's tasks.
- **Access:** Read-only for executing instructions.
- **Example:** If a program is like a recipe, this segment is where the actual steps of the recipe are stored.

### 2. Data Block:

- **What it holds:** Here, variables, constants, and static data for the program are kept.
- **Purpose:** It stores information that doesn't change during program execution, like initial values or fixed data.
- **Subdivisions:** Divided into initialized (with initial values) and uninitialized (with default values) sections.
- **Access:** Read and write access for data manipulation.
- **Example:** Think of it as shelves storing ingredients needed for the recipe - they stay the same throughout cooking.

### 3. Stack Block:

- **What it holds:** Manages function calls, local variables, and program flow.
- **Purpose:** It helps with managing function calls, keeping track of where the program is, and handling local variables.
- **Example:** It's like a stack of plates; you add a plate (function call) on top and remove it when you're done, always dealing with the top plate (current function).

#### 4. Heap Block:

- **What it holds:** Handles dynamic memory allocation during program execution.
- **Purpose:** It's for storing data that the program allocates or releases while running, like when new memory is needed.
- **Example:** If you need more space to chop vegetables while cooking, you'd extend your countertop - that's like what the heap does, providing more space when required.

#### **Ques: What are Operations on Processes?**

**Ans: 1. Create a Process:** This operation involves starting a new process. It's like launching a new program or application. The operating system allocates resources, sets up memory, and prepares the process to execute its code.

OS can create a user process or system process:

The user processes are processes created and managed by users (applications or programs) for specific tasks or functionalities.

The System processes are processes created and managed by the operating system to perform system-level tasks, manage resources, or run essential system functions.

**OS performs following actions when a process is created:**

1. **Allocate resources:** Assign necessary resources like memory and CPU time.
2. **Assign Process ID:** Provide a unique identifier to the new process.
3. **Create Process Control Block (PCB):** Establish a data structure to manage and track the process.
4. **Set initial state:** Define the initial state of the process (e.g., ready or running).

**2. Destroy a Process:** When a process has completed its task or is no longer needed, it can be terminated or destroyed. This frees up resources, memory, and CPU time. It's similar to closing an application after you're done using it.

**3. Suspend a Process:** Suspending a process means temporarily pausing its execution. It's like putting a task on hold. The process can be later resumed from where it left off.

#### **Main reasons for process suspension:**

Processes can be suspended or halted for various reasons in an operating system. Some of the main reasons for process suspension include:

1. **I/O Operations:** When a process initiates input/output operations such as reading from a file, receiving data from a network, or writing to a disk, it might get suspended temporarily until the I/O operation completes.
2. **Waiting for Resources:** If a process is waiting for a resource, such as a shared memory segment, a semaphore, or a hardware device, it may be suspended until the required resource becomes available.
3. **Synchronization:** Processes might get suspended when they are synchronized with other processes or threads, waiting for specific conditions or signals before proceeding.
4. **Priority Preemption:** In a preemptive scheduling system, a higher-priority

process might suspend a lower-priority process by preempting the CPU.

5. **Page Faults:** When a process accesses a memory page that is not in the RAM but on disk (page fault), the process may be suspended temporarily while the required page is loaded into memory.
6. **Signal Handling:** Handling of signals or interrupts, such as when a process receives a signal (e.g., termination signal), may cause suspension until the signal is processed.
7. **Time Quantum Exhaustion:** In scheduling algorithms like Round Robin, a process may get suspended when its time quantum expires, and the scheduler switches to another process.
8. **User Interaction:** If a process is waiting for user input or a response, it might get suspended until the user provides the required input.

**4. Resume a Process:** This operation is used to continue the execution of a previously suspended process. It's similar to unpausing a task so it can continue running.

**5. Change the Priority of a Process:** The priority of a process determines how much CPU time it gets. Changing the priority can make a process more or less important in the eyes of the operating system, affecting how often it gets CPU time.

**6. Block a Process:** Blocking a process means that it's unable to continue until a specific event or condition is met. It's like waiting for an important phone call before moving forward with a task.

**7. Wakeup a Process:** This is the opposite of blocking. A process that was waiting for an event is woken up when that event occurs, allowing it to continue. It's like resuming a task after the phone call comes in.

**8. Dispatch a Process:** When a process is in the "Ready" state and ready to execute, dispatching is the operation of assigning CPU time to it. It's like starting a timer for a task in your to-do list, allowing it to run.

**9. Enable Interprocess Communication (IPC):** IPC allows processes to communicate and share information with each other. This can be through shared memory, message passing, or other mechanisms. It's like different tasks or people sharing notes or data to collaborate on a project.

These operations are essential for managing processes efficiently and ensuring that the operating system can handle multiple tasks simultaneously while making the best use of available resources. They help in coordinating and controlling the execution of processes within the computer system.

**Process Scheduling:** Process scheduling is a fundamental operating system task that involves determining the order and priority for executing multiple processes on the CPU.

- **What it does:** Decides which process to run when multiple processes are waiting for CPU time.
- **Purpose:** Optimizes CPU utilization, enhances system performance, and ensures fair access to resources for all processes.
- **Algorithm usage:** Utilizes various scheduling algorithms (like FCFS, Round Robin, Priority-based) to manage the execution order of processes.
- **Aim:** Aims to achieve fairness, minimize waiting times, and enhance system responsiveness by efficiently managing the sequence of process execution on the CPU.

**Process Scheduling consists of following sub-functions:**

**1. Scheduling:**

- **Definition:** Scheduling is the process of selecting the most suitable process from the ready queue to be executed on the CPU. This task is done by a component of OS called "**Scheduler**".

- **Process:** It involves making decisions based on algorithms to allocate CPU time fairly and efficiently among multiple processes waiting for execution.
- **Objective:** The primary goal is to optimize CPU utilization, reduce waiting times, and enhance system performance by determining the order in which processes will be executed.
- **Methods:** Various scheduling algorithms (e.g., FCFS, Round Robin, Priority-based) are employed to decide the sequence and priority of process execution.
- **Impact:** Effective scheduling leads to better resource management, improved system responsiveness, and fair access to CPU resources for all processes.

