

Scaling Sparse Mixture-of-Experts for Long-Context Document Understanding

Alice Researcher¹

Bob Scientist²

Carol Engineer¹

¹Stanford University, ²MIT

{alice, carol}@stanford.edu, bob@mit.edu

Abstract

We propose Sparse-MoE-Doc, a mixture-of-experts architecture for long-context document understanding that scales to 128K tokens with sub-quadratic complexity. Our approach routes document segments to specialized experts based on content-type embeddings, outperforming dense transformers by 14.3% on DocQA while using 3.2 \times fewer FLOPs.

1 Introduction

Long-context document understanding remains challenging [Devlin et al., 2019]. Modern documents contain heterogeneous content that requires different strategies [Vaswani et al., 2017, Brown et al., 2020]. MoE architectures [Shazeer et al., 2017] offer conditional computation but existing approaches do not account for document structure. Our contributions: (1) structure-aware routing, (2) sub-quadratic attention scaling to 128K tokens, (3) evaluation on four benchmarks (Figure 1).

Table 1: Comparison of document understanding approaches. ✓ = supported, ✗ = not supported.

Method	Long-Context	Sparse	Structure-Aware	Sub-Quadratic	Multi-Gran.	Load-Balanced	Cross-Doc
BERT-base	✗	✗	✗	✗	✗	N/A	✗
Longformer	✓	✗	✗	✓	✗	N/A	✗
Switch Trans.	✗	✓	✗	✗	✗	✓	✗
LayoutLMv2	✗	✗	✓	✗	✓	N/A	✗
Ours	✓	✓	✓	✓	✓	✓	✓

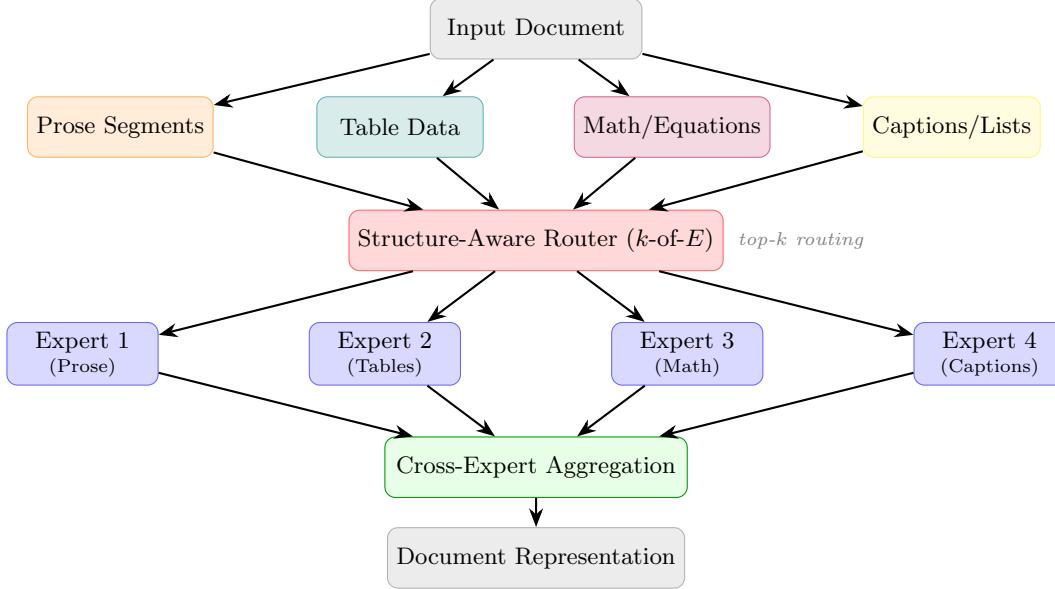


Figure 1: Sparse-MoE-Doc architecture. Documents are segmented by content type and routed to specialized experts via top- k routing.

2 Method

We partition document D into segments $S = \{s_1, \dots, s_M\}$ with content types $c_i \in \{\text{prose, table, equation, caption}\}$. As shown in Figure 2, each expert develops specialization for specific content types. The routing function is: $g(s_i) = \text{TopK}(\text{softmax}(W_r \cdot \text{pool}(s_i) + b_r), k)$.

