EFFECTS FOR LESS

Alexis King ZuriHac 2020

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Secretly: a GraphQL to SQL JIT compiler





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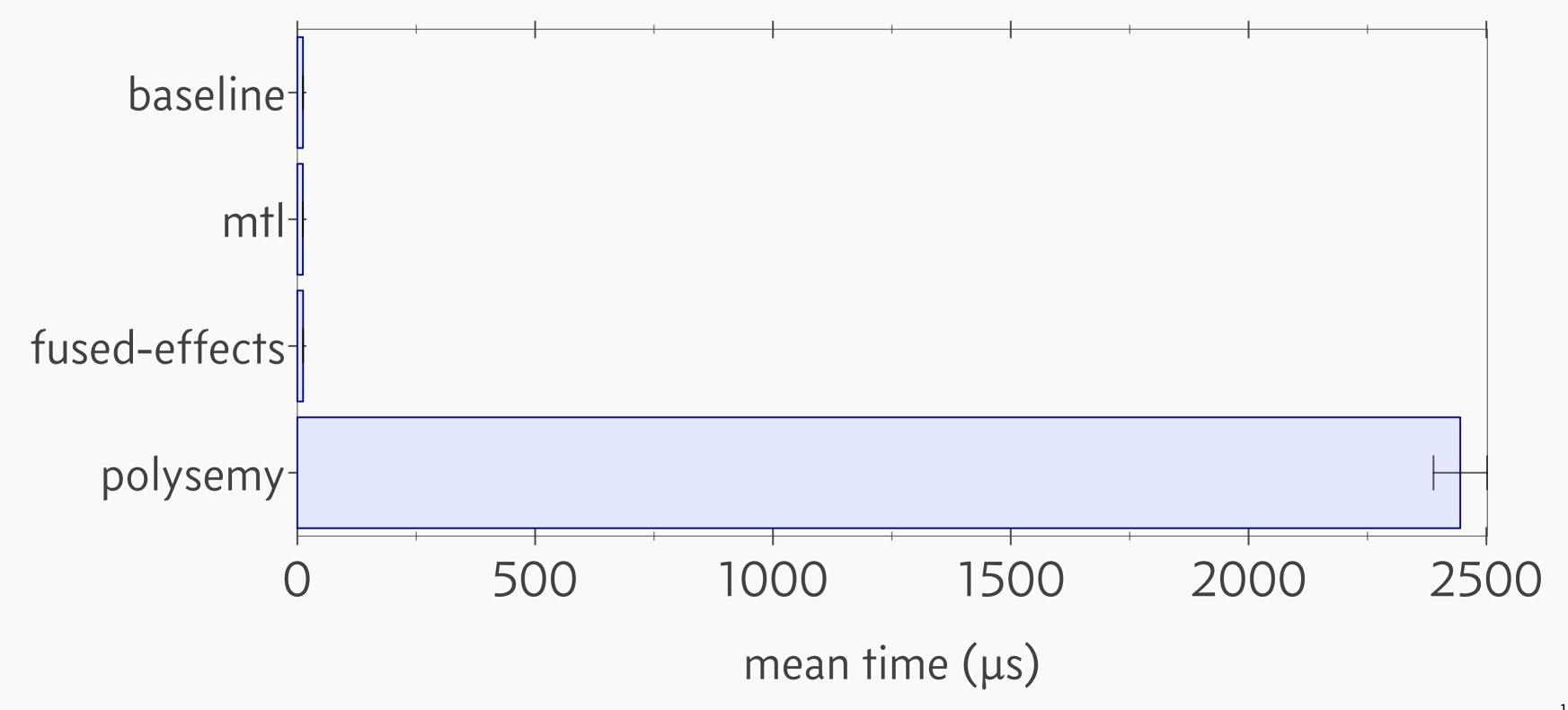
Secretly: a GraphQL to SQL JIT compiler



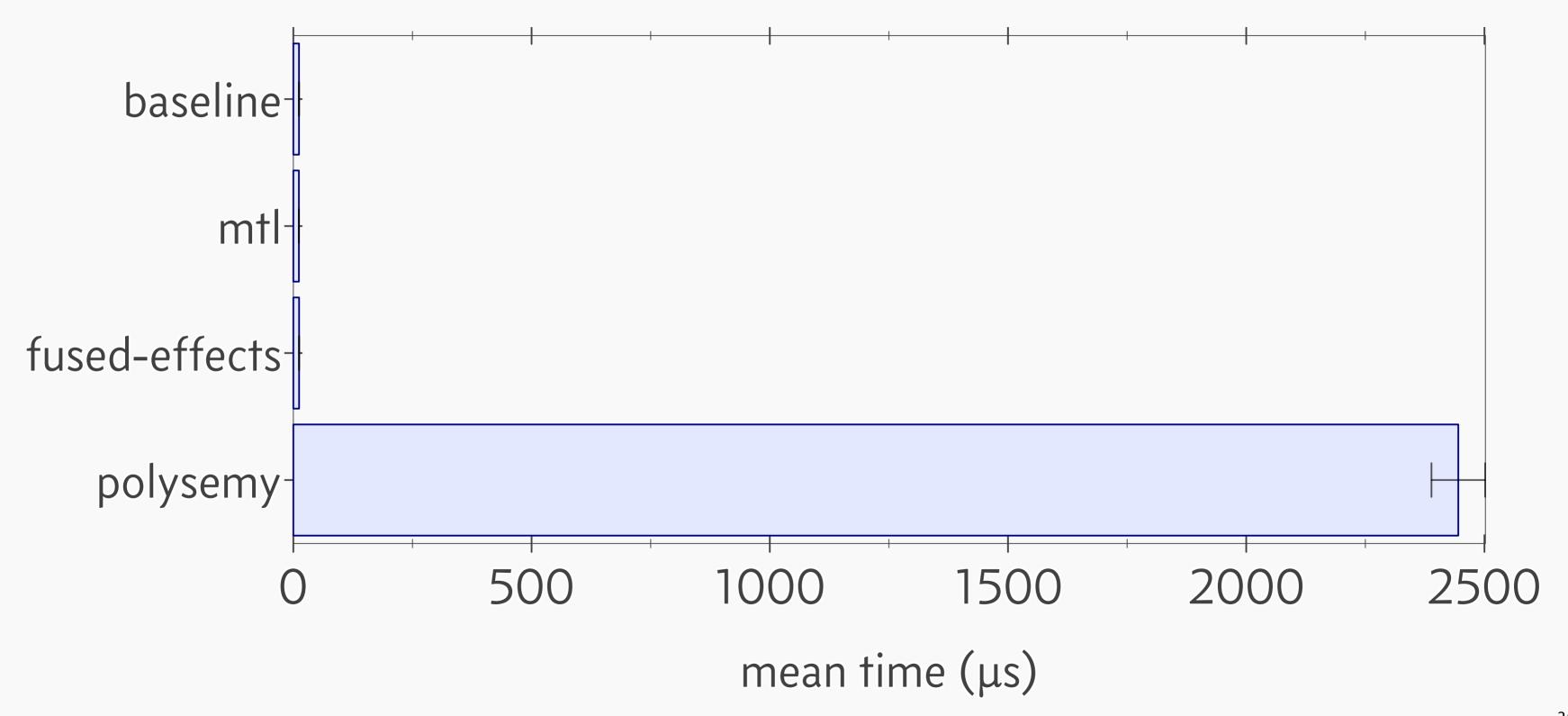
Performance is really important!

Can we afford to use an effect system?

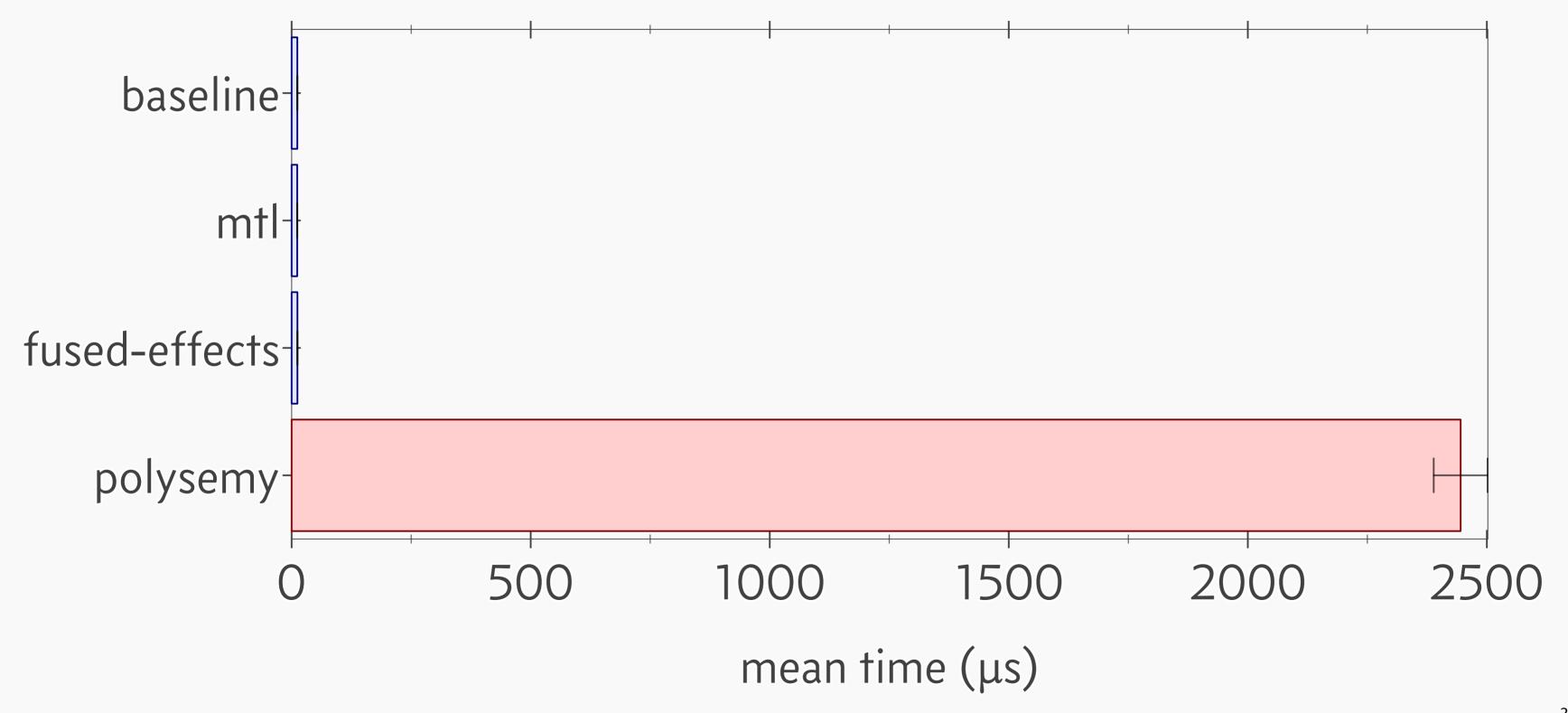
BENCHMARKS



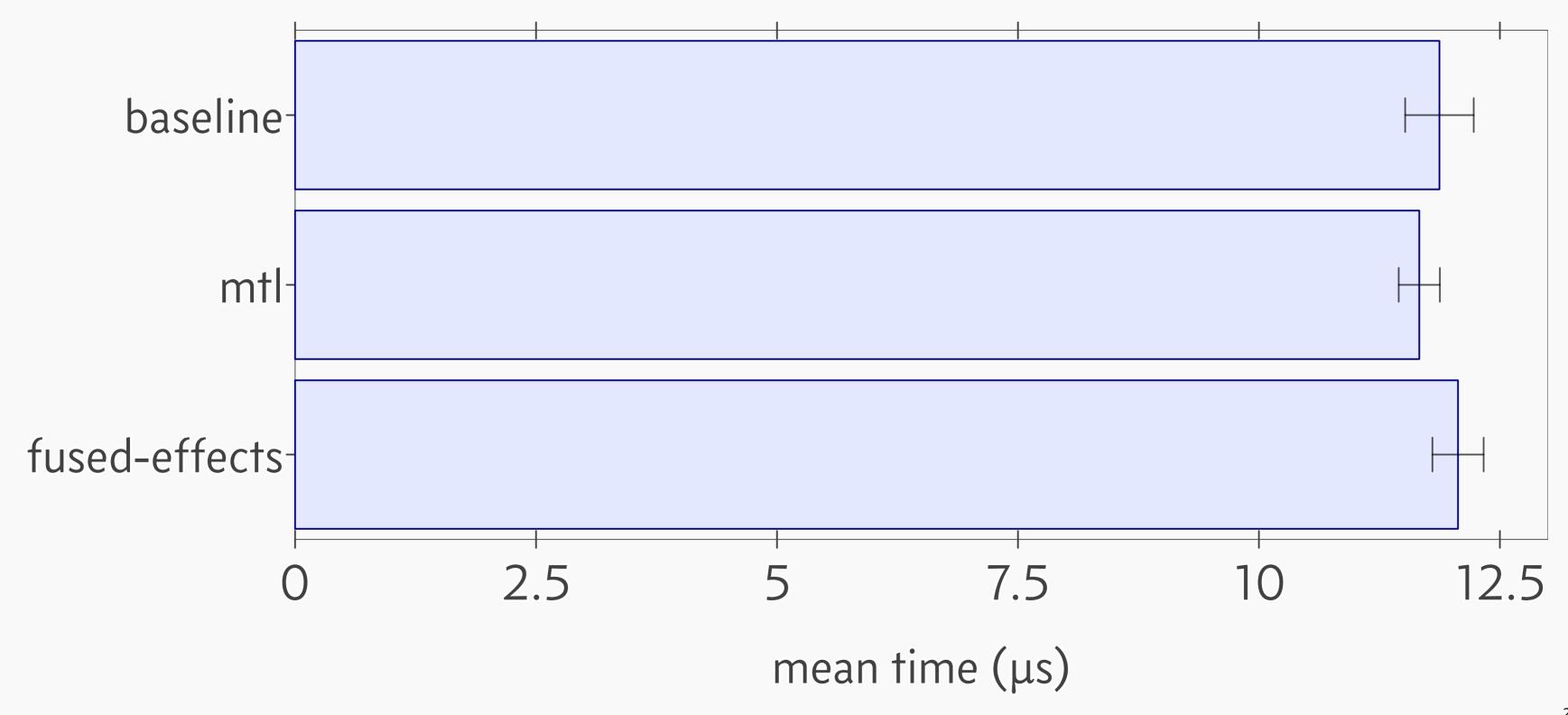
(lower is better)



(lower is better)



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Can we afford to use an effect system?

