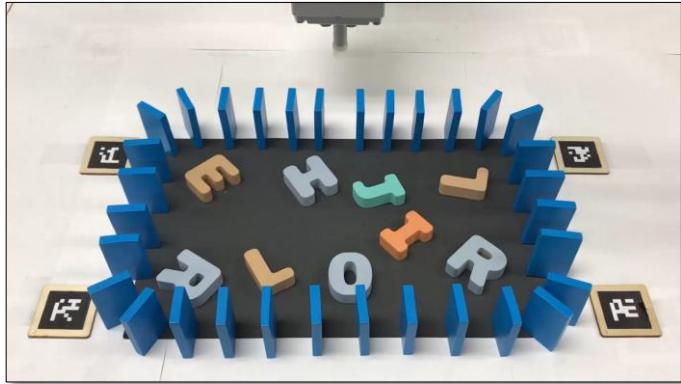


# Rubik Tables, Stack Rearrangement, and Multi-Robot Routing

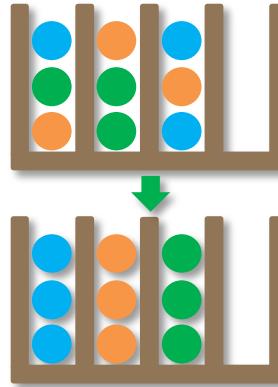
Jingjin Yu

Computer Science @ Rutgers University

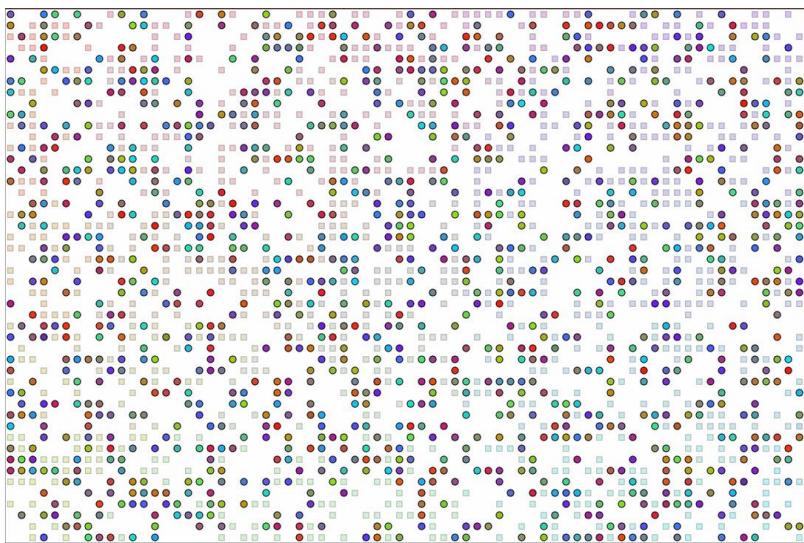
# Background: A “Random Walk” Between Topics



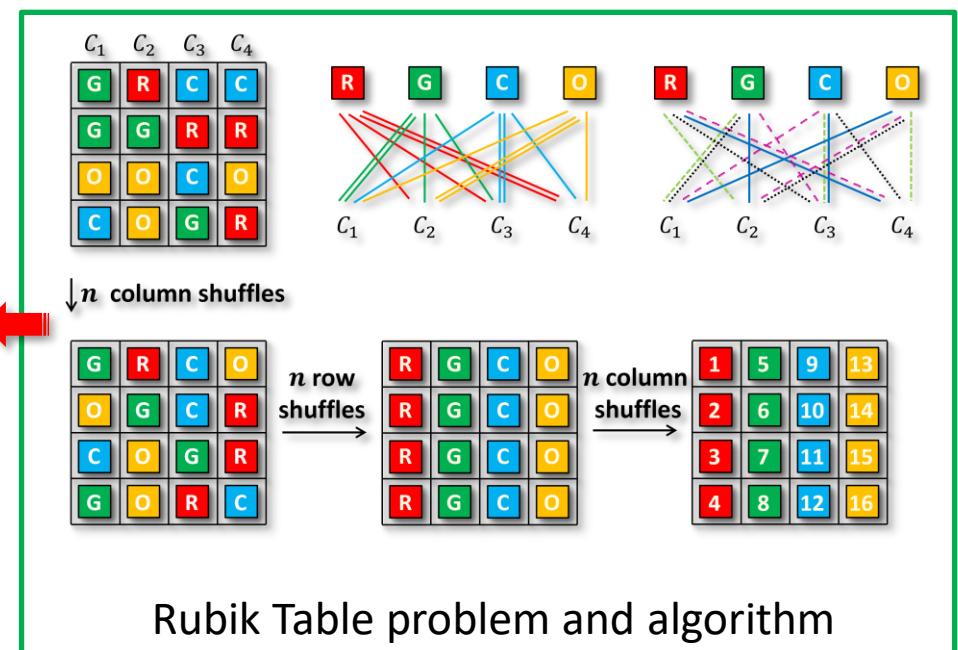
Long-horizon rearrangement



Stack rearrangement



Poly-time 1.x-optimal multi-robot routing



# Collaborators & Main Publications Discussed



Teng Guo



Mario Szegedy

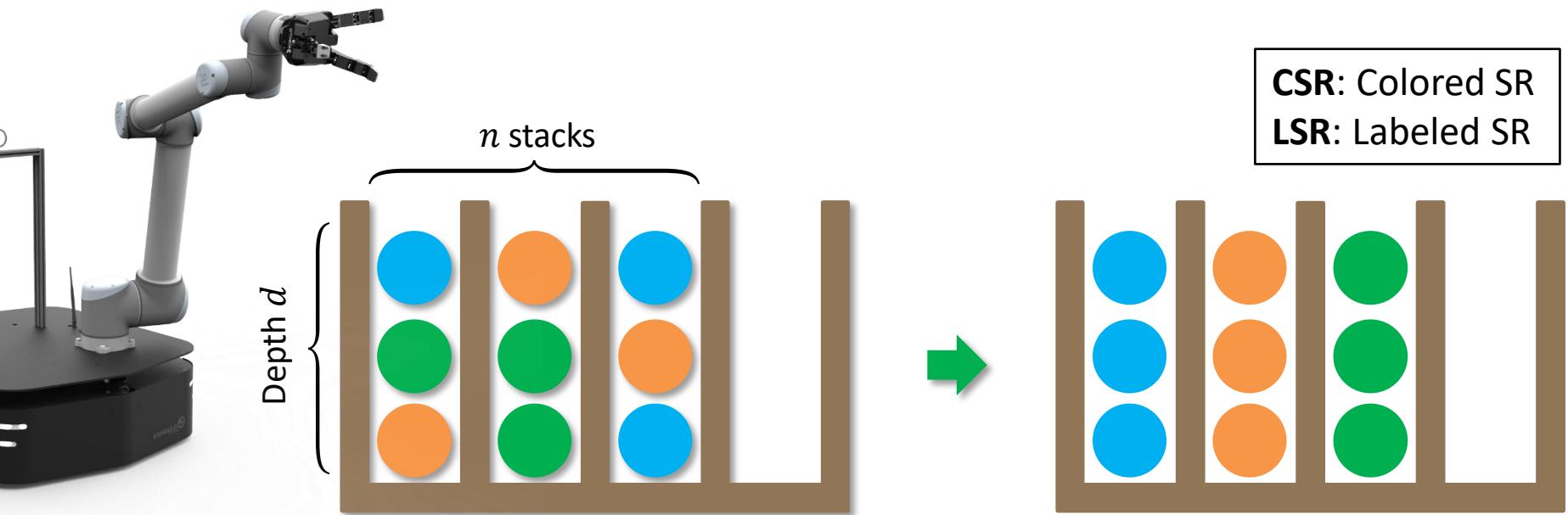
## Main publications discussed:

[IJRR 2022] M. Szegedy and J. Yu. **Rubik Tables and Object Rearrangement**.

[RSS 2022] T. Guo and J. Yu. **Sub-1.5 Time-Optimal Multi-Robot Path Planning on Grids in Polynomial Time**.



# Stack Rearrangement



**Objective:** Minimizing the total number of stack pop-push operations

**Proposition (Lower Bound).** LSR on average requires  $\Omega(nd \left(1 + \frac{\log d}{\log n}\right))$  pop-pushes to solve. CSR on average requires  $\Omega(nd)$  pop-pushes.

$$\sim \Omega(nd)$$

Proof sketch: there are  $(nd)!$  unique LSR instances. In each step, branching factor is no more than  $(n + 1)^2$ . Put these together,

$$((n + 1)^2)^t \geq (nd)! \Rightarrow t \geq nd \left(1 + \frac{\log d}{\log n}\right)$$

# Upper Bounds and the Remaining Gap



**Proposition (Simple Upper Bound).** CSR can be solved using  $O(nd \log n)$  pop-pushes. LSR can be solved using  $O(nd(\log n + \log d))$  pop-pushes.