## 2. Dispatching:

- **Definition:** Dispatching is the actual act of transferring control of the CPU from the currently running process to the selected process.
- **Process:** It involves the operating system's kernel initiating the context switch by saving the current process's state and loading the new process's state.
- **Objective:** The primary aim is to efficiently switch between processes while minimizing overhead, ensuring a smooth transition, and initiating the execution of the selected process.
- **Actions:** The dispatcher saves the current process's context, updates the PCB with the new process's context, and initiates the CPU's execution of the chosen process.

## 3. Context Saving:

- **Definition:** Context saving involves preserving the state of the current process before switching to another process.
- **Process:** It includes storing important information about the current process, such as program counter, CPU registers, and process-specific data, into its Process Control Block (PCB).

- **Objective:** The primary goal is to maintain the current process's execution context accurately to ensure it can resume its operation seamlessly when scheduled to run again.
- **Actions:** The operating system captures and stores the process's state in its PCB, allowing the CPU to run other processes without losing the current process's progress.

### Explain the Objectives of Scheduling ?

1. **Maximize CPU Utilization:** Ensure the CPU is efficiently utilized by keeping it busy with processes.
2. **Fairness:** Provide fair access to CPU resources among multiple processes.
3. **Minimize Waiting Time:** Reduce the time processes spend waiting for CPU execution.
4. **Optimize Response Time:** Improve system responsiveness by swiftly initiating process execution.
5. **Ensure Deadlines and Priorities:** Meet deadlines and prioritize critical or high-priority tasks.
6. **Balance Throughput:** Maintain a balance between the number of processes executed over a specific time.

**Scheduling Queues:** Scheduling queues are data structures used by the operating system to manage and organize processes in various states, facilitating the process scheduling function.

- **Definition:** These are logical structures where processes reside based on their status or state within the system.
- **Types of Queues:** Commonly, there are multiple queues representing different process states such as the ready, waiting, and running queues.
- **Purpose:** Each queue serves a distinct purpose; for instance, the ready queue holds processes prepared for execution, while the waiting queue contains those waiting for specific events or resources.



- **Process Movement:** Processes move between queues as they transition through different states, managed by the operating system's scheduler.
- **Schedulers' Decision Basis:** The scheduler uses these queues to decide which processes to execute next, usually selecting from the ready queue based on scheduling algorithms.

**Explanation of Context Switch:** A context switch is the process where a computer's CPU switches from executing one process to another.

- **Switching Tasks:** When a running process needs to pause or the operating system decides to allocate CPU time to another process, a context switch occurs.
- **Saving State:** The operating system saves the current state of the running process, including its program counter, registers, and other essential information, into its Process Control Block (PCB).
- **Loading New State:** It then loads the saved state of the next process from its PCB into the CPU, allowing the new process to resume its execution from where it was paused.
- **Overhead:** Context switching involves some overhead due to saving and loading process states, impacting system performance to a certain extent.

**Importance and Purpose of Context Switch:**

- **Efficient Multitasking:** Enables a computer to perform multiple tasks concurrently, switching between processes smoothly.
- **Fair Resource Allocation:** Ensures fair CPU allocation among various processes waiting for execution.
- **Time Sharing:** Facilitates the illusion of running multiple processes simultaneously on a single CPU by rapidly switching between them.

# Topic: Processes and Threads

**Explanation of Threads:** Threads are individual units of a process that can execute independently and share resources within that process.

- **Part of a Process:** Threads are like smaller tasks within a larger program (process) that can run concurrently.
- **Execution Unit:** Each thread has its own sequence of instructions (like a small program) and can perform different tasks simultaneously within the same process.
- **Shared Resources:** Threads within a process share the same memory and resources, allowing them to communicate and collaborate more efficiently.
- **Lightweight:** Threads are lighter in terms of creation and management compared to processes, as they share resources and context within the same process.

**Explain the similarities and differences between Process and Threads ?**

**Similarities:**

1. **Execution Units:** Both threads and processes are individual units capable of executing code.
2. **Resource Access:** They can access system resources such as CPU time and memory.
3. **Managed by OS:** Operating systems manage both threads and processes, assigning unique identifiers to each (Thread ID or Process ID).
4. **Execution Context:** Each has its own execution context, including program counter and register values.

5. **Task Execution:** Both can perform tasks independently within a system.
6. **Controlled Termination:** They can be terminated and managed by the operating system.

#### Differences:

1. **Relationship:** Threads are parts of processes, with a process having multiple threads.
2. **Resource Sharing:** Threads within a process share memory and resources, while processes run independently without sharing memory.
3. **Creation Overhead:** Threads are lightweight to create and terminate compared to processes, which involve more overhead.
4. **Communication Ease:** Threads within a process communicate more easily compared to inter-process communication.
5. **Isolation:** Processes are more isolated from each other, while threads share resources within a process.
6. **Synchronization Complexity:** Threads require synchronization mechanisms to avoid conflicts, while processes have fewer synchronization issues.

## Topic: CPU Scheduling

**Scheduler:** A scheduler in an operating system is a component responsible for determining the order and priority of tasks or processes for execution on the CPU.

- **Task Management:** It manages and organizes tasks or processes ready for execution by deciding which process to run next.
- **Scheduling Algorithms:** Utilizes various algorithms (like FCFS, Round Robin, Priority-based) to make decisions about process execution order.

- **Objective:** The primary goal is to optimize CPU utilization, reduce waiting times, and enhance system performance by efficiently managing the sequence of process execution.
- **Context Switching:** Initiates context switches between processes or threads, ensuring fair access to CPU resources.
- **Types:** May include short-term schedulers (CPU scheduling) for immediate process execution and long-term schedulers for process admission and management.

