

**Problem 1**

Show that every infinite Turing-recognizable language has an infinite decidable subset.

**Solution:**

First we will prove the following lemma :

**Lemma :** If for an infinite language  $L$  there is an enumerator  $E$  that prints all strings in a lexicographic order than  $L$  is decidable.

**Proof :** Using  $E$  we construct a turing machine  $M$  which decides  $L$  as follows :

$M$  = "On input  $x$ :

1. Simulate the enumerator  $E$ .
2. For each string (say  $s$ ) output by the enumerator, compare  $s$  with  $x$ .
3. If  $s$  is equal to  $x$  than accept. If  $s$  is lexicographically larger than  $x$  than reject and halt.  
Otherwise generate the next string by simulating  $E$ . "

**Claim :**  $L(M) = L(E)$

**Proof :** First observe that the  $L(M)$  is a subset of  $L(E)$ , as  $M$  accepts the input string  $x$  only when the same string  $x$  is generated by  $E$ . Now observe that  $M$  will reject the string  $x$  only when  $x \notin L(E)$ . Suppose  $x$  is rejected by  $M$ . This would have happened when a string lexicographically larger than  $x$  is printed by  $E$ . Since  $E$  prints strings in lexicographical order we can be sure that  $x$  does not belong to  $E$ , otherwise  $E$  would have already generated  $x$  and  $M$  would have accepted it. Hence  $L(M) = L(E)$ .

**Claim :** On any input string  $x$ ,  $M$  halts.

**Proof :** Since  $L$  is an infinite language there exists a string  $y \in L$  such that  $y > x$  (lexicographically greater). By the construction of  $M$  it must halt by the time  $E$  enumerates  $y$ . Hence on all input strings  $x$ ,  $M$  halts.

Hence using the above two claims, we can say that  $M$  decides  $L$ . Hence the lemma is proven.

Now we will use the above lemma to show that every infinite Turing-recognizable language  $S$  has an infinite decidable subset. Let  $E_S$  be an enumerator which enumerates  $S$ . Now we construct an enumerator  $E'_S$  which will enumerate an infinite lexicographically ordered sub-sequence of strings enumerated by  $E_S$ . Hence from the above lemma it follow that the  $L(E'_S)$  is decidable.

$E'_S =$

1. Simulate the enumerator  $E_S$ . Print the first string simulated by  $E_S$  (say  $s_1$ ) and store it i.e let  $prev = s_1$ .
2. Simulate  $E$  until  $E$  prints a string (say  $s_i$ ) such that  $s_i > prev$ .
3. Print  $s_i$  and store  $s_i$  in  $prev$ . Go to step 2.

Let  $E_S$  enumerate the strings in  $S$  in the sequence  $s_1, s_2, s_3, \dots$ . Observe that  $E'_S$  will precisely enumerate the infinite set  $S' = \{s_i \mid s_i > s_j \forall j < i\}$  in a lexicographic order. Hence from the above lemma we conclude that  $S'$  is an infinite decidable subset of  $S$ .

**Problem 2**

Show that single-tape TMs that cannot write on the portion of the tape containing the input string recognize only regular languages.

**Solution:** Let  $M = (Q, \Sigma, \Delta, \delta, q_0, q_{acc}, q_{rej})$  be a single-tape TMs that cannot write on the portion of the tape containing the input string. Consider the run of  $M$  on an arbitrary input string  $x$ . We define left portion of input tape as the portion containing the input string and right portion of input tape as the portion of tape to the right of input string i.e where the TM can write. Proceeding similar to the proof of equivalence of 2-DFA and regular DFA we define a function  $T_x : (Q \cup \{\star\}) \mapsto (Q \cup q_{acc}, q_{rej})$  such that

- $T_x(\star)$  is the state that  $M$  is in when it just enters the right portion of tape for the first time. If  $M$  never enters the right portion of tape, then define  $T_x(\star) = q_{acc}$  if  $x$  is accepted by  $M$ , otherwise  $T_x(\star) = q_{rej}$ .
- Consider any  $q \in Q$ , suppose  $M$  starts by moving to the left portion of tape from the state  $q$ , then  $T_x(q) = p$  when  $p$  is the state that  $M$  enters the right portion of the input tape for the first. If  $M$  never enters the right portion of tape, then define  $T_x(q) = q_{acc}$  if  $x$  is accepted by  $M$ , otherwise  $T_x(q) = q_{rej}$ .

