Denotational Semantics

Renato Neves





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Compiler correctness and contextual equivalence

We adopted the following notion of equivalence

$$\mathtt{p} \equiv_{o} \mathtt{q} \ \mathsf{iff} \ \Big(\mathsf{for} \ \mathsf{every} \ \sigma. \ \langle \mathtt{p}, \sigma \rangle \Downarrow \sigma' \ \mathsf{iff} \ \langle \mathtt{q}, \sigma \rangle \Downarrow \sigma' \Big)$$

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Compiler correctness and contextual equivalence

We adopted the following notion of equivalence

$$p \equiv_{\text{o}} q \text{ iff } \Big(\text{for every } \sigma. \ \langle p,\sigma \rangle \Downarrow \sigma' \text{ iff } \langle q,\sigma \rangle \Downarrow \sigma' \Big)$$

Compilers adopt the stronger version

$$p \equiv q \text{ iff } \Big(\text{for every context } \textit{C. } \textit{C}[p] \equiv_{\textit{o}} \textit{C}[q] \Big)$$

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Compiler correctness and contextual equivalence

We adopted the following notion of equivalence

$$p \equiv_{\sigma} q \text{ iff } \Big(\text{for every } \sigma. \ \langle p, \sigma \rangle \Downarrow \sigma' \text{ iff } \langle q, \sigma \rangle \Downarrow \sigma' \Big)$$

Compilers adopt the stronger version

$$p \equiv q$$
 iff (for every context C . $C[p] \equiv_o C[q]$)

Why is that ?

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Contexts

$$C ::= [-] \mid C \wedge b \mid b \wedge C \mid \neg C$$

Exercise

Prove the equivalence $b_1 \equiv_o b_2 \iff b_1 \equiv b_2$

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Contexts

$$C ::= [-] \mid C \wedge b \mid b \wedge C \mid \neg C$$

Exercise

Prove the equivalence $b_1 \equiv_o b_2 \iff b_1 \equiv b_2$

Homework: repeat the exercise now for arithmetic expressions

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Contexts

$$C ::= [-] \mid C$$
; p | if b then C else p | while b do $\{C\} \mid \dots$

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Contexts

$$C ::= [-] \mid C$$
; p | if b then C else p | while b do $\{C\} \mid \dots$

Can we still prove $p \equiv_o q \iff p \equiv q$?

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Next challenge: programs as part of a mathematical theory

Programming language Mathematical theory

The latter include e.g.

- functions (recall program calculus)
- linear algebra
- relations
- domain theory (theory of computability and beyond)

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Boolean terms and their denotational semantics

$$b ::= x \mid b \wedge b \mid \neg b$$

Terms interpreted as $\underline{\text{functions}}$ $[\![b]\!]: State \rightarrow 2$

Term operations interpreted via the boolean algebra 2

$$\begin{aligned} & [\![\mathbf{x}]\!](\sigma) = \sigma(\mathbf{x}) \\ & [\![\mathbf{b}_1 \wedge \mathbf{b}_2]\!] = (\wedge) \cdot \langle [\![\mathbf{b}_1]\!], [\![\mathbf{b}_2]\!] \rangle \\ & [\![\neg \mathbf{b}]\!] = (\neg) \cdot [\![\mathbf{b}]\!] \end{aligned}$$

The relation between big-step and denotational semantics

Theorem

For every term b and memory σ we have $\langle b, \sigma \rangle \Downarrow v$ iff $[\![b]\!](\sigma) = v$

Proof.

Straightforward induction

ш

Corollary

$$\mathtt{b}_1 \equiv \mathtt{b}_2 \ \textit{iff} \ \mathtt{b}_1 \equiv_{\textit{o}} \mathtt{b}_2 \ \textit{iff} \ [\![\mathtt{b}_1]\!] = [\![\mathtt{b}_2]\!]$$

Profits!

We can now reduce checking for equivalence to ...