Han et al, RA-L 2018

Proof sketch: use divide-n-conquer over  $n$  stacks and then sort each stack.

**Proposition (Alternative Upper Bound).** CSR can be solved using  $7nd(1 + \log d)$  pop-pushes. LSR can be solved using  $7nd + 8nd \log d$  pop-pushes.

Proof sketch: use divide-n-conquer but with more careful recursion analysis

**Question:** Can we close or narrow the gap, e.g.,  $\Omega(nd)$  lower bound and  $O(nd \log n)$  or  $O(nd \log d)$  upper bound for CSR?

**Potential idea:** Multiple levels of recursion over  $d$ ?

# The Rubik Table Problem

**Rubik table problem:** Given an  $n \times n$  square filled with  $n$  types of items of  $n$  each. In a *shuffle*, one may permute a single row or column arbitrarily. How many shuffles are needed for realizing an arbitrary reconfiguration?

9	5	32	22	23	25
36	12	3	6	2	4
17	29	20	26	10	7
19	13	33	1	27	21
15	24	8	34	35	31
18	11	14	30	16	28



1	7	13	19	25	31
2	8	14	20	26	32
3	9	15	21	27	33
4	10	16	22	28	34
5	11	17	23	29	35
6	12	18	24	30	36

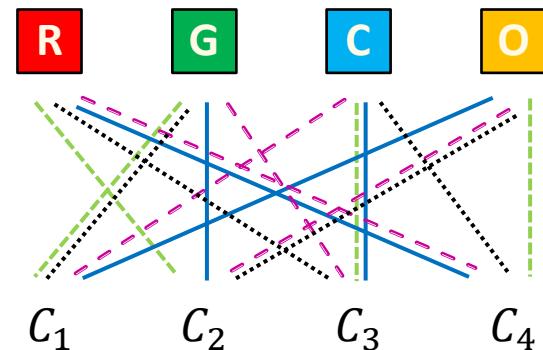
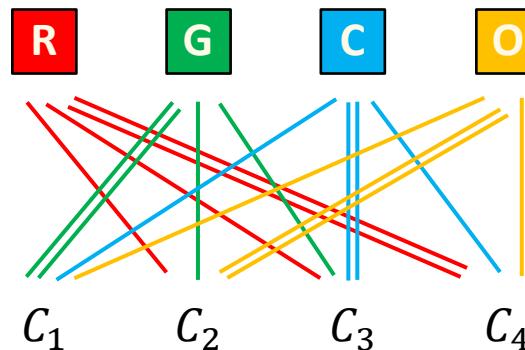
**Theorem.** The Rubik table problem can be solved with  $2n$  shuffles.

**Corollary.** The labeled Rubik table problem can be solved with  $3n$  shuffles.

# The Rubik Table Algorithm

Key step: permute each column so we reach the situation where the  $n$  items destined to go to a given column (e.g., a given color) end up in different rows

$C_1$	$C_2$	$C_3$	$C_4$
G	R	C	C
G	G	R	R
O	O	C	O
C	O	G	R



↓  $n$  column shuffles

G	R	C	O
O	G	C	R
C	O	G	R
G	O	R	C

$n$  row shuffles →

R	G	C	O
R	G	C	O
R	G	C	O
R	G	C	O

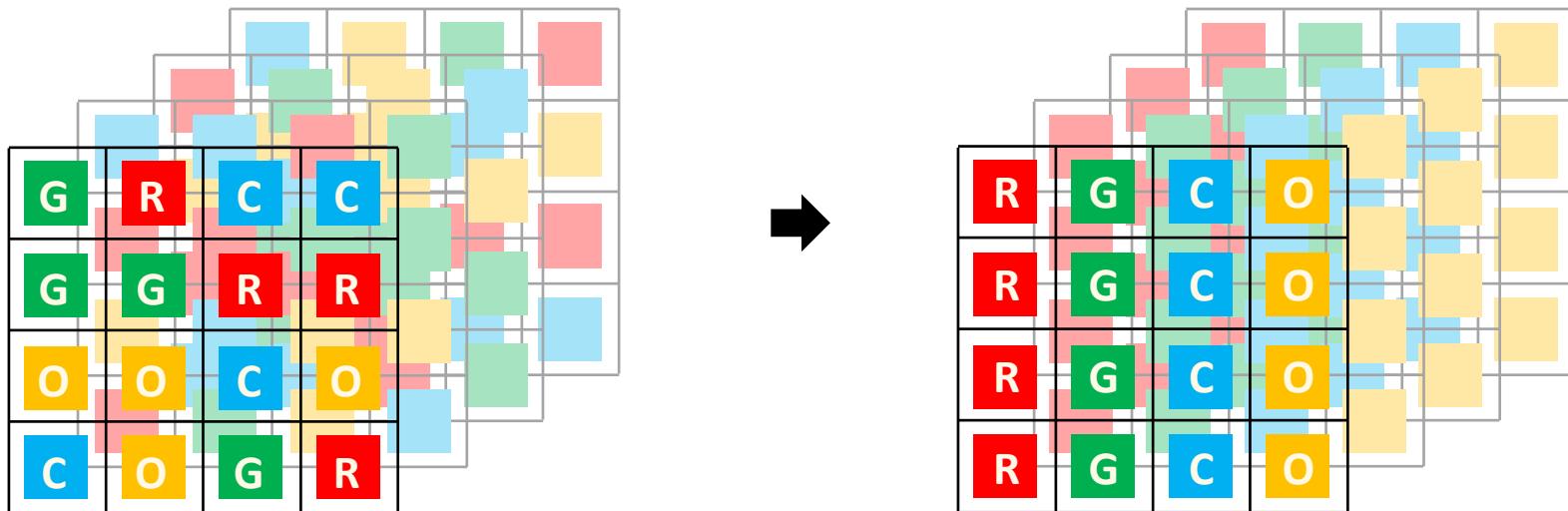
→  $n$  column shuffles

1	5	9	13
2	6	10	14
3	7	11	15
4	8	12	16

The algorithm applies to **rectangular tables** without modification

# Fat Rubik Table Problem

**Fat Rubik table problem:** Given an  $n \times n \times K$  prism filled with  $n$  types of items of  $nK$  each. In a *shuffle*, one may permute a single fat row or fat column arbitrarily. How many shuffles are needed for realizing an arbitrary reconfiguration?



**Theorem.** The fat Rubik table problem can be solved with  $2n$  shuffles.

**Corollary.** The labeled fat Rubik table problem can be solved with  $3n$  shuffles.

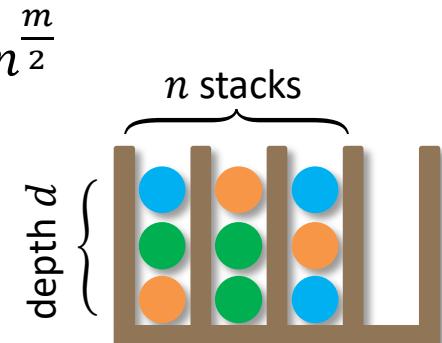
# Sketch of $O(nd)$ -Pop-Push Algorithm

For arbitrary fixed  $n$ , recursively:  $d = 1, d = \sqrt{n}, d = n, \dots, d = n^{\frac{m}{2}}$

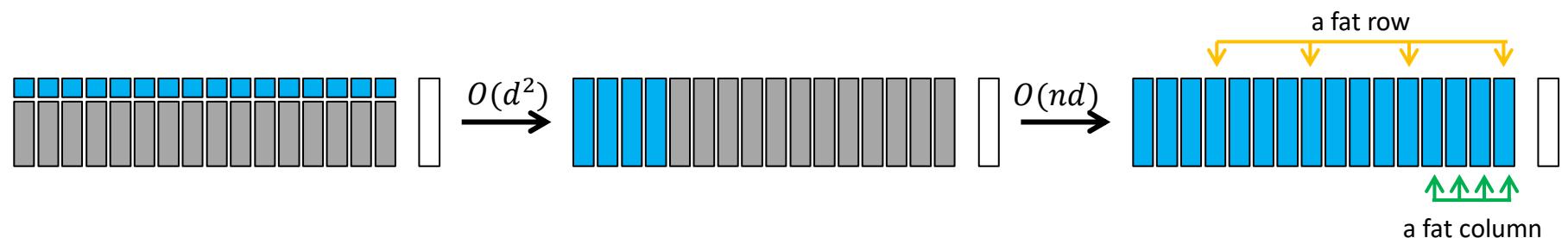
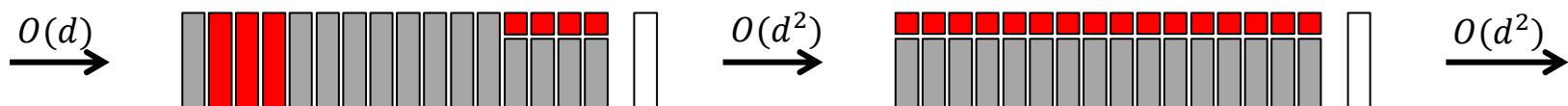
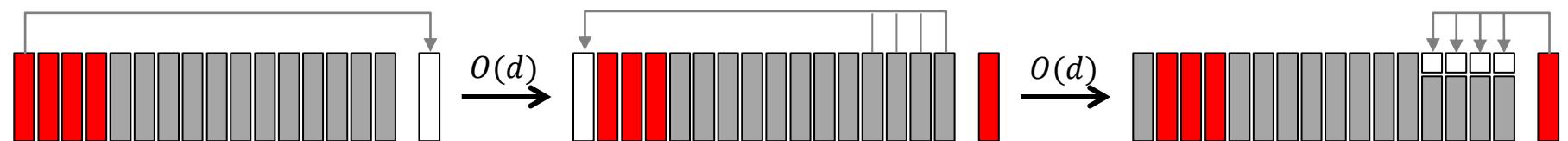
Example:  $n = 16, d = 1$



