# Hybrid Deep Learning for Botnet Attack Detection in the Internet-of-Things Networks

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Abstract—Deep learning (DL) is an efficient method for botnet attack detection. However, the volume of network traffic data and memory space required is usually large. It is, therefore, almost impossible to implement the DL method in memoryconstrained Internet-of-Things (IoT) devices. In this article, we reduce the feature dimensionality of large-scale IoT network traffic data using the encoding phase of long short-term memory autoencoder (LAE). In order to classify network traffic samples correctly, we analyze the long-term inter-related changes in the low-dimensional feature set produced by LAE using deep bidirectional long short-term memory (BLSTM). Extensive experiments are performed with the BoT-IoT data set to validate the effectiveness of the proposed hybrid DL method. Results show that LAE significantly reduced the memory space required for large-scale network traffic data storage by 91.89%, and it outperformed state-of-the-art feature dimensionality reduction methods by 18.92-27.03%. Despite the significant reduction in feature size, the deep BLSTM model demonstrates robustness against model underfitting and overfitting. It also achieves good generalisation ability in binary and multiclass classification scenarios.

Index Terms—Autoencoder, botnet detection, dimensionality reduction, Internet of Things (IoT), long short-term memory (LSTM).

# I. INTRODUCTION

OWADAYS, critical infrastructures, such as power generation [1]–[4], communications [5]–[7], healthcare [8]–[10], manufacturing [11]–[13], transportation [14], [15], water treatment [16], and agriculture [17], [18], are

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interconnected in order to tap into the various benefits of the Internet of Things (IoT) [19]–[21]. The increased interconnectivity and the use of industrial control systems (ICSs) render smart critical infrastructures vulnerable to cyberattacks from terrorists or "hacktivists." For instance, ICS and IoT devices have been proven to be easily hackable and remotely controllable to form IoT-based botnets [22], [23]. Successful exploitation of a single vulnerable IoT device can lead to leakage of sensitive information and serious security breaches in the wider IoT-enabled system [24]. This makes them an attractive target to advanced persistent threats (APT) of diverse botnet attacks, especially when they are deployed in critical environments.

To secure connected IoT devices against complex botnet attacks, machine learning (ML) techniques have been employed to develop network intrusion detection systems (NIDSs), e.g., [25]. Such NIDS can be installed at strategic points within an IoT network. Specifically, deep learning (DL), an advanced ML approach, offers a unique capability for automatic extraction of features from large-scale, high-speed network traffic generated by interconnected heterogeneous IoT devices [26]. Considering the resource constraints in IoT devices, NIDS techniques used in classical computer networks are not efficient for botnet detection in IoT systems due to high computation and memory requirements [27]. In order to develop an efficient DL method for botnet detection in IoT networks, sufficiently large network traffic information is needed to guarantee efficient classification performance [28]. However, processing and analyzing high-dimensional network traffic data can lead to curse of dimensionality [29]. Also, training DL models with such high-dimensional data can cause Hughes phenomena [30]. High-dimensional data processing is complex and requires huge computational resources and storage capacity [31], [32]. IoT devices do not have sufficient memory space to store big network traffic data required for DL. Therefore, there is a need for end-to-end DL-based botnet detection method that will reduce high dimensionality of big network traffic features and also detect complex and recent botnet attacks accurately based on low-dimensional network traffic information.

Currently, Bot-IoT data set [33] is the most relevant publicly available data set for botnet attack detection in IoT networks because it: 1) has IoT network traffic samples; 2) captured complete network information; 3) has a diversity of complex IoT botnet attack scenarios; 4) contains accurate ground

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truth labels; and 5) provides massive volume of labeled data required for effective supervised DL. The original feature dimensionality<sup>1</sup> of the Bot-IoT data set is 43, and the memory space required to store this network traffic data is 1.085 GB. So far, feature dimensionality reduction methods that have been applied to the Bot-IoT data set were all based on feature selection techniques. These techniques include the filter method with Pearson correlation and entropy (PCE) [33], X-Mean clustering with particle swarm optimization (XMP) [34], and information gain (IFG) [35].

On the other hand, long short-term memory autoencoder (LAE) is an effective DL method that produces a low-dimensional latent-space feature representation of a high-dimensional feature set at its hidden layer. To the best of our knowledge, this DL method has not been previously applied to reduce the dimensionality of the feature set in the Bot-IoT data set. Also, deep bidirectional long short-term memory (BLSTM) is a DL method that learns hierarchical feature representations and long-term inter-related changes directly from raw data using multiple hidden layers. However, this DL method has not been previously used to classify latent-space representation of network traffic features.

In this article, we propose a hybrid DL framework, called LAE-BLSTM, for efficient botnet detection in IoT networks using LAE and deep BLSTM algorithms. The main contributions of this article are as follows.

- A single hidden-layered LAE is proposed for feature dimensionality reduction. This method reduces the dimensionality of large-scale IoT network traffic data and produces a low-dimensional latent-space feature representation at the hidden layer without loosing useful intrinsic network information.
- 2) A deep BLSTM is proposed for network traffic classification. This method analyzes the long-term interrelated changes in low-dimensional feature set produced by LAE to distinguish botnet attack traffic from benign traffic in IoT networks.
- Extensive experiments are performed with the Bot-IoT data set to validate the effectiveness of LAE-BLSTM in binary and multiclass classification scenarios.
- 4) The performance of state-of-the-art optimisation algorithms was investigated and compared to ensure efficient feature dimensionality reduction and botnet attack detection in IoT networks.

The remainder of this article is organized as follows. In Section II, we review related state-of-the-art methods for feature dimensionality reduction and network traffic classification. In Section III, we describe the hybrid DL framework (LAE-BLSTM) proposed for botnet detection in IoT networks. Extensive experiments are performed in Section IV to validate the effectiveness of LAE-BLSTM. Experimentation results are presented and discussed in Section V. Finally, we conclude this article in Section VI.

#### II. RELATED WORK

Although several data sets are available for network intrusion detection, they have various challenges, including lack of reliable labels, low attack diversity, redundancy of network traffic, and missing ground truth. For instance, KDD Cup99 and NSL-KDD data sets are popularly used, but they are outdated, and they do not reflect current normal and attack scenarios [36], [37]. The application of the DEFCON-8 data set is limited because of the low number of benign traffic samples [36]. The attack scenarios in the UNIBS data set are limited to DoS; the network traffic data are presented in packets with no extracted features, and the labels were not provided [38]. CAIDA data sets have no ground-truth information about the attack samples [38]. Network traffic samples in the LBNL data set were not labeled, and the features were not extracted from the packet files [38]. Attack samples in the UNSW-NB15 data set were generated in a synthetic environment [39]. ISCX and CICIDS2017 data sets were generated based on the concept of profiling, and this can be due to their innate complexity. Also, the ground truth of these data sets is not available to enhance the labeling process. Not much information is given about the botnet scenarios that were used in most data sets [36], [38]–[40]. Also, IoT network traffic data were not included in related data sets [36], [39], [41]–[44].