## Types of Schedulers:

### 1. Long-term Scheduler:

- **Objective:** Also known as the job scheduler, its primary function is to select which processes should be admitted from the pool of new processes.
- **Role:** Determines which processes move from the job pool (where new processes reside) to the ready queue for execution.
- **Frequency:** Operates infrequently as it deals with a large number of processes, deciding which ones should enter the system for further execution.
- **Impact:** Controls the degree of multiprogramming and manages system resources by deciding when to accept or reject new processes.

### 2. Medium-term Scheduler:

- **Objective:** Handles the swapping of processes in and out of the memory (or RAM) to balance the degree of multiprogramming.
- **Role:** Transfers processes from main memory to the secondary memory (like hard disk) and vice versa, based on their priority and demand for resources.

- **Frequency:** Operates less frequently than the short-term scheduler but more often than the long-term scheduler.
- **Impact:** Aids in memory management by deciding which processes should reside in the memory, ensuring efficient resource utilization.

### 3. Short-term Scheduler:

- **Objective:** Also known as the CPU scheduler, it determines which ready-to-execute process should be given CPU time for immediate execution.
- **Role:** Selects processes from the ready queue to be executed on the CPU, making quick decisions for efficient CPU utilization.
- **Frequency:** Operates frequently and rapidly, as it decides which process to execute next from the pool of ready processes.
- **Impact:** Directly influences the system's responsiveness and CPU utilization by rapidly selecting the next process for execution.

## Difference between the Long-term Scheduler, Medium-term Scheduler, and Short-term Scheduler ?

### 1. Function:

- **Long-term Scheduler:** Selects processes from the pool of new processes to be admitted to the system for execution.
- **Medium-term Scheduler:** Handles swapping processes in and out of memory to manage system resources efficiently.
- **Short-term Scheduler:** Selects processes from the ready queue for immediate CPU execution.

### 2. Frequency of Execution:

- **Long-term Scheduler:** Operates infrequently as it deals with the admittance of new processes.

- **Medium-term Scheduler:** Operates less frequently than the short-term scheduler but more often than the long-term scheduler.
- **Short-term Scheduler:** Operates frequently and rapidly, making immediate execution decisions.

### 3. Scope:

- **Long-term Scheduler:** Deals with a large number of processes, selecting which ones should enter the system.
- **Medium-term Scheduler:** Manages the movement of processes between main memory and secondary storage.
- **Short-term Scheduler:** Focuses on selecting the next process for immediate CPU execution.

### 4. Purpose:

- **Long-term Scheduler:** Manages the degree of multiprogramming, controls the number of processes admitted.
- **Medium-term Scheduler:** Maintains the balance of multiprogramming by controlling memory occupation.
- **Short-term Scheduler:** Optimizes CPU utilization by swiftly selecting processes for execution.

### 5. Resource Management:

- **Long-term Scheduler:** Controls the admission of processes to maintain a balance of system resources.
- **Medium-term Scheduler:** Manages memory resources by swapping processes based on their priority.
- **Short-term Scheduler:** Manages CPU resources by quickly selecting processes for execution.

### 6. Impact on System State:

- **Long-term Scheduler:** Influences the overall degree of multiprogramming and resource allocation.
- **Medium-term Scheduler:** Affects memory management and system responsiveness.
- **Short-term Scheduler:** Directly impacts CPU utilization and system performance.

#### 7. Decision-making Speed:

- **Long-term Scheduler:** Makes infrequent decisions for admitting new processes.
- **Medium-term Scheduler:** Decides less frequently but involves more data movement.
- **Short-term Scheduler:** Makes rapid decisions for immediate execution.

#### 8. Execution Level:

- **Long-term Scheduler:** Deals with the higher-level aspect of admitting processes.
- **Medium-term Scheduler:** Operates at a mid-level, managing memory resources.
- **Short-term Scheduler:** Functions at a lower level, focusing on immediate CPU execution.

#### 9. Type of Processes Managed:

- **Long-term Scheduler:** Manages new processes entering the system.
- **Medium-term Scheduler:** Handles processes in memory, deciding on swapping.
- **Short-term Scheduler:** Handles ready processes waiting for CPU execution.

#### 10. Overall System Optimization:

- **Long-term Scheduler:** Affects overall system performance by admitting processes.
- **Medium-term Scheduler:** Influences memory utilization and system responsiveness.
- **Short-term Scheduler:** Directly impacts CPU efficiency and responsiveness.

### **Preemptive Scheduling:**

**Definition:** In preemptive scheduling, the operating system has the ability to interrupt a currently running process and allocate the CPU to another process of higher priority.

**Interrupt Capability:** The scheduler can pause the execution of a running process by forcefully preempting it before its completion.

**Dynamic Allocation:** Ensures that the highest priority task gets the CPU immediately, enhancing responsiveness and ensuring fairness among processes.

**Examples:** Scheduling algorithms like Round Robin and Priority Scheduling with preemption are examples of preemptive scheduling.

**Context Switching:** Frequent context switches occur due to the possibility of interruptions, potentially leading to increased overhead.

### **Non-Preemptive Scheduling:**

**Definition:** In non-preemptive scheduling, the currently running process retains the CPU until it voluntarily relinquishes control or completes its execution.

**No Forced Interruption:** Once a process starts executing, it continues until it finishes, blocks for I/O, or yields the CPU voluntarily.

**Simple Execution:** Less overhead as there are no frequent context switches caused by preemption.



**Priority Handling:** May not handle priority-based situations as effectively as preemptive scheduling when higher-priority tasks arrive later.

**Examples:** Algorithms like First-Come, First-Served (FCFS) and Shortest Job Next (SJN) are non-preemptive scheduling algorithms.