Program calculus and Boolean algebra

Profits!

We can now reduce checking for equivalence to ...

Program calculus and Boolean algebra

Example

$$\begin{split} \llbracket b_1 \wedge b_2 \rrbracket &= (\wedge) \cdot \langle \llbracket b_1 \rrbracket, \llbracket b_2 \rrbracket \rangle \\ &= (\wedge) \cdot \operatorname{sw} \cdot \langle \llbracket b_1 \rrbracket, \llbracket b_2 \rrbracket \rangle \\ &= (\wedge) \cdot \langle \pi_2, \pi_1 \rangle \cdot \langle \llbracket b_1 \rrbracket, \llbracket b_2 \rrbracket \rangle \\ &= (\wedge) \cdot \langle \pi_2 \cdot \langle \llbracket b_1 \rrbracket, \llbracket b_2 \rrbracket \rangle, \pi_1 \cdot \langle \llbracket b_1 \rrbracket, \llbracket b_2 \rrbracket \rangle \rangle \\ &= (\wedge) \cdot \langle \llbracket b_2 \rrbracket, \llbracket b_1 \rrbracket \rangle \\ &= \llbracket b_2 \wedge b_1 \rrbracket \end{split}$$

Exercises

- 1. Show that $b \wedge b \equiv b$ via the denotational semantics
- 2. Define a denotational semantics for arithmetic expressions e
- 3. Prove that $[e_1 + e_2] = [e_2 + e_1]$
- 4. Prove the equivalence $\langle e, \sigma \rangle \Downarrow v$ iff $[e](\sigma) = v$

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Key takeaways

Programs interpreted as $\underline{\mathsf{functions}}\ [\![\mathsf{p}]\!]: \mathit{State}_\bot \to \mathit{State}_\bot$

 $State_{\perp} = State \cup \{\perp\}$ where \perp represents <u>non-termination</u>

Sequential composition is function composition

Programs and their denotational semantics

$$\mathtt{p} ::= \mathtt{x} := \mathtt{e} \mid \mathtt{p} \, ; \mathtt{p} \mid \mathtt{if} \, \mathtt{b} \, \mathtt{then} \, \mathtt{p} \, \mathtt{else} \, \mathtt{p} \mid \mathtt{while} \, \mathtt{b} \, \mathtt{do} \, \{ \, \mathtt{p} \, \}$$

$$\label{eq:continuity} \begin{split} [\![\mathtt{x} := \mathtt{e}]\!] &= \sigma \mapsto \sigma[[\![\mathtt{e}]\!]/\mathtt{x}] \\ [\![\mathtt{p} \,; \mathtt{q}]\!] &= [\![\mathtt{q}]\!] \cdot [\![\mathtt{p}]\!] \\ [\![\mathtt{if} \, \mathtt{b} \, \mathtt{then} \, \mathtt{p} \, \mathtt{else} \, \mathtt{q}]\!] &= [[\![\mathtt{p}]\!], [\![\mathtt{q}]\!]] \cdot \mathrm{dist} \cdot \langle [\![\mathtt{b}]\!], \mathrm{id} \rangle \\ [\![\mathtt{while} \, \mathtt{b} \, \mathtt{do} \, \{ \, \mathtt{p} \, \}]\!] &= \, \ldots \ldots \end{split}$$

The relation between big-step and denotational semantics

Danger, Will Robinson: no while-loops yet ...

Theorem

For every p and σ we have $\langle p, \sigma \rangle \Downarrow \sigma'$ iff $[\![p]\!](\sigma) = \sigma'$

Proof.

Straightforward induction

Corollary

$$p \equiv q \; \textit{iff} \; p \equiv_o q \; \textit{iff} \; [\![p]\!] = [\![q]\!]$$

Profits!

