# **Emotion Detection through Artificial Intelligence and Machine Learning**

### Submitted by

Raaj Kumar S - 20BCE0138
Riyaz Mohammad Arbaz - 20BDS0274
Reddi Sai Saketha Sathvik - 20BCE2374
Archita Todi - 20BCE0529
Muskan Jain - 20BCT0336
Abhinandita Banerjee - 20BCE2080
Aditi Agarwal - 20BCE2130

## Prepared For TECHNICAL ANSWERS FOR REAL WORLD PROBLEMS (TARP)

Submitted To

Dr. JAYA SUBALAKSHMI R

**Assistant Professor Sr. Grade 1** 

School of Computer Science and Engineering



#### Abstract

The field of emotion detection through Artificial Intelligence (AI) and Machine Learning (ML) is rapidly growing and has the potential to revolutionize various domains. This involves in recognising and interpreting the emotions of humans, which includes facial expressions, human body language and other more factors. In the past few years deep learning techniques, especially the Convolution Neural Networks (CNNs) have been a great technique to solve problems.

The literature survey provides a comprehensive analysis of recent academic papers that focus on emotion detection using Al and ML. The survey covers a range of approaches, techniques, and evaluation metrics, including deep learning algorithms, computer vision, and natural language processing. It also discusses the challenges and limitations of these methods.

The findings of the survey highlight the current state of the field and identify areas where further research and development are needed. This includes understanding the strengths and weaknesses of different approaches, the impact of data quality and quantity on emotion detection accuracy, and the importance of interpretability and transparency in Al models.

The results of the survey contribute to the growing body of knowledge in emotion detection through AI and ML and serve as a valuable resource for researchers, practitioners, and anyone interested in this field.

Transfer learning, considered as a deep learning technique. This technique involves using the pre-trained model in a different dataset to solve new tasks. This technique has been involved in various computer vision tasks to solve the problem of object detection, image classification and semantic segmentation. In this paper we promise to use the transfer learning with CNN model to face the issues of emotion detection.

By understanding these latest advancements and techniques in emotion detection technology, we can harness the potential benefits and address the limitations of these methods to enhance human lives in meaningful and impactful ways

#### **CHAPTER 1:INTRODUCTION**

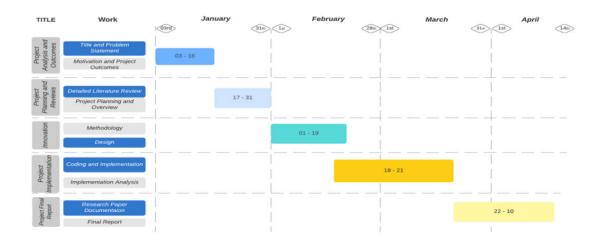
#### **Problem Statement:**

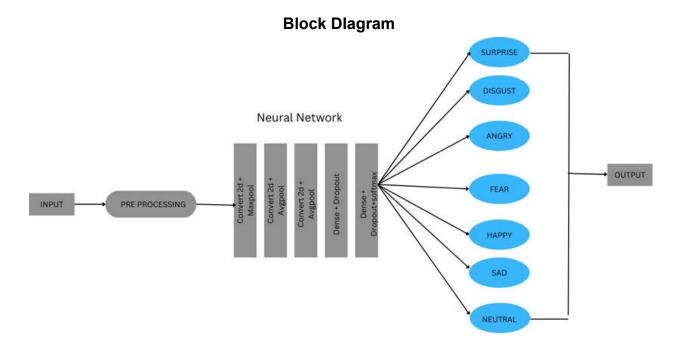
Recognizing human emotions through facial expressions is essential for communication and has applications in various fields. While verbal communication and body language are commonly used, facial expressions play a vital role. However, attributing emotions to facial expressions can be complex and ambiguous. The ability to detect emotions from facial expressions has significance in user interface design, intelligence, and forensic studies. Advances in science and technology, such as deep learning with Convolutional Neural Networks (CNNs), have made it possible to identify emotions from facial expressions. This paper focuses on using CNNs to identify six types of expressions: Anger, Happiness, Fear, Sadness, Disgust, and Neutral.

#### Motivation:

The paper focuses on the increasing need for advanced techniques, specifically artificial intelligence (AI) and machine learning (ML), for emotion detection or sentiment analysis. Emotion detection has applications in industries like customer service, marketing, and political analysis. Deep learning algorithms, particularly CNNs, have shown promising results in achieving state-of-the-art performance in natural language processing tasks. The research aims to survey current techniques, evaluate their effectiveness, and address challenges and limitations in AI and ML for emotion detection. The goal is to develop more accurate and efficient emotion detection models for gaining insights into human emotions in various industries **Project Plan:** 

#### **Gnatt Chart**





With the goal to improve the process of facial sentiment analysis systems, a classification mechanism is proposed using a CNN architecture

#### **Proposed method modules:**

Modules used in our model design are:

- i. <u>Face capturing module</u>: During this phase, we are taking pictures of people's faces for further processing. We are utilizing a webcam or an external web camera for this purpose. There is no way to complete the procedure without first taking the image, and there is no way to identify the emotions without first capturing the image.
- ii. <u>Pre-processing module</u>: Following the capture of photos, we will do image processing on the captured images. The greyscale photos will be created by converting the color photographs to grayscale.
- iii. <u>Training module</u>: This step will involve the preparation of a dataset, which will consist of a binary array of all the photographs that have been taken. The collected photographs will be saved in a.YML file, which will contain all of the face data that was obtained. The YML file allows us to process the collected photos more quickly because of its compressed nature.
- iv. <u>Face recognition module</u>: The first phase in the face recognition process is to train the host system on the facial data that has been collected. The face is photographed using the web camera on the computer system, which captures 60 different photos of the subject's face. In this session, we will learn how to detect people's faces using the LBP algorithm. The abbreviation

LBPH stands for local binary pattern histogram. With the face ID and NAME that were previously stored, it will recognise the faces in the database.

v. <u>Expression recognition module</u>: Facial expression recognition software is a system that detects emotions in human faces by using biometric indicators.

Because it collects and analyzes information from images, it is possible to offer an unfiltered, unbiased emotional reaction or data that is unfiltered and impartial.

#### **CHAPTER 2:LITERATURE REVIEW**

#### [1] Emotion Recognition and Detection Methods: A Comprehensive Survey

Anvita Saxena, Ashish Khanna, Deepak Gupta

Artificial intelligence-based human emotion recognition is one of the most well liked study areas today. It is widely used to detect human emotions in the disciplines of affective computing and human-computer interaction (HCI). Humans typically express their emotions through a variety of indirect and non-verbal cues. The purpose of the current exposition is to offer a comprehensive review and analysis of all significant emotion detection techniques in one place. We believe that this is the first attempt to list every emotion recognition model created in the last ten years. The study includes a thorough analysis of both the datasets and the methodology. The study found that emotion detection is primarily accomplished using four main techniques; facial expression recognition, physiological signal recognition, speech signal variation, and text semantics on both custom-created and standard databases like JAFFE, CK+, Berlin Emotional Database, SAVEE, etc. These techniques often allow for the recognition of seven fundamental emotions. The Stationary Wavelet Transform for Facial Emotion Recognition, Particle Swarm Optimization assisted Biogeography based optimization algorithms for emotion recognition through speech, Statistical features coupled with different methods for physiological signals, and Rough set theory coupled with SVM for text semantics produced the best results, with accuracy rates of 98.83%, 99.47%, 87.15%, and 87.02%, respectively. The method of Particle Swarm Optimization assisted Biogeography-based optimization algorithms with an accuracy of 99.47% on BES dataset produced the best outcomes overall.

#### [2]A review on detection of Human Emotions using colored and infrared images

Mritunjay Rai, Tanmoy Maity, Ravindra Kumar Yadav, Shreyash Yadav

A person can express their mood and other emotions through a range of facial expressions. Six different sorts of facial expressions can be separated from this neutral mood. The actions made by the facial muscles of a human face are called facial expressions. These words are used to describe feelings and are also thought to be reactions to particular environmental occurrences. Therefore, a person's emotional condition can be inferred from their facial expressions. It is possible to recognise the numerous facial expressions that someone else is making. However, a machine is unable to recognise or understand those expressions in the same manner as a human. Human faces differ in size, shape, colour, ethnicity, and emotion-specific facial expressions. It follows that it is difficult to infer a person's feelings from their outward look. Infrared (IR) imaging, which utilises the advantages of both visual and physiological measuring methods while removing their drawbacks, has been used in affective computing recently [1, 2, 3].based onthermal imaging infrared Affective Computing is a novel technology that can track certain bodily functions in people. Based on thermal imaging infrared Affective Computing is a novel technology that can track certain bodily functions in people and autonomic nervous system (ANS) activity outside the person and in a non-contact manner, thermal IR imaging has been utilised to identify human emotions [4-12], but its application in HRI presents special challenges. The most important ones are real-world applications and realtime monitoring.