$n = 16, d = \sqrt{n} = 4$

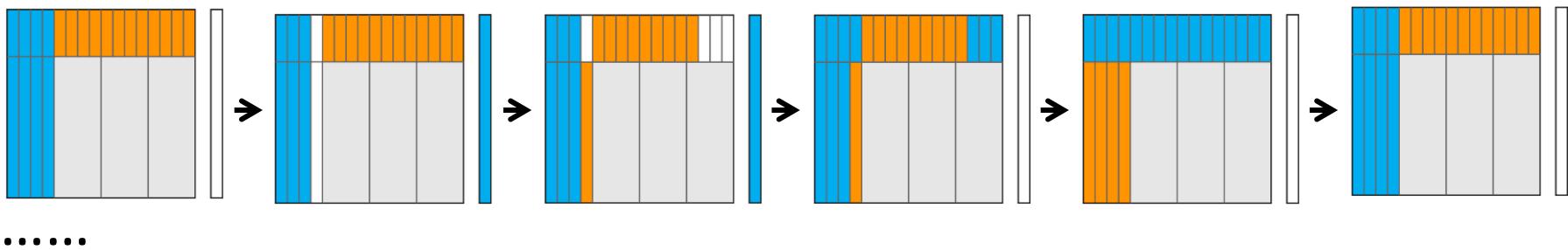


**Simulate** fat column/row shuffles (for applying fat Rubik table algorithm)



# Sketch of $O(nd)$ -Pop-Push Algorithm, cont.

$n = 16, d = n = 16$

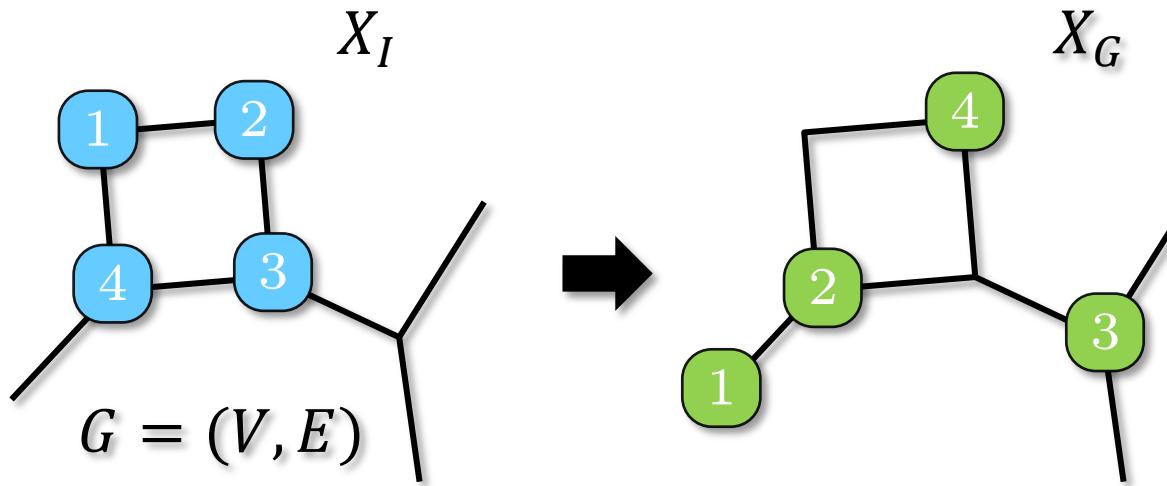


**Theorem (Improved CSR/LSR Upper Bound).** LSR (and therefore, CSR) with  $d \leq n^{m/2}$  for  $m \geq 0$  can be solved using  $O(3^m nd)$  pop-pushes.

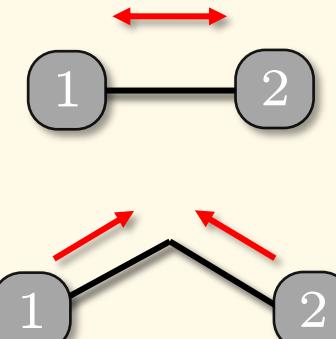
**Corollary (Linear CSR/LSR Upper Bound).** For arbitrary fixed real number  $c > 0$ , LSR (and therefore, CSR) with  $d \leq [cn]$  can be solved using  $O(nd)$  pop-pushes.

**Conclusion:** We “almost” closed the gap for CSR (lower bound:  $\Omega(nd)$ ) and LSR (lower bound:  $\Omega(nd (1 + \log d / \log n))$ )

# [Discrete] Multi-Robot Path Planning (MRPP)



Forbidden moves



Given  $(G, X_I, X_G)$ , seek collision free  $P = \{p_1, \dots, p_n\}$

- ▷ Min makespan:  $\min_{P \in \mathcal{P}} \max_{p_i \in P} \text{time}(p_i)$
- ▷ NP-hard even for grids (Demaine et al. 2018)
- ▷ Best known poly-time algorithm computes  $O(1)$ -opt solution with large constants ( $> 10$ )
- ▷ Note: we focus on **expected**  $O(1)$ -opt here