**Claim :** Consider any two strings  $x$  and  $y$  such that  $T_x = T_y$ . Then for all strings  $z \in \Sigma^*$  we have  $(M \text{ accepts } xz) \Leftrightarrow (M \text{ accepts } yz)$ .

**Proof :** Observe that table  $T_x$  ( $T_y$ ) and  $z$  completely determine the sequence of states that the machine  $M$  is in as it passes the boundary between  $x$  and  $z$  (between  $y$  and  $z$ ) in either direction. Now if the machine  $M$  accepts  $xz$  then at some point it must enter the state  $q_{acc}$ . Since the sequence of states along the boundary is same and the actions taken by the machine while scanning  $z$  are exactly same, hence machine  $M$  must enter the state  $q_{acc}$  for  $yz$  as well. (and vice versa).

Now we will use the Myhill-Nerode theorem to show that  $L(M)$  is regular. Observe that  $T_x = T_y \implies \forall z ( M \text{ accepts } xz \Leftrightarrow M \text{ accepts } yz ) \Leftrightarrow \forall z ( xz \in L(M) \Leftrightarrow yz \in L(M) ).$  Since we have  $x \equiv_{L(M)} y \Leftrightarrow \forall z ( xz \in L(M) \Leftrightarrow yz \in L(M) ).$  Hence for any two strings  $x$  and  $y$  for which  $T_x = T_y$  then they both are in same equivalence class under the relation  $\equiv_{L(M)}$ . Observe that the number of distinct functions  $T$  is bounded by  $(|Q| + 1)^{(|Q|+1)}$ , hence the relation  $\equiv_{L(M)}$  is of finite index. Therefor by Myhill-Nerode theorem  $L(M)$  is regular language.

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**Problem 3**

Let  $C$  be a language. Prove that  $C$  is Turing-recognizable iff a decidable language  $D$  exists such that

$$C = \{x \mid \exists y (\langle x, y \rangle \in D)\}$$

**Solution:**

Suppose that  $C$  is turing recognisable. Then there exist a turing machine  $M_C$  which recognises  $C$ . For a string  $x \in C$ , let  $\text{steps}_M(x)$  denote the number of steps taken by  $M_C$  to accept  $x$ , then define  $D$  as the language consisting of encoding of  $x$  and  $\text{steps}_{MC}(x)$ . Formally  $D = \{\langle x, 1^z \rangle \mid x \in C \text{ and } z \geq \text{steps}_{MC}(x)\}$ . Now we will construct a turing machine  $M_D$  which decides  $D$ .

$M_D$  = "On input  $\langle x, y \rangle$ :

1. Take input. Reject if input is not a valid encoding of form  $\langle x, 1^z \rangle$ .
2. Simulates  $M_C$  for at most  $z$  steps.
3. It accepts  $\langle x, y \rangle$  if and only if  $M_C$  accepts  $x$  (in at most  $z$  steps)."

Observe that for any  $x \in C$  there will be a  $y (= 1^z)$  such that  $M_C$  recognises  $x$  within  $|y| (= z)$  steps. If no such  $y$  exists that  $M_C$  doesn't recognise  $x$ . Hence  $x \in C$  iff there a  $y$  such that  $\langle x, y \rangle$  belongs to  $D$ .

Now suppose that such a decidable language  $D$  exists and let  $M_D$  be the turing machine which decides it. Using  $M_D$  we construct a turing machine  $M_C$  which recognises  $C$ .

