### UNIVERSIDAD NACIONAL DE SAN AGUSTÍN

## Mineria de Datos

An analysis of alignment-free methods using image textures from DNA sequences

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#### Introduction

Problem Objective

## Alignment-free methods base on image textures

First-order statistics (FOS)
Gray Level Co-ocurrence Matrix (GLCM)
Multi-resolution Local Binary Patterns (MLBP)

#### Results

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Comparison of FOS, GLCM, LBP and MLBP
Comparison of mapping functions

# Introduction DNA sequence



>J01859.1 Escherichia coli 16S ribosomal RNA, complete sequence AAATTGAAGAGTTTGATCATGGCTCAGATTGAACGCTGGCGGCAGGCCTAACACATGCAAGTCGAACGGT AACAGGAAGAAGCTTGCTCTTTGCTGACGAGTGGCGGACGGGTGAGTAATGTCTGGGAAACTGCCTGATG GAGGGGGATAACTACTGGAAACGGTAGCTAATACCGCATAACGTCGCAAGACCAAAGAGGGGGGACCTTCG GGCCTCTTGCCATCGGATGTGCCCAGATGGGATTAGCTAGTAGGTGGGGTAACGGCTCACCTAGGCGACG A TCCCTAGCTGGTCTGAGAGGGTGACCAGCCACACTGGAACTGAGACACGGTCCAGACTCCTACGGGAGG CAGCAGTGGGGAATATTGCACAATGGGCGCAAGCCTGATGCAGCCATGCCGCGTGTATGAAGAAGGCCTT CGGGTTGTAAAGTACTTTCAGCGGGGAGGAAGGGAAGTTAATACCTTTTGCCTCATTGACGTTACCCG CAGAAGAAGCACCGGCTAACTCCGTGCCAGCAGCCGCGGTAATACGGAGGGTGCAAGCGTTAATCGGAAT TACTGGGCGTAAAGCGCACGCAGGCGGTTTGTTAAGTCAGATGTGAAATCCCCGGGCTCAACCTGGGAAC TGCATCTGATACTGGCAAGCTTGAGTCTCGTAGAGGGGGGGTAGAATTCCAGGTGTAGCGGTGAAATGCGT AGAGATCTGGAGGAATACCGGTGGCGAAGGCGGCCCCCTGGACGAAGACTGACGCTCAGGTGCGAAAGCG TGGGGAGCAAACAGGATTAGATACCCTGGTAGTCCACGCCGTAAACGATGTCGACTTGGAGGTTGTGCCC TTGAGGCGTGGCTTCCGGAGCTAACGCGTTAAGTCGACCGCCTGGGGAGTACGGCCGCAAGGTTAAAACT CAAATGAATTGACGGGGCCCCGCACAAGCGGTGGAGCATGTGGTTTAATTCGATGCAACGCGAAGAACCT TACCTGGTCTTGACATCCACGGAAGTTTTCAGAGATGAGAATGTGCCTTCGGGAACCGTGAGACAGGTGC TGCATGGCTGTCGTCAGCTCGTGTTGTGAAATGTTGGGTTAAGTCCCGCAACGAGCGCAACCCTTATCCT TTGTTGCCAGCGGTCCGGCCGGGAACTCAAAGGAGACTGCCAGTGATAAACTGGAGGAAGGTGGGGATGA CGTCAAGTCATCATGGCCCTTACGACCAGGGCTACACACGTGCTACAATGGCGCATACAAAGAGAAGCGA CCTCGCGAGAGCAAGCGGACCTCATAAAGTGCGTCGTAGTCCGGATTGGAGTCTGCAACTCGACTCCATGAAGTCGGAATCGCTAGTAATCGTGGATCAGAATGCCACGGTGAATACGTTCCCGGGCCTTGTACACACCG TGTGATTCATGACTGGGGTGAAGTCGTAACAAGGTAACCGTAGGGGAACCTGCGGTTGGATCACCTCCTT

Figure: 16S ribosomal DNA of Escherichia coli with FASTA Format.



The human genome is made of ~**3.2 billions bp** of DNA. ~6.4 billions of nucleotides [1].