Bot-IoT data set [33] is the most relevant data set that is publicly available for network-based botnet attack detection in IoT networks. To realize this data set, an IoT network testbed was set up to generate benign and malicious network traffic using heterogeneous communication protocols, which include user datagram protocol (UDP), transmission control protocol (TCP), address resolution protocol (ARP), Internet control message protocol (ICMP), Internet Protocol version-6 ICMP (IPv6-ICMP), Internet Group Management Protocol (IGMP), and reverse ARP (RARP). The testbed setup comprised a variety of IoT devices, including a weather station, smart fridge, motion-activated lights, remotely activated garage door, and smart thermostat. Also, millions of IoT botnet attack traffic samples were included in Bot-IoT. These attack traffic samples can be categorized into four IoT botnet scenarios, namely: DDoS, DoS, reconnaissance, and information theft.

Feature dimensionality reduction is mostly achieved by applying either linear or nonlinear transformation technique to high-dimensional feature set. Principal component analysis (PCA) [45] is one of the common linear transformation methods while kernel methods [46], spectral methods [47], and DL methods [48] employ nonlinear transformation techniques. Autoencoder is an unsupervised DL method that produces latent-space representation of input data at the hidden layer. Different autoencoder architectures have been proposed to reduce the feature dimensionality in most popular network intrusion data sets. These methods were implemented and evaluated with the network traffic data in publicly available data sets that include KDD-Cup99 [49]-[56], NSL-KDD [49], [57]–[60], UNSW-NB15 [50], [59], [61], and CICIDS2017 [62]. Table I shows the autoencoder-based feature dimensionality reduction techniques in the literature. The original feature dimensionality, feature reduction method,

<sup>&</sup>lt;sup>1</sup>Feature dimensionality is the total number of network traffic features in a given data set.

Dataset	Ref.	Input features	Reduction method	Output features	Classifier	Classification scenarios
	[49]	41	Stacked deep autoencoder	28	RF	Binary, multi-class
	[50]	41	Stacked deep autoencoder	10	Softmax	Binary, multi-class
	[51]	122	Autoencoder	100	CNN, softmax	Multi-class
KDD 0 00	[52]	41	Autoencoder	-	k-means	Binary
KDD-Cup99	[53]	41	Stacked autoencoder	13, 5	Decision tree	Binary
	[54]	41	Variational autoencoder	20	Dictionary	Binary
	[55]	41	Autoencoder	18	k-means	Multi-class
	[56]	39	Autoencoder	3	k-means	Binary
	[49]	41	Stacked deep autoencoder	28	RF	Binary, multi-class
	[57]	122	Stacked sparse autoencoder	-	SVM	Binary, multi-class
NSL-KDD	[58]	115	Stacked sparse autoencoder	10	Logistic	Binary, multi-class
	[59]	41	Deep autoencoder	3	Deep FFNN	Binary, multi-class
	[60]	52	Autoencoder	2	-	Multi-class
	[50]	42	Stacked deep autoencoder	10	Softmax	Binary, multi-class
UNSW-B15	[61]	207	Semi-supervised autoencoder	2	Decision tree	Binary, multi-class
	[59]	41	Deep autoencoder	3	Deep FFNN	Binary, multi-class
CICIDS2017	[62]	81	Stacked sparse autoencoder	64	RF	Binary, multi-class
Bot-IoT	This paper	37	LAE	6	Deep BLSTM	Binary, multi-class

TABLE I
DIMENSIONALITY REDUCTION OF NETWORK TRAFFIC FEATURES USING AUTOENCODER

new feature dimensionality, and classifier and classification scenarios were provided. Long short-term memory (LSTM) is a variant of the recurrent neural network (RNN) and it has the capacity to learn long-term dependencies in network traffic features [63]–[65]. However, none of the proposed autoencoder-based methods in Table I was implemented nor validated with Bot-IoT data set.

Different feature selection methods have been proposed to reduce the dimensionality of network traffic features in Bot-IoT data set. Table II presents an overview of stateof-the-art feature dimensionality reduction methods that are related to this article. Koroniotis et al. [33] employed PCE method for feature selection. The authors reported that ten optimal network traffic features were selected. These include seq, stddev, N\_IN\_Conn\_P\_SrcIP, min, state\_number, mean, N\_IN\_Conn\_P\_DstIP, drate, srate, and max. Support vector machine (SVM), RNN, and LSTM models were trained with the optimal features to perform binary classification in general and specific attack detection scenarios. Asadi et al. [34] identified optimal feature clusters and eliminated outliers using XMP technique. Most relevant network traffic features were selected based on the XMP method. The best binary classification performance was recorded when SVM, dense neural network (DNN), and C4.5 decision tree classifiers were trained with ten network traffic features. These network traffic features include Proto, state\_number, dur, mean, dpkts, drate, TnBPSrcIP, TnP\_PSrcIP, TnP\_Per\_Dport, and N\_IN\_Conn\_P\_DstIP. Khraisat et al. [35] selected 13 network traffic features using IFG (entropy) method. These features include dport, seq, dur, flgs\_number, flgs, sport, N\_IN\_Conn\_P\_DstIP, srate, AR\_P\_Proto\_P\_Sport, daddr, TnBPDstIP, rate, and AR P Proto P SrcIP. An ensemble classifier, which comprised of C5 decision tree and one-class SVM (OCSVM) models, was trained with the selected network traffic features to perform multilabel classification.

To ensure a fair comparison, feature dimensionality reduction methods that do not include benign network traffic

TABLE II
DIMENSIONALITY REDUCTION OF NETWORK TRAFFIC
FEATURES IN BOT-IOT DATA SET

Ref.	Method	Feature size	Classifier	Classification scenarios
[33]	PCE	10	SVM RNN LSTM	Binary
[34]	XMP	10	SVM DNN C4.5 decision tree	Binary
[35]	IFG	13	C5 decision tree, One-class SVM	Multi-class
This paper	LAE	6	Deep BLSTM	Binary, Multi-class

traces and all the four botnet attack scenarios in the Bot-IoT data set were not included in this article. For instance, Soe *et al.* [66] did not consider the DoS attack scenario. Also, the performance of the method in detecting benign network traffic was not reported. In a similar work [67], the authors did not evaluate the performance of the proposed method. In another work [68], Guerra-Manzanares *et al.* did not evaluate the performance of the proposed method with the network traffic data in the Bot-IoT data set.

In summary, the state-of-the-art methods in the related work focused on the selection of specific features from available network traffic information available in the Bot-IoT data set. However, this approach may likely affect the efficiency of botnet attack detection in IoT networks because the classifiers will not have access to some relevant network information during training, validation, and testing. Consequently, the feature selection approach may lead to low botnet attack detection accuracy and a high false alarm rate in IoT networks. On the other hand, LAE reduces the dimensionality of big IoT network traffic data and produces a low-dimensional latent-space feature representation at the hidden layer without loosing useful intrinsic network information.