$M_C$  = "On input  $x$ :

1. Simulate  $M_D$  on  $\langle x, y \rangle$  for all possible strings  $y$  of form  $1^z$ .
2. If  $M_D$  accepts any such encoding  $\langle x, y \rangle$ , stop and accept  $x$ ."

Observe that for each  $x \in C$ , a  $y$  will exist such that  $\langle x, y \rangle$  is in  $D$ . Therefor  $M_C$  recognises  $C$  as it take only a finite number of steps to find such  $y$ .

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**Problem 4**

Say that a variable  $A$  in CFL  $G$  is usable if it appears in some derivation of some string  $w \in G$ . Given a CFG  $G$  and a variable  $A$ , consider the problem of testing whether  $A$  is usable. Formulate this problem as a language and show that it is decidable.

***Solution:***

We can consider encoding of the  $CFG\ G$  and non-terminal  $A$  to formulate the problem as a language. The formulation will be as follows:

$$ENC_{A,G} = \{ \langle G, A \rangle : S \xrightarrow{*} \alpha A \gamma, \alpha, A, \gamma \text{ reduce to some string } G = \langle N, T, P, S \rangle \}.$$

We will prove that  $ENC_{A,G}$  is decidable.

We first mark all non-terminals that generate some string via derivations. This can be done using the turing machine  $T_1$  with the following high level description.

1. With input as  $\langle G, A \rangle$ . Mark all the terminals.
2. Till in some iteration, no variable is marked:
  - For any production rule  $A \rightarrow B_1 B_2 \dots B_k$ , mark  $A$  if  $B_1 B_2 \dots B_k = \epsilon$  or all of  $B_1, B_2, \dots B_k$  are marked.
3. Reject if  $A$  is not marked. Accept otherwise.

Observe that  $T_1$  halts on all inputs, since the number of iteration of step 2 is bounded by the number of non-terminals in  $G$ . In this way, we can mark all variables in  $G$  that generate some string. In the next step, we check if there is some derivation  $S \rightarrow X_1 X_2 \dots A \dots X_k$  such that all  $X_i$  are either terminals or non-terminals that generate some string.

The whole process can be carried out using a turing machine  $T$  with the following high-level description.

- Take input  $\langle G, A \rangle$ . Run  $T_1$  on the input to get the marked non-terminals and reject if  $T_1$  rejects.
- Mark(differently than  $T_1$ )  $A$ . While there is no change in the set of marked symbols
  - If  $X \rightarrow X_1 X_2 \dots X_k$ , mark  $X$  if all the symbols on the right are either marked by  $T_1$  or are terminals and at-least one marked(by  $T$ ) non-terminal is present on the right hand side.
- Accept if  $S$  is marked, else reject.

Observe that the  $T$  halts on all inputs since  $T_1$  halts and the number of iterations of step 2 is bounded by the number of non-terminals in  $G$ . Also,  $S$  is marked iff there exist  $S \xrightarrow{*} \alpha A \gamma$  and  $\alpha, A, \gamma$  reduce to some string. Thus, there exists a turing machine that decides  $ENC_{G,A}$ . Hence, it is decidable.

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**Problem 5**

Consider the problem of determining whether a Turing machine M on an input w ever attempts to move its head left when its head is on the left-most tape cell. Formulate this problem as a language and show that it is undecidable.

**Solution:** Let

$$L_{TM} = \{\langle M, w \rangle \mid \text{On input } w, M \text{ ever attempts to move its head left when its on the left-most tape cell}\}$$

The proof is by contradiction. We assume that  $L_{TM}$  is decidable and use this assumption to show that  $A_{TM}$  is decidable, which will be a contradiction(Theorem 4.11, Sipser). Let R be the TM that decides  $L_{TM}$ .