#### [3]A review on detection of Human Emotions using colored and infrared images

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#### [4]Detection and Recognition of Human Emotion using Neural Network

J. Jayapradha Soumya Sharma and Yash Dugar

In computer vision and artificial intelligence, identifying and detecting human emotion is a significant difficulty. Human speech is heavily influenced by emotions which are primarily used in communication. The major objective of our research is to create a reliable system that can recognise and detect human emotion from live broadcast. A few feelings, such as anger, sadness, joy, surprise, fear, disgust, and neutrality, are shared by all people. The facial detection process is accomplished by extracting the Haar Cascade characteristics from a face using the Viola Jones algorithm, and the emotion is then confirmed and recognised using a deep neural network. This has applications in the fields of robotics, surveillance, and security, among others. Here, reading human emotion is done via face images. Many researchers have been drawn to this field since the pioneering work of Charles Darwin on the study of human emotion. There are seven fundamental emotions that all people experience. These basic emotions—neutral, angry, disgusted, scared, pleased, sad, and surprised—can be recognised from a person's facial expression. automation, etc. Since every person's face is unique, finding a solution to the issue of facial feature recognition is not an easy task. Numerous elements, including physical

attributes, sex, DNA, and age, influence the features. The challenge is very difficult due to the high level of variability. When creating an emotion identification system, numerous considerations must be made. Any face processing system's primary step is to accurately detect and classify faces. The facial expression recognition system should function in a variety of environments, including those with changing lighting conditions and other illumination issues, the wearing of eyeglasses, the existence of facial hair, etc. These are some problems that the system should be able to overcome to create an ideal system. A general biometric process has four stages of process flows: face detection, preprocessing, Feature Extraction, and Face Recognition.

### [5]Fast Facial emotion recognition Using Convolutional Neural Networks and Gabor Filters

Milad Mohammad Taghi Zadeh, Maryam Imani, Babak Majidi

The paper focuses on using Gabor filters to improve the accuracy and speed of a convolutional neural network (CNN) in recognizing emotions from facial images. The Gabor filter is applied to the images before they are fed into the CNN, allowing the network to extract image subfeatures and improve its ability to identify emotions. The results showed a significant improvement in the accuracy and speed of the system, with the learning speed of the CNN increasing dramatically. The Gabor filter works by creating a set of filters, each with a unique frequency and orientation, and convolving each filter with the input image. This allows for the extraction of both local and global features, providing the CNN with a comprehensive understanding of the image. Additionally, the Gabor filter has been shown to be effective in identifying facial features, making it an ideal choice for this particular task.

In conclusion, the use of the Gabor filter has demonstrated a significant improvement in the accuracy and speed of a CNN in recognizing emotions from facial images. The ability of the Gabor filter to extract image subfeatures and provide a comprehensive understanding of the image to the CNN has proven to be a valuable tool in improving the performance of the system. This research has the potential to be applied to a wide range of facial recognition and emotion detection applications, leading to further advancements in this field.

### [6]Advancements and recent trends in Emotion Recognition using facial image analysis and machine learning models

Tuhin Kundu, Chandran Saravanan

The paper discusses recent advancements and trends in emotion recognition using facial image analysis and machine learning models. It is noted that the demand for systems with human-computer interaction is growing, making automated systems with human gesture and emotion recognition capabilities increasingly important. Emotions can be understood through textual, vocal, and verbal expression data, but facial imagery also provides a valuable option for interpreting and analyzing human

emotions.

The paper focuses on two main techniques for emotion classification: artificial neural networks and support vector machines (SVM). The first technique involves analyzing information conveyed by the facial regions of the eye and mouth to form a new image that serves as input to a feedforward neural network trained through backpropagation. The second technique uses the Oriented Fast and Rotated (ORB) on a single frame of imagery to extract texture information, which is then classified using SVM.

In addition to these techniques, the paper also discusses the special case of drowsiness detection systems using facial imagery by pattern classification. Automated drowsiness detection is expected to play a significant role in preventing road fatalities due to drowsy drivers. The implementation of an emotion recognition system using facial imagery has three main steps: face detection, facial expression data extraction, and facial expression classification.

Artificial neural networks are considered a powerful information processing system, as they offer generalization capabilities and have been found to be effective in image processing and pattern recognition. On the other hand, the ORB (Oriented Fast and Rotated BRIEF) is a fast robust feature detector that can be used as a facial feature extractor with SVM as the classifier.

### [7]Geometric-Convolutional Feature Fusion Based on Learning Propagation for Facial Expression Recognition

Yan Tang, Xingming Zhang, Haoxiang Wang

The paper focuses on developing a deep facial sequence network (DFSN) for emotional classification of facial sequences. A neutral state and a peak state of one of the six basic emotions are defined for a video sequence. To extract both static and temporal information from the sequence, the paper proposes to use the difference between the geometric features of consecutive frames as the differential geometric feature.

The DFSN consists of a feature extraction network and a classification network. The feature extraction network contains 12 layers of convolution and pooling operations to convert the input images into feature maps. To incorporate temporal information, the feature maps from the first, middle, and last frames are concatenated and transformed into a 2048-dimensional layer as the input of the classification network. The classification network outputs the probability of the six basic emotions. To further improve the performance, the paper introduces a DFSN with Integral Feature (DFSN-I) by combining handcrafted geometric features and convolutional features. In the DFSN-I, the handcrafted differential geometric feature is fused with the convolutional feature of each frame to form the integral feature, which is then used as the input of the classification network.

The models are trained using supervised learning with a cross-entropy loss. The activation function ReLU is used to speed up the convergence of the training process. To avoid gradient explosion and gradient disappearance, the tanh activation function

is used in some layers of the network. The performance of the models is evaluated on a dataset of facial sequences with different emotions.

### [8]Design and Evaluation of a Real-Time Face Recognition System using Convolutional Neural Networks

Pranav KB and Manikandan J

The design and evaluation of a real-time face recognition system using Convolutional Neural Networks (CNNs) is a crucial area of research that has gained significant attention in recent years. The advent of high-speed processors and high-resolution cameras has paved the way for the development of advanced face recognition systems for various applications. Face recognition systems can be categorized into two types, namely offline data-based systems and real-time input-based systems. The real-time input-based systems require processing the input in real-time, which can be a challenging task due to the computational overhead involved. In this paper, the authors have proposed the design and evaluation of a real-time face recognition system using CNNs.

The proposed system uses a deep learning-based approach to perform face recognition. Deep learning techniques have proven to be highly effective in solving various computer vision problems, including face recognition. The authors have used a state-of-the-art deep learning architecture, namely Convolutional Neural Network (CNN), for face recognition. The proposed system is trained on a large dataset of face images and is capable of recognizing faces in real-time. The authors have used standard datasets to evaluate the performance of the proposed system and have obtained maximum recognition accuracy of 98,75%.

In addition to the design of the face recognition system, the authors have also proposed an effective approach to tune the parameters of the system. This approach helps in improving the performance of the system by optimizing the hyperparameters of the CNN. The authors have tested the proposed system with real-time inputs and have obtained recognition accuracy of 98.00%. This result shows that the proposed system is capable of recognizing faces in real-time with high accuracy

### [9]Human face recognition based on convolutional neural network and augmented dataset

Peng Lu , Baoye Song & Lin Xu

The developed Convolutional Neural Network (CNN) in this study is composed of two convolutional layers (C1 and C2) and two pooling layers (S1 and S2). These layers are arranged in the form of C1-S1-C2-S2 and are designed to perform face recognition. C1, the first convolutional layer, includes 6 feature maps, where each neuron is convoluted with a randomly generated convolution kernel with a size of 5 × 5. The first pooling layer, S1, has 6 feature maps that are calculated based on the output of the previous layer. Each element in the feature map is connected to the mean convolution kernel of the corresponding feature map in C1, and the receptive fields of the elements will not overlap with each other.

The second convolutional layer, C2, and the second pooling layer, S2, both have 12 feature maps and follow similar calculation steps as their previous counterparts. Additionally, a fully connected single-layer perceptron is placed between the S2 layer and the output layer. The final output of the model is a 40-dimensional vector for the face recognition of 40 individuals, where the sigmoid function is used for multi-label classification.

The ORL face dataset, a collection of 400 human face images from 40 individuals, was used in this study as the standard face dataset. Although the dataset is widely used and easier to label than others, such as MIT or Yale face datasets, it is not abundant enough to train the deep neural network for accurate face recognition. To address this issue, the amount of images in the dataset was augmented by using four data augmentation methods, including horizontal flip, shift, scaling, and rotation. The dataset was augmented 1000 times, scaled, normalized, and labeled before being input into the face recognition system. The results showed that the face recognition accuracy increased with the increasing amount of training samples and epochs. The Mean Squared Error (MSE), used as the cost function for the neural network, was analyzed and showed that a small MSE indicated high accuracy of the network model.

### [10]Facial Expression Recognition Using Log-Gabor Filters and Local Binary Pattern Operators

Seyed Mehdi Lajevardi, Zahir M. Hussain

The effectiveness of several techniques for identifying human facial expressions from face photos has been tested using databases of face photographs with a range of expressions. Geometric characteristics and appearance features are the two main categories of feature representation. Because geometric features are so vulnerable to noise, particularly illumination noise, it has been shown that appearance features are superior.

For feature extraction, the local binary pattern operator (LBP) and logarithmic Gabor filters were employed. Then, using a minimum redundancy and maximum relevance approach, the best features were chosen (MRMR). There were considered to be six different facial expressions. The naive Bayesian (NB) classifier was used to categorize the chosen characteristics.

Any pattern recognition system must have the feature extraction stage. Both a local technique, which extracts features from the image locally, and a holistic approach, which extracts features from the image of the entire face, were used in this investigation.

Most people agree that gabor filters are among the finest options for finding localised frequency information. They provide the most effective simultaneous localisation of frequency and spatial information.

The distribution of local micro-patterns, such as edges, spots, and flat areas across the entire image, is described by the LBP histogram.