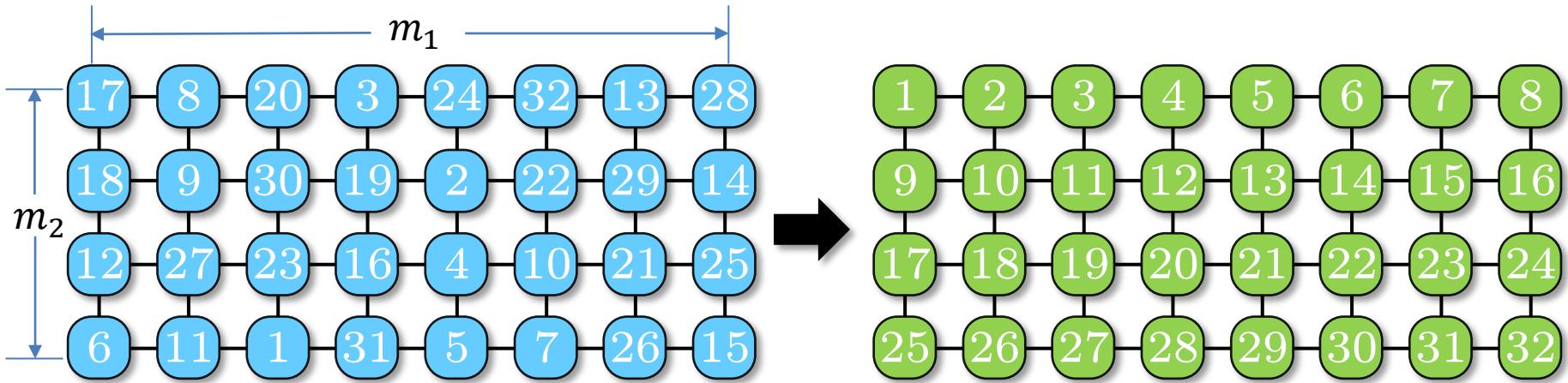


Warehouses



Grocery Fulfillment

# MRPP on Grids: Main Results



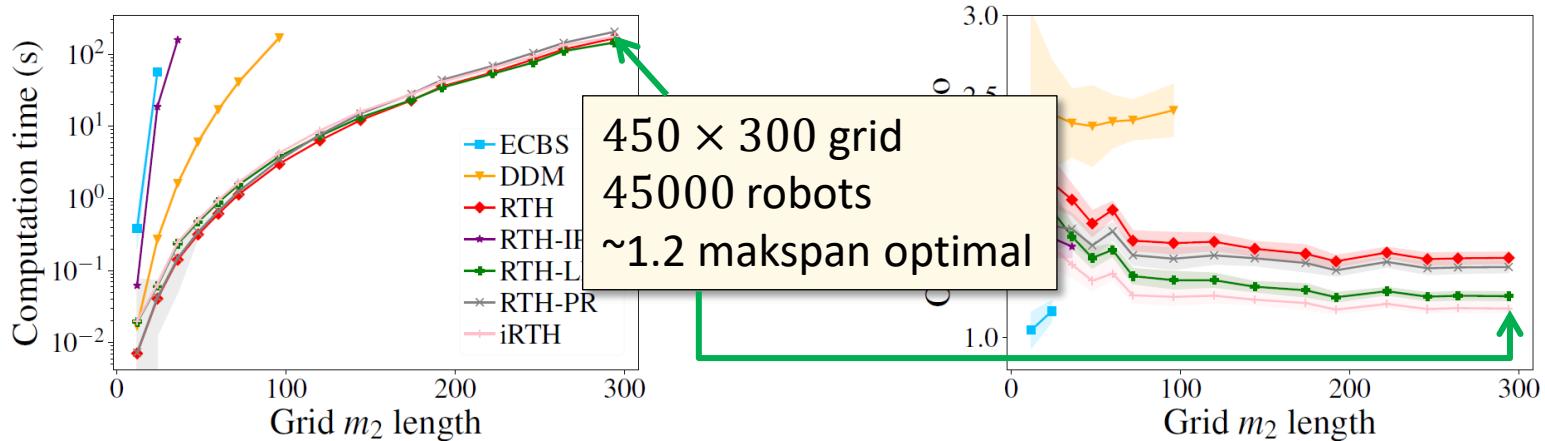
**1/2**

**Theorem ( $\leq 1/3$  Density).** On a 2D  $m_1 \times m_2$  grid,  $m_1 \geq m_2$ , for  $n \leq \frac{m_1 m_2}{3}$  robots with randomly distributed start and goal configurations, a  $(1 + \frac{m_2}{m_1 + m_2})$  makespan-optimal solution can be computed in low polynomial time, with high probability.

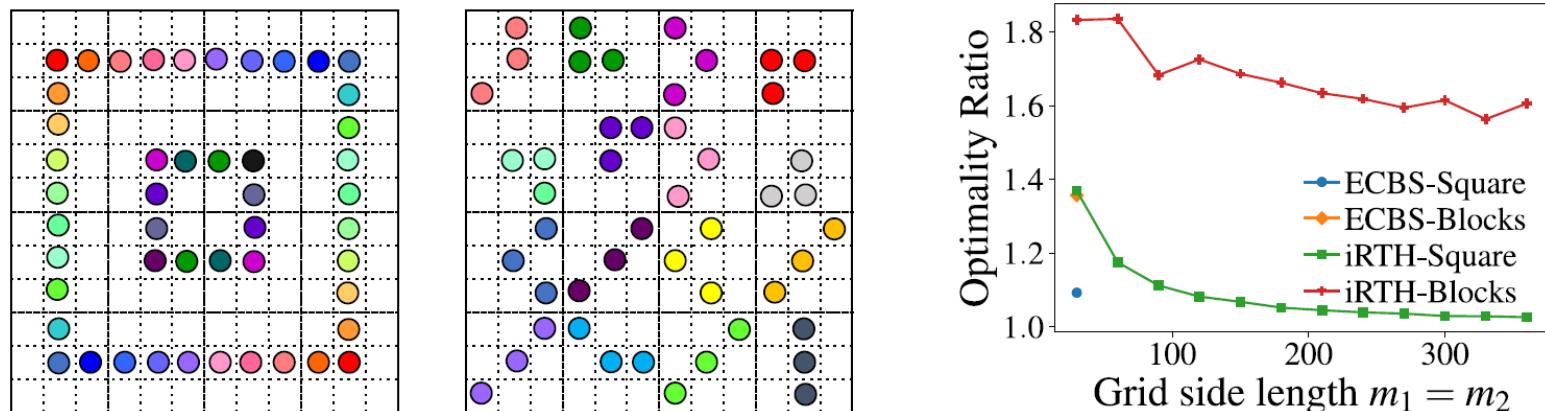
**Theorem (100% Density).** On a 2D  $m_1 \times m_2$  grid,  $m_1 \geq m_2$ , for  $n = m_1 m_2$  robots with randomly distributed start and goal configurations, a  $4(1 + \frac{m_2}{m_1 + m_2})$  makespan-optimal solution can be computed in low polynomial time, with high probability.

# Simulation Based Evaluation (1/3 Density)

Scalability and comparison on  $m_1 \times m_2$  grids with  $m_1:m_2 = 3:2$



Performance on specific patterns,  $m_1:m_2 = 1:1$



# Achieving 1. $x$ -Opt for 1/3 Robot Density

**Theorem (Upper Bound).** For an MRPP instance on an  $m_1 \times m_2$  grid with random  $X_I, X_G$  for  $n = \frac{m_1 m_2}{3}$  robots, in low polynomial time and with high probability, an  $[m_1 + 2m_2 + o(m_1)]$ -makespan solution can be computed.

**Theorem (Lower Bound).** On an  $m_1 \times m_2$  grid with random  $X_I, X_G$  for  $n = \frac{m_1 m_2}{3}$  robots, with high probability, an MRPP instance has an makespan of  $m_1 + m_2 - o(m_1)$ .

**Theorem (Sub 1. 5-optimality in Poly Time).** On an  $m_1 \times m_2$  grid with random  $X_I, X_G$  for  $n = \frac{m_1 m_2}{3}$  robots, a  $(1 + \frac{m_2}{m_1+m_2})$  makespan-optimal solution can be computed in low polynomial time, with high probability.

$$\text{UB: } m_1 + 2m_2 + o(m_1)$$

$$\text{LB: } m_1 + m_2 - o(m_1)$$

||

$$(1 + \frac{m_2}{m_1+m_2})$$

$$\left. \begin{array}{l} m_1 \approx m_2, \left(1 + \frac{m_2}{m_1+m_2}\right) \rightarrow 1.5 \\ m_1 \gg m_2, \left(1 + \frac{m_2}{m_1+m_2}\right) \rightarrow 1.0 \end{array} \right\} \rightarrow \begin{array}{l} (1, 1.5] \text{ optimality asymptotically, high} \\ \text{probability, low-poly time} \end{array}$$

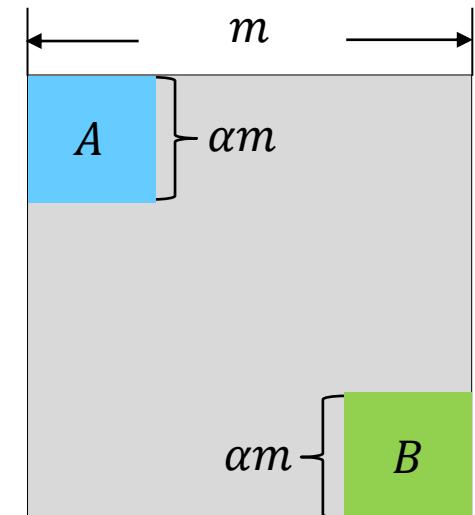
# Establishing the Lower Bound

**Observation.** On an  $m \times m$  grid with random  $X_I, X_G$ , a robot starts from  $A$  and goes to  $B$  with probability  $\alpha^4$ , yielding a minimum makespan of  $2(1 - 2\alpha)m$ .

**Observation.** For  $cm^2$  robots, the probability that at least one robot has a minimum makespan of  $2(1 - 2\alpha)m$  is no less than  $p = 1 - (1 - \alpha^4)^{cm^2} > 1 - e^{-c\alpha^4m^2}$

Using  $(1 - x)^y < e^{-xy}$   
for  $0 < x < 1$  and  $y > 0$

For arbitrary fixed  $\alpha > 0$ , let  $m \rightarrow \infty$ , then  $p \rightarrow 1$ , yielding

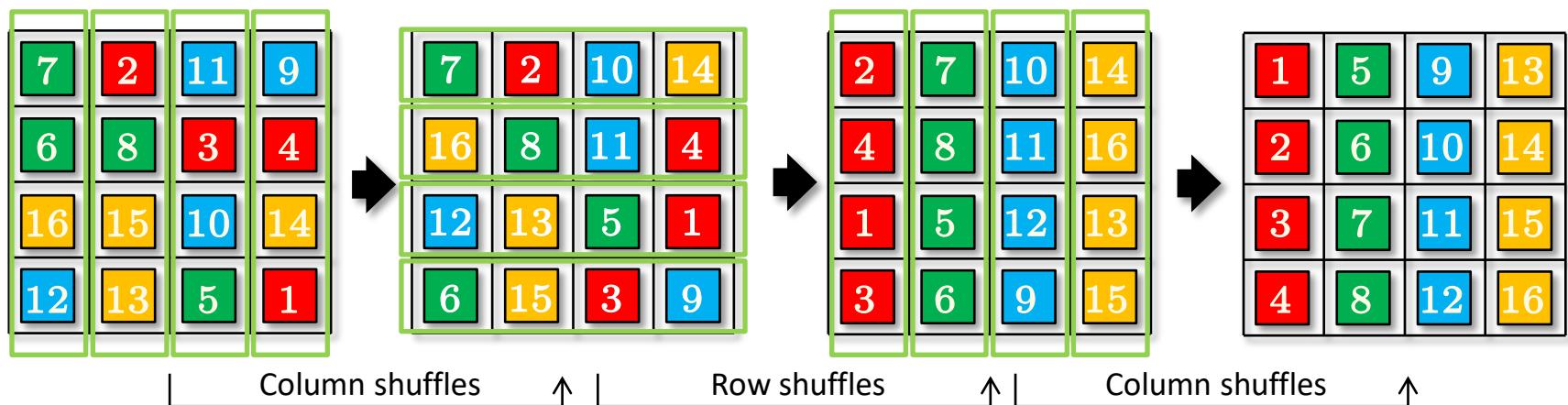


**Proposition.** The minimum makespan of a random MRPP instance on an  $m_1 \times m_2$  grid with  $\Omega(m_1 m_2)$  robots is  $m_1 + m_2 - o(m_1)$  with arbitrarily high probability as  $m_1 \rightarrow \infty$