#### **Algorithm 1:** LAE Algorithm

# Input: X **Initialization**: $\mathbf{h}_0(j) = \mathbf{x}(j), \forall j \in [1, k]$ $h_d(0) = x(d), \forall d \in [1, n]$ Output: X **1 for** d = 1 *to* n **do** for j = 1 to k do $\mathbf{i}_{j} = \sigma_{r}(\mathbf{W}_{ix}\mathbf{x}_{j} + \mathbf{W}_{ih}\mathbf{h}_{j-1} + \mathbf{b}_{i})$ 3 $\mathbf{f}_{j} = \sigma_{r} (\mathbf{W}_{fx} \mathbf{x}_{j} + \mathbf{W}_{fh} \mathbf{h}_{j-1} + \mathbf{b}_{f})$ 4 $\tilde{\mathbf{c}}_{i} = \sigma_{h} (\mathbf{W}_{\tilde{c}x} \mathbf{x}_{i} + \mathbf{W}_{\tilde{c}h} \mathbf{h}_{i-1} + \mathbf{b}_{\tilde{c}})$ 5 $\mathbf{c}_j = \mathbf{D}_i \odot \tilde{\mathbf{c}}_j + \mathbf{D}_f \odot \mathbf{c}_{j-1}$ 6 $\tilde{\mathbf{x}}_i = k_{\phi}(\mathbf{x}_i, \mathbf{c}_{i-1})$ 7 8 9 end 10 $\tilde{\mathbf{X}} = \left\{ \left\{ \tilde{\mathbf{x}}_{d,j} \right\}_{j=1}^{k} \right\}_{d=1}^{n}$ 11 $L = \theta\left(\mathbf{X}, \tilde{\mathbf{X}}\right) = \left[ \theta\left(\mathbf{X}_{d}, \tilde{\mathbf{X}}_{d}\right) \right]_{d=1}^{n}$

# III. PROPOSED METHOD FOR BOTNET ATTACK DETECTION IN IOT NETWORKS

In this section, we propose a hybrid DL framework, named LAE-BLSTM, for efficient botnet attack detection in IoT networks. The description of LAE and deep BLSTM methods is presented in Algorithms 1 and 2, respectively. In this article, boldface uppercase alphabets and boldface lower case alphabets represent matrices and column vectors, respectively.

# A. LSTM Autoencoder

Autoencoder is an unsupervised DL method that analyzes the dynamic relationships between the features of high-dimensional data and produces a low-dimensional latent-space representation. Unlike the conventional autoencoder, LAE employs LSTM units to model the long-term interrelated changes in network traffic features. In this section, LAE method is developed to reduce feature dimensionality of big IoT network traffic data and obtain a low-dimensional latent-space feature representation with minimum reconstruction error.

A sequence of network traffic features is represented by a 3-D matrix,  $\mathbf{X} \in \mathbb{R}^{n \times 1 \times k}$ , in

$$\mathbf{X} = \left\{ \left\{ \mathbf{x}_{d,j} \right\}_{j=1}^{k} \right\}_{d=1}^{n} \tag{1}$$

where  $\{\mathbf{X}_d\}_{d=1}^n = \mathbf{X}_1, \mathbf{X}_2, \dots, \mathbf{X}_n, k \in \mathbb{Z}^+$  is the number of network traffic features, and  $n \in \mathbb{Z}^+$  is the total instances of network traffic available in the data set. An instance of network traffic is represented by

$$\left\{\mathbf{x}_{i}\right\}_{i=1}^{k} = \mathbf{x}_{1}, \quad \mathbf{x}_{2}, \dots, \mathbf{x}_{k} \tag{2}$$

where  $\mathbf{x}_i \in \mathbb{R}^n$  is a network traffic feature vector.

The encoder part of a single hidden layered LSTM autoencoder [69] was used to compress the network traffic feature matrix given by (2) with the aim of reducing its dimensionality without loosing the information contained in the original data. LSTM has the capability to learn long time dependencies with its feedback connections and a recurrent memory unit that is

# Algorithm 2: Deep BLSTM algorithm

Input: X

```
Target: y
               Initialization: \mathbf{h}_0(j) = \mathbf{x}(j), \forall j \in [1, u]
                                                                                  h_d(0) = x(d), \forall d \in [1, n]
               Output: ỹ
     1 for d = 1 to n do
                               for i = 1 to u do
     2
                                                %% Forward direction
     3
                                                 \overrightarrow{\mathbf{i}}_{j} = \sigma_{r} \left( \overrightarrow{\mathbf{W}}_{ix} \widetilde{\mathbf{x}}_{j} + \overrightarrow{\mathbf{W}}_{ih} \overrightarrow{\mathbf{h}}_{1,j-1} + \overrightarrow{\mathbf{b}}_{i} \right)
     4
                                                \overrightarrow{\mathbf{f}}_{j} = \sigma_{r} \left( \overrightarrow{\mathbf{W}}_{fx} \widetilde{\mathbf{x}}_{j} + \overrightarrow{\mathbf{W}}_{fh} \overrightarrow{\mathbf{h}}_{1,j-1} + \overrightarrow{\mathbf{b}}_{f} \right)
    5
                                                 \overrightarrow{\tilde{\mathbf{c}}}_{j} = \sigma_{h} (\overrightarrow{\mathbf{W}}_{\tilde{c}x}\tilde{\mathbf{x}}_{j} + \overrightarrow{\mathbf{W}}_{\tilde{c}h}\overrightarrow{\mathbf{h}}_{1,j-1} + \overrightarrow{\mathbf{b}}_{\tilde{c}})
     6
                                                 \overrightarrow{\mathbf{c}}_{i} = \overrightarrow{\mathbf{i}}_{i} \odot \overrightarrow{\mathbf{c}}_{i} + \overrightarrow{\mathbf{f}}_{i} \odot \overrightarrow{\mathbf{c}}_{i-1}
                                                \overrightarrow{\mathbf{o}}_{j} = \sigma_{r} \left( \overrightarrow{\mathbf{W}}_{ox} \widetilde{\mathbf{x}}_{j} + \overrightarrow{\mathbf{W}}_{oh} \overrightarrow{\mathbf{h}}_{1,j-1} + \overrightarrow{\mathbf{b}}_{o} \right)
     8
                                                \overrightarrow{\mathbf{h}}_{1,j} = \overrightarrow{\mathbf{o}}_{j} \odot \sigma_{h} (\overrightarrow{\mathbf{c}}_{j})
\overrightarrow{\mathbf{h}}_{2,j} = \sigma_{h} (\overrightarrow{\mathbf{W}}_{hx1} \widetilde{\mathbf{x}}_{j} + \overrightarrow{\mathbf{W}}_{hh1} \overrightarrow{\mathbf{h}}_{1,j} + \overrightarrow{\mathbf{b}}_{h1})
     9
 10
                                                 \overrightarrow{\mathbf{h}}_{3,j} = \sigma_h (\overrightarrow{\mathbf{W}}_{hx2} \widetilde{\mathbf{x}}_j + \overrightarrow{\mathbf{W}}_{hh2} \overrightarrow{\mathbf{h}}_{2,j} + \overrightarrow{\mathbf{b}}_{h2})
 11
                                                 \overrightarrow{\mathbf{h}}_{4,j} = \sigma_h \left( \overrightarrow{\mathbf{W}}_{hx3} \widetilde{\mathbf{x}}_j + \overrightarrow{\mathbf{W}}_{hh3} \overrightarrow{\mathbf{h}}_{3,j} + \overrightarrow{\mathbf{b}}_{h3} \right)
 12
 13
                               %% Backward direction
 14
                                 \mathbf{\ddot{i}}_{i} = \sigma_{r} (\mathbf{\ddot{W}}_{ix} \mathbf{\tilde{x}}_{i} + \mathbf{\ddot{W}}_{ih} \mathbf{\ddot{h}}_{1,i-1} + \mathbf{\ddot{b}}_{i})
 15
                               \overleftarrow{\mathbf{f}}_{i} = \sigma_{r} (\overleftarrow{\mathbf{W}}_{fx} \widetilde{\mathbf{x}}_{i} + \overleftarrow{\mathbf{W}}_{fh} \overleftarrow{\mathbf{h}}_{1,i-1} + \overleftarrow{\mathbf{b}}_{f})
16
                                \overleftarrow{\tilde{\mathbf{c}}}_{i} = \sigma_{h} (\overleftarrow{\mathbf{W}}_{\tilde{c}x} \widetilde{\mathbf{x}}_{i} + \overleftarrow{\mathbf{W}}_{\tilde{c}h} \overleftarrow{\mathbf{h}}_{1,j-1} + \overleftarrow{\mathbf{b}}_{\tilde{c}})
 17
                               \overleftarrow{\mathbf{c}}_{i} = \overleftarrow{\mathbf{i}}_{i} \odot \overleftarrow{\widetilde{\mathbf{c}}}_{i} + \overleftarrow{\mathbf{f}}_{i} \odot \overleftarrow{\mathbf{c}}_{i-1}
 18
                               \overleftarrow{\mathbf{o}}_{j} = \sigma_{r} \left( \overleftarrow{\mathbf{W}}_{ox} \widetilde{\mathbf{x}}_{j} + \overleftarrow{\mathbf{W}}_{oh} \overleftarrow{\mathbf{h}}_{1,j-1} + \overleftarrow{\mathbf{b}}_{o} \right)
                               \overleftarrow{\mathbf{h}}_{1,i} = \overleftarrow{\mathbf{o}}_i \odot \sigma_h(\overleftarrow{\mathbf{c}}_i)
                              \overleftarrow{\mathbf{h}}_{2,j} = \sigma_h \Big( \overleftarrow{\mathbf{W}}_{hx1} \widetilde{\mathbf{x}}_j + \overleftarrow{\mathbf{W}}_{hh1} \overleftarrow{\mathbf{h}}_{1,j} + \overleftarrow{\mathbf{b}}_{h1} \Big)
                               \overleftarrow{\mathbf{h}}_{3,j} = \sigma_h (\overleftarrow{\mathbf{W}}_{hx2} \widetilde{\mathbf{x}}_j + \overleftarrow{\mathbf{W}}_{hh2} \overleftarrow{\mathbf{h}}_{2,j} + \overleftarrow{\mathbf{b}}_{h2})
                               \overleftarrow{\mathbf{h}}_{4,j} = \sigma_h \left( \overleftarrow{\mathbf{W}}_{hx3} \widetilde{\mathbf{x}}_j + \overleftarrow{\mathbf{W}}_{hh3} \overleftarrow{\mathbf{h}}_{3,j} + \overleftarrow{\mathbf{b}}_{h3} \right)
24 end
25 \tilde{\mathbf{y}} = \sigma_y \left( \overrightarrow{\mathbf{W}}_y \overrightarrow{\mathbf{h}_4} + \overleftarrow{\mathbf{W}}_y \overleftarrow{\mathbf{h}_4} + \mathbf{b}_y \right) \tilde{\mathbf{y}} = \left\{ \tilde{\mathbf{y}}_d \right\}_{d=1}^n
```