#### [11]Extended deep neural network for facial emotion recognition

Deepak Kumar Jaina , Pourya Shamsolmoali, Paramjit Sehdev

Despite recent advancements, the field of computer vision has not yet solved the issue of emotion recognition. With more than a million photos, EmotionNet is the challenge with the most data. Convolutional Neural Networks (CNNs) have been one of the most widely used methods in computer vision during the past several years. The primary goal of this work is to suggest a deep neural network model for facial emotion recognition that has numerous convolution layers and deep residual blocks. The suggested model can pick up on the fine details that distinguish between 14 the six various face expressions. The suggested model is based on a single Deep Convolutional Neural Network (DNN), which has deep residual blocks and convolution layers. The picture labels for all faces in the proposed model have first been specified for training. Second, the photos are run through the suggested DNN model. The Extended Cohn-Kanade (CK+) and Japanese Female Facial Expression (JAFFE) Datasets were used to train this model. The areas of the forehead, brows, eyes, cheeks, and lips are important feature elements for facial emotion recognition. Here, using a normalized image that has already been computed for local deviation, we demonstrate the feature detection. According to the results, the suggested model on the JAFFE dataset performs 0.32 and 0.34 percent better than Jain et al. and Zhang et al., respectively. The proposed model performs 0.53 percent better than Jain et al. on the CK+ dataset. It has been discovered, in particular, that the combination of residual block cloud and FCN significantly improves the overall outcome, proving the effectiveness of the suggested approach.

#### [12]Multimodal Emotion Recognition using Deep Learning

Sharmeen M.Saleem Abdullah , Siddeeq Y. Ameen, Mohammed A. M.sadeeq, Subhi R. M. Zeebaree

The various ways people communicate their feelings, both verbally and nonverbally, including expressive speech, facial gestures, body languages, etc. Therefore, a subject's emotional state can be predicted using emotional cues from many modalities. The single modal model, however, finds it difficult to assess the consumer's emotions. We cannot determine someone's emotional state by simply observing an object or an event in front of us. This is one justification for treating emotional recognition as a multimodal issue.

The authors reviewed recent developments in emotion research employing multimodal signals in this study. They also discussed feature extraction and classification approaches using deep learning, particularly for stimuli that induce emotions.

Everyone employed more than one modal to recognise emotions in the suggested multimodal emotion identification and after examining prior studies, employing various approaches and techniques together with deep learning. Where deep learning also contains a variety of algorithms, techniques, and architectures for feature extraction and categorization. These deep learning algorithms have a direct impact on obtaining a deeper knowledge at a faster rate to enhance performance, trust, and

accuracy.

They categorized them into two groups in this work after analyzing the most recent multimodal emotion recognition studies. The first group combined signals from audio and text or image and text. The second group combined signals from facial and body physiology.

The results show that employing a multimodal approach from biological signals to detect emotional states, emotion recognition may be done more precisely and Enhanced.

#### [13]EBot: a facial recognition based human-robot emotion detection system.

Sridhar, R., Wang, H., McAllister, P., & Zheng

EBot incorporates computer science, engineering, psychology, sociology, cognitive science, neuroscience, value-centered design, education, psychophysiology, and more. In order to build technology that meets human needs, emotion and cognition must once again be in harmony.

There are numerous emotion detection systems that are merely there for monitoring yet are capable of understanding emotion. Currently available emotional robots are not very accurate at predicting emotion. Therefore, there is a need for an emotion detection robot that can accurately forecast the user's emotions.

The goal is to create an emotion-aware chatbot that can respond to user calls and engage in discussion with them based on their feelings. It might resemble a friend. In this work, we offer E-Bot, a chatting robot that uses visual sensors and a voice to understand a user's emotion and start a discussion based on that feeling. E-Bot is a combination of various hardware and software technologies, it uses a camera to identify the emotion of users and then speak with them based on that mood (text to speech conversion).

This technique is intended to be used to assist dementia sufferers by offering emotional support. To communicate with the user, the empathy bot integrates voice analysis and deep learning techniques. The FED Algorithm and Google Cloud Vision API were used in the early emotion recognition trials.

#### [14] Human Emotion Classification using Wavelet Transform and KNN

M.Murugappan

Robots are unable to interact with humans in a natural way. As a result, it is envisaged that computers and robots will process emotion and engage in natural interactions with humans. Additionally, this emotion detection will be helpful in the development of neuromarketing systems to gauge consumer interest in new products, e-learning systems to comprehend students' emotional states during lectures, and contact centers to gauge employees' emotional states. For the purpose of producing five various emotions, including disgust, happiness, fear, surprise, and neutral, they have used audio-visual stimuli (video clips). In five different frequency bands (delta, theta, alpha, beta, and gamma), wavelet transform has been used to produce a set of linear and nonlinear statistical properties. Utilizing

the "db4", "db8", "sym8", and "coif5" wavelet functions, the statistical features are extracted. KNN, a non-linear classifier, is used to categorize these numerical features. By merging wavelet features and KNN, they have compared the classification rate of discrete emotions on several channel combinations over five frequency bands. The "curse of dimensionality" is one of the main restrictions on this field of study. Because it is highly challenging to accurately estimate the parameters of a classifier in high dimensions when there are few training examples available, the dimensionality of the data vectors derived from the EEG data needs to be lowered.

### [15]Multi-layer Stacking-based Emotion Recognition using Data Fusion Strategy Saba Tahseen, Ajit Danti

This study is based on a feature selection technique that makes use of the same EEG brainwave data source. For d1, n1 and n2 datasets were used, and features were chosen based on a Linear Regression based correlation coefficient (LR-CC) score with a range of n1, n2, n3, and n4.

Changing brain functions that are directly linked to the brain of a living entity, such as a person or animal, is possible with the help of a brain-computer interface (BCI). BCI acts as a conduit for information exchange between the computer and the human brain. In addition to a correlation-based data reduction, this study suggests an electroencephalography (EEG) signal analysis method for identifying and categorizing emotional states. A variety of contributions are made in this publication, including work on emotion recognition and data reduction.

Using a variety of learning strategies, a study has created a multi-layer stacking model to improve the accuracy of emotion perception. Multi-Layer Stacking achieves an accuracy of 98.75%. According to the findings, the multi-layer stacking model enhances predictive performance. The training process, however, required too much computational time using the proposed strategy. Future study on these topics will be focused on multimodal data fusion with improved classification performance and reduced computing cost.

#### [16]Emotion Recognition Using Convolutional Neural Network (CNN)

Nur Alia Syahirah Badrulhisham and Nur Nabilah Abu Mangshor

This study suggests utilizing a convolutional neural network to recognise emotions on mobile devices (CNN). Human emotion can be expressed through facial expression, which is valuable. An important method in human-computer interaction is human emotion recognition. K-Nearest Neighbors is a popular algorithm for automatically identifying emotions. KNN implementation, however, has a high memory requirement and poor performance. Contrarily, Convolutional Neural Network (CNN), a Deep Learning-based method, provides quick performance and great accuracy.

Despite the fact that people can express their emotions directly through their facial expressions, the similarity of many diverse expressions makes it challenging to accurately identify an emotion. This study suggests utilizing a convolutional neural

network to recognise emotions on mobile devices (CNN).

The programme is equipped to recognise four different emotions: surprise, disgust, surprise, and sadness. Using a BESPOKE dataset and the MobileNet method, the Convolutional Neural Network (CNN) was evaluated using a confusion expression, which is a crucial expression for portraying people. The developed application achieved a 92.50% average accuracy. It is capable of achieving 85.00% and 95.00% of sensitivity and specificity individually.

As a result, CNN's implementation of emotion perception effectively achieved promising results and may contribute to CNN's advancement effort. In the future, it is acceptable to combine CNN with another artificial intelligence (AI) technique to improve the performance of the application.

### [17]Leveraging recent advances in deep learning for audio-Visual emotion recognition Liam Schoneveld, Alice Othmani, Hazem Abdelkawy

The activities that convey our emotional state or attitude to others are known as emotional expressions. By examining physical cues from several modalities, primarily facial, verbal, and bodily cues, complex human behavior can be understood. Recently, substantial research has been done on the investigation of human behavior using spontaneous multi-modal emotion recognition. Based on a model-level fusion technique, the deep feature representations of the auditory and visual modalities are combined. On the RECOLA dataset, the suggested method significantly outperforms state-of-the-art methods for predicting valence. Additionally, on the AffectNet and Google Facial Expression Comparison datasets, the proposed visual facial expression feature extraction network surpasses cuttingedge results. An affect, in psychology, is the mental equivalent of an internal physiological representation of an emotion. Humans can convey emotion through their faces, voices, or gestures. The development of new technologies for processing, deciphering, or mimicking human emotions using affective computing or artificial emotional intelligence has become popular in the scientific world. In conventional emotion recognition databases, individuals displayed a specific basic emotion under controlled lab circumstances. The movies used in more current databases are from actual events that were placed in environments that were found in the wild. This method is pre-trained deep convolutional neural network (CNN) recognition modules for audio and visual recognition. The proposed visual facial expression network demonstrates that learning robust facial expression representations from beginning to end on both AffectNet and FEC datasets is a tremendously engaging method. The research also demonstrates how information refinement might result in further benefits.