# Establishing the Upper Bound – Outline

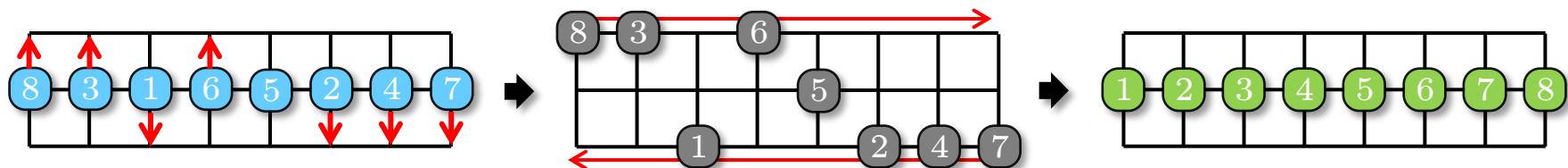
Strategy: breaking into subproblems (for 1/3 and 100%)

- ▶ Subproblem 1: row/column shuffle based high level planning



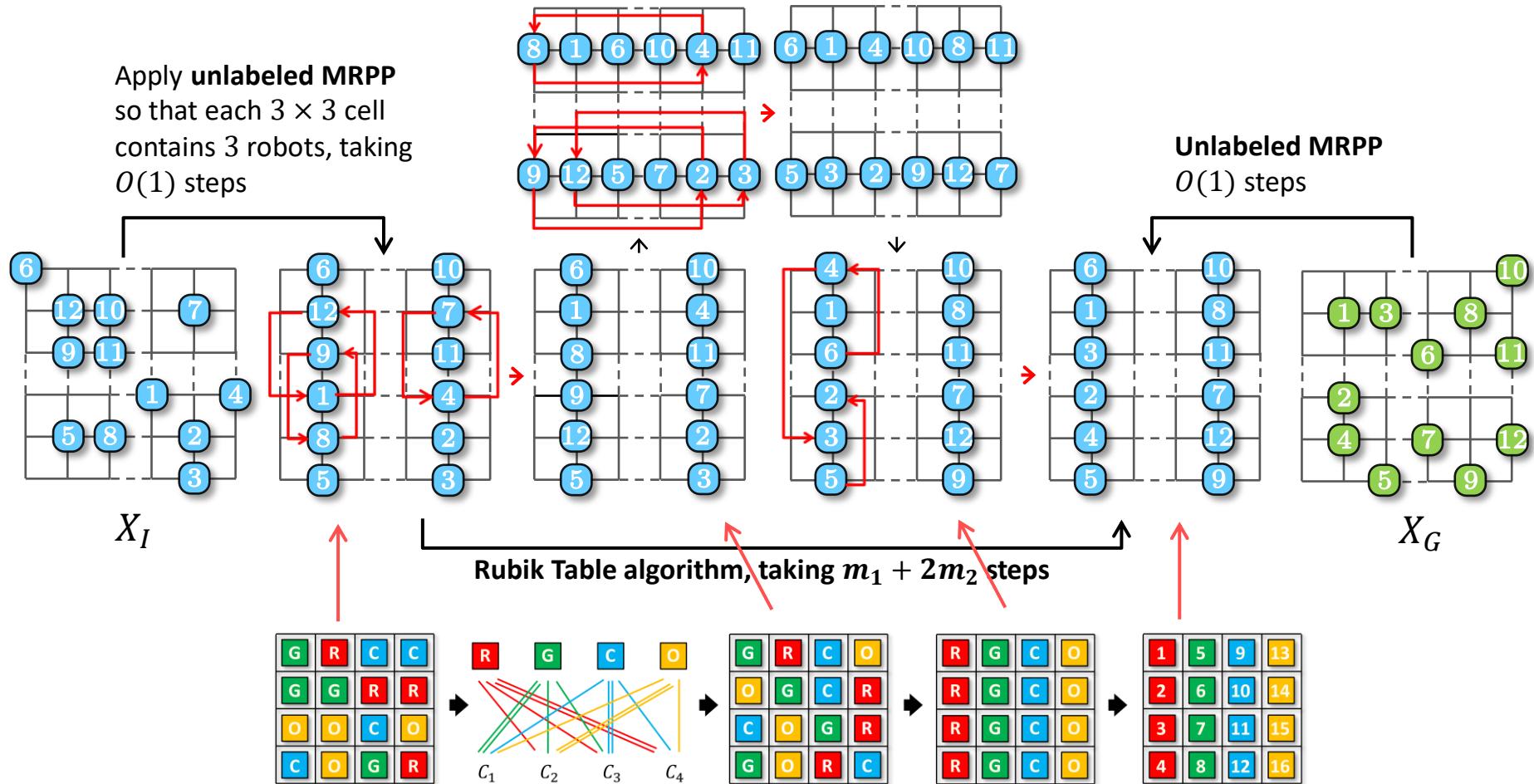
- ▶ Subproblem 2: realizing shuffles for robots

- ▶ For 1/3 density, relatively easy



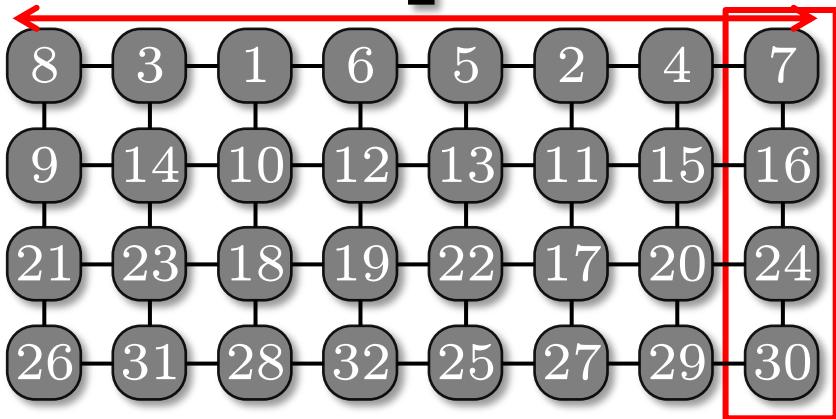
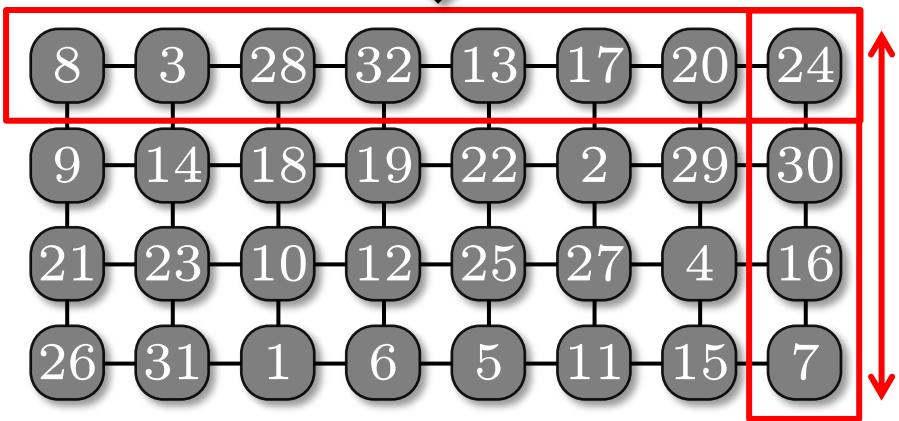
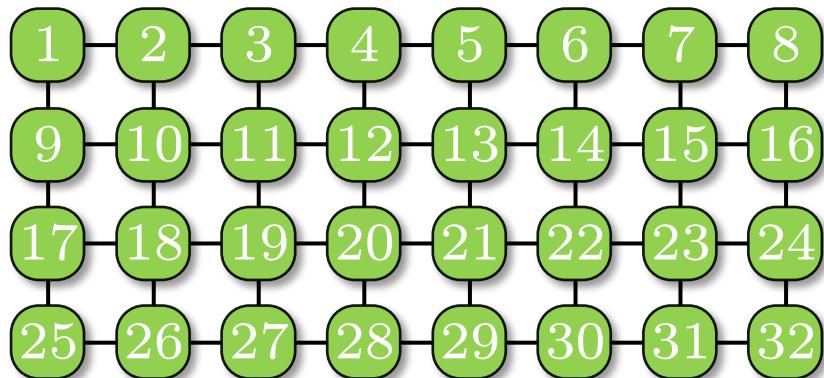
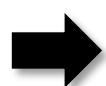
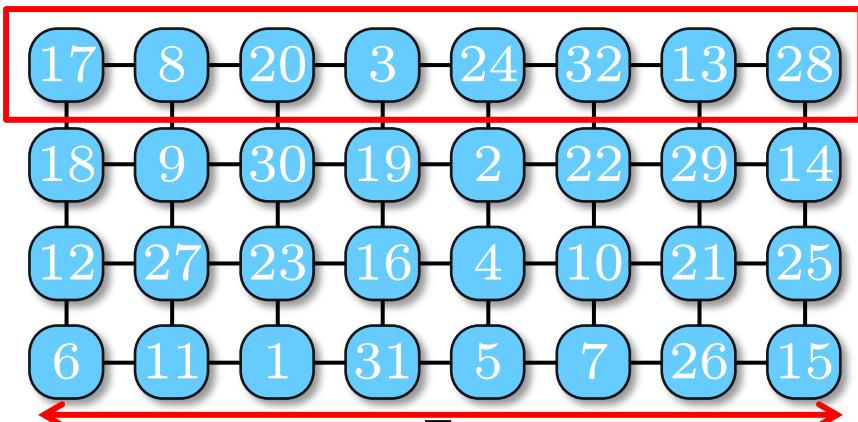
- ▶ More difficult for 100% density