## **Difference between Preemptive and Non-Preemptive Scheduling ?**

### **Interruption Capability:**

- **Preemptive:** Allows the operating system to interrupt a running process and allocate CPU to higher-priority tasks.
- **Non-Preemptive:** Doesn't interrupt a running process; it continues until completion or voluntary release.

### **Simplicity:**

- **Preemptive:** More complex due to handling interruptions and prioritizing tasks dynamically.
- **Non-Preemptive:** Simpler as tasks run without interruption until completion or voluntary release.

### **Priority Handling:**

- **Preemptive:** Effectively handles priority-based task allocation, ensuring higher-priority tasks get immediate CPU access.
- **Non-Preemptive:** May not efficiently handle priority-based situations when higher-priority tasks arrive later.

### **Context Switching:**

- **Preemptive:** Frequent context switches due to the possibility of interruptions, potentially increasing overhead.

- **Non-Preemptive:** Fewer context switches, as processes run until completion or yield voluntarily.

#### **Overhead:**

- **Preemptive:** Higher overhead due to frequent context switches caused by preempting running tasks.
- **Non-Preemptive:** Lower overhead as processes run without frequent interruptions.

#### **CPU Allocation:**

- **Preemptive:** Allows dynamic allocation of CPU to higher-priority tasks immediately when needed.
- **Non-Preemptive:** CPU allocation occurs when the current task completes, yielding control to the next waiting task.

#### **Fairness Among Processes:**

- **Preemptive:** Ensures fairness by interrupting lower-priority tasks for higher-priority tasks' immediate execution.
- **Non-Preemptive:** May not prioritize new arriving higher-priority tasks until the current task completes.

**Dispatcher:** The dispatcher is a crucial component of the operating system responsible for managing the execution of processes and switching control between them efficiently.

1. **Context Switching:** It performs the actual context switch, which involves saving the state of the currently running process and loading the state of the next process to be executed.

2. **Process Control Block (PCB) Handling:** Retrieves information from the PCB of the next process to be executed, including its state, priority, and execution context.
3. **CPU Allocation:** Allocates the CPU to the selected process after deciding which process should run next, based on scheduling policies and priorities.
4. **Execution Handover:** Transfers control from the currently running process to the selected process by loading its state and allowing it to execute.
5. **Efficient Resource Usage:** Aims to minimize the time spent in context switching and ensure optimal utilization of CPU resources by swiftly transitioning between processes.

**Scheduling Criteria:** Scheduling criteria are fundamental metrics used to evaluate and compare various scheduling algorithms within an operating system. These criteria serve as basis to measure the performance and effectiveness of different scheduling methods. They encompass aspects such as CPU utilization, throughput, turnaround time, waiting time, response time, predictability, fairness, and priorities.

#### **Explain Various Scheduling criteria for evaluating an algorithm ?**

1. **CPU Utilization:** It measures the CPU's active usage over a given period.
  - **Formula:**  $\text{CPU Utilization} = (\text{Total CPU time} / \text{Total time}) * 100$
  - **Objective:** Maximizing CPU utilization ensures that the CPU remains busy executing processes, reducing idle time.
2. **Throughput:** It indicates the number of processes completed per unit of time.
  - **Formula:**  $\text{Throughput} = \text{Number of processes} / \text{Total time}$
  - **Objective:** Higher throughput signifies better efficiency in completing processes within a specific time frame.
3. **Turnaround Time:** Time taken for a process to complete from submission to termination.

- **Formula:** Turnaround Time = Completion Time - Arrival Time
- **Objective:** Lower turnaround time indicates faster execution and better responsiveness.

4. **Waiting Time:** Time spent by a process waiting in the ready queue.

- **Formula:** Waiting Time = Turnaround Time - Burst Time
- **Objective:** Reducing waiting time improves overall process execution efficiency.

5. **Response Time:**

- **Definition:** Time taken from the submission of a request until the first response is produced.
- **Formula:** Response Time = CPU First Time – Arrival Time
- **Objective:** Lower response time enhances user interaction, making the system more responsive.

6. **Predictability:**

- **Definition:** Predictability assesses how accurately an algorithm schedules processes according to their defined priorities or requirements.
- **Objective:** A predictable scheduler ensures consistent and reliable performance for different process types.

7. **Fairness:**

- **Definition:** Fairness evaluates how evenly CPU time is allocated among processes.
- **Objective:** A fair scheduler aims to distribute CPU resources fairly among competing processes without favoritism.

8. **Priorities:**

- **Definition:** Assigning priorities to processes determines their preference for CPU allocation.

- **Objective:** Higher-priority processes should receive preferential treatment, improving the system's response to critical tasks.

## Scheduling Algorithms:

**Explanation of FCFS Scheduling:** The First-Come, First-Served (FCFS) scheduling algorithm is one of the simplest CPU scheduling methods in an operating system. It manages processes based on their arrival time, executing them in the order they arrive in the ready queue.

1. **Arrival Order:** Processes are arranged in a queue in the order they arrive, forming the ready queue.
2. **Selection Criteria:** The scheduler selects the process at the front of the queue (first process arrived) for execution by the CPU.
3. **Execution:** The selected process continues execution until it completes its CPU burst or gets blocked by an I/O operation.
4. **Completion:** Once a process finishes its execution, the CPU selects the next process in the queue for execution.
5. **Non-Preemptive:** FCFS is a non-preemptive scheduling algorithm, meaning once a process starts executing, it continues until completion without interruptions.
6. **FIFO Concept:** The algorithm follows a "First-In, First-Out" concept, resembling a queue at a ticket counter where the first person to arrive is the first served.

### Example:

Consider processes P1, P2, P3 with their respective arrival times and burst times:

- P1: Arrival time = 0, Burst time = 6
- P2: Arrival time = 2, Burst time = 4
- P3: Arrival time = 4, Burst time = 3

In FCFS, the execution sequence would be:

- P1 starts at time 0 and runs until time 6.
- P2 starts immediately after P1's completion at time 6 and runs until time 10.
- P3 starts immediately after P2's completion at time 10 and runs until time 13.