The HIV-1 genome is made of ~20k bp of DNA. Meanwhile, the COVID-19 is made of ~32k bp [2].



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# Problem Phylogenetics steps



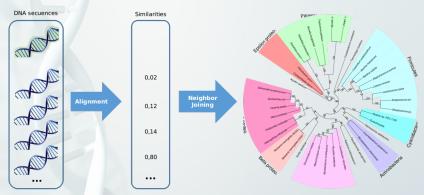


Figure: Steps to visualize phylonetics trees.

# Problem Phylogenetics steps



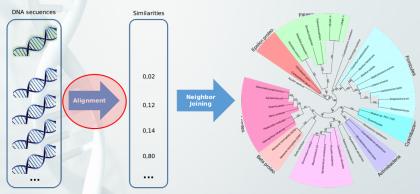


Figure: Steps to visualize phylonetics trees.

# Problem Alignment-based methods



► The most used **alignment-based** method are BLAST and CLUSTALW.



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# Objective



Compare **alignment-free** algorithms based on texture descriptors, against CLUSTALW.

- First-Order Statistics (FOS) [3].
- ► Gray Level Co-ocurrence Matrix (GLCM) [6].
- ► Multi-resolution Local Binary Patterns (MLBP) [7].

Compare the phylogenetic tree's distances with Robinson Fould [8], and trees' structure with Phylo.io [9].



### Introduction

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# Alignment-free methods base on image textures First-order statistics (FOS)

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Each pair of bases have a value from 0 to 15.

$$\alpha = \left\{ \begin{array}{l} AA, AG, AC, AT, GA, GG, GC, GT, \\ CG, CC, CT, CA, TA, TG, TC, TT \end{array} \right\}$$
 (1)

## FOS Image from DNA



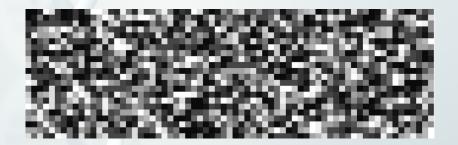


Figure: Textures converted from the DNA sequences of Bacillus maritimus 16S ribosomal DNA.



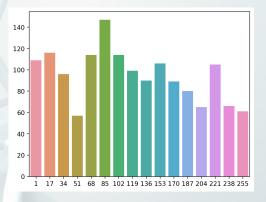


Figure: Histogram of Bacillus maritimus 16S ribosomal DNA.



## From the histogram, the following features are compute:

- Skewness =  $\sigma^{-3} \sum_{i=0}^{G-1} (i \mu)^3 p(i)$
- *Kurtosis* =  $\sigma^{-4} \sum_{i=0}^{G-1} (i \mu)^4 p(i) 3$
- ► **Energy** =  $\sum_{i=0}^{G-1} p(i)^2$
- **Entropy** =  $-\sum_{i=0}^{G-1} p(i) lg(p(i))$

### Where:

- ightharpoonup p(i) = h(i)/NM
- ► h(i) = histogram
- ► N and M are image's width and height.
- $\mu = \sum_{i=0}^{G-1} ip(i)$



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# GLCM Mapping function

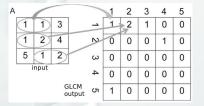


Each base in sequence  $S = \{A, C, G, T\}$  is mapped to the numbers  $S' = \{1, 2, 3, 4\}$ . Then we added to each value the base position.

Then, compute gray-level co-occurrence matrix.

# GLCM Mapping function





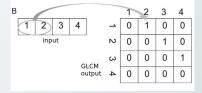


Figure: Examples of GLCM algorithm. Left: GLCM computed from a 2D matrix with intensities from 1 to 5. Right: GLCM computed from a 1D vector with intensities from 1 to 4.