Recall when we had to prove the two equivalences

- $(p;q);r \equiv p;(q;r)$
- (if b then p else q); $r \equiv if b then p; r else q; r$

with the big-step semantics

Show the same via the denotational semantics

Programs and a (tentative) denotational semantics

$$\mathtt{p} ::= \mathtt{x} := \mathtt{e} \mid \mathtt{p} \, ; \mathtt{p} \mid \mathtt{if} \, \mathtt{b} \, \mathtt{then} \, \mathtt{p} \, \mathtt{else} \, \mathtt{p} \mid \mathtt{while} \, \mathtt{b} \, \mathtt{do} \, \{ \, \mathtt{p} \, \}$$

$$\label{eq:continuity} \begin{split} [\![x := e]\!] &= \sigma \mapsto \sigma[[\![e]\!]/x] \\ & [\![p \ ; q]\!] = [\![q]\!] \cdot [\![p]\!] \\ [\![\text{if b then p else q}\!]] &= [[\![p]\!], [\![q]\!]] \cdot \operatorname{dist} \cdot \langle [\![b]\!], \operatorname{id} \rangle \\ & [\![\text{while b do } \{\ p\ \}]\!] = [[\![\text{while b do } \{\ p\ \}]\!] \cdot [\![p]\!], \operatorname{id}] \cdot \operatorname{dist} \cdot \langle [\![b]\!], \operatorname{id} \rangle \end{split}$$

Programs and a (tentative) denotational semantics

$$\texttt{p} ::= \texttt{x} := \texttt{e} \mid \texttt{p} \, ; \texttt{p} \mid \texttt{if} \, \texttt{b} \, \texttt{then} \, \texttt{p} \, \texttt{else} \, \texttt{p} \mid \texttt{while} \, \texttt{b} \, \texttt{do} \, \big\{ \, \texttt{p} \, \big\}$$

I'm very clear, Brexit does mean brexit

(Theresa May) https://www.youtube.com/watch?v=oRDfFJAu6Bo

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Partially ordered set

Definition (Poset)

A set with a reflexive, anti-symmetric, and transitive relation \leq

Examples

- (N, the usual order ≤ on natural numbers)
- $(\mathbb{R}, ext{ the usual order} \leq ext{on the real numbers})$
- (X, =) (for any set X)

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Partially ordered set

Definition (Poset)

A set with a reflexive, anti-symmetric, and transitive relation \leq

Examples

- $(\mathbb{N}, \text{ the usual order } < \text{ on natural numbers})$
- $(\mathbb{R}, \text{ the usual order } \leq \text{ on the real numbers})$
- (X, =) (for any set X)

In our context $x \leq y$ reads as

y more informative than x

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New posets from old ones

Addition of a bottom element

If (X, \leq_X) is a poset then (X_{\perp}, \leq) is a poset when defined as

- $x_1 < x_2$ iff $x_1 < x_2$
- $\bot \le x$ (for all $x \in X$)

 \perp is the least informative element, akin to non-termination

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New posets from old ones

Addition of a bottom element

If (X, \leq_X) is a poset then (X_{\perp}, \leq) is a poset when defined as

- $x_1 \le x_2 \text{ iff } x_1 \le x_2$
- $\bot \le x$ (for all $x \in X$)

 $oldsymbol{\perp}$ is the least informative element, akin to non-termination

Example

In what way is $State_{\perp}$ a poset ?

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Data aggregation

We will often wish to collect an increasing seq. of information

$$x_1 \leq x_2 \leq x_3 \leq \dots$$

into a single datum, denoted by $\bigvee_{i \in \mathbb{N}} x_i$

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Data aggregation

We will often wish to collect an increasing seq. of information

$$x_1 \le x_2 \le x_3 \le \dots$$

into a single datum, denoted by $\bigvee_{i\in\mathbb{N}} x_i$

This element should be more informative than any x_i $(j \in \mathbb{N})$, i.e.

$$x_j \leq \bigvee_{i \in \mathbb{N}} x_i$$

and contain no more information than the one in the chain, i.e.