# Upper Bound for $1/3$ Robot Density

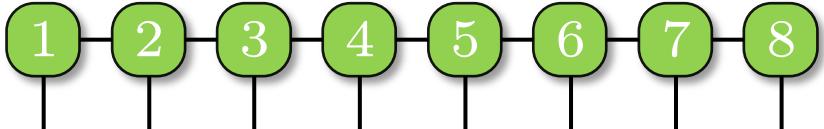
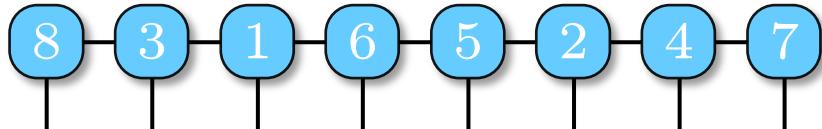


Makespan of the overall algorithm is  $m_1 + 2m_2 + o(m_1)$

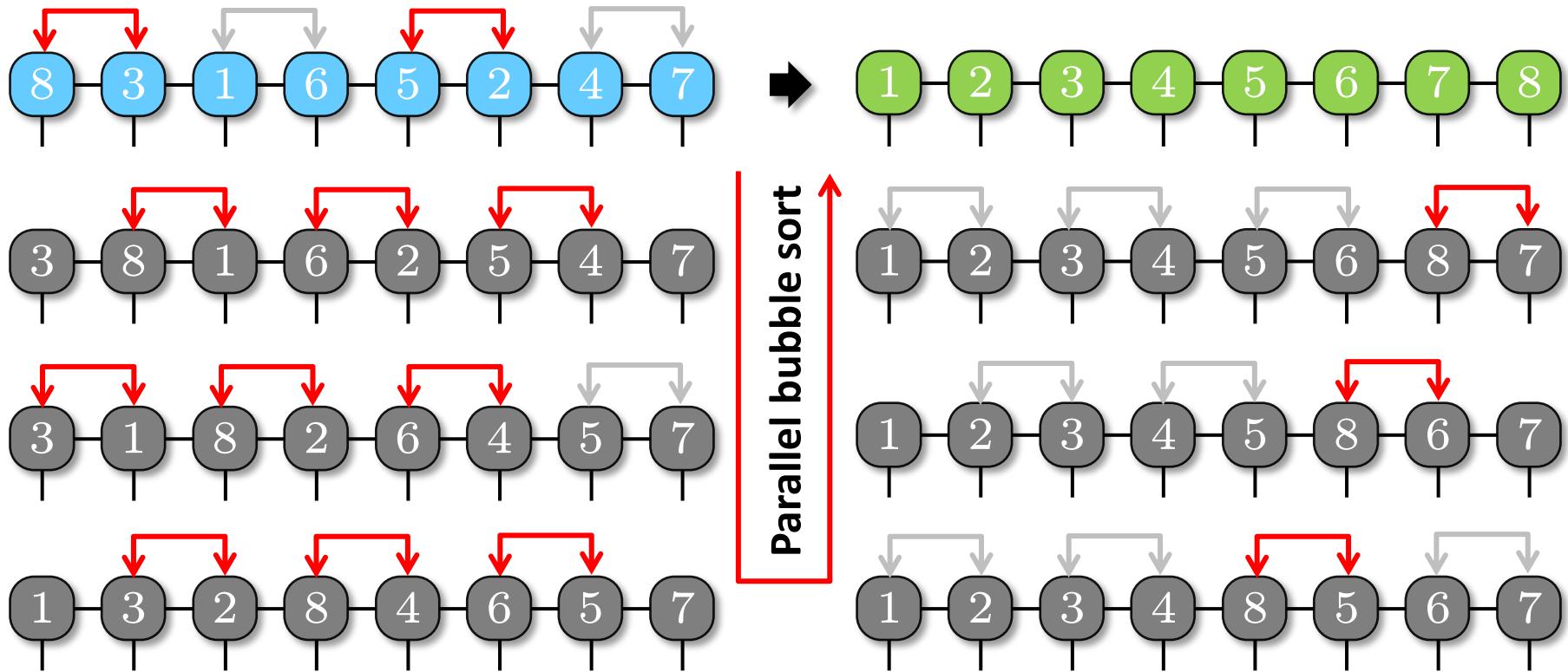
# MRPP with 100% Density



Rubik Table **reduces** MRPP at 100% density to realizing **row shuffles on grids**.