From the histogram, the following features are compute:

► **Entropy** = 
$$-\sum_{i=1}^{L} \sum_{j=1}^{L} p(i,j) Ln(p(i,j))$$

► Contrast = 
$$\sum_{i=1}^{L} \sum_{j=1}^{L} (i-j)^2 p(i,j)$$

► **Energy** = 
$$\sum_{i=1}^{L} \sum_{j=1}^{L} p(i,j)^2$$

▶ Correlation = 
$$\sum_{i=1}^{L} \sum_{j=1}^{L} \frac{(i-\mu_i)(j-\mu_i)p(i,j)}{\sigma_i\sigma_j}$$

► Homogeneity = 
$$\sum_{i=1}^{L} \sum_{j=1}^{L} \frac{p(i,j)}{1+|i-j|}$$

where, p(i,j) is the GLCM matrix and L is the maximum intensity value.



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Table: Numeric representation for each base used by Kouchaki et al. [7].

Base	Integer	EIIP	Atomic	Real
A	2	0.1260	70	-1.5
T	-2	0.1335	78	1.5
С	-1	0.1340	58	-0.5
G	2	0.0806	66	0.5





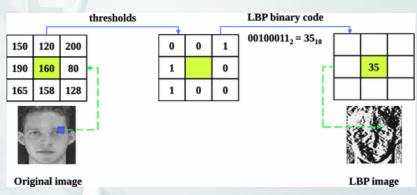


Figure: Example of Local Binary Pattern algorithm.



$$LBP(x(t)) = \sum_{i=0}^{p/2-1} (Sign(x(t+i-p/2)-x(t))2^{i} + Sign(x(t+i+1)-x(t))2^{i+p/2}),$$
(2)

where *p* in the number of neighbouring points and *Sign* is:

$$Sign(x) = \begin{cases} 0, & x < 0 \\ 1, & x \ge 0 \end{cases} \tag{3}$$

$$h_k = \sum_{p/2 \le i \le N - p/2} \delta(LBP_p(x(i), k)), \tag{4}$$



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# **Datasets**



Table: 16S ribosomal DNA of 13 bacteria.

Cassina	Accesion Code	Languilla (lang)
Species	Accesion Code	Length (bp)
Bacillus maritimus	KP317497	1515
Bacillus wakoensis	NR_040849	1524
Bacillus australimaris	NR_148787	1513
Bacillus xiamenensis	NR_148244	1513
Escherichia coli	J01859	1541
Streptococcus himalayensis	NR_156072	1509
Streptococcus halotolerans	NR_152063	1520
Streptococcus tangierensis	NR_134818	1520
Streptococcus cameli	NR_134817	1518
Thermus amyloliquefaciens	NR_136784	1514
Thermus tengchongensis	NR_132306	1523
Thermus thermophilus	NR_037066	1515
Thermus filiformis	NR_117152	1514

# **Datasets**



Table: NADH dehydrogenase subunit 4 genes of 12 species genome information from NCBI.

Species	Accesion Code	Length (bp)
Macaca fascicularis	M22653	896
Macaca fuscata	M22651	896
Macaca mulatta	M22650	896
Macaca sylvanus	M22654	896
Saimiri sciureus	M22655	893
Chimpanzee	V00672	896
Lemur catta	M22657	895
Gorilla	V00658	896
Hylobates	V00659	896
Sumatran Orangutan	V00675	895
Tarsius syrichta	M22656	895
Human	L00016	896

# **Datasets**



Table: The mitochondrial genome detailed information of 18 eutherian mammals from NCBI database.

Species	Accesion Code	Length (bp)
Human	V00662	16569
Pygmy chimpanzee	D38116	16563
Common chimpanzee	D38113	16554
Gorilla	D38114	16364
Orangutan	D38115	16389
Gibbon	X99256	16472
Baboon	Y18001	16521
Horse	X79547	16660
White rhinoceros	Y07726	16832
Harbor seal	X63726	16826
Gray seal	X72004	16797
Cat	U20753	17009
Fin whale	X61145	16397
Blue whale	X72204	16402

# Mapping functions



Table: Numeric representation for each base.