controlled by three gates, namely, input gate, a forget gate, and an output gate [70]. LAE accepts high-dimensional network traffic feature set  $\mathbf{X}$  and produces a low-dimensional latent-space representation  $\tilde{\mathbf{X}}$  at the hidden layer. The input gate vector  $(\mathbf{i}_j)$ , forget gate vector  $(\mathbf{f}_j)$ , memory cell state vector  $(\mathbf{c}_j)$ , output gate vector  $(\mathbf{o}_j)$ , and hidden state vector  $(\mathbf{h}_j)$  were formed based on the LAE algorithm presented in Algorithm 1. The dimension of the column vectors is represented by  $\mathbf{i}_j$ ,  $\mathbf{f}_j$ ,  $\mathbf{c}_j$ ,  $\mathbf{o}_j$ ,  $\mathbf{h}_j \in \mathbb{R}^u$ , where u is the number of LSTM hidden units that represent the desired network traffic feature dimensionality.

26  $\theta(\mathbf{y}, \tilde{\mathbf{y}}) = \left[\theta(\mathbf{y}_d, \tilde{\mathbf{y}}_d)\right]_{d=1}^n$ 

Weight matrices and bias vectors were obtained by training the LAE using the backpropagation through time (BPTT) algorithm [71]. The weight matrices for the connections between the input and the recurrent gates are given

as  $\mathbf{W}_{ix}$ ,  $\mathbf{W}_{fx}$ ,  $\mathbf{W}_{\tilde{c}x}$ ,  $\mathbf{W}_{ox} \in \mathbb{R}^{u \times n}$ , and these are the weight matrices of input-to-input gate connection, input-to-forget gate connection, input-to-cell connection, and input-to-output gate connection, respectively. Similarly, weight matrices for connections between the recurrent gates and the hidden state are given as  $\mathbf{W}_{ih}$ ,  $\mathbf{W}_{fh}$ ,  $\mathbf{W}_{\tilde{c}h}$ ,  $\mathbf{W}_{oh} \in \mathbb{R}^{u \times u}$ , and these are the weight matrices of input gate-to-hidden state connection, forget gate-to-hidden state connection, cell state-to-hidden state connection, and output gate-to-hidden state connection, respectively. On the other hand,  $\mathbf{b}_i, \mathbf{b}_f, \mathbf{b}_{\tilde{c}}, \mathbf{b}_o \in \mathbb{R}^u$  are the bias vectors of input gate, forget gate, cell state, and output gate, respectively. Recurrent activation function is a sigmoid function and it is represented by  $\sigma_r$ . Hidden layer activation function is represented by  $\sigma_h$ . Diagonal matrices,  $\mathbf{D}_i$  and  $\mathbf{D}_f$ , are formed with input gate vector and forget gate vectors as represented, respectively, by

$$\mathbf{D}_i = \operatorname{diag}(\mathbf{i}) = \begin{bmatrix} i_1 \\ \ddots \\ i_h \end{bmatrix}$$
 (3)

$$\mathbf{D}_f = \operatorname{diag}(\mathbf{f}) = \begin{bmatrix} f_1 & & \\ & \ddots & \\ & & f_h \end{bmatrix}. \tag{4}$$

For  $\mathbf{x}_i$ , the encoded output is given in

$$\tilde{\mathbf{x}}_i = k_{\phi}(\mathbf{x}_i, \mathbf{c}_{i-1}) \tag{5}$$

where  $\mathbf{c}_{j-1}$  is the previous cell state vector and  $k_{\phi}$  is the encoding function. Finally, the low-dimensional network traffic feature matrix is represented by

$$\tilde{\mathbf{X}} = \left\{ \left\{ \tilde{\mathbf{x}}_{d,j} \right\}_{j=1}^{u} \right\}_{d=1}^{n}.$$
 (6)

#### B. Bidirectional LSTM

Conventional LSTM is unidirectional and it can only capture the dependence of the current state based on previous context [72]. Meanwhile, BLSTM has full access to both past and future sequential information using two LSTM hidden layers to scan input data sequence in positive and negative time directions, respectively, [73]. Therefore, a deep BLSTM method is developed to efficiently detect IoT botnet attack traffic by analyzing the long-term interrelated changes in low-dimensional features produced by LAE.