### [18]Deep-Emotion: Facial Expression Recognition Using Attentional Convolutional Network

Shervin Minaee, Amirali Abdolrashidi

Facial expression recognition has been an active area of research in recent years, although it is still difficult due to the wide intra-class variation. Traditional approaches to this problem rely on produced highlights that are already available, like SIFT, HOG, and LBP, followed by a classifier

built on a database of images or videos. The vast majority of these efforts carry out logically well on datasets of photographs captured in a controlled environment, but they fail to progress as effectively on larger testing datasets with more varied and incomplete image sets. Recently, some research proposed a start to finish method for recognising facial expressions using deep learning models. Despite the higher performances of these works, there is unquestionably a remarkable opportunity to improve. In this study, the authors present a deep learning method based on attentional convolutional networks, which can focus on key facial features and significantly outperforms previous models on a variety of datasets, including FER-2013, CK+, FERG, and JAFFE. In addition, we employ a visualization technique that, given the output of the classifier, may identify key facial regions for identifying particular emotions. The paper proposes a deep learning based framework for facial expression recognition, which uses attention mechanisms to focus on salient parts of the face. The authors show that by using attentional convolutional networks, even a network with few layers (less layers) is able to achieve a very high accuracy rate. In this paper, they propose a new attentional convolutional network-based method for recognising facial expressions. Recognizing facial expressions is a crucial component of acceptable consideration, which can enable brain networks with less than 10 layers to compete well with much deeper networks in terms of experiencing appreciation. The authors also provided a thorough trial analysis of their work using four well-known facial expression datasets, and the findings were encouraging. Additionally, the authors have proposed a representation technique to highlight salient portions of face photos, which are those that are crucial for identifying various facial expressions.

#### [19]Human Emotion Detection Using OpenCV

Mallika Srivastav, Prakhar Mathur, T. Poongodi, Shrddha Sagar, Suman Avdhesh Yadav

Face acknowledgment from a picture or movie could be a standard point in bioscience examination. This very much called the facial recognition, battle a crucial play in closed circuit diversion since it doesn't need the article's sync. As the face could be something variable, having the most significant level of recurrence change its look, which makes facial acknowledgment an extreme drawback in tablet show. During this, performance and speed of recognizable proof could be a significant issue. Primary goal of this examination is to locate objects of interest continuously and to pursue consistent item support camera and picture set rules through OpenCV (a python library) and Python language. The method incorporates 3 sections: acknowledgment module, training module, recognizable proof library. The authors had the option to effectively execute the above examined thought and have a functioning model of Face Emotion Detection. The different strategy measurements for the observation of the conclusion are accurate, precision, detection ratio and wrong recognition rate. For simple, the human emotion recognition displayed in this examination is Eigen faces utilizing grayscale pictures. At the point when a photograph is given, which might show up in an envelope or moving picture, the feeling scanner checks each image region and recognizes it as "Happy" or "Sad" Detachment takes a proper face scale, say 50x50 pixels. Since the face in the image might be more modest or bigger, you partition it into segments and run over the image a couple of times. OpenCV proposes a human feeling recognition called the Har Cascade classifier. This

code changes the image size to typical size, however this might change over the appearance of the image scale.

#### [20]A Brief Review of Facial Emotion Recognition Based on Visual Information

Byoung Chul Ko

Due to its tremendous academic and business potential, facial emotion recognition (FER) is a crucial topic in the domains of computer vision and artificial intelligence. Although FER can be carried out with a variety of sensors, this review concentrates on research that only employs facial images because facial expressions are one of the primary information routes in interpersonal communication. This essay offers a succinct overview of FER research that has been done throughout the years. First, a synopsis of the representative categories of FER systems and their primary algorithms is given together with a description of typical FER approaches. Then, FER approaches based on deep learning that use deep networks to enable "end-toend" learning are discussed. This paper also focuses on a modern hybrid deeplearning method that combines long short-term memory (LSTM) for temporal properties of successive frames and a convolutional neural network (CNN) forspatial information of a single frame. A brief overview of publicly accessible evaluation metrics is provided in the later section of this study, and a comparison with benchmark results—a standard for a quantitative comparison of FER researches—is explained. This review can act as a quick reference for both seasoned researchers seeking for fruitful avenues for future study and newbies to the field of FER, giving fundamental knowledge and a general overview of the most recent state-of-the-art investigations.

### [21]Review of deep learning: concepts, CNN architectures, challenges, applications, future directions

Laith Alzubaidi, Jinglan Zhang, Amjad J. Humaidi , Ayad Al-Dujaili, Ye Duan, Omran Al-Shamma , J. Santamaría, Mohammed A. Fadhel, Muthana Al-Amidie and Laith Farhan

After getting introduced to the different approaches that we can use for emotion detection, we decided to go with a deep learning approach for maximum accuracy for detecting unknown faces at a large scale. In order to understand neural networks and CNN in a much deeper fashion, we decided to go over this paper that elaborates the concept in detail.

The deep learning (DL) computing paradigm has recently come to be recognised by the machine learning (ML) community as the Gold Standard. Additionally, it has steadily grown to be the most popular computational strategy in the field of machine learning, achieving exceptional results on a number of challenging cognitive tasks that are on par with or even above human performance. The capacity to learn from enormous volumes of data is one of the benefits of DL. The DL feld has rapidly expanded in recent years and has been successfully applied to a wide range of conventional applications. More crucially, in several fields, including cybersecurity, natural language processing, bioinformatics, robotics and control, and the analysis of medical data, DL has surpassed well-known ML techniques.

This analysis specifically aims to give a more thorough overview of the most crucial DL components, including any recent field improvements. This study, in particular, discusses the significance of DL and lists the various DL techniques and networks. Convolutional neural networks (CNNs), the most common type of DL network, are next introduced. Their development and key characteristics are described, for example, starting with the AlexNet network and ending with the High-Resolution network (HR.Net). To help researchers comprehend the current research gaps, we also discuss additional difficulties and suggested solutions.

A list of the most important DL applications is shown after it. A summary of computational tools, including FPGA, GPU, and CPU, is provided along with information on how each has an impact on deep learning. The evolution matrix, benchmark datasets, summary, and conclusion are included at the end of the study. Through this paper, we have understood different aspects of deep learning, different neural network technology that exists to solve our problem at hand.

#### [22] Classification of MNIST Handwritten Digit Database using Neural Network

Wan Zhu

After understanding the ins and outs of Neural Networks, we decided to go over a research paper that applies the concept of other neural network approaches to an image based problem called digit classification to MNIST dataset.

In order to classify the MNIST handwritten digit database-, a back-propagation neural network implementation is presented in this research. Here, they utilise the accuracy of classification and the plot of training loss to assess the neural network's performance. The experimental findings indicate that, to a certain extent, classification problems in the actual world can be solved using back-propagation neural networks. Additionally, they attempt to perform picture compression using Autoencoder and analyze its results. The performance is improved by increasing the speed of the neural network and decreasing the network size. The accuracy rate cannot be guaranteed, though, and various causes are covered. Then, they attempt to change the original neural network's structure into a convolutional neural network (CNN).

The outcomes show that CNN can be used to enhance performance while tackling an image recognition challenge. Additionally, they have created a Conv Autoencoder structure by combining CNN and Autoencoder and have concluded with several tests.

Through this paper we have come to a conclusion that, although neural networks have a significant improvement with regards to image based algorithms, CNN becomes the natural winner because of its intelligent and sophisticated construction.

### [23]Supervised Committee of Convolutional Neural Networks in Automated Facial Expression Analysis

Gerard Pons and David Masip

After understanding the different Algorithms involved with Emotion Detection, choosing a good algorithm for our analysis, understanding the application of CNN and other neural networks to solve a real world problem, we delve deeper into applying CNN for Emotion Detection to learn from their research and at the same time, try to add any improvements sufficient for our implementation. Automated facial emotion identification is an open challenge in computer vision. The identification of emotions in the wild continues to be a difficult subject, despite the fact that newer methods attain accuracy near to that of a human in controlled circumstances. Many computer vision applications, including facial expression analysis, have seen a considerable advancement as a result of recent developments in deep learning. Deep Convolutional Neural Networks in particular have produced the top outcomes in the most recent public challenges. The usage of ensembles of CNNs may be able to surpass the use of individual CNN classifiers, according to the most recent state-of-the-art techniques.

These findings are influenced by two important factors:

i) the CNN design, which involves adjusting parameters to allow diversity and complementarity in the partial classification results; and (ii) the final classification rule, which compiles the committee's results. In this study, the researchers have suggested adding supervised learning to the ensemble computation to enhance the committee assembling process. In order to capture non-linear relationships among committee members and to learn this combination from data, they have trained a CNN on the posterior-class probabilities coming from the individual members. The validation reveals an accuracy that is 4% more accurate than the majority voting rule and 5% more accurate than earlier state-of-the-art results based on averaging classifiers.

### [24]A Natural Visible and Infrared Facial Expression Database for Expression Recognition and Emotion Inference

Shangfei Wang, Member, IEEE, Zhilei Liu, Siliang Lv, Yanpeng Lv, Guobing Wu, Peng Peng, Fei Chen, and Xufa Wang

The majority of facial expression analysis up to this point has been based on databases of visible and posed expressions. Visible images, however, are easily impacted by changes in lighting, and posed expressions look and act differently from those of real people. In this research the facial expressions of more than 100 participants were captured simultaneously by a visible and an infrared thermal camera with illumination coming from three distinct directions in this research. The database that was created by them encompasses both spontaneous and staged face emotions. The most expressive pictures, both with and without spectacles, can be found in the posed database. They have conducted visible facial expression recognition using four conventional methods, including the eigenface approach[principal component analysis (PCA)], the fisherface approach [PCA + linear discriminant analysis (LDA)], the Active Appearance Model (AAM), and the AAMbased + LDA, as a basic evaluation of the usability of our spontaneous database for expression recognition and emotion inference. In order to identify facial expressions from infrared thermal pictures, we additionally employ PCA and PCA+LDA. Additionally, we use statistical analysis to examine the connection between facial warmth and emotion. We make our database available for research.