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Answer: yes.

Just don't pick polysemy.

It's never that simple!

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- 2. What is the "baseline" implementation?
- 3. Are these differences even meaningful?
- 4. Why does polysemy do that much worse?

```
countDown :: Int \rightarrow (Int, Int)
countDown initial = runState program initial
program :: MonadState Int m ⇒ m Int
program = do n ← get
             if n \leq 0
                then return n
                else put (n - 1) >> program
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COUNTDOWN MICROBENCHMARK: BASELINE

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```

This is incredibly synthetic!

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Is countdown a bad benchmark?

REAL-WORLD BENCHMARKS



REAL-WORLD BENCHMARKS

→ Probably representative of *something*.



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- → Really big!
- → Difficult to isolate costs.

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- → Really big!
- → Difficult to isolate costs.
- → May be challenging to extrapolate results.

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REAL-WORLD BENCHMARKS

MICROBENCHMARKS



- → Probably representative of *something*.
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→ Easy to isolate costs!



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REAL-WORLD BENCHMARKS



- → Probably representative of something.
- → Unlikely to be a fluke/flaw of the benchmarking process.

- → Easy to isolate costs!
- → Small enough to completely understand.



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REAL-WORLD BENCHMARKS



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- → Small enough to completely understand.
- → If thoroughly understood, can provide a useful cost model.



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- → Easy to measure the wrong thing!

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- → Crucial to understand the scope of results.

REAL-WORLD BENCHMARKS



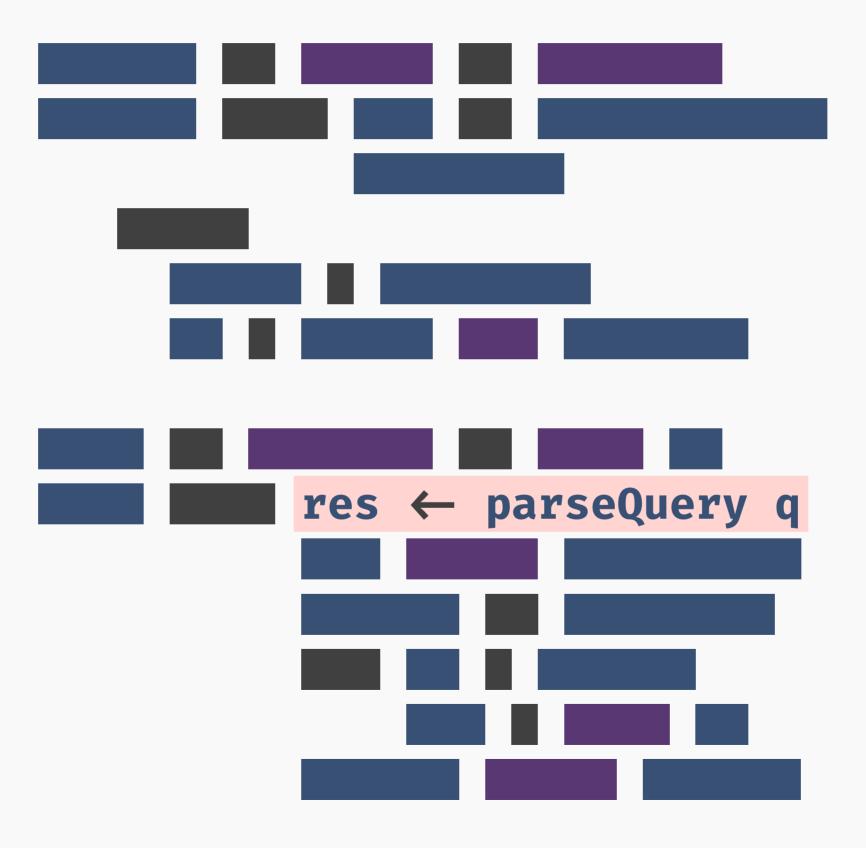
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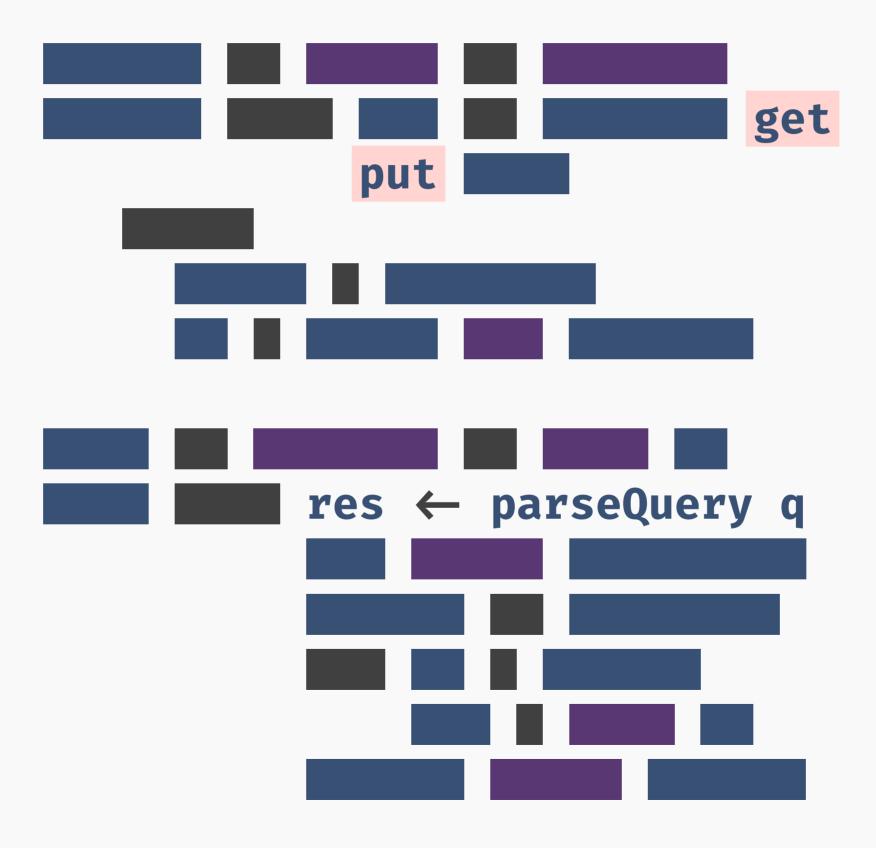


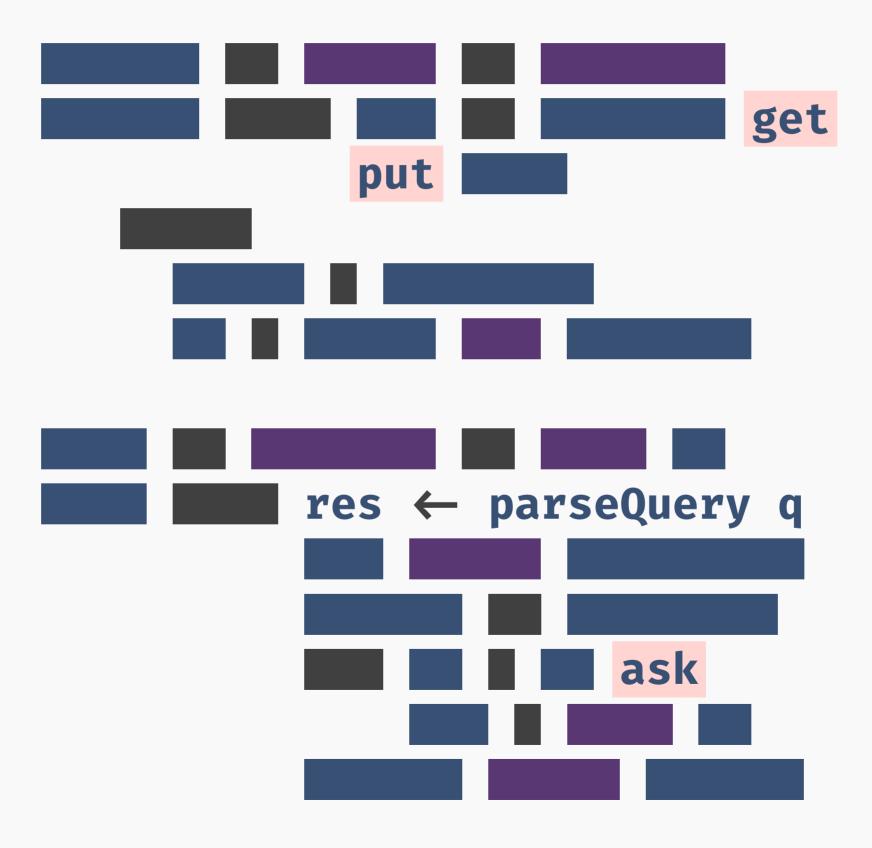
- → Really big!
- → Difficult to isolate costs.
- → May be challenging to extrapolate results.
- → Easy to measure the wrong thing!
- → Crucial to understand the scope of results.
- → Costs are not considered in context.













What does countdown measure?

```
countDown :: Int → (Int, Int)
countDown initial = runState program initial
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What does countdown measure?

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```

```
reallyExpensive :: MonadExpensive m ⇒ m Blah reallyExpensive = colorGraph >> mineBitcoin >> compileHaskell
```

call reallyExpensive

call reallyExpensive

colorGraph
mineBitcoin
compileHaskell

return to caller

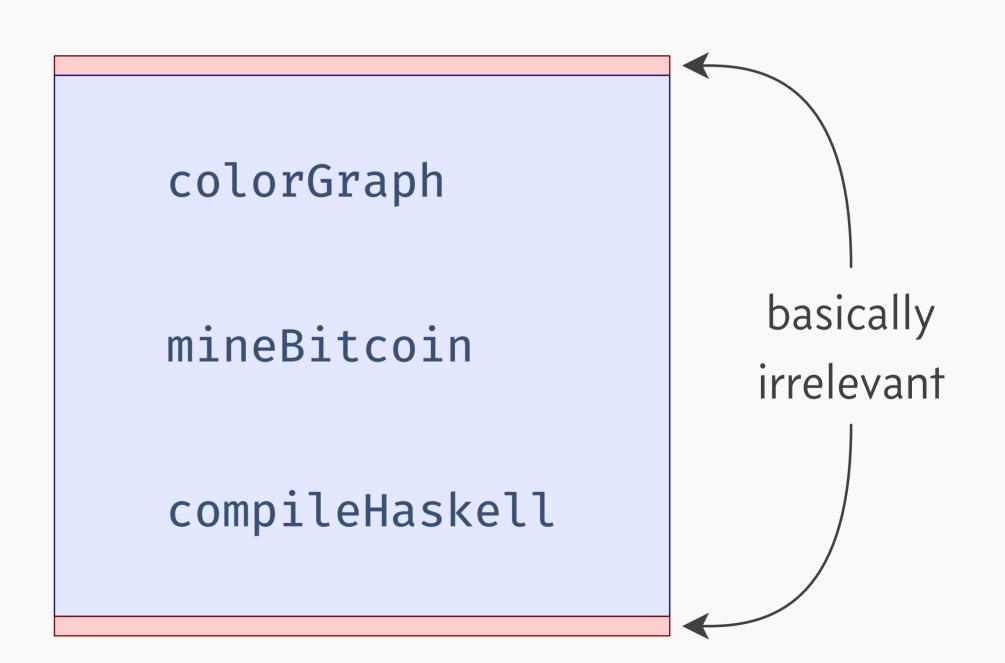
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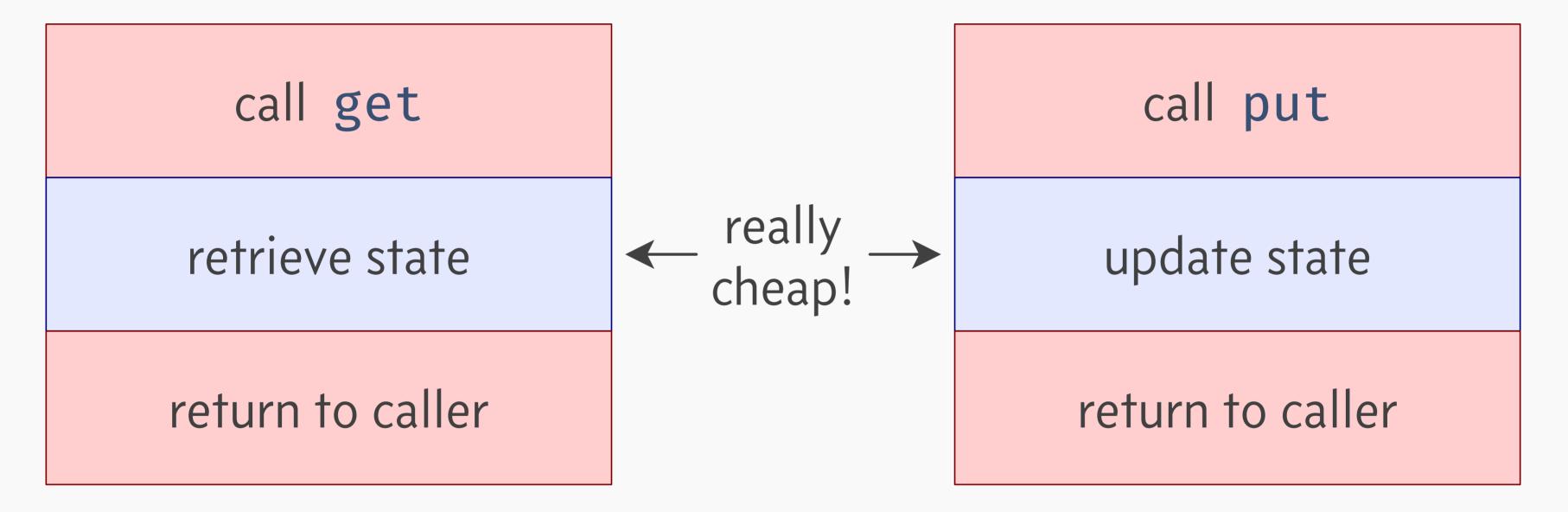
call get