Reduced network traffic feature set  $\tilde{\mathbf{X}}$  and its corresponding target vector  $\tilde{\mathbf{y}}$  were fed into a deep BLSTM model to produce input gate vectors  $(\overrightarrow{\mathbf{i}}_j, \overrightarrow{\mathbf{i}}_j)$ , forget gate vectors  $(\overrightarrow{\mathbf{f}}_j, \overleftarrow{\mathbf{f}}_j)$ , memory cell state vectors  $(\overrightarrow{\mathbf{c}}_j, \overleftarrow{\mathbf{c}}_j)$ , output gate vectors  $(\overrightarrow{\mathbf{o}}_j, \overleftarrow{\mathbf{o}}_j)$ , and hidden state vectors  $(\overrightarrow{\mathbf{h}}_j, \overleftarrow{\mathbf{h}}_j)$  based on the BLSTM algorithm provided in Algorithm 2. These column vectors are represented as  $\overrightarrow{\mathbf{i}}_j, \overleftarrow{\mathbf{i}}_j, \overleftarrow{\mathbf{f}}_j, \overleftarrow{\mathbf{c}}_j, \overleftarrow{\mathbf{c}}_j, \overrightarrow{\mathbf{o}}_j, \overleftarrow{\mathbf{o}}_j, \overleftarrow{\mathbf{o}}_j, \overleftarrow{\mathbf{b}}_j, \overleftarrow{\mathbf{h}}_j$ . Weight matrices  $(\overrightarrow{\mathbf{W}}_{(\cdot)}, \overleftarrow{\mathbf{W}}_{(\cdot)})$  and bias vectors  $(\overrightarrow{\mathbf{b}}_{(\cdot)}, \overleftarrow{\mathbf{b}}_{(\cdot)})$  were obtained by training the BLSTM using the BPTT algorithm. Recurrent activation function is a sigmoid function and it is represented by  $\sigma_h$ ; while output layer activation function is a softmax function and it is represented by  $\sigma_v$ . The

parameters of the forward LSTM hidden layer are computed from the past to the present input data sequence while those of the backward LSTM hidden layer are calculated starting from the future to the present input data sequence. The LSTM hidden layers in the positive and negative time directions are jointly connected to the output layer. The difference between the predicted output of LAE-BLSTM  $\tilde{\mathbf{y}}$  and the target output  $\mathbf{y}$  is minimized in

$$\theta(\mathbf{y}, \tilde{\mathbf{y}}) = \left[\theta(\mathbf{y}_d, \tilde{\mathbf{y}}_d)\right]_{d=1}^n \tag{7}$$

where  $\theta$  is either a binary cross-entropy loss function for binary classification or a categorical cross-entropy loss function for multiclass classification scenarios.

# IV. FEATURE DIMENSIONALITY REDUCTION AND NETWORK TRAFFIC CLASSIFICATION

In this section, we implement the hybrid DL framework proposed in Section III (i.e., LAE-BLSTM) and perform extensive experiments with Bot-IoT [33] to validate its effectiveness for botnet attack detection in IoT networks. The overall architecture of LAE-BLSTM is shown in Fig. 1.

All the experiments performed in this article leveraged Numpy, Pandas, Scikit-learn, and Keras libraries in Python programming language. Python codes were written and implemented within Spyder integrated development environment (IDE) running on Ubuntu 16.04 LTS workstation with the following specifications: Random Access Memory (32 GB), Processor (Intel Core i7-9700K CPU @ 3.60 GHz × 8), Graphics (GeForce RTX 2080 Ti/PXCIe/SSE2) and 64-b operating system (OS).

#### A. Data Preprocessing

Large network traffic data in the Bot-IoT data set were preprocessed to transform the features and the ground-truth labels into appropriate formats for ease of computation. In this study, data preprocessing involves the following: 1) elimination of redundant network information; 2) random division of complete network traffic data into training, validation, and testing sets; 3) selection of network traffic features and ground-truth labels; 4) normalization or scaling of network traffic features; and 5) integer encoding of ground-truth labels.

Redundant network traffic information (*pkSeqID*, *saddr*, *daddr*, *proto*, *state*, and *flgs*) were removed from Bot-IoT. Therefore, only 37 out of the 43 network traffic features were selected to form high-dimensional network traffic feature set. The description of each of the 43 features is provided in [33]. The high-dimensional feature set was randomly divided into training set (70%), validation set (15%), and testing set (15%) with reference to most recent works covering diverse areas of application, e.g., [74]–[79]. Table III presents the distribution of data samples in training, validation, and testing sets under binary and multiclass classification scenarios. Elements of the high-dimensional feature set were normalized to a range of [0, 1] using min–max transformation method given by

$$\mathbf{x}_{\text{norm}} = \frac{\mathbf{x} - \mathbf{x}_{\text{min}}}{\mathbf{x}_{\text{max}} - \mathbf{x}_{\text{min}}} \tag{8}$$

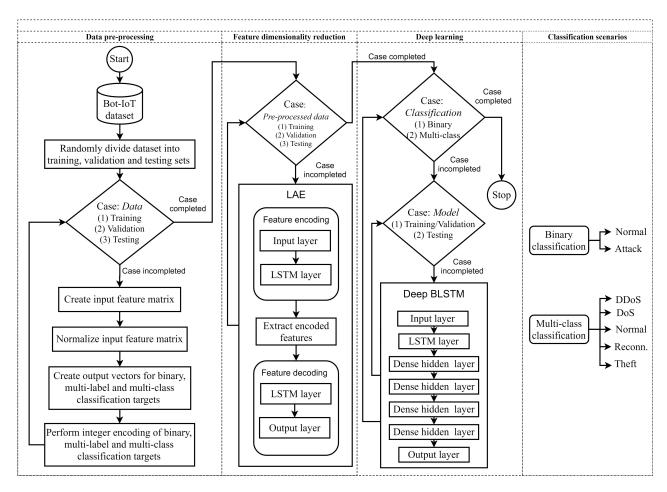


Fig. 1. LAE-BLSTM architecture for botnet attack detection in IoT networks.

where  $\mathbf{x}$  is a network traffic feature vector; while  $\mathbf{x}_{min}$  and  $\mathbf{x}_{max}$  are the minimum and maximum values of  $\mathbf{x}$ , respectively. This method retains the original distribution of network traffic features. The preprocessed high-dimensional network traffic feature set was named RAW-F.

On the other hand, binary and multiclass ground-truth labels were converted into integer format for ease of computation. Specifically, binary ground-truth labels, i.e., *attack* and *normal* were represented by 0 and 1, respectively. Similarly, multiclass ground-truth labels, i.e., *DDoS*, *DoS*, *normal*, *reconnaissance*, and *theft* were represented by 0, 1, 2, 3, and 4, respectively.