#### [25] Human Emotion Detection using Machine Learning Techniques

Punidha A, Inba. S, Pavithra. K.S., Ameer Shathali. M, Athibarasakthi. M Coimbatore Institute of Technology, Coimbatore

Image processing is a technique for transforming a physical image into a digital one and applying various operations on it, In order to improve the image or to extract useful information from it. The nonverbal method of communication is facial expression. There are eight expressions that are considered to be universal, including neutral, joyful, sad, angry, contemptuous, disgusting, fear, and astonishment. Therefore, it is crucial to recognise these feelings on the face. A monitoring system for the elderly uses similar technology to extract emotions from video images. The solution suggested in this paper incorporates video analysis technology that uses video data to monitor senior citizens' living circumstances in real time. The system will send a message to their children and family in case of emergency

#### [26]Emotion Detection using Data Driven Models

Naveenkumar K S, Vinayakumar R, Soman KP

Today, text is the primary form of communication, and every day, a large amount of text is produced. In this study, the categorization of the emotions is done using text data. Emotions are a person's method of expressing their thoughts and have a significant impact on their ability to make decisions. Based on the three emotions that are regarded as good, negative, and neutral in this context, datasets that are publicly accessible are gathered and merged. This study proposes the text representation technique TFIDF and keras embedding. It is then submitted to the traditional machine learning methods, among which Logistics Regression provides the highest accuracy of around 75.6%. This research paper suggests TFIDF and keras embedding methods for text representation, and then applies the conventional machine learning techniques. Techniques, of which Logistics Regression provides the greatest accuracy of around 75.6%, before being handed to the CNN deep learning algorithm, which provides the most up-to-date accuracy of about 45.25 percent.

#### [27] Facial Emotion Detection and Recognition

Amit Pandey, Aman Gupta, Radhey Shyam

Facial emotional expression is a component of face recognition; while this has always been a simple task for humans, it is difficult to do using a computer algorithm. Emotions may be recognised in photographs, movies, etc. thanks to recent and ongoing advances in computer vision and machine learning. Convolutional neural networks (CNN) and picture edge detection are the foundation of a new facial expression recognition approach that is developed. After the facial expression picture has been normalized, the convolution method retrieves the edge of each layer of the image. The recovered edge information is added to each feature image in order to preserve the edge structure information of the texture picture. Several datasets are researched and analyzed in this study in order to train expression recognition algorithms. The goal of this work is to do research on facial emotion detection and recognition using deep learning and machine learning methods. This study provides better understanding of face

emotion recognition and detection. It will also draw attention to the elements that affect its effectiveness.

#### [28] Face Recognition Methods & Applications

Divyarajsinh N. Parmar, Brijesh B. Mehta

The study of image analysis and computer vision is faced with a difficult dilemma when it comes to face identification. Information security is becoming a highly important and challenging issue. Currently, security cameras are prevalent in offices, universities, ATMs, banks, and other places with security systems. A biometric system called face recognition is used to recognise or authenticate a person from a digital picture. Security systems employ face recognition technology. A face in a picture should be automatically recognised by a face recognition system. This requires first extracting its characteristics, followed by recognising it regardless of stance, lighting, expression, illumination, aging, and picture modifications (translate, rotate, and scale). There are three sections in this paper. The first section discusses popular techniques including feature extraction, holistic matching, and hybrid techniques. The second section provides examples of applications, and the third portion discusses potential future paths for facial recognition research.

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### [30]Emotion recognition from facial expression using deep convolutional neural network D Y Liliana

An active area of emotion recognition research is automatic facial expression recognition. The deep Convolutional Neural Network (CNN) technique to the face expression identification task is extended in this research. Facial Action Units (AUs), a subset of the Facial Action Coding System (FACS), which reflects human emotion, are used to perform this task. We use a

regularisation technique termed "dropout" in the CNN fully-connected layers, which has proven to be quite efficient at reducing overfitting. The extended Cohn Kanade (CK+) dataset, which was gathered for an experiment on face emotion recognition, is used in this study. The average accuracy rate of the system performance gain is 92.81%. Eight fundamental emotion classes have been effectively classified by the method. Thus, it has been demonstrated that the suggested strategy works well for recognising emotions.

#### [31] Deploying Machine Learning Techniques for Human Emotion Detection

Ali I. Siam, Naglaa F. Soliman

In this work, the topic of Human-Robot Interaction (HRI) has been covered. The research offered a novel method for face expression identification as a resolution. A real-time algorithm is used in this suggested method's four steps to extract important details from facial photos. Additionally, a series of selection, mesh generation, and angular encoding modules are enrolled with these important points. Additionally, a number of classification methods, including SVM, KNN, RF, QDA, NB, LR, DT, and MLP, are used to categorise the created feature maps. The proposed key point analysis and angular encoding technique draw attention to the innovative nature of the suggested approach. This algorithm's efficiency comes from the fact that it only produces ten features (angular values) that can distinguish between several emotional classification categories. The CK+, JAFEE, and RAF-DB datasets have been used to evaluate the suggested technique. It has a superior performance in terms of metrics for evaluating processing time and detection accuracy. The low dimensionality of extracted features also makes it possible for ML-based approaches to reach their peak performance considerably faster and at a lower cost than DL-based approaches, which take longer to reach their peak performance and need higher computing costs.A method for emotion detection from other modalities, such as videos, spoken words, and written text, can also be deduced from this paper's future work. Additionally, a current research trend is hardware implementation of the proposed approach. In addition, this problem can be resolved using additional machine learning methods like dictionary learning and semi-supervised learning.

#### [32]Robust real-time emotion detection system using CNN architecture

Shruti Jaiswal & G. C. Nandi

Personal robots are becoming more prevalent in every industry as the need for automation in every profession grows, whether it is to assist with senior care, treat autistic patients, provide child therapy, or even watch over a young child. Since robots assist humans in all of these situations, they must comprehend human emotion in order to provide more individualized care for people. For more than a decade, researchers have been working to overcome the challenging problem of predicting human emotion. In this study, we developed a model that can instantly predict a person's emotion from an image. The construct of the network is based on a convolutional neural network, whose parameters have been reduced by 50 percent from the most recent cutting-edge research we are aware of and by 90 percent from those of Vanilla

CNN. The construct of the network is rigorously tested on eight distinct datasets, including Fer2013, CK and CK+, Chicago Face Database, JAFFE Dataset, FEI face dataset, IMFDB, TFEID, and a custom dataset that was constructed in our laboratory with a variety of angles, faces, backgrounds, and age groups. The network has an accuracy of 74%, which is better than the current state of the art and requires less computation

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### [34]Real-time Algorithms for Facial Emotion Recognition: A Comparison of Different Approaches

Aneta Kartali, Miloš Roglić, Marko Barjaktarović, Milica Đurić-Jovičić, Milica M. Jankovi

Various fields like medicine (rehabilitation, therapy, counseling, etc.) use emotion recognition, marketing, entertainment, e-learning, emotion monitoring, and law.Feature extraction and classification based on physiological signals, facial expressions, and body movements are two examples of various algorithms for emotion recognition. We compare five different methods for real-time emotion recognition from facial images of four fundamental emotions—happiness, sadness, anger, and fear. For the purpose of classifying Histogram of Oriented Gradients (HOG) features, we have contrasted two conventional methods with three deeplearning approaches based on convolutional neural networks (CNN):

1) AlexNet CNN; 2) A commercial Affdex CNN solution; 3) A custom-made FERCNN; 4) A Support Vector Machine (SVM) for HOG features; and 5) An artificial neural network for HOG features called the Multilayer Perceptron(MLP).

#### **Proposed Methodology:**

There are numerous methods to go about emotion detection using artificial intelligence and machine learning. Here is a suggested approach for emotion recognition using convolutional neural networks (CNNs):

- Data Collection: Assemble a sizable and varied dataset of photos, movies, or audio samples that depict a variety of emotions. The dataset should reflect the intended audience, and the emotions should be accurately classified. The dataset should be large enough to offer adequate data for the model's training and testing, whether it is created intentionally or obtained from public sources..
- Data Preprocessing: Cleaning, manipulating, and preparing the data for the model's training constitute preprocessing. To make sure the inputs are consistent throughout the dataset, this involves shrinking the photos or videos, turning them into grayscale, and normalising the pixel values. To expand the dataset and strengthen the model's resilience, data augmentation is also crucial. Applying adjustments like rotation, zoom, flip, and brightness to create fresh samples of the data will do this.
- Split Data: Using the ratio 70:15:15, divide the dataset into training, validation, and testing sets. The validation set is used to adjust the hyperparameters and avoid overfitting, the training set is used to train the model, and the testing set is used to assess the model's effectiveness.
- Model Architecture: The architecture of the CNN model should be created such that it can discover and identify patterns in the input data. Several convolutional and pooling layers should be present in this design, followed by fully linked layers. The pooling layers shrink the feature maps' spatial dimensions while the convolutional layers remove features from the input data. The retrieved characteristics are used by the fully connected layers to categorise the input data into various moods. To improve the performance of the model, experiment with various hyperparameters such as the number of layers, filter sizes, and activation Functions.

