

# Quantum Computation

## Quantum phase estimation and the quantum Fourier transform

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**MSc Physics Engineering**

Universidade do Minho, 2023-24

# Encoding information in phases

In several quantum algorithms **information** is encoded in the **relative phases** of a quantum state.

The effect of Hadamard (once again)

$$H|x\rangle = \frac{1}{\sqrt{2}}(|0\rangle + (-1)^x|1\rangle) = \frac{1}{\sqrt{2}} \sum_{y \in 2} (-1)^{xy} |y\rangle$$

$$H^{\otimes n}|x\rangle = \frac{1}{\sqrt{2^n}} \sum_{y \in 2^n} (-1)^{x \cdot y} |y\rangle$$

is to encode information about the value of  $x$  into the phases  $(-1)^{x \cdot y}$  of basis states  $|y\rangle$ .

# Encoding information in phases

Of course, as a reversible gate, the Hadamard gate also **decodes** information from phases:

$$\begin{aligned} H^{\otimes n} \frac{1}{\sqrt{2^n}} \sum_{y \in 2^n} (-1)^{x \cdot y} |y\rangle &= H^{\otimes n} (H^{\otimes n} |x\rangle) \\ &= (H^{\otimes n} H^{\otimes n}) |x\rangle \\ &= I |x\rangle \\ &= |x\rangle \end{aligned}$$

# Encoding information in phases

In general, phases are complex numbers

$$e^{2\pi i w}$$

for any real  $w \in [0, 1]$ .

Of course,  $H^{\otimes n}$  cannot encode/decode information over such generic phases. The **general situation** can be described as follows:

## The phase estimation problem

Determine a good estimation of the phase parameter  $w$  given a general quantum state

$$\frac{1}{\sqrt{2^n}} \sum_{y \in 2^n} e^{2\pi i w y} |y\rangle$$

# An algorithm for phase estimation

## Notation

$$w = 0.x_1x_2\cdots$$

is written in base 2 (i.e.  $w = x_12^{-1} + x_22^{-2} + \cdots$ ); thus

$$2^k w = x_1x_2\cdots x_k.x_{k+1}x_{k+2}\cdots$$

and

$$\begin{aligned} e^{2\pi i(2^k w)} &= e^{2\pi i(x_1x_2\cdots x_k.x_{k+1}x_{k+2}\cdots)} \\ &= e^{2\pi i(x_1x_2\cdots x_k)} e^{2\pi i(0.x_{k+1}x_{k+2}\cdots)} \\ &= e^{2\pi i(0.x_{k+1}x_{k+2}\cdots)} \end{aligned}$$

because  $e^{2\pi iz} = 1$  for any integer  $z$ .

Case A: 1-qubit state and  $w = 0.x_1$ 

$$\begin{aligned}\frac{1}{\sqrt{2}} \sum_{y \in 2} e^{2\pi i (0.x_1)y} |y\rangle &= \frac{1}{\sqrt{2}} \sum_{y \in 2} e^{2\pi i (\frac{x_1}{2})y} |y\rangle \\ &= \frac{1}{\sqrt{2}} \sum_{y \in 2} e^{\pi i (x_1)y} |y\rangle \\ &= \frac{1}{\sqrt{2}} \sum_{y \in 2} (-1)^{x_1 y} |y\rangle \\ &= \frac{1}{\sqrt{2}} (|0\rangle + (-1)^{x_1} |1\rangle)\end{aligned}$$

Clearly  $H$  will decode and retrieve  $x_1$  because

$$H \left( \frac{1}{\sqrt{2}} (|0\rangle + (-1)^{x_1} |1\rangle) \right) = |x_1\rangle$$

## Case B: 2-qubit state and $w = 0.x_1x_2$

Observe that

$$\frac{1}{\sqrt{2^2}} \sum_{y \in 2^2} e^{2\pi i(0.x_1x_2)y} |y\rangle = \left( \frac{|0\rangle + e^{2\pi i(0.x_2)}|1\rangle}{\sqrt{2}} \right) \otimes \left( \frac{|0\rangle + e^{2\pi i(0.x_1x_2)}|1\rangle}{\sqrt{2}} \right)$$

which means that  $x_2$ , but not  $x_1$ , can be retrieved from the first qubit through an application of  $H$ .

### The phase rotator

$$R_2 = \begin{bmatrix} 1 & 0 \\ 0 & e^{\frac{2\pi i}{4}} \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & e^{2\pi i(0.01)} \end{bmatrix}$$

where 0.01 is in base 2 (thus, equal to  $2^{-2}$ ).