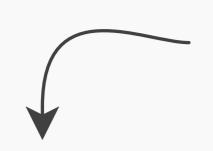
retrieve state

return to caller

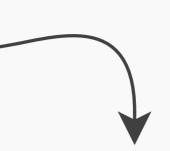
call put

update state





appreciable amount of time



call get

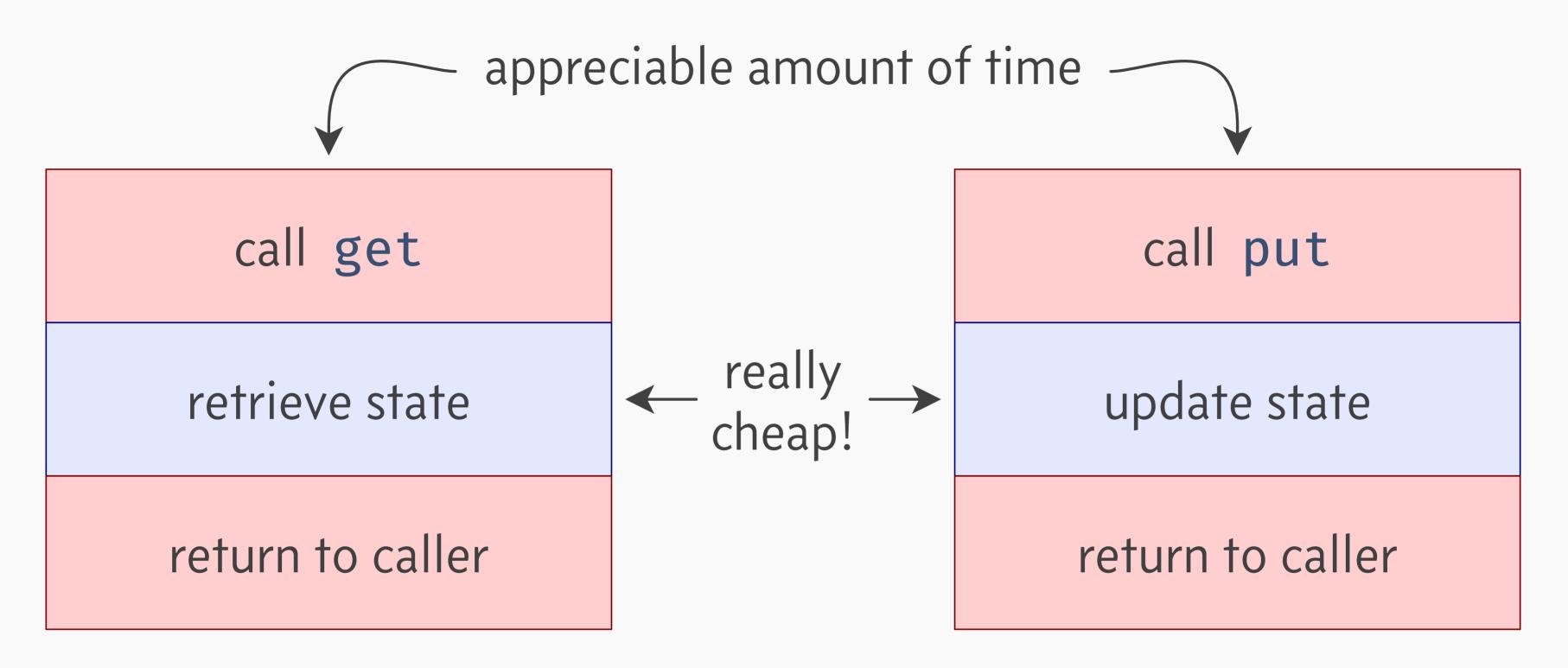
retrieve state

return to caller

really
cheap!

call put

update state



Countdown benchmarks effect dispatch.

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countDown :: Int \rightarrow (Int, Int)
countDown initial = runState program initial
program :: MonadState Int m ⇒ m Int
program = get \gg \setminus n \rightarrow
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```

>= is an exceptionally common operation.