# Reducing Line Shuffle to Pair Swaps

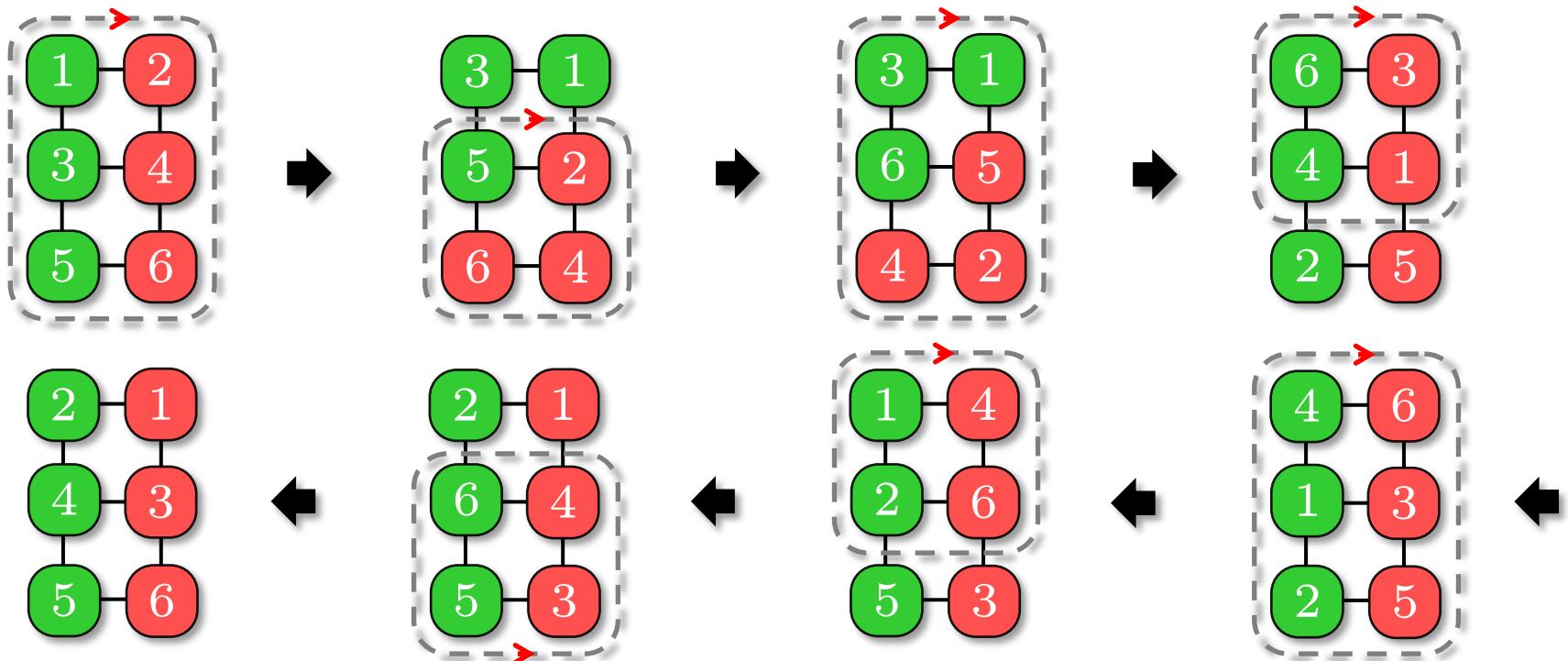


Parallel bubble sort **reduces** row shuffle to **pairwise shuffles**



# Realizing Pair Swaps on Grids

**Theorem.** Arbitrary reconfiguration on a  $2 \times 3$  grid can be done in 7 steps.

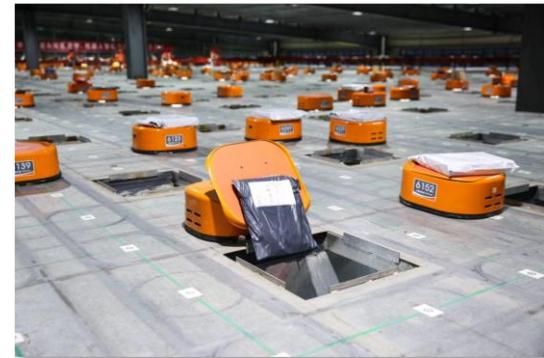
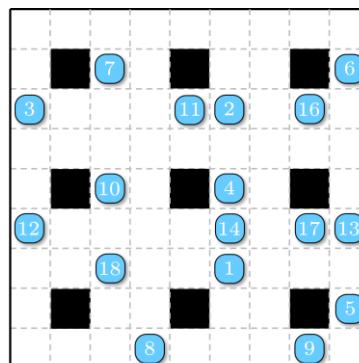


**Theorem.** On a  $2D\ m_1 \times m_2$  grid,  $m_1 \geq m_2$ , for  $n = m_1 m_2$  robots with randomly distributed start and goal configurations, a  $7(1 + \frac{m_2}{m_1 + m_2})$  makespan-optimal solution can be computed in low polynomial time, with high probability.

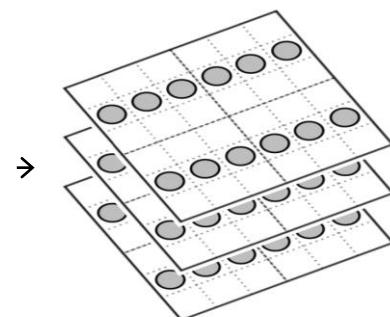
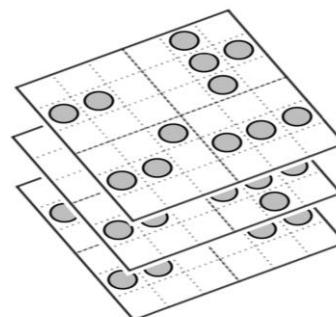
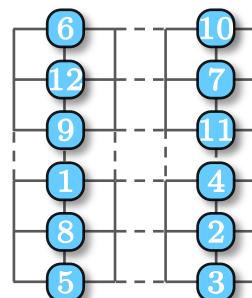
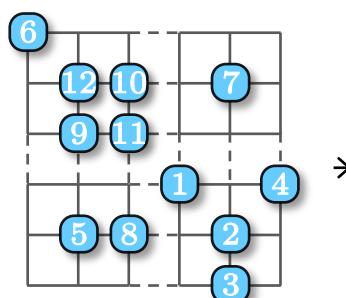
# Extensions: Obstacles and Higher Dimensions

Rubik Table algorithms naturally applies to

- ▷ Grids with regularly distributed obstacles
  - ▷ Applicable to parcel sorting