**Advantages:**

- Simple and easy to understand.
- Fairness in execution, ensuring each process gets a chance to run.

**Disadvantages:**

- May lead to "convoy effect" where short processes get delayed due to longer processes arriving first.
- Poor in minimizing average waiting and turnaround times compared to other scheduling algorithms.

**Explanation of SJF Scheduling:** The Shortest Job First (SJF) scheduling algorithm is a CPU scheduling method that selects the process with the shortest burst time to execute next. It prioritizes processes based on their anticipated CPU burst time.

1. **Burst Time Prediction:** SJF requires an estimation or prediction of the CPU burst time for each process. This estimation can be provided by historical data or user input.
2. **Selection Criteria:** The scheduler selects the process with the shortest predicted burst time to execute on the CPU.
3. **Preemptive and Non-Preemptive:** SJF can be implemented as both preemptive and non-preemptive.
  - **Non-Preemptive (SJF):** Once a process starts executing, it continues until completion without interruptions.

- **Preemptive (SRTF - Shortest Remaining Time First):** A running process can be interrupted if a new process arrives with a shorter burst time.
4. **Execution:** The selected process runs on the CPU until it completes its execution or gets blocked by an I/O operation.
  5. **Optimization Objective:** SJF aims to minimize the average waiting time and turnaround time by giving priority to the shortest jobs first.

**Example:**

Consider processes P1, P2, P3 with their respective burst times:

- P1: Burst time = 6
- P2: Burst time = 4
- P3: Burst time = 7

In SJF, the execution sequence would be:

- P2 starts first with the shortest burst time of 4.
- After P2's completion, P1 starts with a burst time of 6.
- Lastly, P3 starts after P1 with a burst time of 7.

**Advantages:**

- Minimizes average waiting time and turnaround time.
- Efficient for batch systems with known burst times.

**Disadvantages:**

- Requires accurate burst time prediction, which may not always be available.
- Longer processes may suffer from starvation if shorter processes continuously arrive.

**Explanation of Priority Scheduling:** Priority Scheduling is a CPU scheduling algorithm that selects processes for execution based on their priority levels. Each process is assigned a priority, and the CPU executes the highest priority process first. It can be either preemptive or non-preemptive.

1. **Priority Assignment:** Each process is assigned a priority value, typically determined by factors like process type, importance, or system-defined criteria.
2. **Selection Criteria:** The scheduler selects the process with the highest priority for execution on the CPU.
3. **Execution:** The selected process runs on the CPU until it completes its execution, gets blocked by an I/O operation, or a higher-priority process arrives in preemptive priority scheduling.
4. **Preemptive and Non-Preemptive:** Priority scheduling can be implemented as both preemptive and non-preemptive:
  - **Non-Preemptive:** A running process continues until completion, irrespective of the arrival of higher-priority processes.
  - **Preemptive:** A higher-priority process can interrupt a lower-priority one, temporarily halting its execution.
5. **Priority Adjustment:** Priorities may change dynamically based on aging mechanisms, feedback from the system, or user-defined policies.
6. **Priority Inversion:** In some cases, lower-priority processes might hold resources needed by higher-priority processes, causing priority inversion issues.

**Example:**

Consider processes P1, P2, P3 with their respective priorities:

- P1: Priority = 2
- P2: Priority = 1
- P3: Priority = 3



In Priority Scheduling, the execution sequence would be:

- P3 starts first due to having the highest priority.
- After P3's completion, P1 starts with the next highest priority.
- Lastly, P2 starts with the lowest priority.

**Advantages:**

- Allows prioritization of critical or important tasks.
- Flexible as priorities can be adjusted based on system requirements.

**Disadvantages:**

- Risk of starvation for lower-priority processes.
- Priority inversion issues might occur in certain scenarios.

**Explanation of Round Robin Scheduling:** Round Robin (RR) scheduling is a CPU scheduling algorithm that allocates CPU time to multiple processes in a fixed time slice or quantum. It operates on the principle of time-sharing, where each process gets a small unit of CPU time, known as a time quantum, before being preempted and placed back in the ready queue.

1. **Ready Queue:** Processes are arranged in a queue, and the scheduler selects the first process in the queue for execution.
2. **Time Quantum:** Each process is given a fixed time quantum or time slice to execute on the CPU. If the process completes within the time quantum, it's done; otherwise, it gets preempted.
3. **Preemption:** After the time quantum elapses, the running process is preempted, and it's placed back in the ready queue.
4. **Next Process Execution:** The CPU scheduler then selects the next process in the queue for execution. If a process arrives while another is still executing, it's added to the end of the queue.
5. **Cycle Continues:** This cycle repeats until all processes finish their execution.

## 6. Advantages:

- Fairness: Provides fair CPU time to all processes.
- Responsiveness: Ensures reasonable response time for processes due to frequent context switches.
- Prevents Starvation: No single process monopolizes the CPU for an extended period.

## 7. Disadvantages:

- High Overhead: Context switching overhead increases with a smaller time quantum.
- Inefficiency with Longer Tasks: Longer tasks might experience delays due to frequent context switches.

### Example:

Consider processes P1, P2, P3 with a time quantum of 4 units:

- P1 needs 6 units of CPU time.
- P2 needs 5 units of CPU time.
- P3 needs 3 units of CPU time.

In Round Robin with a time quantum of 4 units, the execution sequence would be:

- P1 executes for 4 units (time quantum) and then gets preempted, placed back in the queue, and P2 starts.
- P2 executes for 4 units, then gets preempted, placed back in the queue, and P3 starts.
- P3 executes for 3 units and completes its execution.

Then, P1 resumes execution for the remaining 2 units it needs.

## **Round Robin Algorithm is the most common primitive scheduling algorithms. Why?**

Round Robin (RR) is a popular scheduling method in computers because it's fair, simple, and prevents any task from waiting too long for its turn to use the CPU.