Consider the following Turing machine,

S = "On input  $\langle M, w \rangle$ , where M is a TM and w is a string:

1. Construct the following TM  $M_2$ .

$M_2$  = "On input x:

- (a) Shift the input x one cell to the right and put a special symbol '#' at the left-most position of the tape.
- (b) Now,  $M_2$  simulates M on the input x. If  $M_2$  ever moves to '#' before M reaching an accept state, we force it to go back to the previous tape symbol by adding an extra right move. Hence, if M attempts a left move from the left-most input symbol before accepting the string,  $M_2$  is prevented from moving to the special symbol at the left-most tape cell.
- (c) If M accepts string x, we move  $M_2$  to the left-most tape cell and then attempt to move further to the left."

2. Run R on input  $\langle M_2, w \rangle$

3. If R accepts, accept; if R rejects, reject."

**Claim:** TM S decides  $A_{TM}$ .

**Proof:** Observe that the only way in which TM  $M_2$  can reach the special symbol '#' and attempt to move left of the left-most tape cell is when the TM M accepts the string w. Before the string w is accepted by TM M,  $M_2$  is never allowed to stay at the left-most tape symbol('#'), and hence, it can never attempt to move its head left from the left-most tape cell. Thus if TM R accepts the input  $\langle M_2, w \rangle$ , TM M accepts the input string w, otherwise rejects. Hence, TM S decides  $A_{TM}$ .

Thus, we have reached a contradiction that  $A_{TM}$  is decidable and hence our assumption of  $L_{TM}$  being decidable is false. Therefore,  $L_{TM}$  is undecidable.

**Problem 6**

Consider the problem of determining whether a Turing machine M on an input w ever attempts to move its head left at any point during its computation on w. Formulate this problem as a language and show that it is decidable.

**Solution:** Let

$$L_{TM} = \{\langle M, w \rangle \mid \text{On input } w, M \text{ attempts to move its head left at any point}\}$$

We know that a language is decidable if and only if some Turing machine decides it. Consider the following Turing Machine,

S = "On input  $\langle M, w \rangle$ , where M is a TM and w is a string:

1. Let Q be the set of states of Turing Machine M. Simulate M on w for  $|Q| + |w| + 1$  steps.
2. If M attempts to move its head left at any time during these finite number of steps, accept  $\langle M, w \rangle$ , otherwise reject."

**Claim:** TM S decides  $L_{TM}$ .

**Proof:** If M never attempts to move its head left at any point during its computation on w, then it will also not have any left move in the first  $|Q| + |w| + 1$  steps and thus, will be rightly rejected by S.

In case M does move its head left at some point during the computation of w, let R be the shortest sequence of states till the first left move. Since R is shortest, at any step the Machine M either reads an input character( $|w|$  in number) and changes state(possibly), or reads a blank character and changes state( $|Q|$  in number). Hence, after reading all the  $|w|$  characters and then going to all  $|Q|$  states on blank spaces (in the worst case), the machine must make a left move on the next step because R is the shortest sequence of states and thus does not involve any redundant cycles. Hence, if M does have to make a left move while computing on w, it will do so in the first  $|Q| + |w| + 1$  steps and get accepted by S, otherwise if it were to loop forever while moving right, S rejects it because it does not find a left move in the first  $|Q| + |w| + 1$  states. Infact we can say that if the machine does not make a left move in the first  $|Q| + |w| + 1$  steps, then it must be in some cycle and will continue to move right forever. Hence, TM S decides language  $L_{TM}$ .

Since the above claim proves that TM S decides  $L_{TM}$ , we can say that Language  $L_{TM}$  is decidable.

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### Problem 7

Let  $AMB_{CFG} = \{\langle G \rangle | G \text{ is an ambiguous } CFG\}$ . Show that  $AMB_{CFG}$  is undecidable via a reduction from  $PCP$ .

**Solution:** We will create a  $CFG$   $G$  using the structure of the  $PCP$  problem such that  $G$  will be ambiguous iff a correspondence matching exists in  $PCP$ .