## Case B: 2-qubit state and $w = 0.x_1x_2$

Taking  $x_2 = 1$  and applying the inverse of the **phase rotator** to the second qubit, yields

$$\begin{aligned}
 R_2^{-1} \left( \frac{|0\rangle + e^{2\pi i(0.x_11)}|1\rangle}{\sqrt{2}} \right) &= \begin{bmatrix} 1 & 0 \\ 0 & e^{-2\pi i(0.01)} \end{bmatrix} \left( \frac{|0\rangle + e^{2\pi i(0.x_11)}|1\rangle}{\sqrt{2}} \right) \\
 &= \frac{|0\rangle + e^{2\pi i(0.x_11-0.01)}|1\rangle}{\sqrt{2}} \\
 &= \frac{|0\rangle + e^{2\pi i(0.x_1)}|1\rangle}{\sqrt{2}}
 \end{aligned}$$

## Concluding

- $x_1$  can now be determined by an application of  $H$ , as before.
- Moreover, the decision to apply  $R$  before the application of  $H$  depends on  $x_2$  being 1 or 0, respectively.
- Thus, to find  $w = 0.x_1x_2$  it is enough to apply a **controlled** version of  $R$ , precisely controlled by the state of the first qubit.



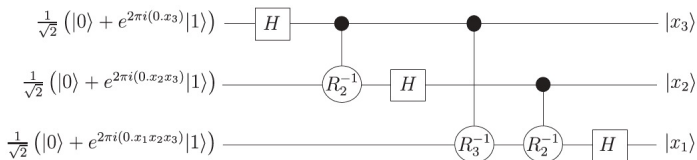


## Case C: 3-qubit state and $w = 0.x_1x_2x_3$

The state is now

$$\begin{aligned} \frac{1}{\sqrt{2^3}} \sum_{y \in \mathbb{Z}^3} e^{2\pi i (0.x_1x_2x_3)y} |y\rangle &= \\ &= \left( \frac{|0\rangle + e^{2\pi i (0.x_3)} |1\rangle}{\sqrt{2}} \right) \otimes \left( \frac{|0\rangle + e^{2\pi i (0.x_2x_3)} |1\rangle}{\sqrt{2}} \right) \otimes \left( \frac{|0\rangle + e^{2\pi i (0.x_1x_2x_3)} |1\rangle}{\sqrt{2}} \right) \end{aligned}$$

In this case the third qubit has to **conditionally rotate** both  $x_2$  and  $x_3$ , leading to the following circuit



## Going generic

Gate  $R_3$  in the circuit is an instance of a 1-qubit phase rotator

$$R_k = \begin{bmatrix} 1 & 0 \\ 0 & e^{\frac{2\pi i}{2^k}} \end{bmatrix}$$

whose inverse acts as

$$\begin{aligned} R_k^{-1}|0\rangle &= |0\rangle \\ R_k^{-1}|1\rangle &= e^{-2\pi i(0.0\dots 1)}|1\rangle \end{aligned}$$

with 1 in  $0.0\dots 1$  appearing in position  $k$ .

## Going generic

The output state of the circuit is

$$|x_3 x_2 x_1\rangle$$

Thus, relabelling the qubits in **reverse** order, this provides an efficient circuit to estimate the phase (actually, to give a totally accurate estimation ...), by computing

$$\frac{1}{\sqrt{2^n}} \sum_{y \in 2^n} e^{2\pi i (\frac{x}{2^n}) y} |y\rangle \rightsquigarrow |x\rangle$$

# Inverting ...

The inverse of the [phase estimation transformation](#) computes

$$|\mathbf{x}\rangle \rightsquigarrow \frac{1}{\sqrt{2^n}} \sum_{y \in 2^n} e^{2\pi i (\frac{\mathbf{x}}{2^n})y} |y\rangle$$

which is obtained by taking the inverses of each gate and building the circuit in reverse order.

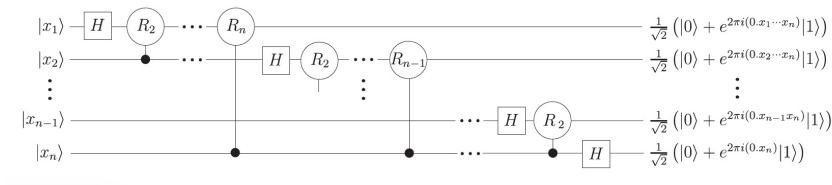
The result is formally identical to the [discrete Fourier transform](#).