#### B. LAE for Feature Dimensionality Reduction

LAE model was developed to reduce the feature dimensionality and the data size of RAW-F such that the limited available memory space in IoT devices will be sufficient for network traffic data storage. The internal architecture of LAE is shown in Fig. 1, and its working principles were discussed earlier in Section III-A. This DL method employed the following hyperparameters: a single LSTM layer, 37 input neurons, six neurons at the LSTM layer, a rectified linear unit (ReLU) activation function, mean square error (MSE) as a loss function, a learning rate of 0.001, 10 epochs, and a batch size of 64. LAE models were trained with state-of-the-art optimisation algorithms that are

available in Keras ML library.<sup>2</sup> These optimization algorithms include adaptive moment estimation (Adam) [80], stochastic gradient descent with Nesterov momentum (SGD) [81], RMSprop [82], Adadelta [83], Adagrad [84], Adamax [80], Adam with Nesterov momentum (Nadam) [85], and follow the regularized leader (FTRL) [86]. To ensure efficient feature dimensionality reduction, we investigated and compared the performance of LAE model when each of these optimization algorithms was used. The performance evaluation was based on reconstruction loss, data size of low-dimensional features, and the rate of feature dimensionality reduction. Finally, the performance of the optimal LAE model was compared with that of three state-of-the-art feature dimensionality reduction methods, i.e., PCE [33], XMP [34], and IFG [35]. The lowdimensional features produced by LAE, PCE [33], XMP [34], and IFG [35] methods are referred to as PCE-F, XMP-F, and IFG-F, respectively.

# C. Deep BLSTM for Network Traffic Classification

Given the low-dimensional feature set, LAE-F, binary, and multiclass deep BLSTM models were developed to correctly detect benign network traffic and botnet attack traffic in IoT networks. The network architecture of the deep BLSTM model is shown in Fig. 1, and its principles of operation were

<sup>&</sup>lt;sup>2</sup>https://keras.io/api/optimizers

TABLE III	
SAMPLE DISTRIBUTION OF IOT NETWORK TRAFFIC DATA	١

Classification scenario	Target	Training	Validation	Testing	
Binary	Attack Normal	2,567,548 325	550,303 67	550,194 85	
Multi-class	DDoS DoS Normal Reconn. Theft	1,348,654 1,155,031 325 63,806 57	288,809 247,680 67 13,800 14	289,161 247,549 85 13,476 8	

previously explained in Section III-B. In this article, a deep BLSTM model comprised of a single LSTM layer with six input neurons, four dense hidden layers, and an output layer. The LSTM layer and the four dense hidden layers have 100 output neurons each. The output layer used a single neuron and five neurons for binary and multiclass classification, respectively. ReLU activation function was employed in all the layers of deep BLSTM model, except the output layer. A sigmoid activation function and a softmax activation function were employed at the output layer for binary and multiclass classification, respectively. The hyperparameters that were used to train deep BLSTM model include: a learning rate of 0.0001, 20 epochs, a batch size of 64, as well as a binary cross-entropy loss function and a categorical cross-entropy loss function for binary and multiclass classification, respectively. Deep BLSTM models were trained with Adam, SGD, RMSprop, Adadelta, Adagrad, Adamax, Nadam, and FTRL optimization algorithms.

Table III shows that the distribution of network traffic samples in Bot-IoT data set is highly imbalanced across the classes in both binary and multiclass classification scenarios. It has been experimentally proven that class imbalance affects the value and meaning of traditional classification performance metrics as they become bias in favor of the majority class [87]. Therefore, highly imbalanced data classification cannot be evaluated using traditional performance metrics. In a case where both classification successes and errors are to be considered, Matthews correlation coefficient (MCC) is the best null-biased performance metric for highly imbalanced data classification [87]. The value of MCC is calculated using

$$MCC = \frac{(TP \times TN) - (FP \times FN)}{\sqrt{(TP + FP)(TP + FN)(TN + FP)(TN + FN)}}$$
(9)

where TP is the number of IoT botnet attack samples that are correctly classified as IoT botnet attack traffic; FP is the number of normal samples that are misclassified as IoT botnet attack traffic; TN is the number of normal samples that are correctly classified as normal traffic; and FN is the number of IoT botnet attack samples that are misclassified as normal traffic.

For efficient network traffic classification, we investigated and compared the performance of deep BLSTM model when each of these optimisation algorithms was used. The performance of these models was evaluated with the training, validation, and testing sets. We analyzed the training loss, validation loss, and MCC values to determine the most efficient optimization algorithm for deep BLSTM model. In binary classification scenario, the performance of the optimal deep BLSTM model was compared with that of four state-of-the-art classifiers, i.e., SVM [33], RNN [33], LSTM [33], and VCDL [88]. In the multiclass classification scenario, the performance of the optimal deep BLSTM model was compared with that of three state-of-the-art classifiers, i.e., FNN [89], SNN [89], and C5-OCSVM [35].

#### V. RESULTS AND DISCUSSION

In this section, we present and analyze the results of the experiments performed in Section IV to validate the effectiveness of the LAE-BLSTM model for botnet attack detection in IoT networks. The performance of the LAE-BLSTM model is compared with that of the state-of-the-art ML and DL models in binary and multiclass classification scenarios. In this context, LAE-BLSTM model is considered to be efficient if it meets all the following conditions.

- Relatively Low Memory Space Requirement for Big Network Traffic Data Storage: This is evaluated based on reconstruction loss, data size of low-dimensional features, and the rate of feature dimensionality reduction. Low reconstruction loss, low data size, and high reduction rate imply that relatively low memory space is required for big network traffic data storage.
- 2) Robustness Against Model Underfitting and Overfitting:
  Model underfitting is evaluated based on the training loss and the MCC value obtained when deep BLSTM model was evaluated with the training set. Model overfitting is evaluated based on the validation loss and MCC value obtained when deep BLSTM model was evaluated with the validation set. Low training loss and high MCC value on training set imply that deep BLSTM model is robust against model underfitting. On the other hand, low validation loss and high MCC value on validation set imply that deep BLSTM model is robust against model overfitting.
- 3) Good Generalization Ability: This is evaluated based on the MCC values obtained when deep BLSTM model was evaluated with the testing set. High MCC values on testing set implies that deep BLSTM model performs well on previously unseen network traffic data.

#### A. Results of Feature Dimensionality Reduction

To determine the most suitable optimizer for efficient feature dimensionality reduction, we analyze the reconstruction losses generated when Adam, SGD, RMSprop, Adadelta, Adagrad, Adamax, Nadam, and FTRL optimization algorithms were used to train LAE model. Fig. 2 shows that the use of Adam optimizer produced the lowest reconstruction loss (0.0041–0.0023) throughout the 10-epoch training period. Table IV shows that the use of Adam optimizer outperformed the use of the other seven optimizers by 39.55%–89.33%.

Data sizes of the low-dimensional feature sets (PCE-F, XMP-F, IFG-F, and LAE-F) were compared with that of the original feature set (RAW-F) to evaluate the impact of feature dimensionality reduction methods. Table V shows that

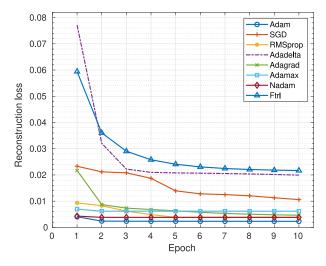


Fig. 2. Trends of reconstruction loss during the training of LAE.