1. **Fairness:** RR treats all tasks equally by giving them fixed time slices to run on the CPU. This fairness ensures no task hogs the CPU for too long.
2. **Simple Concept:** It's easy to understand. Each task gets a set time to work (like taking turns in a game), making it simple to manage.
3. **Avoids Waiting:** No task waits indefinitely. Even if a task has a lot of work to do, it gets a turn to run on the CPU within its time slice.
4. **Good for Shared Use:** RR is great for systems where many people or tasks share the computer. It ensures everyone gets a fair chance to use the CPU.
5. **Balanced Responsiveness:** Tasks get short time slices, which keeps the system responsive. Even if a task needs more time, it gets scheduled again quickly.
6. **Easy to Switch Between Tasks:** Switching between tasks in RR is straightforward, which helps in managing many tasks smoothly.

## **Explain SRTF in detail.**

SRTF stands for Shortest Remaining Time First, a CPU scheduling algorithm that selects the process with the smallest remaining burst time to execute next. It is a preemptive scheduling algorithm based on the concept of minimizing the total time a process spends waiting in the ready queue.

### **Explanation of SRTF:**

1. **Basis of Selection:** SRTF selects the process with the smallest remaining burst time, allowing the shortest job to execute first.
2. **Preemptive Nature:** It can preempt a running process if a new process with a shorter burst time arrives, ensuring that the shortest job receives immediate attention.

3. **Scheduling Decision:** Whenever a new process enters the ready queue or the running process's remaining time decreases, SRTF reevaluates and selects the process with the smallest remaining time.
4. **Optimization Objective:** Aims to minimize the average waiting time and turnaround time for processes by prioritizing the shortest tasks for execution.
5. **Characteristics:** SRTF is prone to starvation for longer processes as shorter jobs continuously take precedence, potentially delaying longer jobs indefinitely.
6. **Implementation Complexity:** Requires continuously updating the remaining burst times and frequent context switches, leading to high overhead.

#### **Example:**

Consider processes P1, P2, and P3 with their respective burst times:

- P1: Burst time = 6
- P2: Burst time = 4
- P3: Burst time = 7

Initially, P2 will start executing as it has the shortest burst time. If another process with a shorter burst time arrives or P2's remaining time reduces below the others, the scheduler switches to the process with the shortest remaining time.

#### **Multilevel Queue (MLQ) Scheduling:**

- **Concept:** MLQ divides the ready queue into multiple separate queues, each with its own priority level.
- **Process Allocation:** Different types of processes are assigned to different queues based on their characteristics, like system processes, interactive tasks, or batch jobs.
- **Priority Execution:** Each queue has its own scheduling algorithm (e.g., FCFS, Round Robin) to handle processes within that queue.

- **Prioritization:** Higher priority queues are scheduled more frequently or given preference over lower priority queues for execution.
- **Example:** Imagine an airport with separate lines for first-class passengers, economy class passengers, and special assistance passengers. Each line has its own priority, and passengers in higher-priority lines get served first.

### **Multilevel Feedback Queue (MFQ) Scheduling:**

- **Adaptive Approach:** MFQ is an extension of MLQ that allows processes to move between queues based on their behavior.
- **Feedback Mechanism:** Processes that use too much CPU time or remain in lower priority queues for too long are moved to higher-priority queues.
- **Dynamic Prioritization:** Lower priority queues can process short-term tasks, while higher priority queues handle long-term or CPU-intensive tasks.
- **Example:** Think of a library with different sections for different study topics. A student starting with a basic book might move to a higher-level section for more advanced books if they're reading extensively on a topic.

## **Topic: DeadLock**

**Deadlock:** Deadlock is like a traffic jam in a computer system. It happens when different tasks or processes get stuck because each needs something that the other has. It's a situation where everyone's waiting for someone else to do something before they can move forward. Just like cars on a road blocking each other's way, in a deadlock, tasks are stuck, and nothing can progress. This deadlock situation occurs because each task is holding onto something and waiting for more, leading to a loop where nobody can move. It's a freeze moment where the computer can't continue, causing a delay in getting things done until the deadlock is resolved.

1. **Resource Conflict:** Deadlock happens when two or more tasks on a computer are stuck because each is waiting for something that another has. It's like two people blocking each other's way in a narrow hallway and neither can move forward.

2. **Necessary Conditions:** For a deadlock to happen, four things need to occur at the same time:

- **Mutual Exclusion:** It's like having exclusive access to a tool; only one person can use it at a time. Resources cannot be shared simultaneously between processes; they must be exclusive to one process at a time.

**Example:** Imagine a printer that can only be used by one person at a time. If someone is using it, others have to wait until it's available.

- **Hold and Wait:** Everyone is holding something and waiting for another thing. It's like someone holding a pen and waiting for a notebook, while another person has the notebook and is waiting for the pen. Similarly, Processes hold resources already allocated to them while waiting for additional resources.

**Example:** A process holds a printer but also needs access to a particular file that's held by another process, causing it to wait.

- **No Preemption:** Nobody can take things away from others. If someone needs something, they have to wait until it's voluntarily given up. Resources cannot be forcibly taken away from processes; they must be voluntarily released.

**Example:** If a process is using a resource critical for another process, the system can't forcefully take it away to give to the other process.

- **Circular Wait:** It's like a chain where everyone is waiting for someone else. Person A is waiting for person B, person B for person C, and so on, until the last person is waiting for person A. There exists a circular chain of processes, each waiting for a resource held by the next process in the chain.

**Example:** Process A waits for a resource held by Process B, Process B waits for a resource held by Process C, and Process C waits for a resource held by Process A, forming a circle of dependency.