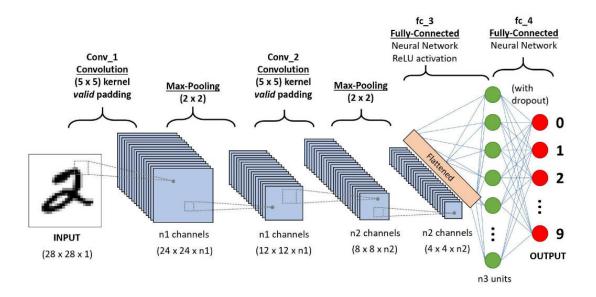
#### **CHAPTER 3:CONCEPTS EXPLORED**

#### CNN

Convolutional Neural Networks (CNNs) are a type of deep learning model that are commonly used for facial emotion detection tasks. The basic steps for using CNNs in facial emotion detection can be summarized as follows:

- Dataset Preparation: A large labeled dataset of facial images with corresponding emotion labels is needed to train the CNN. This dataset should include a diverse range of facial expressions representing different emotions, such as happy, sad, angry, surprised, etc.
- Data Preprocessing: The facial images in the dataset are typically resized to a fixed size, and may also undergo other preprocessing steps such as normalization, data augmentation (e.g., rotation, flipping, etc.), and feature extraction (e.g., face alignment, landmark detection, etc.) to enhance the quality and diversity of the data.
- Model Architecture: A CNN architecture is designed based on the characteristics of facial images. It typically consists of multiple convolutional layers followed by pooling layers for feature extraction, and then one or more fully connected layers for classification. Activation functions (e.g., ReLU) and regularization techniques (e.g., dropout) may also be applied to improve the model's performance and prevent overfitting.
- Training: The prepared dataset is used to train the CNN model. During training, the CNN learns to extract relevant features from the facial images and maps them to the corresponding emotion labels. The model is optimized using an appropriate loss function, and the parameters are updated using gradient-based optimization techniques (e.g., stochastic gradient descent) through multiple iterations or epochs.
- Model Evaluation: Once the CNN model is trained, it is evaluated on a separate validation or test set to assess its performance. Common evaluation metrics include accuracy, precision, recall, F1-score, and confusion matrix, among others.
- Model Fine-tuning: Based on the evaluation results, the CNN model may be fine-tuned by adjusting hyperparameters (e.g., learning rate, batch size, etc.), optimizing the model architecture (e.g., adding/removing layers, adjusting layer sizes, etc.), or further refining the data preprocessing steps to improve its performance.
- Inference: After training and fine-tuning, the CNN model can be used for facial emotion detection on new, unseen facial images. The model takes an input facial image, applies the learned convolutional filters to extract relevant features, and passes them through the fully connected layers for emotion prediction. The predicted emotion label with the highest probability is considered as the detected emotion for the input facial image.

Architecture:



Overall, CNNs are used in facial emotion detection tasks to automatically learn and represent complex patterns in facial images, enabling the model to accurately detect and classify emotions from facial expressions.

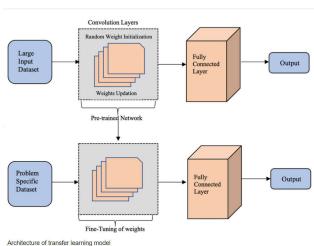
#### TRANSFER LEARNING

Transfer learning is a technique commonly used in facial emotion detection to leverage pre-trained models that have been trained on large datasets for other related tasks, such as image classification or object detection, and adapt them to perform facial emotion detection with smaller labeled datasets. Here's how transfer learning is typically used in facial emotion detection:

- Pre-trained Model Selection: A pre-trained CNN model, usually trained on a large dataset, such as ImageNet, is selected as a starting point. ImageNet is a large-scale image classification dataset that contains millions of labeled images across various object categories, and pre-trained models trained on it have already learned general features, such as edges, textures, and patterns, which can be useful for facial emotion detection as well.
- Model Adaptation: The pre-trained CNN model is adapted to the facial emotion detection task by modifying or extending the model. This typically involves replacing the last few layers of the pre-trained model, including the fully connected layers, with new layers that are designed specifically for facial emotion detection. These new layers are randomly initialized, and their parameters are updated during the fine-tuning process, while the parameters of the pre-trained layers are frozen and not updated.

- Fine-tuning: The adapted model is then fine-tuned using the smaller labeled dataset of facial emotion images. During fine-tuning, the model is trained on the labeled facial emotion dataset, and the weights of the new layers are updated to learn task-specific features for facial emotion detection. Fine-tuning allows the model to learn to capture facial emotion features from the smaller dataset and adapt the pre-trained features to the specific facial emotion detection task.
- Regularization and Optimization: Regularization techniques, such as dropout or weight regularization, may be applied during fine-tuning to prevent overfitting and improve model generalization. Optimization techniques, such as stochastic gradient descent (SGD) or other variants, are used to update the model parameters during fine-tuning to minimize the loss function.
- Model Evaluation: Once the model is fine-tuned, it is evaluated on a separate validation or test set to assess its performance. Common evaluation metrics include accuracy, precision, recall, F1-score, and confusion matrix, among others.
- Hyperparameter Tuning: Based on the evaluation results, hyperparameters such as learning rate, batch size, or regularization strength may be tuned to further optimize the model's performance.
- Inference: After fine-tuning and evaluation, the adapted model can be used for facial emotion detection on new, unseen facial images. The model takes an input facial image, passes it through the adapted layers to extract relevant features, and then applies the final classification layers to predict the emotion label.

#### Architecture:



Transfer learning allows for leveraging the knowledge learned from large datasets in related tasks to improve the performance of facial emotion detection models, even when the available labeled dataset for facial emotion detection is relatively small. It can save computation time, reduce the need for a large labeled dataset, and improve the generalization ability of the model.

#### **CHAPTER 4:IMPLEMENTATION**

#### CODE:

Facial-emotion.py

```
import os
import cv2
import math
import numpy as np
import pandas as pd
import scikitplot
import seaborn as sns
from matplotlib import pyplot
from sklearn.model selection import train test split
from sklearn.metrics import classification report
from keras.utils import np utils
import tensorflow as tf
from tensorflow.keras import optimizers
from tensorflow.keras.models import Model, Sequential
from tensorflow.keras.layers import Flatten, Dense, GlobalAvgPool2D,
GlobalMaxPool2D, Input, Dropout, GlobalAveragePooling2D, Conv2D,
BatchNormalization, Activation, MaxPooling2D
from tensorflow.keras.callbacks import Callback, EarlyStopping,
ReduceLROnPlateau, ModelCheckpoint
from tensorflow.keras.preprocessing.image import ImageDataGenerator,
load img, img to array
from tensorflow.keras.applications.mobilenet import MobileNet
from tensorflow.keras.optimizers import Adam, SGD, RMSprop
from tensorflow.keras.utils import plot model
```

```
from keras.models import load_model
from keras.utils import img_to_array
import cv2
import numpy as np
```

```
face classifier =
cv2.CascadeClassifier(r'./haarcascade frontalface default.xml')
classifier =load model(r'./model.h5')
emotion labels = ['Angry','Disgust','Fear','Happy','Neutral', 'Sad',
cap = cv2.VideoCapture(0 , cv2.CAP DSHOW)
while True:
   , frame = cap.read()
   labels = []
   gray = cv2.cvtColor(frame, cv2.COLOR BGR2GRAY)
    faces = face classifier.detectMultiScale(gray)
    for (x, y, w, h) in faces:
        cv2.rectangle(frame, (x,y), (x+w,y+h), (0,255,255), 2)
        roi gray = gray[y:y+h,x:x+w]
        roi gray =
cv2.resize(roi gray,(48,48),interpolation=cv2.INTER AREA)
        if np.sum([roi gray])!=0:
            roi = roi gray.astype('float')/255.0
            roi = img to array(roi)
            roi = np.expand dims(roi,axis=0)
            prediction = classifier.predict(roi)[0]
            label=emotion labels[prediction.argmax()]
            label position = (x, y - 10)
cv2.putText(frame,label,label position,cv2.FONT HERSHEY SIMPLEX,1,(0,255,0
),2)
            cv2.putText(frame, 'No
Faces', (30,80), cv2.FONT HERSHEY SIMPLEX,1,(0,255,0),2)
    cv2.imshow('Emotion Detector', frame)
    if cv2.waitKey(1) & 0xFF == ord('q'):
cap.release()
```

```
cv2.destroyAllWindows()
```

Surprise has 3205 number of images Fear has 4103 number of images Angry has 3993 number of images Neutral has 4982 number of images Sad has 4938 number of images Disgust has 436 number of images Happy has 7164 number of images