TABLE IV RECONSTRUCTION LOSS AFTER THE TRAINING OF LAE MODEL

Optimiser	Reconstruction loss
Adam	0.0023
SGD	0.0106
RMSprop	0.0038
Adadelta	0.0199
Adagrad	0.0046
Adamax	0.0062
Nadam	0.0038
FTRL	0.0217

TABLE V
NETWORK TRAFFIC FEATURE SETS

Feature set	Feature size	Data size (MB)	Reduction rate (%)
RAW-F	37	1085.88	-
PCE-F [33]	10	293.48	72.97
XMP-F [34]	10	293.48	72.97
IFG-F [35]	13	381.53	64.86
LAE-F	6	88.04	91.89

LAE and state-of-the-art methods significantly reduced the data size of RAW-F. However, LAE achieved the lowest data size (88.04 MB) with a reduction rate of 91.89%. This method outperformed XMP [34], IFG [35], and PCE [33] methods by 18.92%, 18.92%, and 27.03%, respectively. The implementation of the DL method in memory-constraint IoT devices becomes more practicable for efficient botnet detection when the data size of the network traffic feature set is as small as possible.

# B. Results of Network Traffic Classification in Binary Scenario

To determine the most suitable optimizer for efficient network traffic classification in binary scenario, we analyze the training losses, validation losses, and MCC values obtained when deep BLSTM model was trained with Adam, SGD, RMSprop, Adadelta, Adagrad, Adamax, Nadam, and FTRL optimization algorithms.

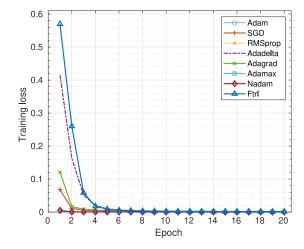


Fig. 3. Training losses of deep BLSTM model in binary classification scenario.

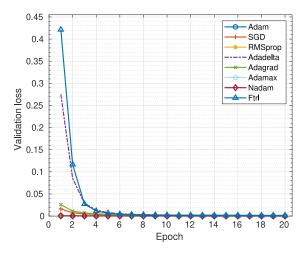


Fig. 4. Validation losses of deep BLSTM model in binary classification scenario.

Fig. 3 shows the training losses of the deep BLSTM model in the binary classification scenario when each of the eight optimisation algorithms was used. In all cases, the training losses reduced as the number of epochs increased from 1 to 20. However, deep BLSTM model had the lowest training losses when Nadam optimizer was employed. The values of training losses produced by Adam optimizer were very close to those of Nadam optimizer. At the end of the 20-epoch training, Nadam optimizer achieved a training loss of  $8.19 \times 10^{-5}$  while that of Adam optimizer was  $8.39 \times 10^{-5}$ . On the other hand, SGD, RMSprop, Adadelta, Adagrad, Adamax, and FTRL optimizers produced relatively high training losses of 0.0011, 0.0006, 0.0013, 0.0013, 0.0003, and 0.0014, respectively.

Fig. 4 shows the validation losses of deep BLSTM model in binary classification scenario when each of the eight optimisation algorithms was used. In all cases, the validation losses reduced as the number of epochs increased from 1 to 20. However, deep BLSTM model had the lowest validation losses when Nadam optimizer was employed. The values of validation losses produced by Adam optimizer were very close to those of Nadam optimizer. At the end of the 20-epoch validation, Nadam optimizer achieved a validation loss

TABLE VI MCC VALUES OF DEEP BLSTM MODEL IN BINARY CLASSIFICATION SCENARIO

Model	Training (%)	Validation (%)	Testing (%)
Adam	91.67	89.55	93.03
SGD	null	null	null
RMSprop	63.79	62.29	52.36
Adadelta	null	null	null
Adagrad	null	null	null
Adamax	82.38	78.41	82.61
Nadam	93.04	90.66	95.80
FTRL	null	null	null

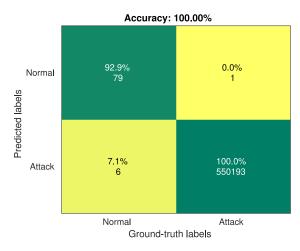


Fig. 5. Confusion matrix of deep BLSTM model in binary classification scenario.

of  $8.75 \times 10^{-5}$  while that of Adam optimizer was 0.0001. On the other hand, SGD, RMSprop, Adadelta, Adagrad, Adamax, and FTRL optimizers produced relatively high validation losses of 0.0011, 0.0008, 0.0013, 0.0013, 0.0003, and 0.0014, respectively.

Table VI presents the MCC values of deep BLSTM model in the binary classification scenario when each of the eight optimization algorithms was used. For SGD, Adadelta, Adagrad, and FTRL optimizers, deep BLSTM model correctly classified all the samples in the attack class as botnet attack traffic but it misclassified all the samples in the normal class as botnet attack traffic. In these cases, the values of TN and FN were zero. Based on (9), the MCC values were undefined when deep BLSTM model was evaluated with network traffic data in the training, validation, and testing sets. This shows that SGD, Adadelta, Adagrad, and FTRL optimizers could not handle the class imbalance problem in Bot-IoT data set. The classifier was biased in favor of the attack (majority) class because the number of samples in this class is far greater than those in the normal class (see Table III). On the other hand, Nadam optimizer produced the highest MCC values when deep BLSTM model was evaluated with network traffic samples in the training, validation, and testing sets. Nadam optimizer achieved an overall MCC of 93.17%, and it outperformed Adam, RMSprop, and Adamax optimizers by 1.91%, 33.69%, and 12.03%, respectively. Fig. 5 shows the confusion matrix of deep BLSTM model when Nadam optimizer was used for binary classification. The detection rate of

TABLE VII
PERFORMANCE IN ML/DL MODELS IN BINARY CLASSIFICATION
SCENARIO

Model	MCC (%)
PCE-SVM [33]	3.14
PCE-RNN [33]	5.98
PCE-LSTM [33]	7.03
PCE-VCDL [88]	20.42
LAE-BLSTM	93.17

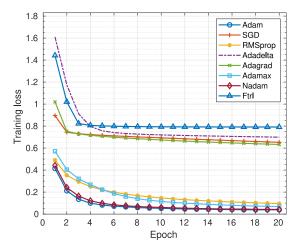


Fig. 6. Training losses of deep BLSTM model in multiclass classification scenario

normal traffic was 92.90% while that of botnet attack traffic was 100%.

Finally, the performance of the LAE-BLSTM model was compared with that of state-of-the-art models in the binary classification scenario. Table VII shows that LAE-BLSTM model outperformed PCE-SVM [33], PCE-RNN [33], PCE-LSTM [33], and PCE-VCDL [88] models by 90.03%, 87.19%, 86.14%, and 72.75%, respectively.

# C. Results of Network Traffic Classification in Multiclass Scenario

To determine the most suitable optimizer for network traffic classification in multiclass scenario, we analyze the training losses, validation losses, and MCC values obtained when deep BLSTM model was trained with Adam, SGD, RMSprop, Adadelta, Adagrad, Adamax, Nadam, and FTRL optimisation algorithms.

Fig. 6 shows the training losses of deep BLSTM model in multiclass classification scenario when each of the eight optimization algorithms was used. In all cases, the training losses reduced as the number of epochs increased from 1 to 20. However, deep BLSTM model had the lowest training losses when Adam optimizer was employed. The values of training losses produced by Nadam optimizer were very close to those of Adam optimizer. At the end of the 20-epoch training, Adam optimizer achieved a training loss of 0.0389 while that of Nadam optimizer was 0.0421. On the other hand, SGD, RMSprop, Adadelta, Adagrad, Adamax, and FTRL optimizers produced relatively high training losses of 0.6524, 0.0953, 0.6992, 0.6328, 0.0680, and 0.7913, respectively.