T FOS's prop. GLCM's prop2 0.1335 78	MAP1	M	IAP1 MAP2	2 MAP3	MAP4	MAP5
	CM's prop	op. GLCN	И's prop. 2	0.1260	70	-1.5
C FOS's prop GLCM's prop -1 0 1340 58	CM's prop	op. GLCN	√l's prop2	0.1335	78	1.5
o loop grop. Geom prop. I olioto of	CM's prop	op. GLCN	√l's prop1	0.1340	58	-0.5
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# Results Comparison in 16S ribosomal DNA dataset



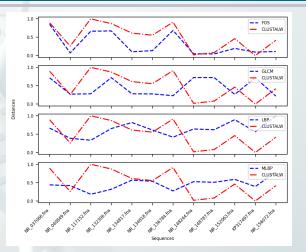


Figure: Euclidean distance of Escherichia coli against the rest sequences in 16S ribosomal DNA dataset. We used MEGA. FOS, GLCM, LBP and MLBP.

# Results Comparison in NADH dataset



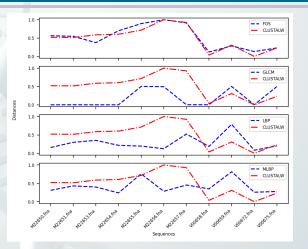


Figure: Euclidean distance of Human against the rest sequences in NADH dehydrogenase protein dataset. We used MEGA. FOS, GLCM, LBP and MLBP.

# Results Comparison in the mitochondrial dataset



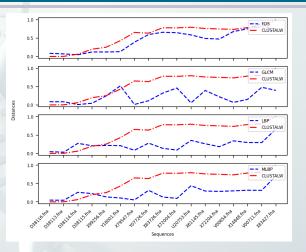


Figure: Euclidean distance of Human against the rest sequences in the mitochondrial genome dataset. We used MEGA. FOS, GLCM, LBP and MLBP.



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#### Comparison of the six mapping functions using FOS algorithm



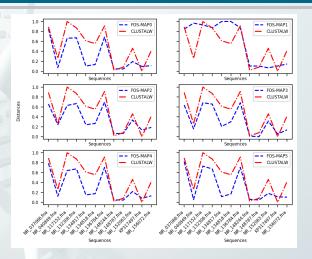


Figure: Comparison of the 6 mapping functions using FOS algorithm over the 16S ribosomal DNA dataset.

# Results Comparison of MAP1 mapping function



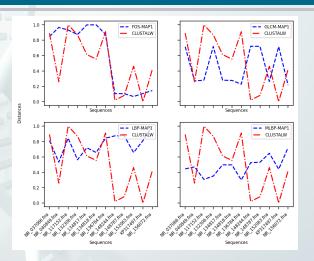


Figure: Comparison of MAP1 mapping function over the 16S ribosomal DNA dataset.

Comparison of the six mapping functions using GLCM algorithm



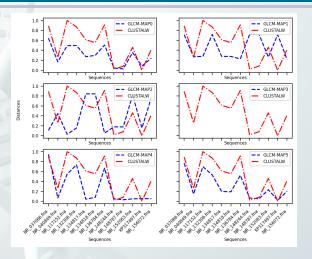


Figure: Comparison of the 6 mapping functions using GLCM algorithm over the 16S ribosomal DNA dataset.



MAP1 function, proposed by Chen at el. [6].

The resultant vector have disperse values and it depends strongly from the sequence's length

Comparison of the six mapping functions using LBP algorithm



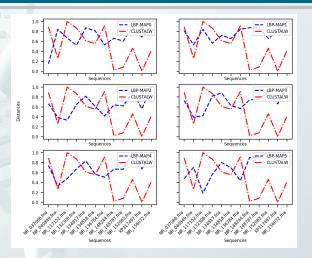


Figure: Comparison of the 6 mapping functions using the LBP algorithm over the 16S ribosomal DNA dataset.

#### Comparison of the six mapping functions using MLBP algorithm



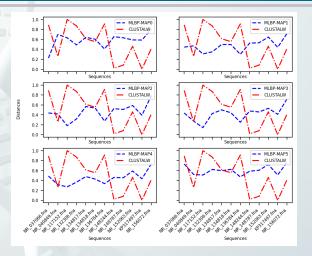


Figure: Comparison of the 6 mapping functions using the MLBP algorithm over the 16S ribosomal DNA dataset.