3. **Types of Resources:** Deadlock can happen when tasks are fighting for different things, like time on the computer's processor, memory space, access to files, or even use of printers.
4. **Impact:** When a deadlock occurs, everything stops! It's like a standstill on a busy road where no cars can move. In computers, tasks freeze, and the system can't get things done, which slows everything down.
5. **Deadlock Handling:** To tackle deadlock, computers use different tricks. They might try to stop it from happening (prevention), avoid it by being careful how resources are given out, find it when it happens (detection), or try to fix things and get tasks moving again (recovery).

### **Explain RAG(Resource Allocation Graph) ?**

RAG stands for Resource Allocation Graph, which is a graphical representation used in operating systems to manage resource allocation and detect deadlocks in systems employing resource-sharing mechanisms.

### **Explanation of Resource Allocation Graph (RAG):**

1. **Nodes and Edges:** The RAG involves nodes and edges.
2. **Nodes:** Nodes represent either resources or processes. Resources can be instances of devices like printers or scanners, while processes are entities needing resources to execute tasks.
3. **Edges:** Edges between nodes represent the relationship between processes and resources. There are two types of edges: allocation edges and request edges.
  - **Allocation Edge:** Indicates that a resource is allocated to a process.
  - **Request Edge:** Signifies that a process is requesting a resource.
4. **Graph Representation:** The graph visually illustrates which processes are holding resources and which processes are waiting for resources.

5. **Deadlock Detection:** RAGs are useful in detecting deadlocks, where a cycle in the graph signifies that processes are waiting for resources held by each other, leading to a deadlock situation.

**Example:**

Consider a scenario with processes P1, P2, and resources R1, R2:

- Process P1 is holding resource R1, represented by an allocation edge from P1 to R1.
- Process P2 is requesting resource R1, represented by a request edge from P2 to R1.

If P2 cannot proceed until it gets R1, but P1 is holding onto R1 and waiting for R2 held by P2, it creates a cycle in the RAG, indicating a potential deadlock situation.

**Usage:** Operating systems use RAGs for deadlock avoidance and deadlock detection strategies to manage resources effectively and prevent system deadlock.

**Dealing with Deadlocks:**

1. **Prevention:** Prevention strategies aim to eliminate one or more necessary conditions for deadlock.

Techniques like resource allocation and access policies are implemented to prevent processes from entering deadlock-prone scenarios. By ensuring that at least one necessary condition doesn't occur, the system avoids deadlocks from happening.

2. **Avoidance:** Avoidance strategies monitor resource allocation to predict and avoid potential deadlocks.

Algorithms like Banker's Algorithm predict if resource allocation might lead to deadlock. They grant resources only if the system remains in a safe state, ensuring no deadlock occurs during resource allocation.



3. **Recovery:** Recovery strategies aim to resolve deadlocks after they occur.

Techniques like killing processes or rolling back transactions help recover from deadlock situations. Resources are forcibly released from certain processes to break the circular wait, allowing the system to proceed.

4. **Ignorance:** Ignorance strategies involve allowing deadlocks to occur and not taking any action to handle them.

Some systems, due to their design or nature, may opt not to implement deadlock handling mechanisms. Instead, they let deadlocks occur and rely on system restarts or manual intervention.

### **Explain the deadlock prevention techniques in detail.**

1. **Preventing Mutual Exclusion:** Instead of making resources exclusive to one process at a time, allow resources to be shared.

**Example:** Imagine a printer that multiple people can use simultaneously instead of only one person at a time.

2. **Preventing Hold and Wait:** Require processes to ask for all necessary resources at the start, not during execution.

**Example:** Before starting a project, gather all materials needed, ensuring you won't need more resources halfway through.

3. **Preventing No Preemption:** Allow the system to take resources away from processes if needed.

**Example:** If someone needs a tool urgently, let the system temporarily take it from another person to prevent delays.

4. **Preventing Circular Wait:** Impose an order on resource requests, preventing circular dependency.

**Example:** A rule that resources must be requested in a certain order to avoid situations where everyone waits for someone else.

## Explain Banker's Algorithm.

The Banker's Algorithm is a resource allocation and deadlock avoidance method used in operating systems to manage resource requests by processes. It ensures that resources are allocated in a way that prevents deadlocks from occurring.

1. **Purpose:** The Banker's Algorithm helps the system allocate resources smartly so that deadlocks, which halt processes and the system, don't happen.
2. **Resources:** Think of various things a computer might need, like printers, memory space, or even access to specific files. These are different types of resources.

For each resource type, the computer keeps count of how many are available for use. This helps to know what's there for processes to use.

3. **Process Requests:** Imagine processes as different tasks or jobs on the computer that occasionally ask for resources to do their work.

Each process tells the computer the most resources it might need while it's running. This helps the system plan ahead.

4. **Algorithm Logic:** Before giving resources to a process, the Banker's Algorithm does some thinking. It wants to be sure that if it gives resources, it won't cause trouble like a deadlock.

A safe state is like having a plan where everyone can finish their work without getting stuck or waiting endlessly.

5. **Safety Check:** Before giving out resources, the computer does some math to see if it has enough resources and if giving them won't create a problem like everyone waiting for something held by someone else.

It checks to ensure that there are enough resources available to give without causing a loop where everyone is waiting for someone else.

6. **Resource Allocation:** If it's sure that giving resources won't cause trouble, the computer happily provides the resources to the process.

But if there's a risk of causing a problem like a deadlock, it waits until it's sure it's safe to give out resources.

7. **Dynamic Nature:** The computer doesn't stop checking just once. It keeps an eye on resources, always making sure that when it gives them, it won't cause a problem like everyone getting stuck waiting for something they need.

**Explain the deadlock avoidance techniques in detail.**

1. **Safe State and Unsafe State:**

- **Safe State:** It's like having a plan where everyone can finish their work without getting stuck or waiting endlessly.
- **Unsafe State:** When the system can't guarantee that all processes will finish their work without a chance of getting stuck or causing a deadlock.