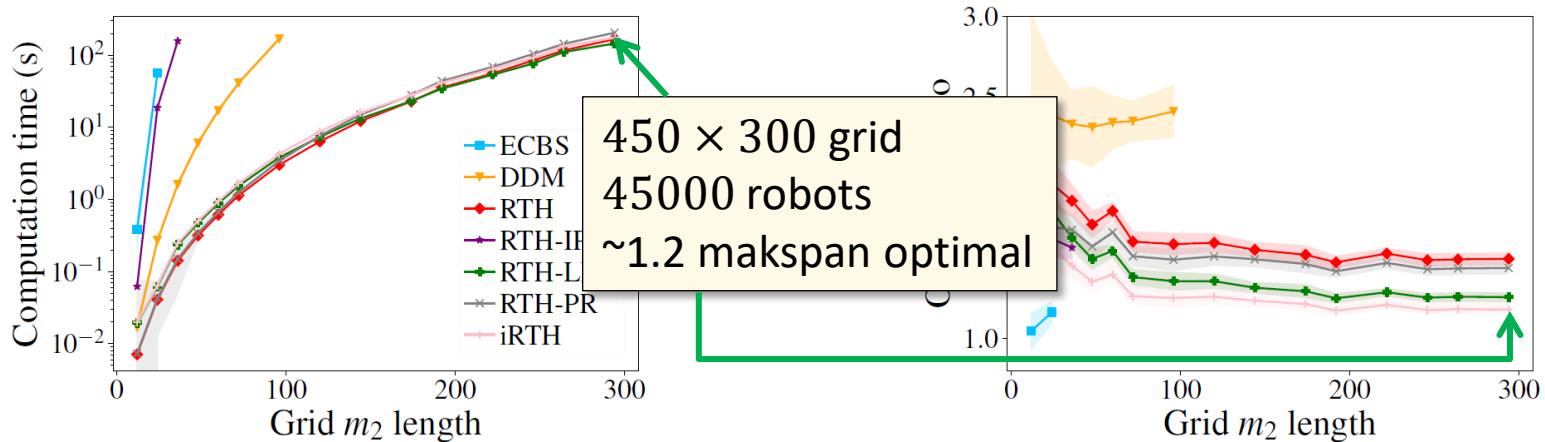


- ▷ And arbitrary dimensions

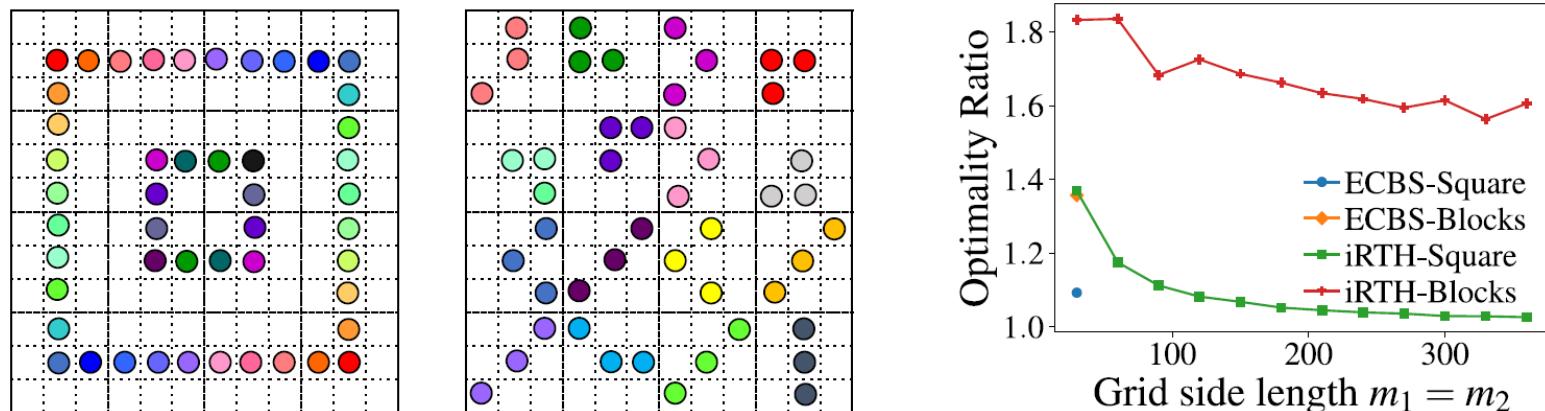


# Simulation Based Evaluation (1/3 Density)

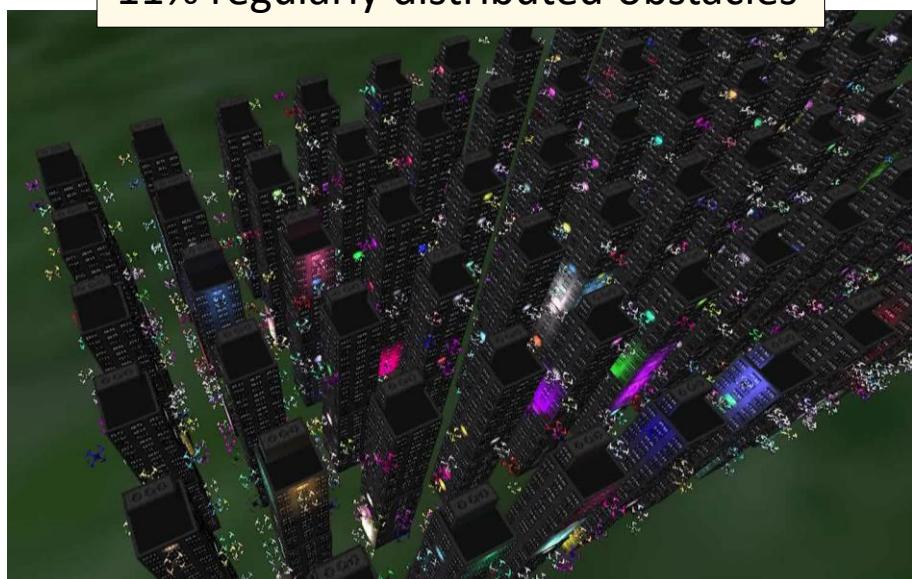
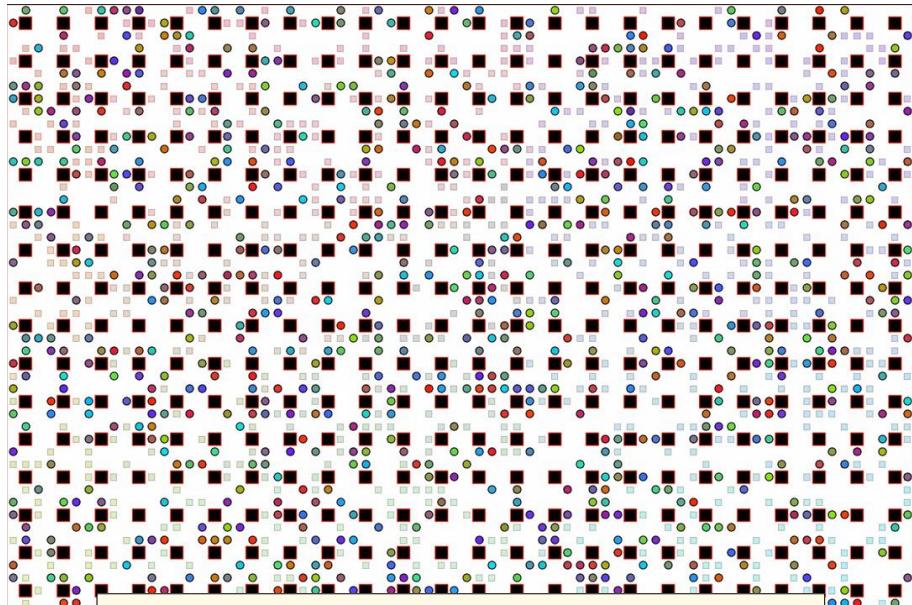
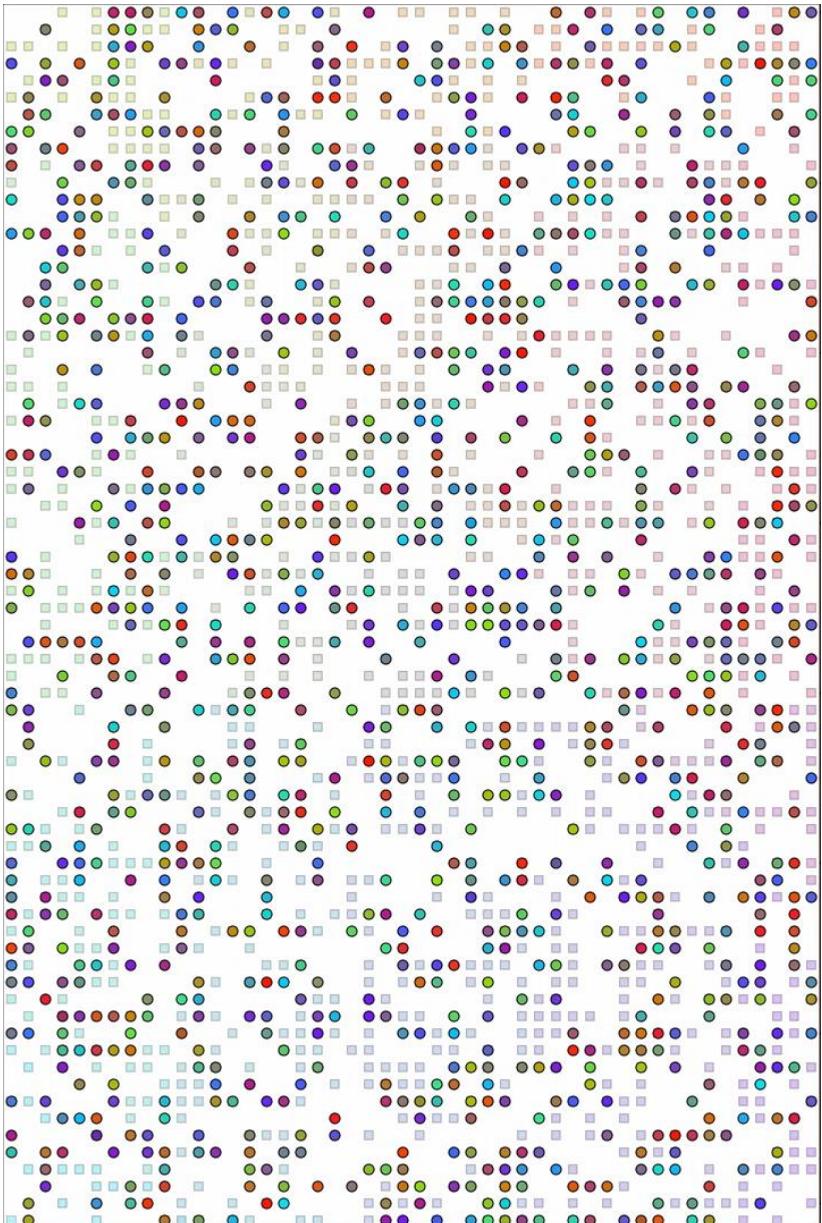
Scalability and comparison on  $m_1 \times m_2$  grids with  $m_1:m_2 = 3:2$



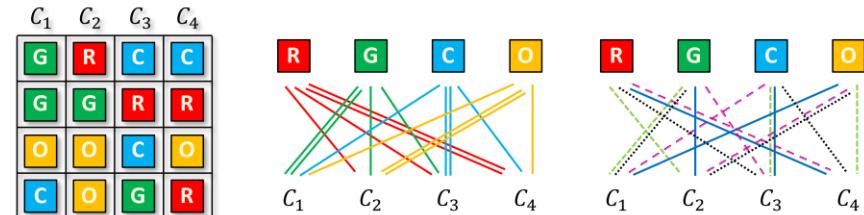
Performance on specific patterns,  $m_1:m_2 = 1:1$



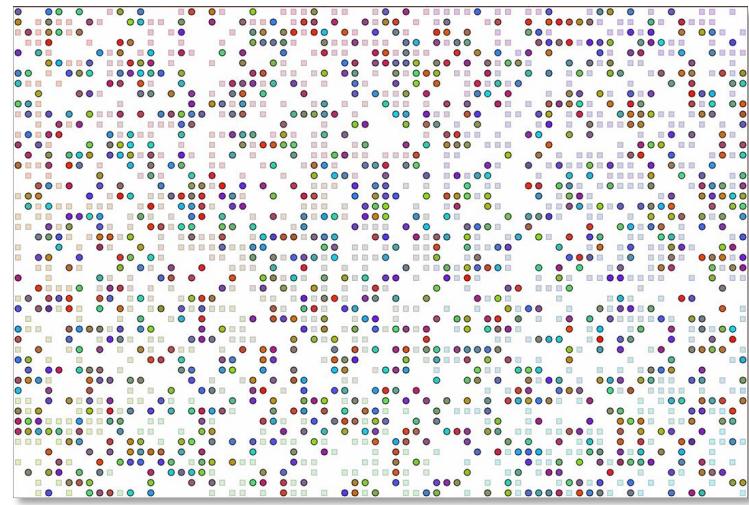
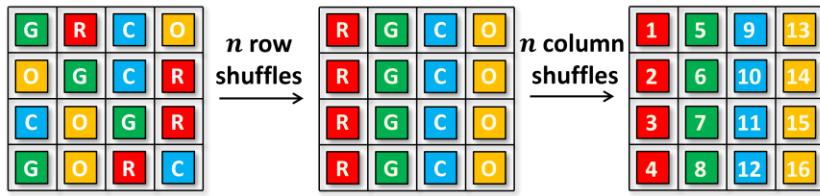
# Selected Simulations



# Rubik Tables, Stack Rearrangement, and MRPP



$\downarrow n$  column shuffles



## Some natural open questions

- ▷ Even better optimality?
- ▷ Other optimality objectives?
  - ▷ Can directly generalize to provide  $9/2$  of maximum possible throughput
- ▷ More general obstacle support?