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```
do a \leftarrow f x
b \leftarrow g y
h a b
```

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do a
$$\leftarrow$$
 f x Foo \Leftrightarrow getX
b \leftarrow g y \Leftrightarrow getY
h a b \Leftrightarrow getZ

mapM traverse sequence

is an exceptionally common operation.

mapM traverse sequence when unless replicateM

1. Countdown is a microbenchmark.

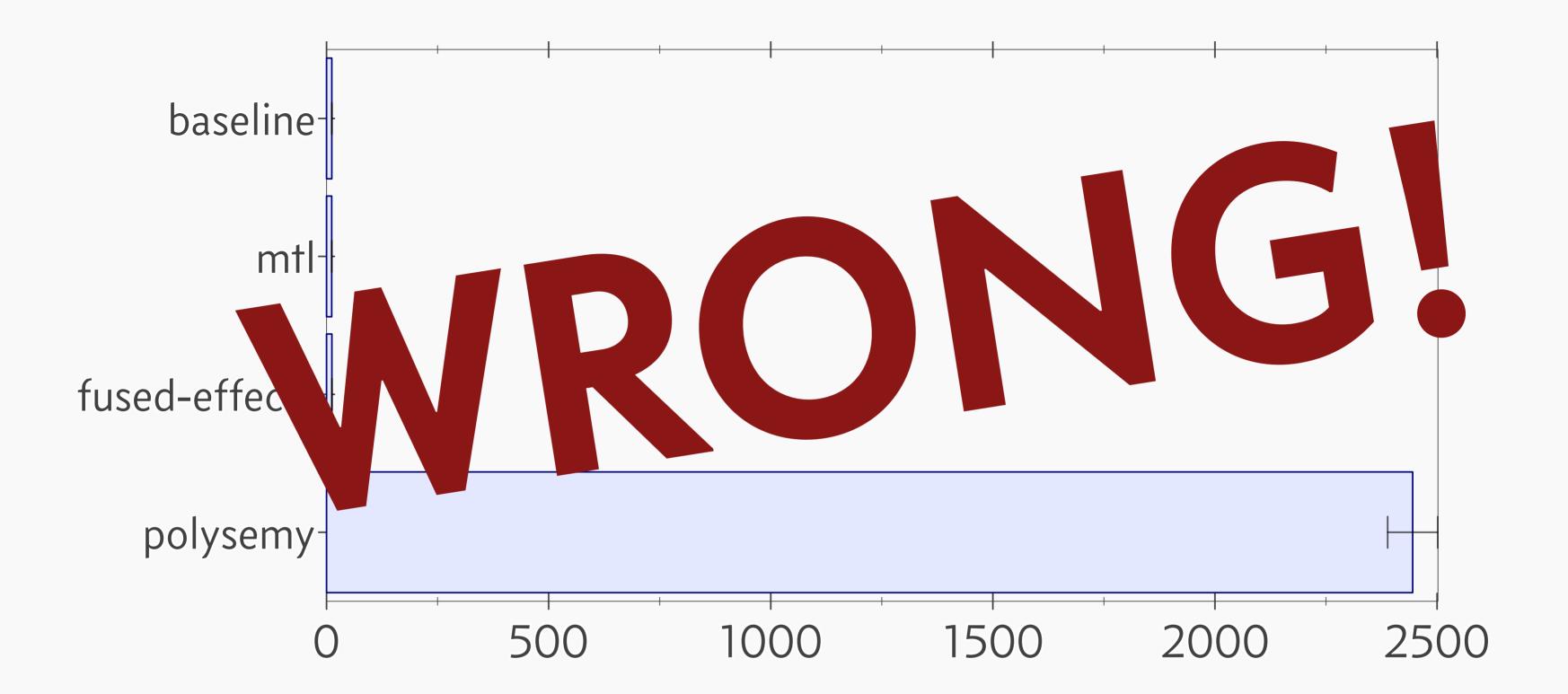
- 1. Countdown is a microbenchmark.
- 2. Theoretically, it's a valuable benchmark.

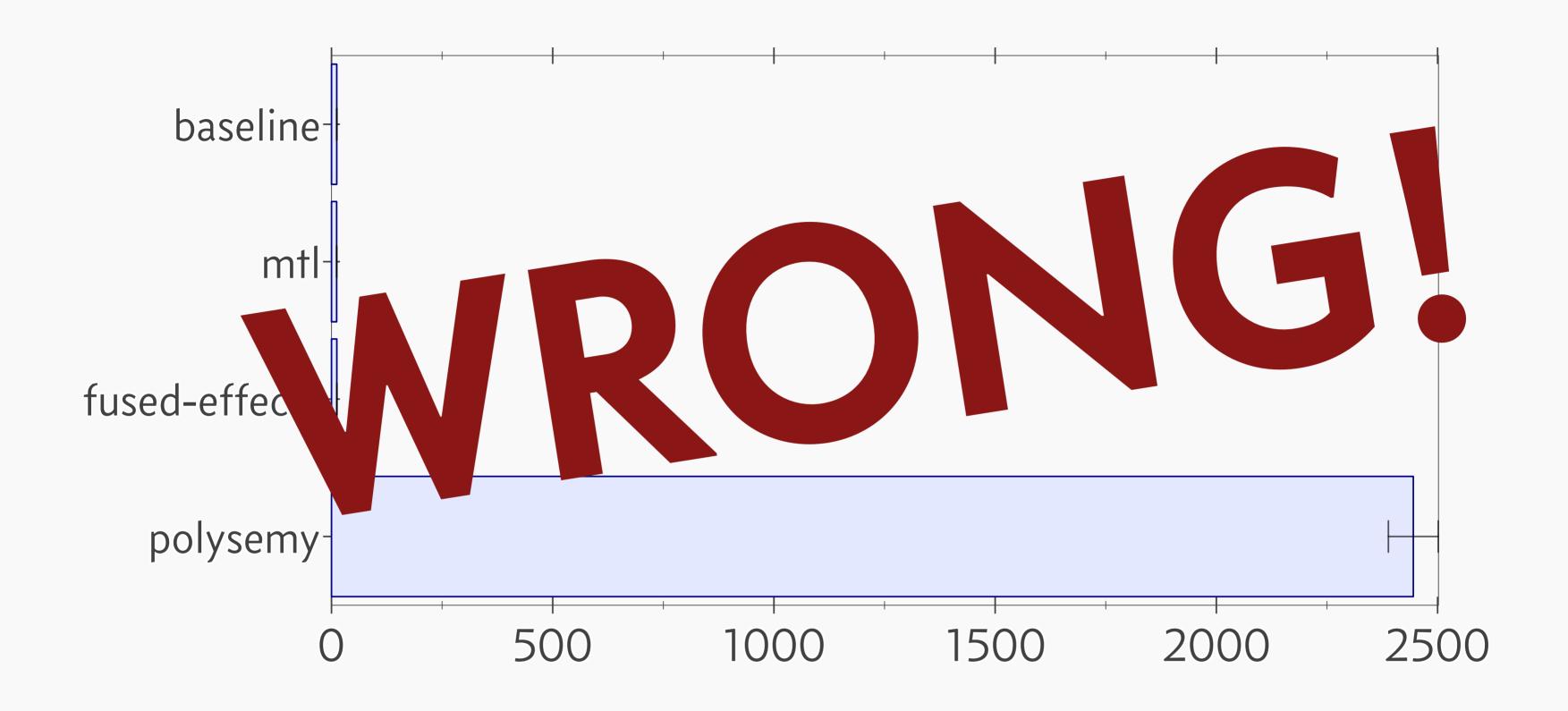
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 - \rightarrow ...the cost of \gg .

Why am I belaboring this point?





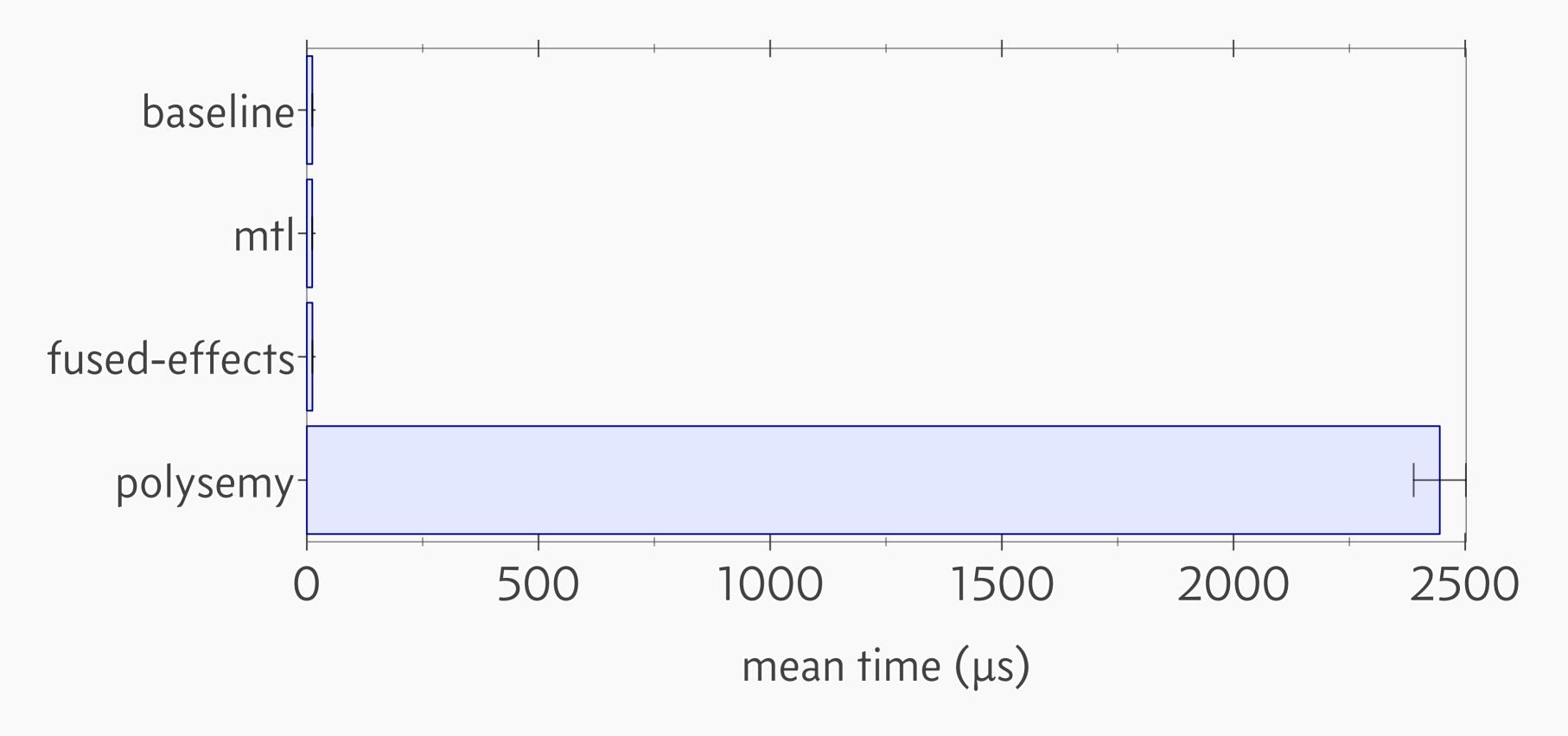
...or at least highly misleading.

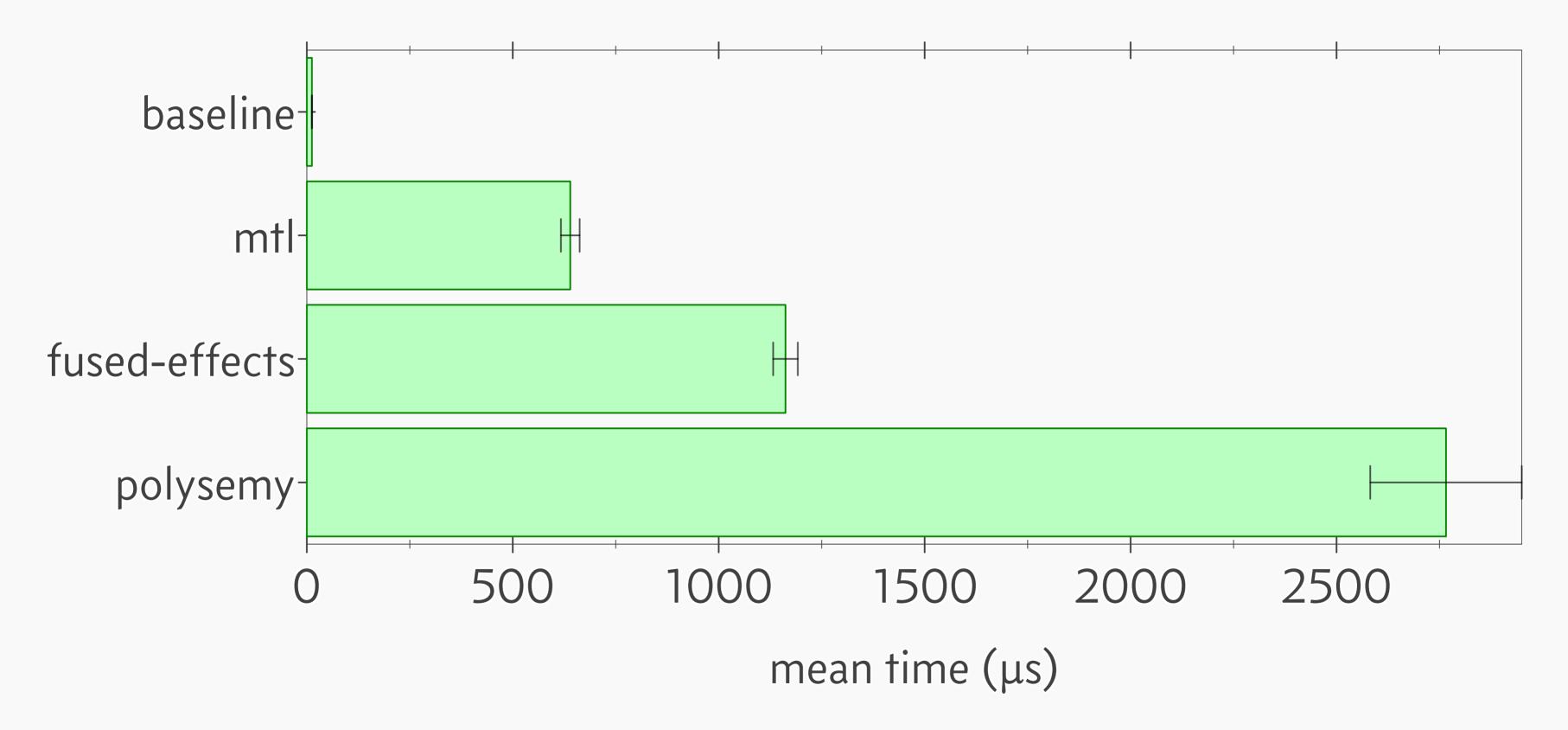
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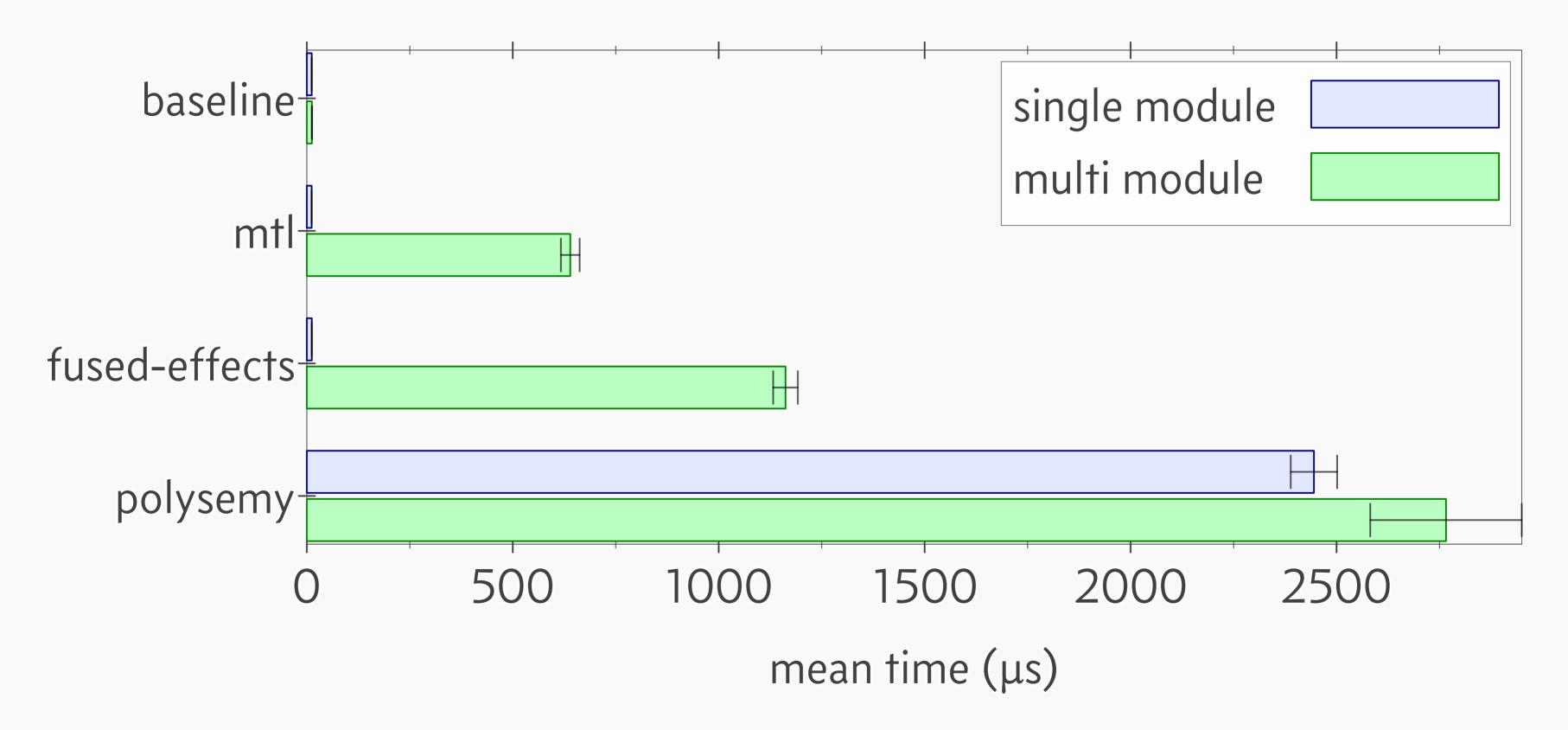
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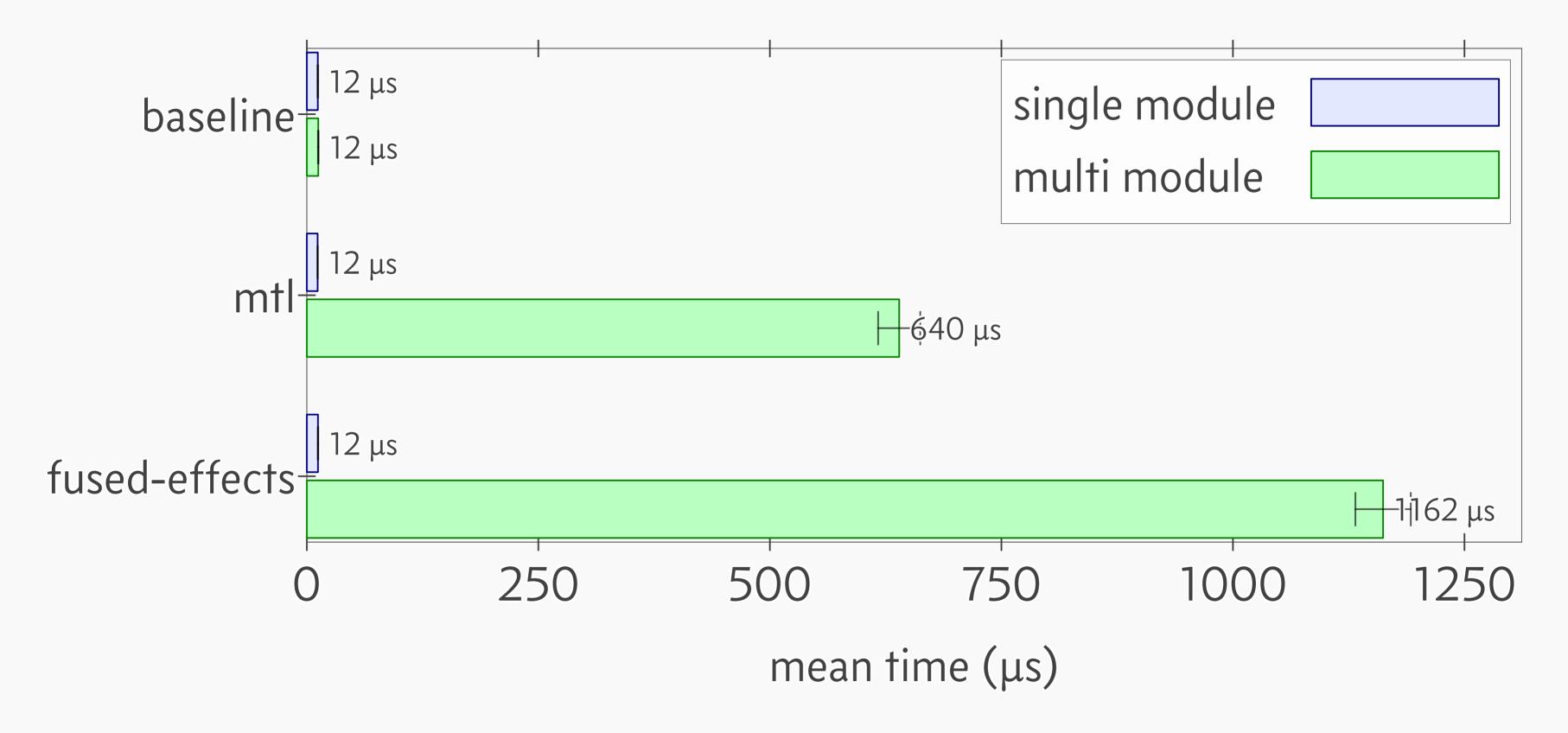
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Surely this shouldn't change anything?

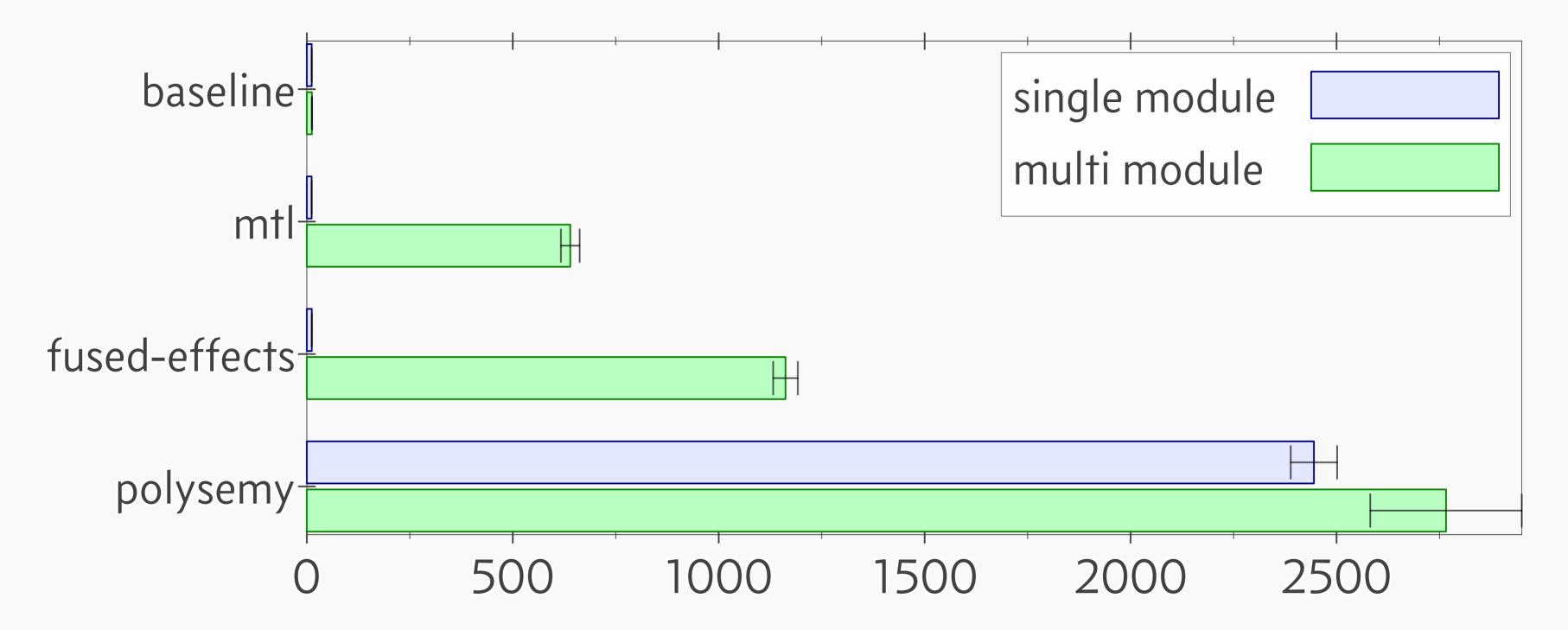


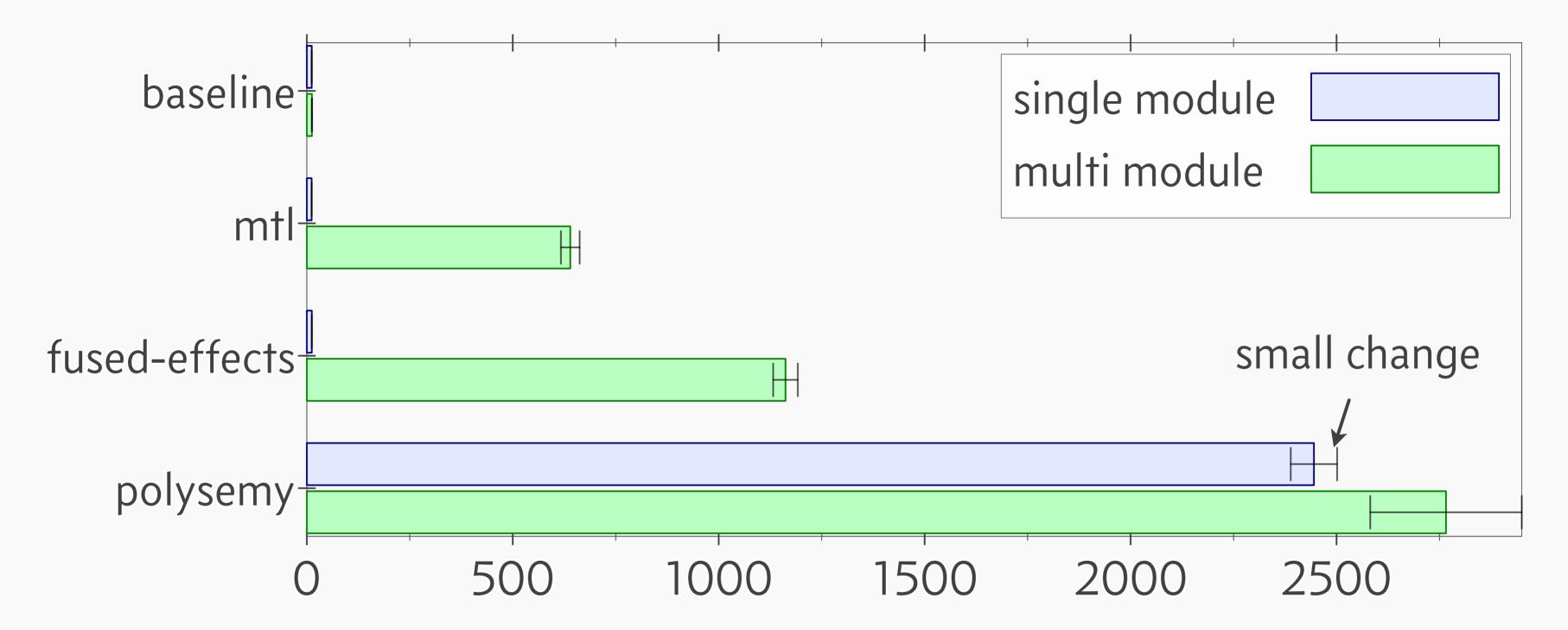


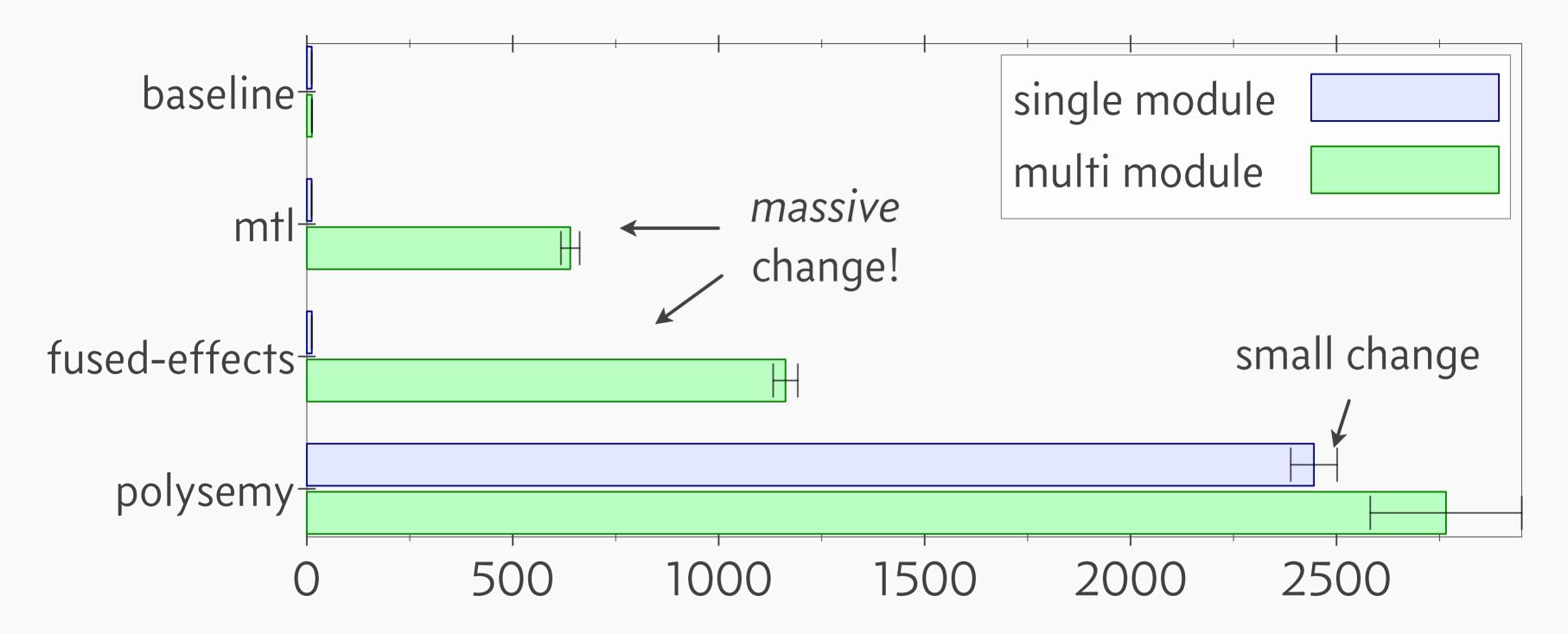


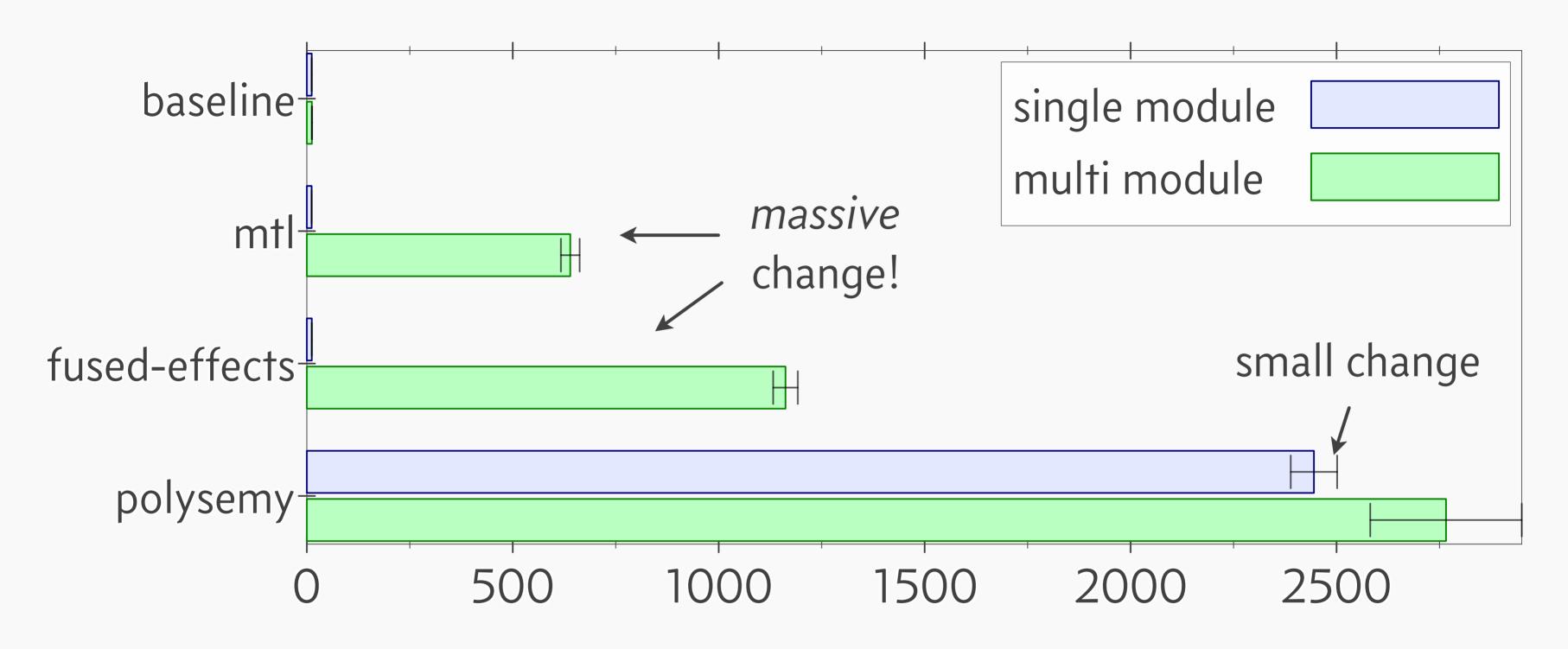


What happened?









mtl and fused-effects are victims of the optimizer.

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- 2. ...but are more reliant on compiler optimizations for best-case perf.

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- 2. ...but are more reliant on compiler optimizations for best-case perf.
- 3. Tiny program changes can have huge perf diffs!

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program = get \gg n \rightarrow

if n \leq 0

then return n

else put (n - 1) >> program
```

```
if n \leq 0
               then return n
               else put (n - 1) >> program
program :: Eff (State Int) Int
program = Get Then \rightarrow
            if n \leq 0
              then Return n
              else Put (n - 1) Then \setminus \rightarrow program
```

```
if n \leq 0
              then return n
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program :: Eff (State Int) Int
program = Get `Then` \n →
           if n \leq 0
             then Return n
             else Put (n - 1) Then \setminus program
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if n \leq 0
              then return n
              else put (n - 1) >> program
program :: Eff (State Int) Int
program = Get Then n \rightarrow
           if n \leq 0
             then Return n
             else Put (n - 1) Then \setminus program
```