# The quantum Fourier transform

QFT on basis states  $|0\rangle, |1\rangle \dots |k-1\rangle$

$$QFT_k(|x\rangle) = \frac{1}{\sqrt{k}} \sum_{y=0}^{k-1} e^{2\pi i (\frac{x}{k})y} |y\rangle$$

The circuit



# The quantum Fourier transform

## Complexity (number of gates)

- one  $H$  plus  $n - 1$  conditional rotations on the first qubit
- one  $H$  plus  $n - 2$  conditional rotations on the second qubit
- ...

$$n + (n - 1) + (n - 2) + \dots + 1 = \frac{n(n - 1)}{2}$$

- plus  $\frac{n}{2}$  swaps (each implemented by 3 CNOT gates)

Thus

$$\frac{n(n - 1)}{2} + 3 \times \frac{n}{2} = \frac{n^2 + 2n}{2} \approx \mathcal{O}(n^2)$$

# The quantum Fourier transform

## Complexity (number of gates)

$$\frac{n(n-1)}{2} + 3 \times \frac{n}{2} = \frac{n^2 + 2n}{2} \approx \mathcal{O}(n^2)$$

which compares to the **classical** case for the **Fast FT**:  $\mathcal{O}(n2^n)$

The result is **impressive**: the quantum version requires **exponentially** less operations to compute the Fourier transform than the (best) classical one.

- However, typical uses (e.g. in speech recognition) are **limited** by the impossibility of directly measuring the Fourier transformed amplitudes of the original state.
- This requires a **subtler** use of QFT in practice: the phase estimation procedure, underlying many quantum algorithms (e.g. Shor and the determination of the number of solutions in an unstructured search), is one of them.



## Are we done?

- The circuit for  $QFT_k$  computes the  $QFT$  for  $k$  a power of 2, i.e.  $k = 2^n$
- The phase estimation algorithm works only when the phase is of the form  $w = 0.x_1x_2 \cdots x_n$ , i.e.  $\frac{x}{2^n}$  for some integer  $x$

However, it can be shown that, for an arbitrary  $w$ , the algorithm will compute  $x$  such that  $\frac{x}{2^n}$  is closest to  $w$  with high probability.

### The question

What is the error emerging when  $w$  is not an integer multiple of  $\frac{1}{2^n}$  ?

## Are we done?

$QFT^{-1}$  computes some superposition

$$\sum_x \alpha_x(\textcolor{red}{w})|x\rangle$$

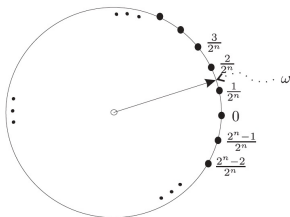
which represents the values of  $x$  that once measured gives a good estimate of  $\textcolor{red}{w}$ , outputting  $x$  with probability  $|\alpha_x(\textcolor{red}{w})|^2$ .

This output  $\textcolor{blue}{x}$  corresponds to an estimate

$$\tilde{w} = \frac{\textcolor{blue}{x}}{2^n}$$

## Are we done?

Consider  $w$  an integer **not** multiple of  $\frac{1}{2^n}$ , and let  $\hat{w}$  be the nearest integer multiple of  $\frac{1}{2^n}$  to  $w$ , i.e.  $\hat{w} = \frac{\hat{x}}{2^n}$  is the closest number of this form to  $w$ .



## Theorem

The phase estimation algorithm returns  $\hat{x}$  with probability at least  $\frac{4}{\pi^2}$ , i.e. the algorithm outputs an estimate  $\hat{x}$  with the given probability such that

$$\left| \frac{\hat{x}}{2^n} - w \right| \leq \frac{1}{2^{n+1}}$$

# Are we done?

## Theorem

$$\text{If } \frac{x}{2^n} \leq w \leq \frac{x+1}{2^n}$$

The phase estimation algorithm returns either  $x$  or  $x+1$  with probability at least  $\frac{8}{\pi^2}$  i.e. the algorithm outputs an estimate  $\hat{x}$  with the given probability such that

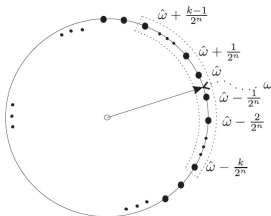
$$\left| \frac{\hat{x}}{2^n} - w \right| = \frac{1}{2^n}$$

## The reverse question

How many qubits are required to get  $w$  accurate to  $n$  bits, with a probability  $p$  below a certain level?