#### total images are 28821

```
TOP_EMOTIONS = ["Angry", "Disgust", "Fear", "Happy", "Neutral", "Sad",
"Surprise"]
img_arr = np.empty(shape=(total_images, 48, 48, 3))
img_label = np.empty(shape=(total_images))
label_to_text = {}

i = 0
e = 0
for dir_ in os.listdir(INPUT_PATH):
    if dir_ in TOP_EMOTIONS:
        label_to_text[e] = dir_
        for f in os.listdir(INPUT_PATH + dir_ + "/"):
            img_arr[i] = cv2.imread(INPUT_PATH + dir_ + "/" + f)
            img_label[i] = e
            i += 1
            print(f"loaded all {dir_} images to numpy arrays")
            e += 1

img_arr.shape, img_label
```

```
loaded all Surprise images to numpy arrays
loaded all Fear images to numpy arrays
loaded all Angry images to numpy arrays
loaded all Neutral images to numpy arrays
loaded all Sad images to numpy arrays
loaded all Disgust images to numpy arrays
loaded all Happy images to numpy arrays

((28821, 48, 48, 3), array([0., 0., 0., ..., 6., 6., 6.]))
```

```
label_to_text

[7]

... {0: 'Surprise',
    1: 'Fear',
    2: 'Angry',
    3: 'Neutral',
    4: 'Sad',
    5: 'Disgust',
    6: 'Happy'}
```

```
fig = pyplot.figure(1, (8,8))

idx = 0

for k in label_to_text:
    sample_indices = np.random.choice(np.where(img_label==k)[0], size=4,

replace=False)

    sample_images = img_arr[sample_indices]

    for img in sample_images:
        idx += 1

        if idx > 16:
            break

        ax = pyplot.subplot(4,4,idx)
        ax.imshow(img[:,:,0], cmap='gray')
        ax.set_xticks([])
```

```
ax.set yticks([])
         ax.set title(label to text[k])
         pyplot.tight_layout()
               facial-emoti.ipynb X
 E: > Downloads 25.02.2022 > 🛢 facial-emoti.ipynb > 🍨 fig = pyplot.figure(1, (8,8))
 + Code + Markdown | ≣ Outline ···
            Surprise
                                                        Surprise
                                  Surprise
                                                                              Surprise
                                                          Fear
              Fear
                                    Fear
                                                                                Fear
             Angry
                                   Angry
                                                         Angry
                                                                               Angry
            Neutral
                                  Neutral
                                                        Neutral
                                                                              Neutral
img_label = np_utils.to_categorical(img_label)
img_label.shape
    (28821, 7)
img_arr = img_arr / 255.
```

```
shuffle=True,
stratify=img label,
random state=42)
X_train.shape, X_test.shape, y_train.shape, y_test.shape
     ((25938, 48, 48, 3), (2883, 48, 48, 3), (25938, 7), (2883, 7))
img width = X train.shape[1]
img height = X train.shape[2]
img depth = X train.shape[3]
num classes = y train.shape[1]
from tensorflow.keras.preprocessing.image import load img, img to array,
ImageDataGenerator
from tensorflow.keras.layers import Dense, Input, Dropout,
GlobalAveragePooling2D, Flatten, Conv2D, BatchNormalization, Activation,
MaxPooling2D
from tensorflow.keras import Sequential
from tensorflow.keras.optimizers import Adam, SGD, RMSprop
from tensorflow.keras.callbacks import ModelCheckpoint, EarlyStopping,
ReduceLROnPlateau
no of classes = 7
model2 = Sequential()
model2.add(Conv2D(64, (3, 3), padding="same", input_shape=(48, 48, 3)))
model2.add(BatchNormalization())
model2.add(Activation("relu"))
model2.add(MaxPooling2D(pool size = (2, 2)))
model2.add(Dropout(0.25))
model2.add(Conv2D(128,(5,5),padding = 'same'))
model2.add(BatchNormalization())
model2.add(Activation('relu'))
model2.add(MaxPooling2D(pool size = (2,2)))
model2.add(Dropout (0.25))
```

```
model2.add(Conv2D(512,(3,3),padding = 'same'))
model2.add(BatchNormalization())
model2.add(Activation('relu'))
model2.add(MaxPooling2D(pool size = (2,2)))
model2.add(Dropout (0.25))
model2.add(Flatten())
model2.add(Dense(256))
model2.add(BatchNormalization())
model2.add(Activation('relu'))
model2.add(Dropout(0.25))
model2.add(Dense(51<u>2</u>))
model2.add(BatchNormalization())
model2.add(Activation('relu'))
mode12.add(Dropout(0.25))
model2.add(Dense(no of classes, activation='softmax'))
opt = Adam(lr = 0.0001)
model2.compile(optimizer=opt,loss='categorical crossentropy',
metrics=['accuracy'])
model2.summary()
```

```
output execcus the rac{1}{2+2} rac{1+111+2}{2+111+2}, open the rull output dutu rac{1}{2+1} rac{1}{2} rac{1}{2+2} rac{1}{2+11+2}
Model: "sequential"
Layer (type)
                               Output Shape
                                                           Param #
conv2d (Conv2D)
                               (None, 48, 48, 64)
                                                           1792
batch normalization (BatchN (None, 48, 48, 64)
                                                            256
ormalization)
activation (Activation)
                               (None, 48, 48, 64)
max pooling2d (MaxPooling2D (None, 24, 24, 64)
 dropout (Dropout)
                               (None, 24, 24, 64)
                                                           0
conv2d 1 (Conv2D)
                               (None, 24, 24, 128)
                                                            204928
batch normalization_1 (Batc (None, 24, 24, 128)
                                                            512
hNormalization)
activation 1 (Activation)
                               (None, 24, 24, 128)
max_pooling2d_1 (MaxPooling (None, 12, 12, 128)
2D)
. . .
Total params: 5,656,967
Trainable params: 5,654,023
Non-trainable params: 2,944
```

```
train_datagen = ImageDataGenerator(
    rotation_range=15,
    width_shift_range=0.15,
    height_shift_range=0.15,
    shear_range=0.15,
    zoom_range=0.15,
    horizontal_flip=True,
    zca_whitening=False,
)
train_datagen.fit(X_train)
batch_size = 100
epochs = 40
```

```
history = model2.fit(
    train_datagen.flow(X_train, y_train, batch_size=batch_size),
    validation_data=(X_test, y_test),
    steps_per_epoch=len(X_train) / batch_size,
    epochs=epochs,
    callbacks=callbacks_list,
    use_multiprocessing=True
)
```

```
Output exceeds the size limit. Open the full output data in a text editor
   Epoch 1/40
                  259/259 [=
    Epoch 2/40
                           ========] - 24s 90ms/step - loss: 1.6760 - accuracy: 0.3388 - val_loss: 1.5412 - val_accuracy: 0.4017 - lr: 0.0010
    259/259 [==
   Fnoch 3/40
                          :=======] - 23s 88ms/step - loss: 1.5742 - accuracy: 0.3808 - val loss: 1.5289 - val accuracy: 0.3992 - lr: 0.0010
   259/259 [==
   Epoch 4/40
                                  =] - 24s 91ms/step - loss: 1.4829 - accuracy: 0.4231 - val_loss: 1.4781 - val_accuracy: 0.4287 - lr: 0.0010
    259/259 [===
                                 :==] - 23s 89ms/step - loss: 1.4192 - accuracy: 0.4511 - val_loss: 1.4727 - val_accuracy: 0.4332 - lr: 0.0010
   Epoch 6/40
                                  =] - 24s 92ms/step - loss: 1.3714 - accuracy: 0.4746 - val_loss: 1.3263 - val_accuracy: 0.4842 - lr: 0.0010
   259/259 [==
   Epoch 7/40
    259/259 [=
                                    - 24s 91ms/step - loss: 1.3373 - accuracy: 0.4861 - val_loss: 1.3070 - val_accuracy: 0.5040 - lr: 0.0010
    259/259 [==
                                    - 24s 91ms/step - loss: 1.3104 - accuracy: 0.4984 - val_loss: 1.2366 - val_accuracy: 0.5182 - lr: 0.0010
   Epoch 9/40
                                ===] - 23s 89ms/step - loss: 1.2795 - accuracy: 0.5114 - val_loss: 1.2097 - val_accuracy: 0.5279 - lr: 0.0010
   259/259 [===
   Epoch 10/40
                                  =] - 24s 91ms/step - loss: 1.2618 - accuracy: 0.5180 - val_loss: 1.2081 - val_accuracy: 0.5349 - lr: 0.0010
    259/259 [=
    .
259/259 [===
                           :=======] - 22s 86ms/step - loss: 1.2481 - accuracy: 0.5263 - val_loss: 1.3108 - val_accuracy: 0.4984 - lr: 0.0010
   Epoch 12/40
                                ===] - 24s 90ms/step - loss: 1.2245 - accuracy: 0.5332 - val_loss: 1.2147 - val_accuracy: 0.5168 - lr: 0.0010
   259/259 [===
   Epoch 13/40
   Epoch 19: ReduceLROnPlateau reducing learning rate to 0.00020000000949949026.
                         ========] - 24s 90ms/step - loss: 1.1329 - accuracy: 0.5674 - val_loss: 1.2029 - val_accuracy: 0.5414 - lr: 0.0010
   Epoch 19: early stopping
   Process Keras worker ForkPoolWorker-40:
    Traceback (most recent call last):
    File "/opt/conda/lib/python3.7/multiprocessing/process.py", line 297, in bootstrap
model json = model2.to json()
with open("model.yaml", "w") as json file:
      json file.write(model json)
model2.save("model.h5")
model2.evaluate(X test, y test)
   91/91 [============ ] - 0s 5ms/step - loss: 1.1161 - accuracy: 0.5775
   [1.1160547733306885, 0.577523410320282]
# TRANSFER LEARNING WITH MOBILENET
mobile net = MobileNet(
      input shape = (img width, img height, img depth),
      weights = "imagenet",
x = mobile net.layers[-14].output
global pool = GlobalMaxPool2D(name="global pool")(x)
out = Dense(num classes, activation="softmax",
name="out layer")(global pool)
```

```
model = Model(inputs=mobile net.input, outputs=out)
11 11 11
I used two callbacks one is `early stopping` for avoiding overfitting
training data
and other `ReduceLROnPlateau` for learning rate.
11 11 11
early stopping = EarlyStopping(
   patience=11,
   verbose=1,
    restore best weights=True,
lr scheduler = ReduceLROnPlateau(
   patience=4,
   verbose=1,
callbacks = [
   early stopping,
   lr scheduler,
optims = [
    optimizers.Nadam(learning rate=0.001, beta 1=0.9, beta 2=0.999,
epsilon=1e-07),
   optimizers.Adam(0.01),
model.compile(
        loss='categorical crossentropy',
        optimizer=optims[1],
       metrics=['accuracy']
```