Square error of mapping functions and algorithms over the 16S ribosomal DNA dataset

Table: Square error of all mapping functions and the four algorithms over the 16S ribosomal DNA dataset.

Mapping function	FOS	GLCM	LBP	MLBP
MAP0	0.07093	0.07214	0.2498	0.1997
MAP1	0.09229	0.22709	0.2187	0.1805
MAP2	0.04875	0.27343	0.1977	0.1965
MAP3	0.05038		0.2106	0.1848
MAP4	0.06267	0.09814	0.1997	0.1630
MAP5	0.06592	0.06572	0.3395	0.1369

#### Square error of mapping functions and algorithms over the NADH dataset



Table: Square error of all mapping functions and the four algorithms over the NADH dataset.

Mapping function	FOS	GLCM	LBP	MLBP
MAP0	0.0103	0.0345	0.0406	0.0711
MAP1	0.1795	0.2279	0.2029	0.0895
MAP2	0.0126	0.0307	0.1682	0.1258
MAP3	0.1642		0.1310	0.1022
MAP4	0.0297	0.0784	0.1410	0.0630
MAP5	0.0865	0.0345	0.0792	0.0452

Square error of mapping functions and algorithms over the mitochondrial genome dataset

Table: Square error of all mapping functions and the four algorithms over the mitochondrial genome dataset.

Mapping function	FOS	GLCM	LBP	MLBP
MAP0	0.0329	0.0569	0.1693	0.1254
MAP1	0.2439	0.1951	0.1294	0.1465
MAP2	0.0417	0.1567	0.1746	0.1654
MAP3	0.1811		0.0768	0.1094
MAP4	0.0570	0.0731	0.1765	0.1724
MAP5	0.1255	0.0851	0.1622	0.1575



MAP0 function and histogram proposed by Deliba et al. [3] is very similar to k-mer frecuencies. [10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20]



967778	2777AA	AGCCCA	абсссБ
762	494	50	З
AATTTC	0E8	АБСССС	АБСССТ
427		34	36
ACGGGA	ACGGGG	912	АТАААБ
40	17	912	418
ACGGGC	ACGGGT	ATAAAC	атааат
7	25	382	441

LBP and MLBP reflects the correlation among pixels within a local area, but the main information in DNA sequences is no related to correlations of neighbors bases.

# Results Robinson Fould distances of phylogenetic trees



Table: Phylogenetics tree distances using Robinson Fould algorithm.

Database	FOS	GLCM	LBP	MLBP
16S ribosomal	14/20	18/20	18/20	12/20
NADH	12/18	18/18	18/18	16/18
Mitochondrial	14/30	30/30	30/30	16/30

# Results Phylogenetic trees got by Phylo.io

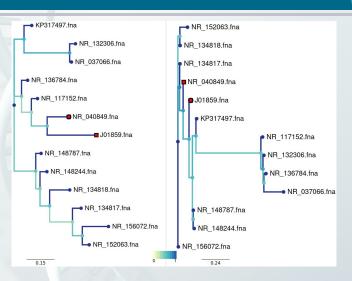


Figure: Phylogenetics tree of MEGA and FOS in the 16S ribosomal dataset.



We compared FOS, GLCM, LBP, and MLBP with six mapping functions. We also, compare the phylogenetic trees with Robinson Fould algorithm and Phylo.io.



- We compared FOS, GLCM, LBP, and MLBP with six mapping functions. We also, compare the phylogenetic trees with Robinson Fould algorithm and Phylo.io.
- ► FOS got the best results. Moreover, MAP1 was the worst mapping function and MAP0 was the best because of its similarity to k-mer method.



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- We compared FOS, GLCM, LBP, and MLBP with six mapping functions. We also, compare the phylogenetic trees with Robinson Fould algorithm and Phylo.io.
- ► FOS got the best results. Moreover, MAP1 was the worst mapping function and MAP0 was the best because of its similarity to k-mer method.
- ▶ LBP and MLBP are not suitable for sequence similarity because they consider the correlation between neighbors.
- Furthermore, FOS's tree is the most similar to MEGA's tree for the NADH dehydrogenase and the mitochondrial genomes datasets.

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