data Eff f a where Return :: $a \rightarrow Eff a$ Then :: f $a \rightarrow (a \rightarrow Eff b) \rightarrow Eff b$

```
data Eff f a where
  Return :: a \rightarrow Eff a
  Then :: f a \rightarrow (a \rightarrow Eff b) \rightarrow Eff b
data State s a where
  Get :: State s s
  Put :: s \rightarrow State ()
```

```
data Eff f a where
  Return :: a \rightarrow Eff a
  Then :: f a \rightarrow (a \rightarrow Eff b) \rightarrow Eff b
data State s a where
  Get :: State s s
  Put :: s \rightarrow State ()
runState :: s \rightarrow Eff (State s) a \rightarrow (s, a)
runState s (Return x) = (s, x)
runState s (Get `Then` k) = runState s (k s)
runState _ (Put s `Then` k) = runState s (k ())
```

→ Beautifully simple.

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- → Extremely flexible.

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CONS

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CONS

→ Reifies the entire program as a tree.

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CONS

- → Reifies the entire program as a tree.
- → Obscures structure to the optimizer.

newtype State s a = State { runState :: $s \rightarrow (s, a)$ }

```
newtype State s a = State { runState :: s \rightarrow (s, a) } instance Monad (State s) where return x = State $ \s \rightarrow (s, x) m >= f = State $ \s \rightarrow case runState m s of (s', a) \rightarrow runState (f a) s'
```

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newtype State s a = State { runState :: s \rightarrow (s, a) }
instance Monad (State s) where
  return x = State \$ \s \rightarrow (s, x)
  m \gg f = State $ \s \rightarrow case runState m s of
     (s', a) \rightarrow runState (f a) s'
get :: State s s
get = State \$ \s \rightarrow (s, s)
put :: s \rightarrow State s ()
put s = State \$ \setminus \rightarrow (s, ())
```

```
program :: State Int Int

program = State $ \s1 \rightarrow runState  get s1 of

(s2, n) \rightarrow if n \leqslant 0

then runState (return n) s2

else case runState (put (n - 1)) s2 of

(s3, _) \rightarrow runState program s3
```

```
program :: Int \rightarrow (Int, Int)

program s1 = case get s1 of

(s2, n) \rightarrow if n \leqslant 0

then (s2, n)

else case (n - 1, ()) of

(s3, _) \rightarrow program s3
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program :: Int \rightarrow (Int, Int)
program s1 = if s1 \leq 0
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```

```
program :: Int \rightarrow (Int, Int)
program s1 = if s1 < 0
                 then (s1, s1)
                 else program (s1 - 1)
program :: Int \rightarrow (Int, Int)
program n = if n < 0
                 then (n, n)
                 else program (n - 1)
```

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program :: Int \rightarrow (Int, Int)
program s1 = if s1 < 0
                 then (s1, s1)
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program :: Int \rightarrow (Int, Int)
program n = if n < 0
                 then (n, n)
                 else program (n - 1)
```

This is great! But it's not an effect system.

This is great! But it's not an effect system.

```
program :: State Int Int \leftarrow coupled to implementation program = get \gg \setminus n \rightarrow if n \leqslant 0 then return n else put (n - 1) >> program
```

Can we get the flexibility of free monads with the performance of monad transformers?

1:2:3:4:5:

$$1 + 2 + 3 + 4 + 5 + [$$

Similar to the list fusion problem.

Similar to the list fusion problem.

$$1 + 2 + 3 + 4 + 5 + 0$$

```
runState :: s \rightarrow Eff (State s) a \rightarrow (s, a)

runState s (Return x) = (s, x)

runState s (Get `Then` k) = runState s (k s)

runState _ (Put s `Then` k) = runState s (k ())
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        producer —
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```

Can we get GHC to do this?

Can we get GHC to do this? Might mtl do better?

```
program :: MonadState Int m \Rightarrow m Int program = get \gg n \rightarrow if n \leqslant 0 then return n else put (n-1) \gg program
```

How is this compiled?

How is this compiled?

```
program :: MonadState Int m \Rightarrow m Int program = get \gg \n \rightarrow if n \leqslant 0 then return n else put (n - 1) \gg program
```

How is this compiled?

How are typeclasses compiled?

Non-Solution 1: Type Dispatch

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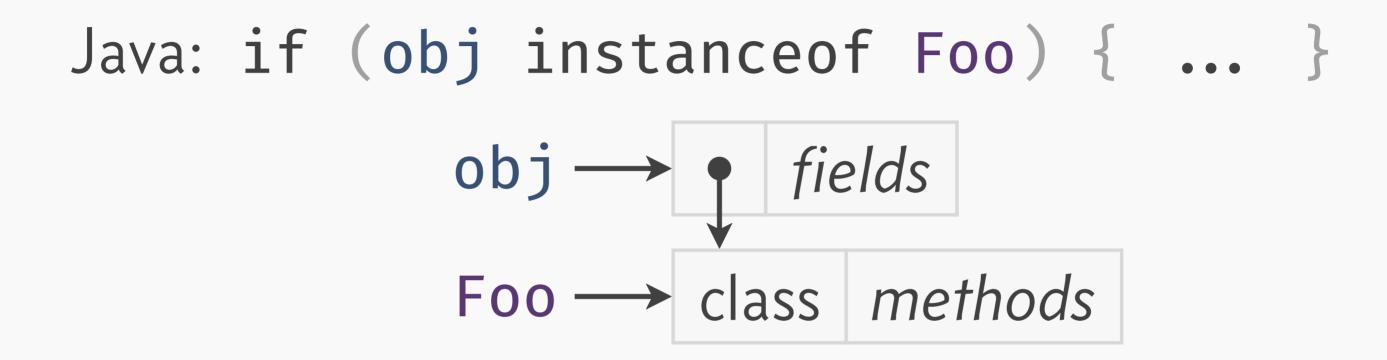
```
show x = case typeOf x of
Bool → show_Bool x
Char → show_Char x
String → show_String x
```

Non-Solution 1: Type Dispatch

```
show x = case typeOf x of
Bool → show_Bool x
Char → show_Char x
String → show_String x
```

Immediate problem: requires whole-program compilation.

```
Java: if (obj instanceof Foo) { ... }
```



```
Java: if (obj instanceof Foo) { ... }

obj \longrightarrow fields

Foo \longrightarrow class methods
```

Haskell: data Foo = MkFoo Int String

```
Java: if (obj instanceof Foo) { ... }

obj \longrightarrow fields

Foo \longrightarrow class methods
```

Haskell: data Foo = MkFoo Int String let val = MkFoo 42 "hello"

Java: if (obj instanceof Foo) { ... }

obj \longrightarrow fields

Foo \longrightarrow class methods



```
exclaim :: Show a \Rightarrow a \rightarrow String exclaim x = Show x ++ "!"
```

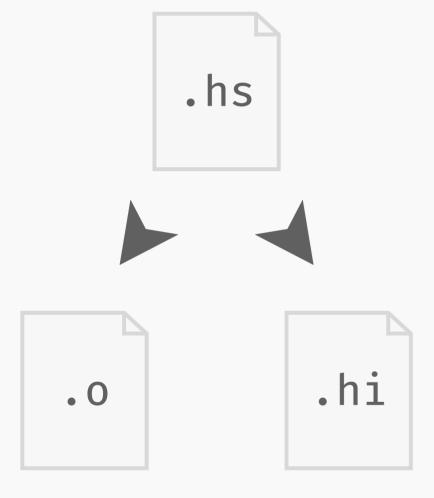
```
exclaim :: Show a \Rightarrow a \rightarrow String exclaim x = Show x ++ "!"
```

1. Generate no code for exclaim.

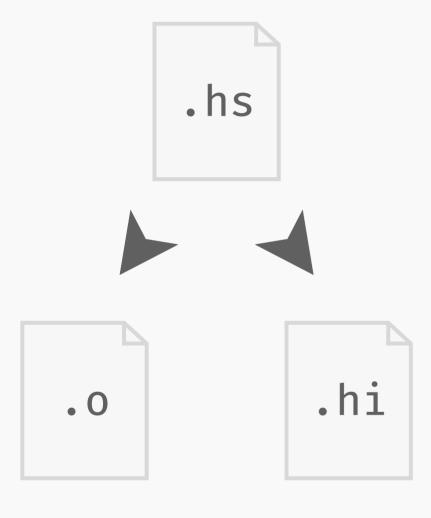
```
exclaim :: Show a \Rightarrow a \rightarrow String exclaim x = Show x ++ "!"
```

- 1. Generate no code for exclaim.
- 2. Record exclaim's definition in the interface file.

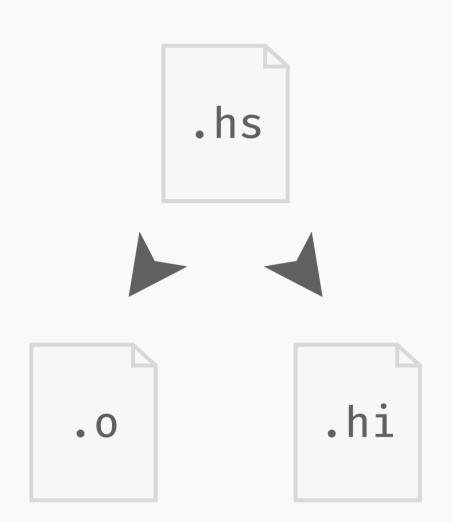
.hs

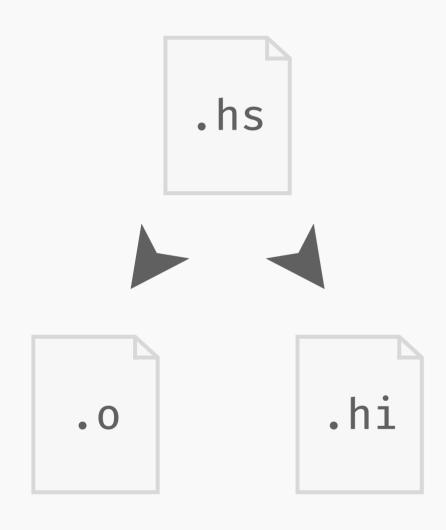


.hi — "Haskell interface"

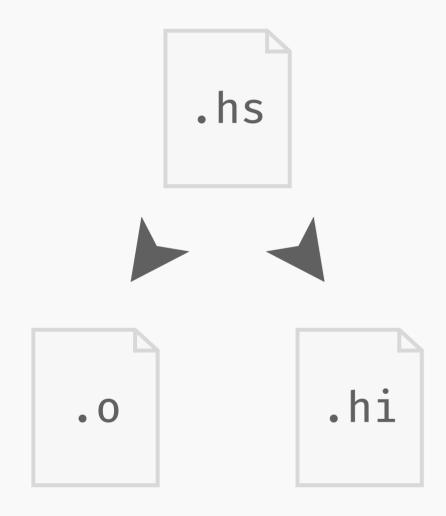


- .hi "Haskell interface"
 - → type/class/instance declarations

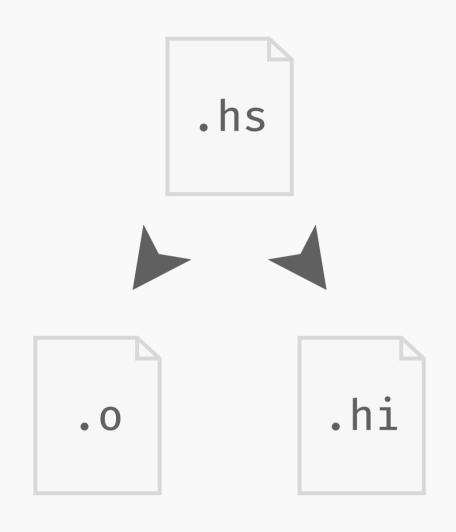




- .hi "Haskell interface"
 - → type/class/instance declarations
 - → types of exported bindings



- .hi "Haskell interface"
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 - → types of exported bindings
 - → source code of small bindings



- .hi "Haskell interface"
 - → type/class/instance declarations
 - → types of exported bindings
 - → source code of small bindings
 - → for monomorphization: source code of all overloaded bindings

```
exclaim :: Show a \Rightarrow a \rightarrow String exclaim x = show x ++ "!"
```

```
exclaim :: Show a \Rightarrow a \rightarrow String exclaim x = show x ++ "!"
```

```
exclaim_Bool :: Bool → String exclaim_Bool x = show_Bool x ++ "!"
```

```
exclaim :: Show a \Rightarrow a \rightarrow String exclaim x = show x ++ "!"
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```
exclaim_Bool :: Bool → String exclaim_Bool x = show_Bool x ++ "!"
```

exclaim True > exclaim_Bool True

```
exclaim :: Show a \Rightarrow a \rightarrow String exclaim x = show x ++ "!"
```

```
exclaim_Bool :: Bool → String exclaim_Bool x = show_Bool x ++ "!"
```

exclaim True > exclaim_Bool True

Overloading has no runtime cost!

...but it can create a lot of bloat.