$$(\forall j \in \mathbb{N}. x_j \leq y) \Longrightarrow \bigvee_{i \in \mathbb{N}} x_i \leq y$$

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Posets + Data aggregation

Definition (ω -CPO)

A poset with data aggregation as previously described

Examples

- \mathbb{N} is <u>not</u> an ω -CPO but $\mathbb{N} \cup \{\infty\}$ is
- \mathbb{R} is not an ω -CPO but $\mathbb{R} \cup \{\infty\}$ and [0,1] are

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Posets + Data aggregation

Definition (ω -CPO)

A poset with data aggregation as previously described

Examples

- \mathbb{N} is <u>not</u> an ω -CPO but $\mathbb{N} \cup \{\infty\}$ is
- \mathbb{R} is $\underline{\mathsf{not}}$ an $\omega\text{-}\mathsf{CPO}$ but $\mathbb{R}\cup\{\infty\}$ and [0,1] are

Exercise

Show that $State_{\perp}$ is an $\omega\text{-CPO}$

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Maps between $\omega\text{-CPOs}$

We wish them to represent some form of $\underline{\text{computability}}$. . .

... and thus we cannot allow all maps

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Maps between ω -CPOs

We wish them to represent some form of $\underline{\text{computability}}\,\dots$

... and thus we cannot allow all maps

We enforce instead the following laws

$$f(\vee_n x_n) = \vee_n f(x_n)$$
 (continuity)
 $x_1 \le x_2 \Rightarrow f(x_1) \le f(x_2)$ (monotonicity)

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What does it mean for $p: X \to \{\bot \leq \top\}$ to be continuous ?

Suppose $x \in X$ is given by a chain of finite approximations

$$x_1 \leq x_2 \leq x_3 \dots$$

... then deduce that

$$p(\vee_{n\in\mathbb{N}} x_n) = \top \iff \vee_{n\in\mathbb{N}} p(x_n) = \top$$
$$\iff \exists n \in \mathbb{N}. p(x_n) = \top$$

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What does it mean for $p: X \to \{\bot \le \top\}$ to be continuous ?

Suppose $x \in X$ is given by a chain of <u>finite</u> approximations

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... then deduce that

$$p(\vee_{n\in\mathbb{N}} x_n) = \top \iff \vee_{n\in\mathbb{N}} p(x_n) = \top$$
$$\iff \exists n \in \mathbb{N}. p(x_n) = \top$$

i.e. that p terminates with tt for x iff p can evaluate a finite approximation of x to tt

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Exercise 1

Show that $(\mathcal{P}(\mathbb{N}),\subseteq)$ is an $\omega\text{-CPO}$

Exercise 2

Can isInfinite: $\mathcal{P}(\mathbb{N}) \to \{\bot \leq \top\}$ be continuous?

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$$\label{eq:continuous_problem} \begin{split} [\![\mathtt{x} := \mathtt{e}]\!] &= \sigma \mapsto \sigma[[\![\mathtt{e}]\!]/\mathtt{x}] \\ & [\![\mathtt{p} \,; \mathtt{q}]\!] = [\![\mathtt{q}]\!] \cdot [\![\mathtt{p}]\!] \\ & [\![\mathtt{if} \, \mathtt{b} \, \mathtt{then} \, \mathtt{p} \, \mathtt{else} \, \mathtt{q}]\!] = [[\![\mathtt{p}]\!], [\![\mathtt{q}]\!]] \cdot \mathrm{dist} \cdot \langle [\![\mathtt{b}]\!], \mathrm{id} \rangle \\ & [\![\mathtt{while} \, \mathtt{b} \, \mathtt{do} \, \{ \, \mathtt{p} \, \}\!] = \, \ldots \ldots \end{split}$$

Are all programs [p] continuous?