2. **Resource Allocation Graph (RAG):** (Write the answer of RAG)

3. **Banker's Algorithm:** (Write the answer of Banker's Algorithm)

**What are the various Deadlock Detection Methods? Discuss in detail.**

1. **Resource Allocation Graph (RAG):** Imagine drawing boxes for processes and resources and lines between them showing who is waiting for what.

(Write the definition of **Resource Allocation Graph**)

- **Algorithm:** Look at the boxes and lines to check if there's a circular chain where everyone is waiting for something held by someone else. If such a loop exists, it might mean there's a deadlock.

2. **Wait-for Graph:** Focuses only on who is waiting for whom among processes.

- **Algorithm:** Looks at the waiting relationships among processes and checks if there's a loop where everyone is waiting for someone who is waiting for someone else, and so on. If there's a loop, it hints at a possible deadlock.

3. **Deadlock Detection Algorithms:** These are like special detectives looking for signs of potential deadlocks by analyzing how resources are used by processes.
- **Algorithm Types:** They have names like Banker's Algorithm or Wait-Die Algorithm and work by studying how processes ask for resources and if that might lead to a deadlock.

**Explain the deadlock recovery techniques or methods in detail.**

Deadlock recovery techniques are strategies used in operating systems to resolve deadlock situations, where processes are stuck due to a resource allocation issue. Two primary methods include process termination and resource preemption.

**1. Process Termination:**

- **Abort all Deadlocked Processes:**
  - **Explanation:** Stop all processes involved in the deadlock.
  - **Procedure:** Halt all the deadlocked processes simultaneously, freeing up their resources.
  - **Impact:** This approach ensures immediate recovery but may lead to data loss or inconvenience if critical processes are terminated.
- **Abort One Process at a Time until the Deadlock Cycle is Eliminated:**
  - **Explanation:** Eliminate one process at a time from the deadlock.
  - **Procedure:** Identify and stop a single process involved in the deadlock, freeing up its resources and potentially resolving the deadlock step by step.
  - **Impact:** It's a more cautious approach but might take longer to completely resolve the deadlock.

## 2. Resource Preemption:

- **Explanation:** Temporarily take away resources from processes to break the deadlock.
- **Procedure:** Identify resources held by processes involved in the deadlock and forcefully reclaim them.
- **Impact:** This approach allows the system to retain processes while freeing up necessary resources, resolving the deadlock without terminating any process. However, it requires careful handling to prevent data corruption or inconsistencies.

# Unit -1

## Some Remaining Questions

**Explain "Is time sharing system a logical extension to Multiprogramming" ?**

Time-sharing systems are indeed a logical extension of multiprogramming. Imagine a cake, multiprogramming is like dividing the cake into multiple slices, and time-sharing is giving each person a slice to taste at different times.

In multiprogramming, the computer executes multiple programs concurrently by swiftly switching between them, but each program doesn't get continuous CPU time. Time-sharing takes this concept further by giving every user or task a small portion of time to use the computer. This method allows many users to interact with the computer almost simultaneously, providing each user with a feeling of having the computer to themselves.

**Explain “One of the drawbacks of early OS’s was that user lost the ability to interact with their jobs. In what ways to modern operating system overcome this problem ?**

Early operating systems had limitations where users lost direct interaction with their jobs while they were running. This was primarily due to the nature of batch processing, where users submitted jobs, and the system executed them without immediate user intervention. However, modern operating systems have addressed this limitation in several ways:

1. **Graphical User Interfaces (GUIs):** Modern OSes employ GUIs that allow users to interact visually with their tasks. GUIs offer icons, windows, menus, and other visual elements that facilitate user interaction, making it easier to manage tasks, files, and applications.
2. **Multitasking:** Unlike early systems that often performed batch processing one job at a time, modern OSes support multitasking. Users can run multiple applications simultaneously, switch between them, and even perform tasks in the background while using other applications in the foreground.
3. **Real-time User Feedback:** Modern systems provide real-time feedback. Users receive immediate responses as they interact with applications, enhancing the user experience by providing prompt reactions to inputs or commands.
4. **Interactivity:** Users have more control and interactivity. They can start, pause, stop, and resume tasks or processes as needed, allowing for direct intervention and manipulation during job execution.
5. **Graphical Command Interfaces:** Command-line interfaces have evolved into more sophisticated and user-friendly environments. Modern shells provide autocompletion, syntax highlighting, and other features that facilitate user interaction and reduce the likelihood of errors.
6. **Virtualization and Emulation:** Virtual machines and emulators allow users to run multiple operating systems or environments simultaneously. This capability enhances the user's ability to experiment, run legacy software, or test different configurations without affecting their primary system.

7. **Cloud Computing and Remote Access:** With cloud-based services and remote access tools, users can interact with their jobs and data from anywhere, using various devices, providing flexibility and accessibility.

### **Write short notes on Simple Monitor.**

The term "Simple Monitor" refers to a basic form of operating system structure or software used to manage and control the execution of programs on a computer system. It serves as a rudimentary version of an operating system and is often considered a primitive or elementary form of OS.

### **Key characteristics of a Simple Monitor:**

1. **Minimalistic Functions:** A Simple Monitor provides basic functionalities necessary for program execution, such as loading programs into memory, initiating their execution, and controlling their access to system resources.
2. **Single-User, Single-Tasking:** It typically supports only one user and allows the execution of one program at a time. Once a program finishes its execution, the control is returned to the user for loading and running another program.
3. **Limited Resource Management:** It may have limited capabilities in managing system resources like memory, CPU, and I/O devices. It allocates resources to the program in a straightforward manner without complex scheduling or multitasking.
4. **Command-Line Interface:** It might employ a simple command-line interface where users interact with the system by typing commands and receiving text-based responses or prompts.
5. **Lack of Advanced Features:** Unlike modern operating systems, a Simple Monitor lacks many sophisticated features such as multitasking, file systems, network support, or graphical user interfaces.