Consider the dominoes in  $PCP$  be  $D_1, D_2, \dots, D_k$  where  $D_i = \alpha_i|\beta_i$ . We will construct  $G$  such that the corresponding equal string generated in  $PCP$  will have two leftmost derivations in  $G$ . Consider  $G = \langle \{S, S_1, S_2\} \cup \{D_1, D_2, \dots, D_k\}, \Sigma, P, S \rangle$  where  $\Sigma$  is the alphabet of  $\{\alpha_i, \beta_i\}, i \in \{1, 2, \dots, k\}$  along with symbols  $\{1', 2', \dots, k'\}$  (such that these symbols are not used by  $\alpha$ s and  $\beta$ s.) corresponding to each domino in  $PCP$ . The production rules  $P$  are as follows:

$$\begin{aligned} S &\rightarrow S_1 | S_2 \\ S_1 &\rightarrow i' S_1 \alpha_i | i' \alpha_i \quad \forall i \in \{1, 2, \dots, k\} \\ S_2 &\rightarrow i' S_2 \beta_i | i' \beta_i \quad \forall i \in \{1, 2, \dots, k\} \end{aligned}$$

**Lemma 1.** A matching exists in  $PCP \iff G$  is ambiguous.

*Proof.* Observe that if there exists a matching in  $PCP$  corresponding to the sequence of dominoes say  $D_{i_1}, D_{i_2}, \dots, D_{i_z}$ . Then, the string  $s = i'_1 i'_2 \dots i'_z \alpha_{i_z} \alpha_{i_{z-1}} \alpha_{i_{z-2}} \dots \alpha_{i_1}$  has two different leftmost derivations as follows:

$$\begin{aligned} S &\rightarrow S_1 \rightarrow i'_1 S_1 \alpha_{i_1} \rightarrow i'_1 i'_2 S_1 \alpha_{i_1} \alpha_{i_2} \dots \rightarrow i'_1 i'_2 \dots i'_z \alpha_{i_z} \alpha_{i_{z-1}} \alpha_{i_{z-2}} \dots \alpha_{i_1} \\ S &\rightarrow S_2 \rightarrow i'_1 S_2 \beta_{i_1} \rightarrow i'_1 i'_2 S_2 \beta_{i_1} \beta_{i_2} \dots \rightarrow i'_1 i'_2 \dots i'_z \beta_{i_z} \beta_{i_{z-1}} \beta_{i_{z-2}} \dots \beta_{i_1} \end{aligned}$$

Observe that the final strings generated are same in both the derivations because  $\alpha_{i_z} \alpha_{i_{z-1}} \alpha_{i_{z-2}} \dots \alpha_{i_1} = \beta_{i_{z-1}} \beta_{i_{z-2}} \dots \beta_{i_1}$  since  $D_{i_1}, D_{i_2}, \dots, D_{i_z}$  provides a solution to  $PCP$ .

To prove the converse, observe that any string generated by  $S$  will be of the form  $i_z i_{z-1} i_{z-2} \dots i_1 \alpha_1 \alpha_2 \dots \alpha_z$  (if the first derivation is  $S \rightarrow S_1$ ) or of the form  $i_z i_{z-1} i_{z-2} \dots i_1 \beta_1 \beta_2 \dots \beta_z$  (if the first derivation is  $S \rightarrow S_2$ ).

To prove the converse, assume that  $G$  is ambiguous, this implies that some string  $s$  has two different leftmost derivations. Consider any two distinct leftmost derivations in  $G$  that are same at the first step ( $S \rightarrow S_1$  or  $S \rightarrow S_2$ ), then the strings generated by them must be different. To prove this, assume otherwise. Suppose that the derivations are different first time at the  $i$  ( $\neq 1$ )-th step, then the  $(i-1)$ -th character in the generated strings will be different ( $x'$  in first,  $y'$  in other where the step  $\dots S_1 \dots \rightarrow \dots x' S_1 \alpha_{x'}$  and  $\dots S_2 \dots \rightarrow \dots y' S_2 \beta_{y'}$  ( $x' \neq y'$ )).