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$$\label{eq:continuity} \begin{split} [\![\mathtt{x} := \mathtt{e}]\!] &= \sigma \mapsto \sigma[[\![\mathtt{e}]\!]/\mathtt{x}] \\ [\![\mathtt{p} \ ; \mathtt{q}]\!] &= [\![\mathtt{q}]\!] \cdot [\![\mathtt{p}]\!] \\ [\![\mathtt{if} \ \mathtt{b} \ \mathtt{then} \ \mathtt{p} \ \mathtt{else} \ \mathtt{q}]\!] &= [[\![\mathtt{p}]\!], [\![\mathtt{q}]\!]] \cdot \mathrm{dist} \cdot \langle [\![\mathtt{b}]\!], \mathrm{id} \rangle \\ [\![\mathtt{while} \ \mathtt{b} \ \mathtt{do} \ \{ \ \mathtt{p} \ \}\!] &= \ \ldots \ldots \end{split}$$

Are all programs [p] continuous?

Yes !! Just use program calculus 'with continuous functions'

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Definition

An element $x \in X$ is a fixpoint of $f: X \to X$ if f(x) = x

A notion with applications in different fields

- economics (game theory)
- dynamical systems (equilibrium points)
- automata theory
- essentially everywhere . . .

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Definition

An element $x \in X$ is a fixpoint of $f: X \to X$ if f(x) = x

A notion with applications in different fields

- economics (game theory)
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Here while-loops will be fixpoints

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... but a fixpoint of which function ?

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... but a fixpoint of which function ?

Recall our previous idea

$$[\![\mathtt{while}\ \mathtt{b}\ \mathtt{do}\ \{\ \mathtt{p}\ \}]\!] = [\![\![\mathtt{while}\ \mathtt{b}\ \mathtt{do}\ \{\ \mathtt{p}\ \}]\!] \cdot [\![\mathtt{p}]\!], \mathrm{id}] \cdot \mathrm{dist} \cdot \langle [\![\mathtt{b}]\!], \mathrm{id}\rangle$$

It translates to saying that $[\![\mbox{while}\ b\ do\ \{\ p\ \}]\!]$ is a fixpoint of

$$k \longmapsto [k \cdot [\![\mathtt{p}]\!], \mathrm{id}] \cdot \mathrm{dist} \cdot \langle [\![\mathtt{b}]\!], \mathrm{id} \rangle$$

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The least fixpoint theorem

Theorem

Every continuous, monotone map $f: X \to X$ has a least fixpoint

$$\mathrm{lfp}\ f = \vee_{n \in \mathbb{N}} f^n(\bot)$$

Exercise

Prove the theorem

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The least fixpoint theorem

Theorem

Every continuous, monotone map $f: X \to X$ has a least fixpoint

$$\mathrm{lfp}\ f = \vee_{n \in \mathbb{N}} \, f^n(\bot)$$

Exercise

Prove the theorem

And finally ...

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Programs and a denotational semantics

$$\mathtt{p} ::= \mathtt{x} := \mathtt{e} \mid \mathtt{p} \, ; \, \mathtt{p} \mid \mathtt{if} \, \mathtt{b} \, \mathtt{then} \, \mathtt{p} \, \mathtt{else} \, \mathtt{p} \mid \mathtt{while} \, \mathtt{b} \, \mathtt{do} \, \big\{ \, \mathtt{p} \, \big\}$$

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The semantics at work

Prove the following equivalences

- while b $\{p\} \equiv \text{if b then p else skip}$
- while $b\{p\}$; $q \equiv if b then p$; while $b\{p\}$ else q
- while ff $\{p\}$; $q \equiv q$
- while $tt \{p\} \equiv while tt \{q\}$

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The relation between big-step and denotational semantics

Theorem

For every p and σ we have $\langle p, \sigma \rangle \Downarrow \sigma'$ iff $[\![p]\!](\sigma) = \sigma'$

Corollary

$$p \equiv q$$
 iff $p \equiv_o q$ iff $[p] = [q]$

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