...but it can create a lot of bloat.

exclaim True exclaim 42 exclaim "hello"

...but it can create a lot of bloat.

```
exclaim True exclaim 42 exclaim "hello"
exclaim_Bool :: Bool → String
exclaim_Bool x = show_Bool x ++ "!"
exclaim_Int :: Int → String
exclaim Int x = show_Int x ++ "!"
exclaim_String :: String → String
exclaim_String x = show_String x ++ "!"
```

```
reallyBig :: (Foo a, Bar b, Baz c) ⇒ ...
reallyBig = < really big RHS >
```

reallyBig :: (Foo a, Bar b, Baz c) ⇒ ... reallyBig = < really big RHS >



reallyBig	@Bool	∂Bool	∂Bool	reallyBig	∂Bool	@Bool	@Int	reallyBig	∂Bool	@Bool	@String
reallyBig	@Bool	@Int	∂Bool	reallyBig	∂Bool	@Int	@Int	reallyBig	∂Bool	@Int	@String
reallyBig	@Bool	aString	@Bool	reallyBig	∂Bool	@String	@Int	reallyBig	∂Bool	aString	aString
reallyBig	∂Int	∂Bool	@Bool	reallyBig	@Int	@Bool	@Int	reallyBig	@Int	ეBool	@String
reallyBig	@Int	∂Int	@Bool	reallyBig	@Int	@Int	@Int	reallyBig	@Int	aInt	@String
reallyBig	@Int	aString	@Bool	reallyBig	@Int	@String	aInt	reallyBig	@Int	aString	@String
reallyBig	@String	∂Bool	@Bool	reallyBig	aString	@Bool	aInt	reallyBig	aString	∂Bool	@String
reallyBig	@String	@Int	@Bool	reallyBig	aString	@Int	@Int	reallyBig	aString	a Int	@String
reallyBig	@String	aString	@Bool	reallyBig	aString	@String	aInt (reallyBig	aString	aString	@String

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- → C++/Rust programmers have to worry about this!

- → Can be good for runtime performance.
- → Can be very bad for code size & compile times.
- → C++/Rust programmers have to worry about this!
- → Haskell programmers generally do not.

exclaim :: Show $a \Rightarrow a \rightarrow String$ exclaim x = show x ++ "!"

```
exclaim :: Show a \Rightarrow a \rightarrow String exclaim x = Show x ++ "!"
```



```
exclaim :: (a \rightarrow String) \rightarrow a \rightarrow String
exclaim show_a x = show_a x ++ "!"
```

```
exclaim :: Show a \Rightarrow a \rightarrow String exclaim x = show x ++ "!"
```



```
exclaim :: (a \rightarrow String) \rightarrow a \rightarrow String exclaim show_a x = show_a x ++ "!"
```

exclaim :: Show $a \Rightarrow a \rightarrow String$ exclaim x = show x ++ "!"



exclaim :: $(a \rightarrow String) \rightarrow a \rightarrow String$ exclaim $show_a x = show_a x ++ "!"$

exclaim True > exclaim show_Bool True

```
exclaim :: Show a \Rightarrow a \rightarrow String exclaim x = show x ++ "!"
```



```
exclaim :: (a \rightarrow String) \rightarrow a \rightarrow String exclaim show_a x = show_a x ++ "!"
```

exclaim True ➤ exclaim show_Bool True exclaim 42

```
exclaim :: Show a \Rightarrow a \rightarrow String exclaim x = Show x ++ "!"
```



```
exclaim :: (a \rightarrow String) \rightarrow a \rightarrow String
exclaim show_a x = show_a x ++ "!"
```

exclaim True ➤ exclaim show_Bool True exclaim 42 ➤ exclaim show_Int 42

class Show a where

```
show :: a \rightarrow String
```

showsPrec :: Int \rightarrow a \rightarrow ShowS

showList :: [a] → ShowS

```
class Show a where
   show :: a \rightarrow String
   showsPrec :: Int \rightarrow a \rightarrow ShowS
   showList :: [a] → ShowS
data Show a = ShowDict
  \{ \text{ show } :: a \rightarrow \text{String} \}
  , showsPrec :: Int \rightarrow a \rightarrow ShowS
  , showList :: [a] → ShowS }
```

```
class Show a where
    show :: a \rightarrow String
    showsPrec :: Int \rightarrow a \rightarrow ShowS
    showList :: [a] → ShowS
data Show a = ShowDict
   \{ \text{ show } :: a \rightarrow \text{String} \}
   , showsPrec :: Int \rightarrow a \rightarrow ShowS
   , showList :: [a] → ShowS }
exclaim :: Show a \rightarrow a \rightarrow String
exclaim dict x = show dict x ++ "!"
```

→ Elegantly simple.

- → Elegantly simple.
- → Cheap to compile.

- → Elegantly simple.
- → Cheap to compile.
- → Does it have a runtime cost?

```
program :: MonadState Int m ⇒ m Int
    program = get \gg \backslash n \rightarrow
                  if n \leq 0
                     then return n
                     else put (n - 1) >> program
program :: MonadState Int m → m Int
program stateDict@(MonadStateDict monadDict ) =
  (≫ ) monadDict
         (get stateDict)
         (n \rightarrow if n \leq 0)
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```

Nope: we can't inline anything.

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```
fst ("hello", "world")
```

```
fst ("hello", "world")

fst :: (a, b) \rightarrow a

fst (x, _) = x
```

```
fst ("hello", "world")
(\x, _) \rightarrow x) ("hello", "world")
               "hello"
```

```
foldr :: (a \rightarrow b \rightarrow b) \rightarrow b \rightarrow [a] \rightarrow b
foldr _ v [] = v
foldr f v (x:xs) = f x (foldr f v xs)
```

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```

Unknown calls are hard stops for the optimizer.

UNKNOWN CALL

→ Compiled to direct jump.

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→ Compiled to indirect jump.

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This is the cost of AOT compilation!

Typeclass overloading creates unknown calls.

Typeclass overloading creates unknown calls. Overloading is **not** free!

Unknown calls are not a death sentence.

Unknown calls are not a death sentence.

```
sumIndicies :: Eq a \Rightarrow a \rightarrow [a] \rightarrow [Int] sumIndicies v xs = zip [1..] xs & filter ((== v) . snd) & map fst & sum
```

Unknown calls are not a death sentence.

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sumIndicies :: Eq a \Rightarrow a \rightarrow [a] \rightarrow [Int] sumIndicies v xs = zip [1..] xs & filter ((== v) . snd) & map fst & sum
```

List fusion can still happen!

$$\Rightarrow$$
 is glue.

Conclusion: not surprising at all that mtl has a cost!

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But why is it *sometimes* fast?

SPECIALIZATION

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 - → It is defined in the current module.
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```
program :: MonadState Int m ⇒ m Int
program = do n \leftarrow get
            if n \leq 0
              then return n
              else put (n - 1) >> program
program :: State Int Int
if n \leq 0
              then return n
              else put (n - 1) >> program
```

```
program :: MonadState Int m ⇒ m Int
    program = do n ← get
                 if n \leq 0
                   then return n
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program :: MonadState Int m → m Int
program stateDict@(MonadStateDict monadDict ) =
 (≫ ) monadDict
        (get stateDict)
        (n \rightarrow if n \leq 0)
           then return monadDict n
           else (>>) monadDict
                      (put stateDict (n - 1))
                      (program stateDict)
```

Why bother explaining all of this?

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Because we're not done.

Can we avoid the performance regression?

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- → It is defined in the current module.
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This approach has many problems.

- 1. It would be annoying.
- 2. It requires whole-program specialization!
 - → Probably recompiles everything in Main.hs.
 - → Compilation may not terminate.
 - → This cost is incurred for each specialization.
 - → Can be defeated by existential quantification.

Let's take a step back.

Let's take a step back.

Why is specialization necessary here?

Proposition 2: effect dispatch can be made perfectly affordable. The real problem is >= .

```
program = get \gg \ n \rightarrow

if n \leq 0

then return n

else put (n - 1) >> program
```

Proposition 2: effect dispatch can be made perfectly affordable. The real problem is >= .

```
program = get \gg \n \rightarrow if n \leqslant 0 then return n else put (n - 1) >> program
```

Passing >= via dictionary creates problems!

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- 1. \gg gets called a *lot*.
- 2. >= is glue; it needs to be inlined to expose further optimizations.
- 3. Unknown calls to >= balloon closure allocation.

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- 3. Unknown calls to >= balloon closure allocation.

$$f x y = foo x \gg \langle z \rightarrow bar (+ y z)$$

f x y = foo x
$$\gg \langle z \rightarrow bar (+ y z) \rangle$$

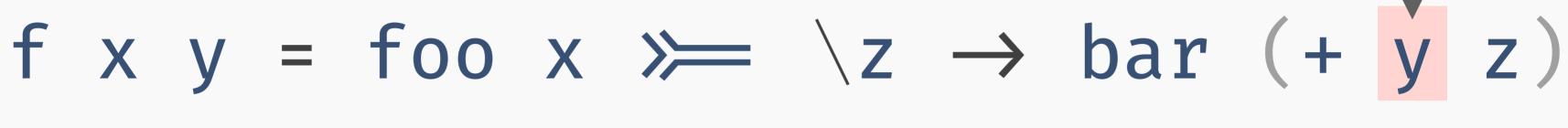
f x y = case foo x of Left e \rightarrow Left e Right z \rightarrow bar (+ y z)

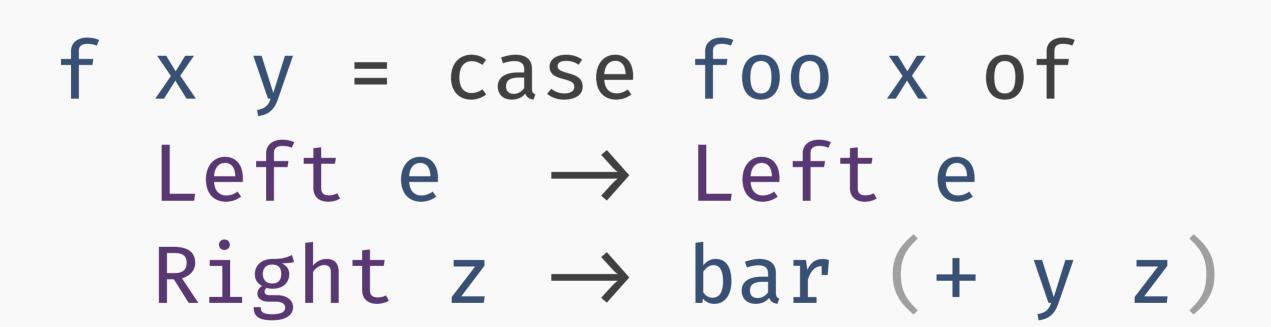
f x y = foo x
$$\Rightarrow$$
 \gamma z \to bar (+ y z)

f x y = case foo x of
Left e
$$\rightarrow$$
 Left e
Right z \rightarrow bar (+ y z)

f x y = foo x
$$\gg \langle z \rightarrow bar (+ y z) \rangle$$

f x y = case foo x of Left e \rightarrow Left e Right z \rightarrow bar (+ y z) f v v – foo v – lambda – har (+ v





f x y = foo x \Rightarrow | $z \rightarrow bar (+ y z)$

f x y = case foo x of Left e \rightarrow Left e Right z \rightarrow bar (+ y z)

used under a lambda $f x y = foo x \gg \langle z \rightarrow bar (+ \dot{y} z)$ f x y = case foo x ofLeft e → Left e Right $z \rightarrow bar (+ y z)$

used in a case RHS —

f x y = foo x \Rightarrow \quad \text{v} = \foo x \quad \text{v} = \foo \text{v} \text{z} \rightarrow \text{bar} (+ y z)

f x y = case foo x of
Left e → Left e
Right z → bar (+ y z)
used in a case RHS

f x
$$y = foo x \gg \langle z \rightarrow bar (+ y z)$$



```
f x y = case foo x of
  Left e \rightarrow Left e
  Right z \rightarrow bar (+ y z)
    used in a case RHS —
```

f x y = case foo x of
Left e → Left e
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If >= is an unknown call, the caller must allocate a closure for the continuation.

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When >= is passed via dictionary, the program is reified as a tree of lambdas!

```
program :: Eff (State Int) Int program = Get Then \n \rightarrow if n \leq 0 then Return n else Put (n - 1) Then \_ \rightarrow program
```

Without specialization, these aren't that different!

How do we escape?

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Requirements (2) and (3) are especially hard.

Monad transformers are out of the question.

Free-like monads are also disqualified.

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What's left?

There is a deep, well-known connection between delimited continuations and algebraic effects.

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Big idea: equip a monad with a pair of super powerful control operators, prompt and control.

All effect handlers can be defined in terms of these operators. >= does not need to know anything about effect behavior.

In CPS, continuation is passed via closure:

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Cost is okay for continuation-happy code, but effects that don't need them (very common!) still must pay for them.

But this is frustrating!