Thus, the derivations of  $s$  must differ in the first step (one  $S \rightarrow S_1$  and other  $S \rightarrow S_2$ ). Consider the  $i$ -th step of any two such derivations. Observe that the production rule used for  $S_1$  in the first derivation must correspond to the rule used for  $S_2$  in the other derivation because the  $i$ -th character has to be the same (the superscripted integer denoting the particular domino). Thus, the leftmost derivations must be of the form

$$\begin{aligned} S &\rightarrow S_1 \rightarrow i_z S_1 \alpha_{i_z} \dots \rightarrow i_z i_{z-1} \dots i_2 i_1 \alpha_1 \alpha_2 \dots \alpha_z \\ S &\rightarrow S_2 \rightarrow i_z S_2 \beta_{i_z} \dots \rightarrow i_z i_{z-1} \dots i_2 i_1 \beta_1 \beta_2 \dots \beta_z \end{aligned}$$

Since the string generated by both the derivations are equal,  $\alpha_1 \alpha_2 \dots \alpha_z = \beta_1 \beta_2 \dots \beta_z$ . This implies  $D_1, D_2, \dots, D_z$  are a solution to the Post's Correspondence Problem.  $\square$

From the above claim, given a turing machine  $R$  to decide whether a  $CFG$  is ambiguous, we will construct a turing machine  $S$  to decide  $PCP$  as follows:

1. Given a description of  $PCP$ ,  $\langle D_1, D_2, \dots, D_z \rangle$ .
2. Construct  $G$  as defined in the construction above. Observe that the steps in the construction are all computable in finite steps.
3. Give the description  $\langle G \rangle$  of  $G$  as an input to  $R$ .

4. Accept if  $R$  accepts, reject if  $R$  rejects.

The above constructed turing machine  $S$  decides  $PCP$ . However,  $PCP$  is undecidable. Hence,  $AMB_{CFG}$  is undecidable.

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**Problem 8**

In the Silly Post Correspondence Problem (SPCP), the top string in each pair has the same length as the bottom string. Show that the SPCP is decidable.

**Solution:** We claim that there exists a solution to *SPCP* iff atleast one domino has exactly the same string in the upper and lower half.

**Lemma 2.** *SPCP for the dominoes  $D_1, D_2, \dots, D_k$  has a solution iff for some  $D_j (= \alpha_j | \beta_j)$   $\alpha_j = \beta_j$*

*Proof.* Suppose there exists a solution to *SPCP*, say  $D_{i_1}, D_{i_2}, \dots, D_{i_z}$ . Consider the first domino  $D_{i_1}$  in the sequence. Since the lengths of the upper and bottom string in it is same, both the strings must be same for the final string to be same.

To prove the converse, suppose there exist some  $D_j$  such that  $\alpha_j = \beta_j$ . The domino  $D_j$  alone forms the solution sequence in this case.  $\square$

From the above claim, we can conclude that computability of *SPCP* is equivalent to checking whether some pair of strings in a list of pairs is same. This is certainly computable. We can design a turing machine  $T$  with the following high level description:

1. Take input. Reject if input is not a valid encoding of some  $< D_1, D_2, \dots, D_k >$  for *SPCP*.
2. For each  $D_i$ , check if the corresponding  $\alpha_i, \beta_i$  are same. If yes for some  $i$ , then accept.
3. If  $\alpha_i, \beta_i$  are different for all  $i$ , then reject.

Using the claim proved above, we can conclude that the turing machine as described above accepts exactly the instances of *SPCP* for which a solution exists and rejects all others. Also, observe that  $T$  halts since all the steps in the description get completed in finite steps. Hence, *SPCP* is decidable.

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