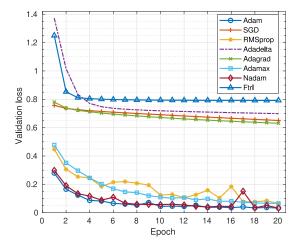


Fig. 7. Validation losses of deep BLSTM model in multiclass classification scenario

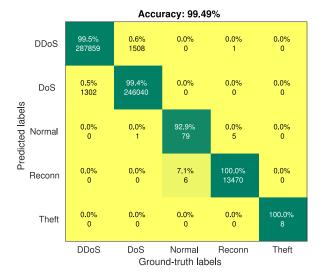


Fig. 8. Confusion matrix of deep BLSTM model in multiclass classification scenario.

Fig. 7 shows the validation losses of deep BLSTM model in multiclass classification scenario when each of the eight optimization algorithms was used. In all cases, the validation losses reduced as the number of epochs increased from 1 to 20. However, deep BLSTM model had the lowest validation losses when Adam optimizer was employed. The values of validation losses produced by Nadam optimizer were very close to those of Adam optimizer. At the end of the 20-epoch validation, Adam optimizer achieved a validation loss of 0.0325 while that of Adam optimizer was 0.0326. On the other hand, SGD, RMSprop, Adadelta, Adagrad, Adamax, and FTRL optimizers produced relatively high validation losses of 0.6503, 0.0640, 0.6988, 0.6310, 0.0656, and 0.7920, respectively.

Furthermore, we evaluate the performance of the deep BLSTM model when each of the eight optimization algorithms was used in multiclass classification scenario. Table VIII presents the MCC values of deep BLSTM model when evaluated with network traffic samples in the training set. Adam optimizer achieved the highest MCC value in detecting *normal* traffic. Nadam optimizer achieved the highest MCC values in detecting *DDoS*, *DoS*, *reconnaissance*, and *theft* attacks. This

TABLE VIII

MCC VALUES OF DEEP BLSTM MODEL FOR TRAINING IN MULTICLASS

CLASSIFICATION SCENARIO

Optimiser	DDoS	DoS	Norm	Recon	Theft	Mean
Adam	98.33	98.31	93.34	99.96	93.66	96.72
SGD	57.83	57.18	null	99.62	null	42.93
RMSprop	92.78	92.71	null	99.47	null	56.99
Adadelta	27.86	24.79	null	71.05	null	24.74
Adagrad	36.07	35.71	null	98.73	null	34.10
Adamax	94.98	94.94	73.05	99.82	null	72.56
Nadam	98.97	98.96	92.88	99.96	93.68	96.89
FTRL	19.24	15.00	null	98.10	null	26.47

TABLE IX
MCC VALUES OF DEEP BLSTM MODEL FOR VALIDATION IN
MULTICLASS CLASSIFICATION SCENARIO

Optimiser	DDoS	DoS	Norm	Recon	Theft	Mean
Adam	98.28	98.26	90.10	99.94	96.36	96.59
SGD	57.96	57.31	null	99.63	null	42.98
RMSprop	92.76	92.70	null	99.49	null	56.99
Adadelta	28.11	25.02	null	71.00	null	24.83
Adagrad	36.18	35.82	null	98.73	null	73.21
Adamax	94.97	94.93	76.29	99.83	null	73.21
Nadam	98.94	98.93	89.83	99.93	96.36	96.80
FTRL	19.24	15.00	null	98.10	null	26.47

TABLE X
MCC VALUES OF DEEP BLSTM MODEL FOR TESTING IN MULTICLASS
CLASSIFICATION SCENARIO

Optimiser	DDoS	DoS	Norm	Recon	Theft	Mean
Adam	98.33	98.31	91.34	99.94	100.00	97.58
SGD	57.78	57.14	null	99.55	null	42.90
RMSprop	92.74	92.68	null	99.44	null	56.97
Adadelta	27.76	24.72	null	70.53	null	24.60
Adagrad	35.96	35.61	null	98.54	null	34.02
Adamax	95.01	94.97	71.38	99.76	null	72.23
Nadam	98.98	98.97	92.94	99.95	100.00	98.17
FTRL	18.79	14.56	null	97.94	null	26.26

optimizer outperformed Adam, SGD, RMSprop, Adadelta, Adagrad, Adamax, and FTRL optimizers by 0.08%, 53.87%, 39.81%, 72.06%, 62.70%, 24.24%, and 62.70%, respectively. Table IX presents the MCC values of the deep BLSTM model when evaluated with network traffic samples in the validation set. Nadam optimizer achieved the highest MCC values in all classes. This optimizer outperformed Adam, SGD, RMSprop, Adadelta, Adagrad, Adamax, and FTRL optimizers by 0.21%%, 53.82%, 39.81%, 71.97%, 23.59%, 23.59%, and 70.33%, respectively. Table X presents the MCC values of the deep BLSTM model when evaluated with network traffic samples in the testing set. Nadam optimizer achieved the highest MCC values in all classes. This optimizer outperformed Adam, SGD, RMSprop, Adadelta, Adagrad, Adamax, and FTRL optimizers by 0.59%, 55.27%, 41.20%, 73.57%, 64.15%, 25.94%, and 71.91%, respectively.

Fig. 8 shows the confusion matrix of the deep BLSTM model when Nadam optimizer was used for multiclass classification. The detection rates of *DDoS*, *DoS*, *normal*, *reconnaissance*, and *theft* were 99.5%, 99.4%, 92.90%, 100%, and 100%, respectively. Finally, the performance of the LAE-BLSTM model was compared with that of state-of-the-art models in the multiclass classification scenario. Table XI

TABLE XI
PERFORMANCE IN ML/DL MODELS IN MULTICLASS
CLASSIFICATION SCENARIO

Model	DDoS	DoS	Norm	Recon	Theft	Mean
PCE-FNN [89]	-	-	-	=	-	89.00
PCE-SNN [89]	_	-	_	_	-	85.00
IFG-CSVM [35]	99.38	99.53	88.91	44.76	99.32	86.38
LAE-BLSTM	98.96	98.95	91.89	99.95	96.68	97.29

shows that the LAE-BLSTM model outperformed PCE-FNN [89], PCE-SNN [89], and IFG-CSVM [35] models by 8.29%, 12.29%, and 10.91%, respectively.

# VI. CONCLUSION

LAE-BLSTM, an hybrid DL method, was proposed for efficient botnet detection in IoT networks using LAE and deep BLSTM algorithms. The effectiveness of this method was validated by performing extensive experiments with the most relevant publicly available data set (Bot-IoT) in binary and multiclass classification scenarios. Simulation results showed that LAE model achieved the highest data size reduction rate of 91.89%, outperforming XMP [34], IFG [35], and PCE [33] methods by 18.92%, 18.92%, and 27.03%, respectively. As the data size of big network traffic features becomes smaller, the implementation of DL method in memory-constraint IoT devices seems to be more practicable for efficient botnet detection. In addition, deep BLSTM model, which were trained to analyze the long-term interrelated changes in low-dimensional feature set produced by LAE, demonstrated robustness against model underfitting and overfitting, as well as good generalization ability. Therefore, LAE-BLSTM has proven to be efficient for botnet attack detection in IoT networks.

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