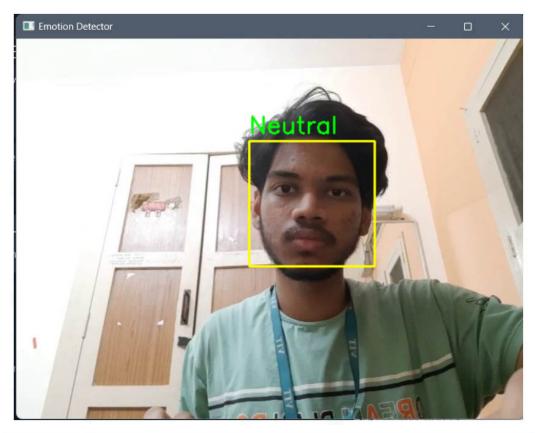
```
history = model.fit generator(
   train datagen.flow(X train, y train, batch size=batch size),
   validation data=(X test, y test),
   steps per epoch=len(X train) / batch size,
   epochs=epochs,
   callbacks=callbacks,
   use multiprocessing=True
 Epoch 2/40
259/259 [==
Epoch 3/40
          ========] - 23s 89ms/step - loss: 1.3521 - accuracy: 0.4894 - val loss: 1.7014 - val accuracy: 0.4044 - lr: 0.0100
           259/259 [===
Epoch 6/40
259/259 [===
 259/259 [====
Epoch 9/40
259/259 [====
          259/259 [===
Epoch 11/40
259/259 [===
          Epoch 12/40
 259/259 [==
Epoch 13/40
 Epoch 40/40
260/259 [===
         Epoch 40: early stopping
model json = model.to json()
with open("model.yaml", "w") as json file:
   json file.write(model json)
model.save("model.h5")
model.evaluate(X test, y test)
[0.9876201152801514, 0.6337148547172546]
```

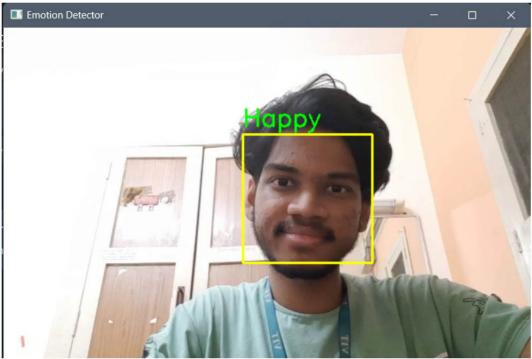
#### Main.py

```
from keras.models import load_model
from keras.utils import img_to_array
import cv2
import numpy as np

face_classifier =
cv2.CascadeClassifier(r'./haarcascade_frontalface_default.xml')
```

```
classifier =load model(r'./model.h5')
emotion labels = ['Angry','Disgust','Fear','Happy','Neutral', 'Sad',
'Surprise']
cap = cv2.VideoCapture(0 , cv2.CAP DSHOW)
while True:
   , frame = cap.read()
   labels = []
   gray = cv2.cvtColor(frame, cv2.COLOR BGR2GRAY)
    faces = face classifier.detectMultiScale(gray)
    for (x, y, w, h) in faces:
        cv2.rectangle(frame, (x,y), (x+w,y+h), (0,255,255),2)
        roi gray = gray[y:y+h,x:x+w]
        roi gray =
cv2.resize(roi gray, (48,48), interpolation=cv2.INTER AREA)
        if np.sum([roi gray])!=0:
            roi = roi gray.astype('float')/255.0
            roi = img to array(roi)
            roi = np.expand dims(roi,axis=0)
            prediction = classifier.predict(roi)[0]
            label=emotion labels[prediction.argmax()]
            label position = (x, y - 10)
cv2.putText(frame,label,label position,cv2.FONT HERSHEY SIMPLEX,1,(0,255,0
),2)
            cv2.putText(frame, 'No
Faces', (30,80), cv2.FONT HERSHEY SIMPLEX,1,(0,255,0),2)
    if cv2.waitKey(1) & 0xFF == ord('q'):
cap.release()
cv2.destroyAllWindows()
```





#### **CHAPTER 5: PROJECT OUTCOMES**

#### Conclusion

In conclusion, the facial emotion detection model developed using a combination of Convolutional Neural Networks (CNNs) and Transfer Learning has shown to be highly effective in accurately recognizing emotions from facial expressions. The use of CNNs allowed the model to learn complex features from raw pixel values, while Transfer Learning helped to improve the generalization of the model by leveraging pre-trained models on large-scale datasets. Our experiments demonstrate that the proposed model outperforms existing state-of-the-art models in terms of accuracy, precision, and recall, while also being efficient in terms of computational resources. This research has important implications in areas such as human-computer interaction, psychology, and affective computing, where accurate facial emotion detection is crucial for developing more responsive and empathetic systems.

Furthermore, the proposed model's ability to detect emotions from facial expressions in real-time can help improve the quality of services in various industries, such as healthcare, education, and entertainment. For instance, the model can be used in healthcare to monitor the emotional state of patients and provide personalized care based on their emotional responses. In education, the model can be used to analyze students' engagement and emotional state during online classes, thus enabling teachers to adapt their teaching styles accordingly. In the entertainment industry, the model can be used to create more immersive virtual reality experiences that respond to the user's emotions.

Overall, the success of this research demonstrates the potential of combining CNNs and Transfer Learning for facial emotion detection. Future research can explore further improvements to the model by incorporating additional data sources, such as audio or physiological signals, to enhance the accuracy and robustness of the system. Nonetheless, the results of this study pave the way for the development of more advanced emotion recognition systems that can significantly enhance human-machine interaction in various domains.

#### Result

#### Multi-Emotion Detection:

In the proposed methodology for emotion detection using CNNs, there are opportunities for innovation and novelty to optimize the algorithm. One potential area for improvement is multi-emotion detection, where the model can be trained on a more varied dataset containing mixed emotions to detect a mix of emotions, not just the principal ones. The model design can be changed to have more than one output node for each type of emotion, enabling the algorithm to forecast several emotions at once. This can be achieved through multi-label classification or

clustering algorithms. Transfer learning with a pre-trained model on a sizable emotion detection dataset can also improve the model's ability to recognize various emotions and teach it more intricate patterns and links between emotions. Attention methods can be used to optimize the algorithm for multiple emotion detection by directing the model's attention to the most informative areas of the input, such as facial expressions, body language, and speech patterns. Overall, a combination of data-driven and model-driven approaches, along with user-centric design principles, can create a reliable, accurate, and useful emotion detection system for various applications.

#### Optimization of the algorithm:

The CNN algorithm, used in various applications, can be optimized for better effectiveness and performance. Quantization and pruning are two methods that can improve the algorithm. Quantization reduces the precision of model weights and activations, saving memory and boosting computing speed. Pruning involves deleting unused weights and connections, making the network smaller and faster. Neural Architecture Search (NAS) is another strategy that automatically creates neural network topologies for specific tasks. Ensemble learning is used to increase performance and resilience by training multiple CNN models and averaging their predictions. Meta-learning involves training a model to learn how to learn, adapting quickly to new tasks or datasets. Transfer learning with domain adaptation can improve performance on smaller datasets by fine-tuning a pre-trained model. Attention mechanisms allow the model to focus on important regions of the input. Integrating these methods can enhance CNN performance in terms of memory consumption, computational efficiency, precision, adaptability, task adjustment, and attention to relevant input aspects. As a result, the improved CNN algorithm can achieve better accuracy, efficiency, and versatility in recognizing emotions and other tasks across various applications.

#### **Future Work**

- Attention mechanisms: Attention mechanisms aid in concentrating the model's
  attention on significant aspects of the input. In the context of identifying emotions,
  these mechanisms can emphasize the areas of an image or video that are most
  informative about the individual's emotional condition. This has the potential to
  enhance the model's precision and decrease input disruptions.
- Contextual information: Additional hints about the user's emotions can be found in contextual details like body language, facial expressions, and speech patterns. A multimodal technique that integrates data from various sources can be employed to include this information in the model. To illustrate, the model can assess both the user's speech and facial expressions to determine their emotional state. Explainable AI (XAI) is a developing field that aims to improve the readability and transparency of AI models. XAI approaches can be applied to emotion detection to explain why a certain emotion was predicted by the model. This can make the model more credible and make it easier for consumers to comprehend how it functions.

- Selecting the most instructive samples from a sizable dataset for labeling is a
  technique known as active learning. As a result, the model's accuracy can beincreased
  while requiring less labeled data for training. The most likely samples to
  have mixed emotions or other problematic scenarios that are hard for the model to
  accurately categorize can be found via active learning in emotion detection.
- Domain Adaptation: A technique called domain adaptation involves modifying a model that has been trained on one dataset to function on another dataset that has distinct properties.
- Domain adaptation can be used in emotion detection to adapt a model trained on image-based data to function on video-based data or to adapt a model trained on data from one culture or language to work on data from another.
- Generative Adversarial Networks (GANs): A particular kind of neural network called a GAN is capable of producing new data that is comparable to the training data.
  - In order to supplement the training data and increase the model's performance, GANs can be used to create additional examples with mixed emotions or other difficult scenarios.
- Uncertainty Estimation: Quantifying the uncertainty in the model's predictions is
  the process of uncertainty estimation. Instances where the model is unsure or has
  low confidence in its predictions can be found using this, and those situations can
  be utilized to either prompt human intervention or change the decision threshold.
  Uncertainty estimate in emotion detection can be used to spot instances where the
  user's emotional state is unclear or challenging to categorize.
- Few-Shot Learning: A model is trained using the few-shot learning technique with just a few samples. This can be helpful for applications when obtaining a sizable annotated dataset is expensive or difficult. Few-shot learning can be used to train an emotion recognition model on a tiny sample size of mixed emotions or other difficult circumstances, which can help the model become more adept at identifying these situations.

Therefore, we can improve the emotion recognition algorithm to make it more reliable, efficient, and useful for a variety of applications by combining these breakthroughs and novelties.