Actually, the **crucial choice** is the value of  $n$  (number of qubits used) to ensure the estimation is close enough.

For  $p = 1 - \frac{1}{2^{(k-1)}}$ , the algorithm returns one of the  $2k$  closest integer multiples of  $\frac{1}{2^n}$ , i.e.



which means that  $|w - \hat{w}| \leq \frac{k}{2^n}$ .

## The reverse question

Thus, to estimate  $\hat{w}$  such that  $|w - \hat{w}| \leq \frac{1}{2^r}$  with probability at least

$$1 - \frac{1}{2^m}$$

the maximum number of qubits required is

$$n = r + m + 1$$

- In practice a much smaller error is obtained: for example, with probability at least  $\frac{8}{\pi^2}$ , the error will be at most

$$\frac{1}{2^{r+m}}$$

# Exercises

Recall the definition of  $QFT$  on  $K$  basis states:

$$QFT_K(|x\rangle) = \frac{1}{\sqrt{K}} \sum_{y=0}^{K-1} e^{2\pi i (\frac{x}{K})y} |y\rangle$$

## Exercise 1

Compute  $QFT_K(|00 \cdots 0\rangle)$ .

## Exercise 2

Verify the following equality, used in the slides but not proved.

$$QFT_K(|x_1 \cdots x_n\rangle) = \left( \frac{|0\rangle + e^{2\pi i (0 \cdot x_n)} |1\rangle}{\sqrt{2}} \right) \otimes \left( \frac{|0\rangle + e^{2\pi i (0 \cdot x_n x_{n-1})} |1\rangle}{\sqrt{2}} \right) \cdots \otimes \cdots \left( \frac{|0\rangle + e^{2\pi i (0 \cdot x_1 x_2 \cdots x_n)} |1\rangle}{\sqrt{2}} \right)$$

# Exercises

Hint to Exercise 2: The case of  $QFT_4$  applied to  $|x\rangle = |x_1x_2\rangle$

$$\begin{aligned} QFT_4(|x\rangle) &= \frac{1}{2} \sum_{y=0}^3 e^{2\pi ixy2^{-2}} |y\rangle \\ &= \frac{1}{2} \sum_{y_1, y_2=0}^1 e^{2\pi ix(y_12^{-1}+y_22^{-2})} |y_1y_2\rangle \end{aligned}$$

because, for  $|y\rangle = |y_1y_2\rangle$ ,

$$\frac{y}{2^n} = \sum_{j=1}^n y_j 2^{-j}$$



# Exercises

Hint to Exercise 2: The case of  $QFT_4$  applied to  $|x\rangle = |x_1 x_2\rangle$

$$\begin{aligned}
 \dots &= \frac{1}{2} \sum_{y_1, y_2=0}^1 (e^{2\pi i x y_1 2^{-1}} |y_1\rangle \otimes e^{2\pi i x y_2 2^{-2}} |y_2\rangle) \\
 &= \frac{1}{2} \sum_{y_1=0}^1 (e^{2\pi i x y_1 2^{-1}} |y_1\rangle \otimes \sum_{y_2=0}^1 e^{2\pi i x y_2 2^{-2}} |y_2\rangle) \\
 &= \frac{(|0\rangle + e^{2\pi i x 2^{-1}} |1\rangle)}{\sqrt{2}} \otimes \frac{(|0\rangle + e^{2\pi i x 2^{-2}} |2\rangle)}{\sqrt{2}} \\
 &= \frac{(|0\rangle + e^{2\pi i (x_1 \cdot x_2)} |1\rangle)}{\sqrt{2}} \otimes \frac{(|0\rangle + e^{2\pi i (0 \cdot x_1 x_2)} |2\rangle)}{\sqrt{2}} \\
 &= \frac{(|0\rangle + e^{2\pi i (0 \cdot x_2)} |1\rangle)}{\sqrt{2}} \otimes \frac{(|0\rangle + e^{2\pi i (0 \cdot x_1 x_2)} |2\rangle)}{\sqrt{2}}
 \end{aligned}$$

because,  $e^{2\pi i (a \cdot b)} = e^{2\pi i a} e^{2\pi i (0 \cdot b)} = e^{2\pi i (0 \cdot b)}$