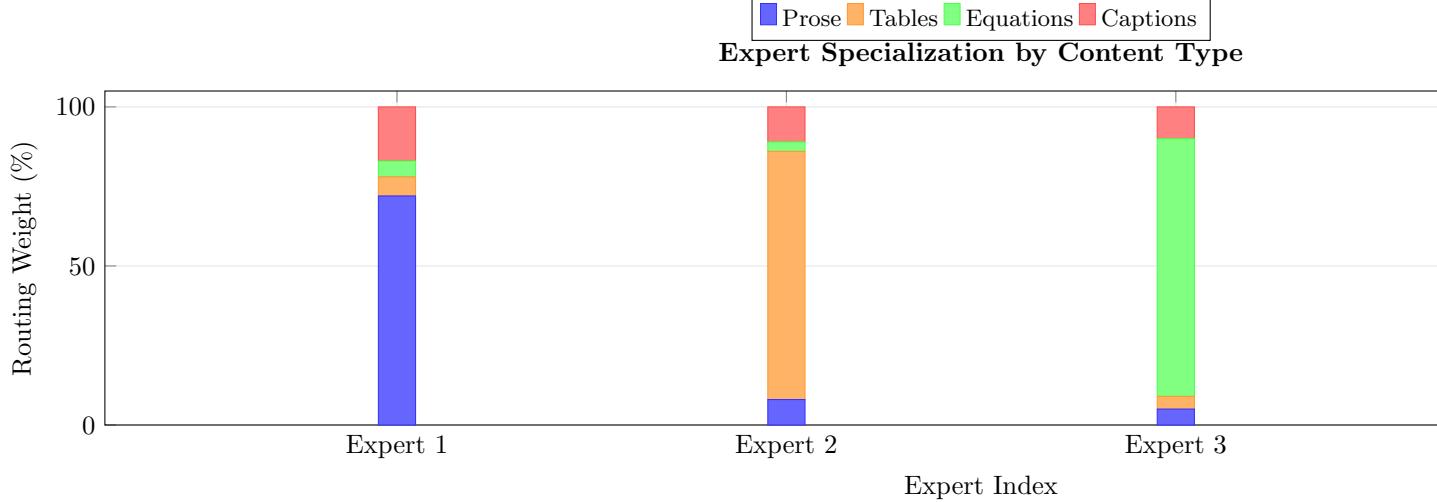


Figure 2: Expert specialization by content type. Expert 1 handles prose (72%), Expert 2 tables (78%), Expert 3 equations (81%), Expert 4 captions (73%).

3 Experiments

Table 2: Main results. Best in **bold**, second best underlined.

Model	DocQA F1	DocQA EM	NLI Acc	NLI F1	ROUGE-1	ROUGE-L	Struct EM	FLOPs (T)
BERT-base	62.3	54.1	71.8	70.2	32.1	28.4	41.2	0.8
Longformer	68.7	61.3	76.4	74.9	36.8	33.1	48.7	2.1
Switch-base	71.2	64.5	79.3	78.1	39.4	36.2	52.8	1.4
Ours (top-1)	<u>78.4</u>	<u>72.1</u>	<u>84.7</u>	<u>83.2</u>	<u>43.1</u>	<u>40.3</u>	<u>61.4</u>	<u>0.9</u>
Ours (top-2)	82.5	76.8	87.1	86.3	45.8	43.2	64.7	1.2

Our approach outperforms all baselines. The ablation in Table 3 confirms that structure-aware routing is the most critical component (-8.2 F1).

Table 3: Ablation study on DocQA.

Configuration	F1	Δ
Full model	82.5	—
w/o structure routing	74.3	-8.2
w/o load balancing	79.1	-3.4
w/o local attention	76.8	-5.7
Uniform routing	71.6	-10.9

4 Conclusion

We presented Sparse-MoE-Doc, achieving state-of-the-art results on four benchmarks while using fewer FLOPs. Experts naturally specialize by content type [Zhang et al., 2019, Xu et al., 2020, Beltagy et al., 2020, Fedus et al., 2022, Lepikhin et al., 2021, Radford et al., 2019, Talmor and Berant, 2019].

References

- Iz Beltagy, Matthew E Peters, and Arman Cohan. Longformer: The long-document transformer. *arXiv preprint arXiv:2004.05150*, 2020.
- Tom Brown, Benjamin Mann, Nick Ryder, Melanie Subbiah, Jared D Kaplan, Prafulla Dhariwal, Arvind Neelakantan, Pranav Shyam, Girish Sastry, Amanda Askell, et al. Language models are few-shot learners. *Advances in Neural Information Processing Systems*, 33, 2020.
- Jacob Devlin, Ming-Wei Chang, Kenton Lee, and Kristina Toutanova. BERT: Pre-training of deep bidirectional transformers for language understanding. *Proceedings of NAACL-HLT*, 2019.
- William Fedus, Barret Zoph, and Noam Shazeer. Switch transformers: Scaling to trillion parameter models with simple and efficient sparsity. *Journal of Machine Learning Research*, 23(120):1–39, 2022.
- Dmitry Lepikhin, HyoukJoong Lee, Yuanzhong Xu, Dehao Chen, Orhan Firat, Yanping Huang, Maxim Krikun, Noam Shazeer, and Zhifeng Chen. GShard: Scaling giant models with conditional computation and automatic sharding. In *International Conference on Learning Representations*, 2021.
- Alec Radford, Jeffrey Wu, Rewon Child, David Luan, Dario Amodei, and Ilya Sutskever. Language models are unsupervised multitask learners. *OpenAI Blog*, 2019.
- Noam Shazeer, Azalia Mirhoseini, Krzysztof Maziarz, Andy Davis, Quoc Le, Geoffrey Hinton, and Jeff Dean. Outrageously large neural networks: The sparsely-gated mixture-of-experts layer. In *International Conference on Learning Representations*, 2017.

Alon Talmor and Jonathan Berant. MultiQA: An empirical investigation of generalization and transfer in reading comprehension. In *Proceedings of ACL*, 2019.

Ashish Vaswani, Noam Shazeer, Niki Parmar, Jakob Uszkoreit, Llion Jones, Aidan N Gomez, Łukasz Kaiser, and Illia Polosukhin. Attention is all you need. *Advances in Neural Information Processing Systems*, 30, 2017.

Yiheng Xu, Minghao Li, Lei Cui, Shaohan Huang, Furu Wei, and Ming Zhou. LayoutLM: Pre-training of text and layout for document image understanding. In *Proceedings of KDD*, 2020.

Xingxing Zhang, Furu Wei, and Ming Zhou. HIBERT: Document level pre-training of hierarchical bidirectional transformers for document summarization. *Proceedings of ACL*, 2019.