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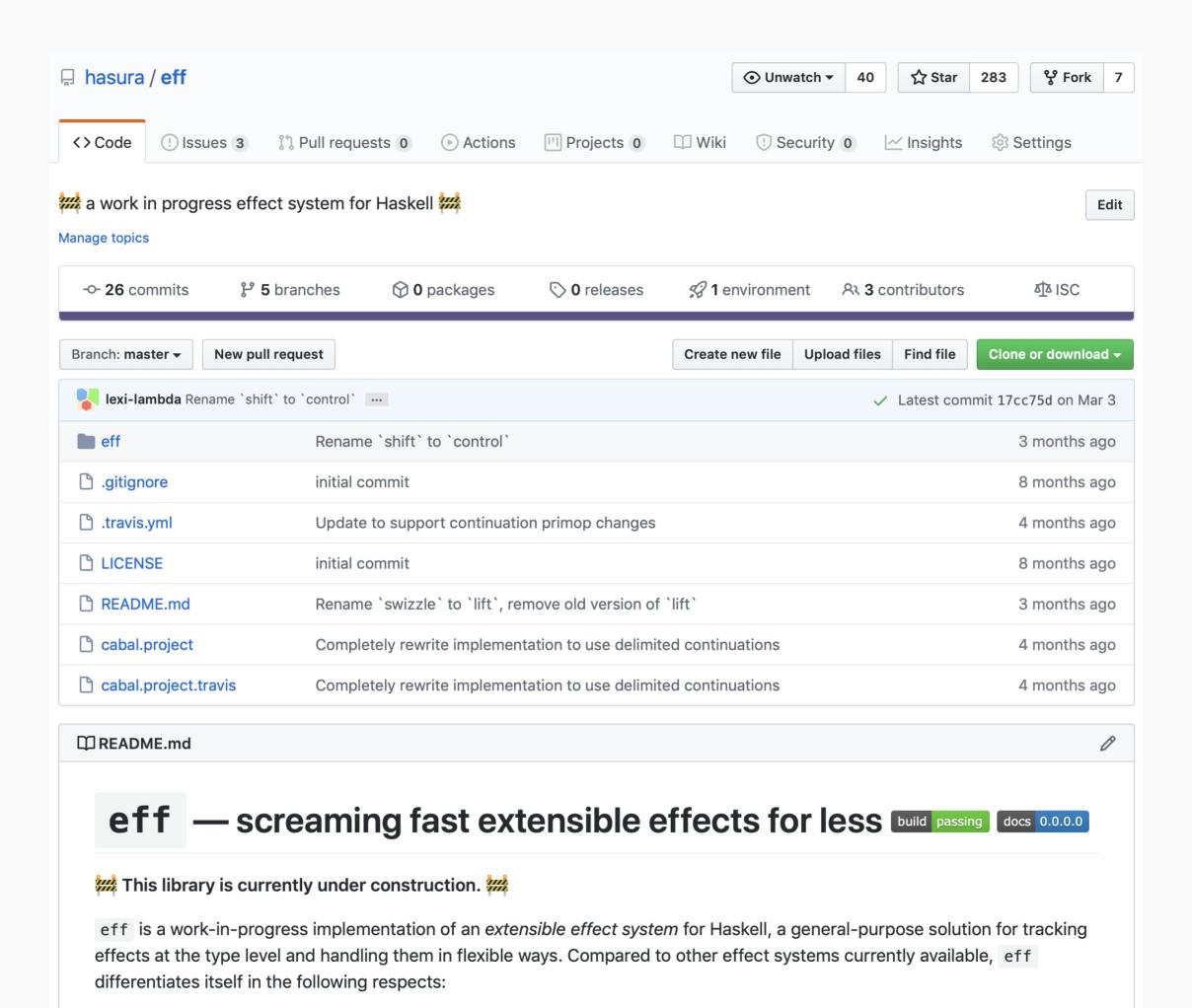
There are well-known, efficient implementation techniques for delimited continuations!

I give up.

I give up. Let's just patch GHC.



EFF



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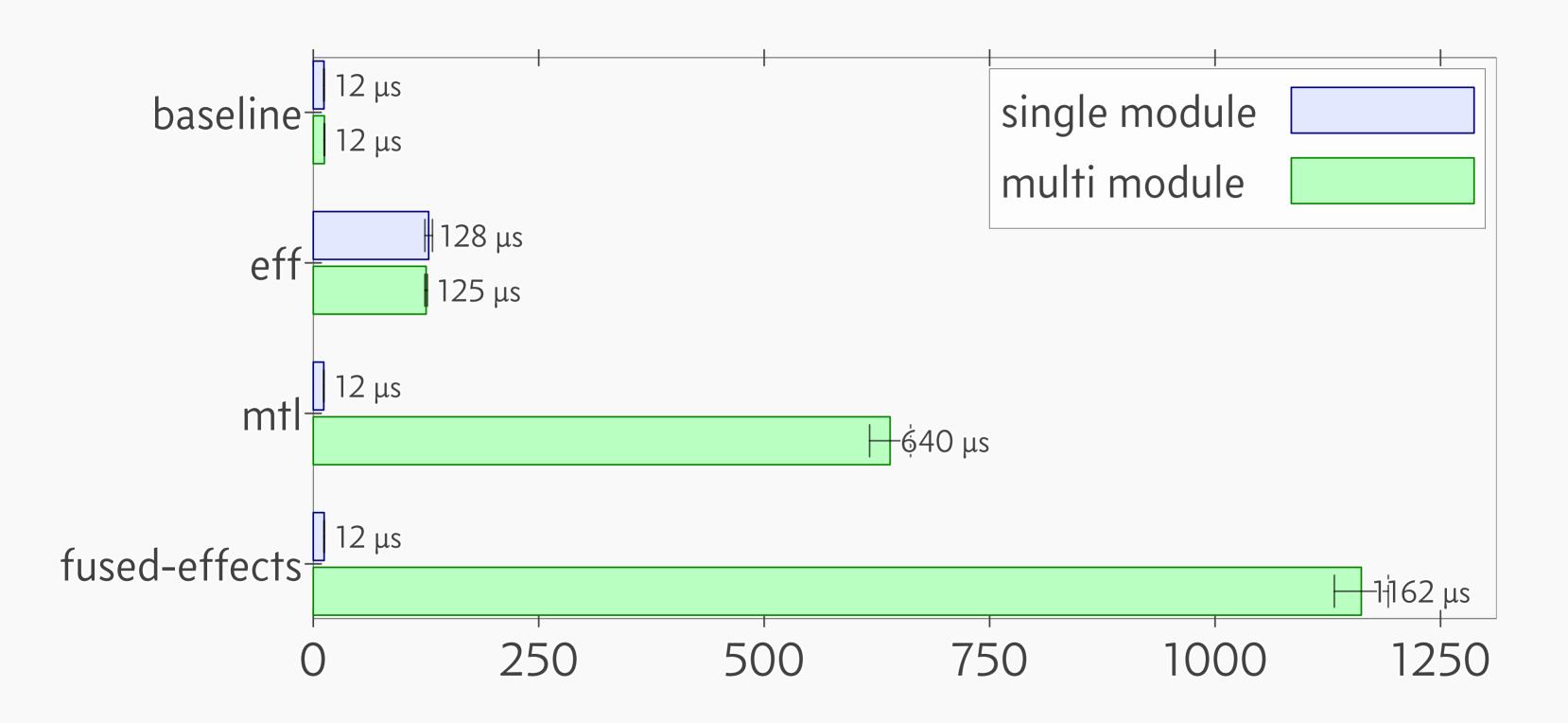
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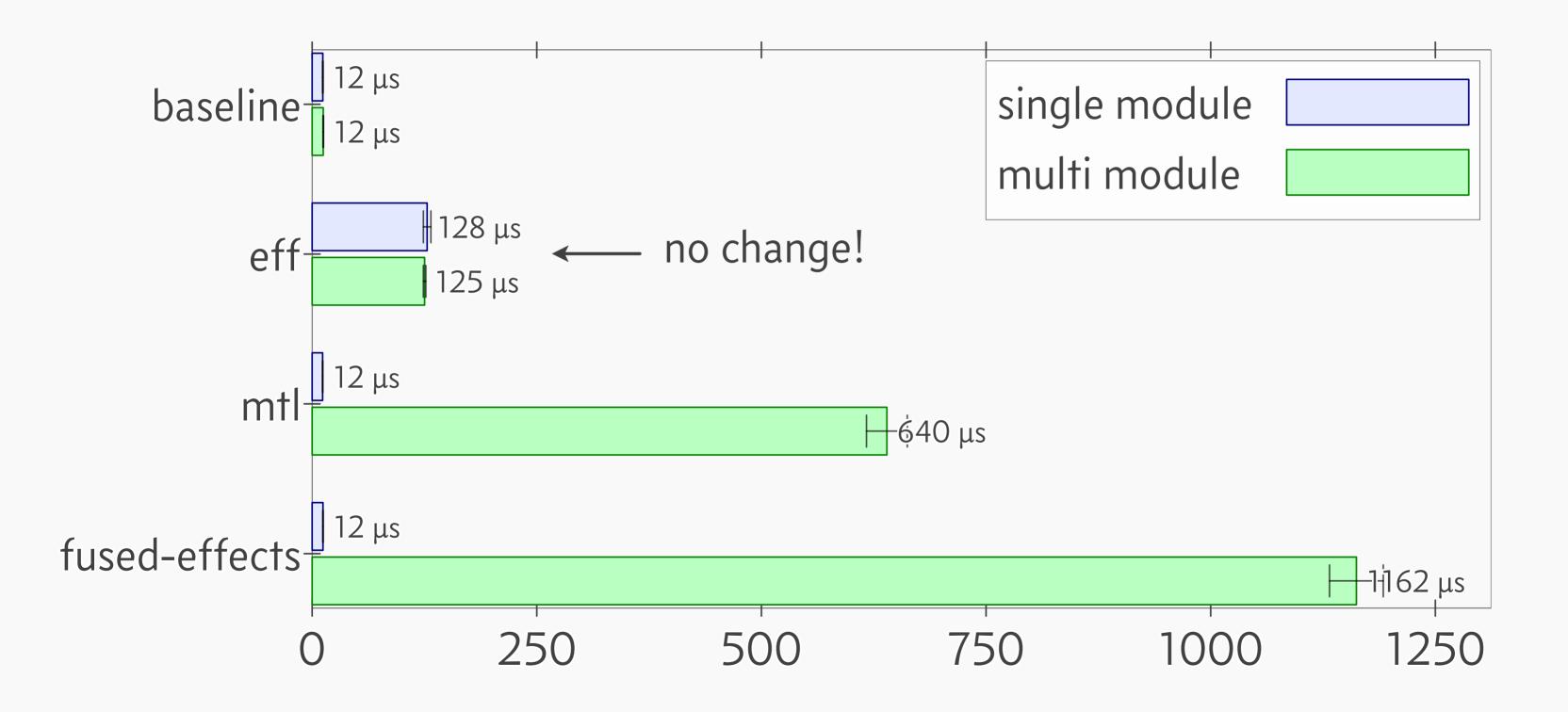
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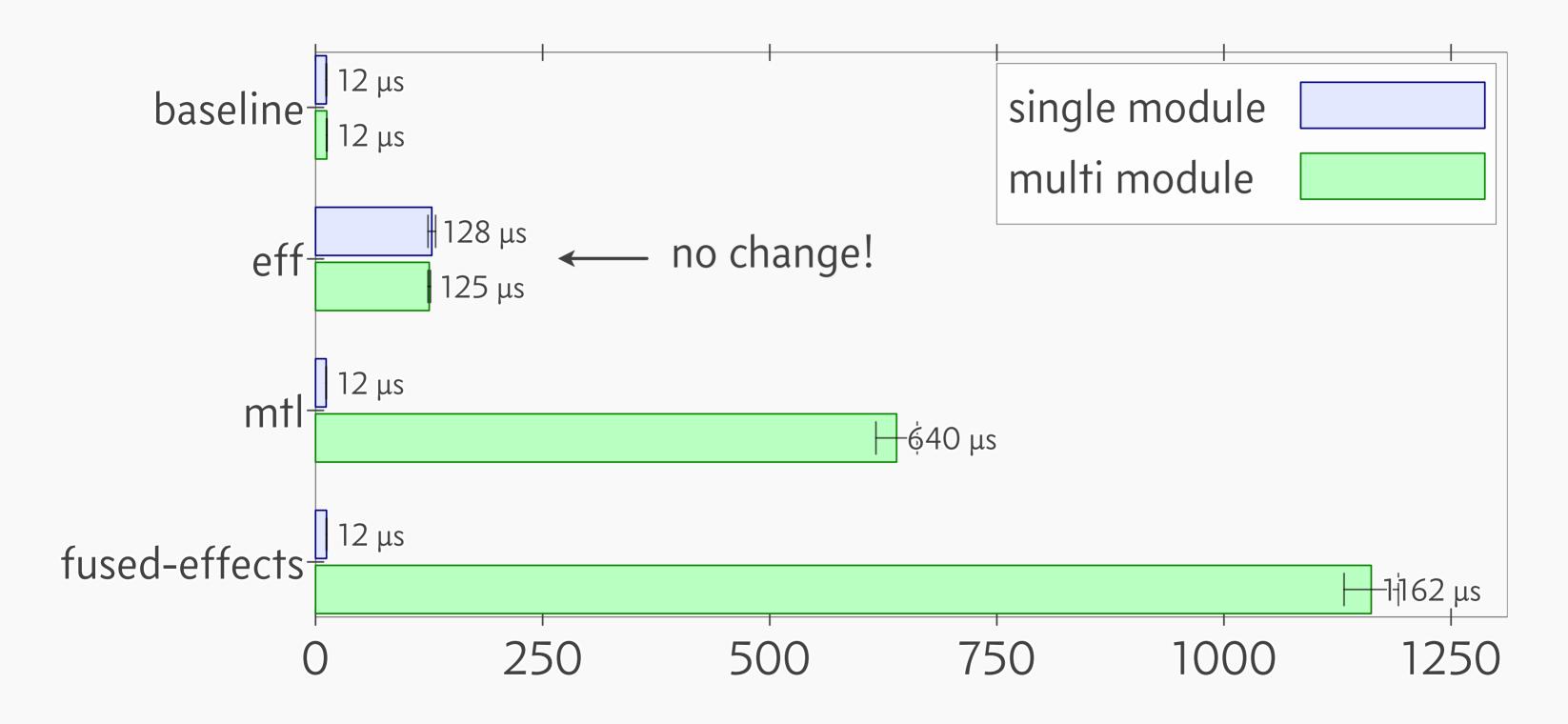
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- → Faster for most use cases than unspecialized mtl.

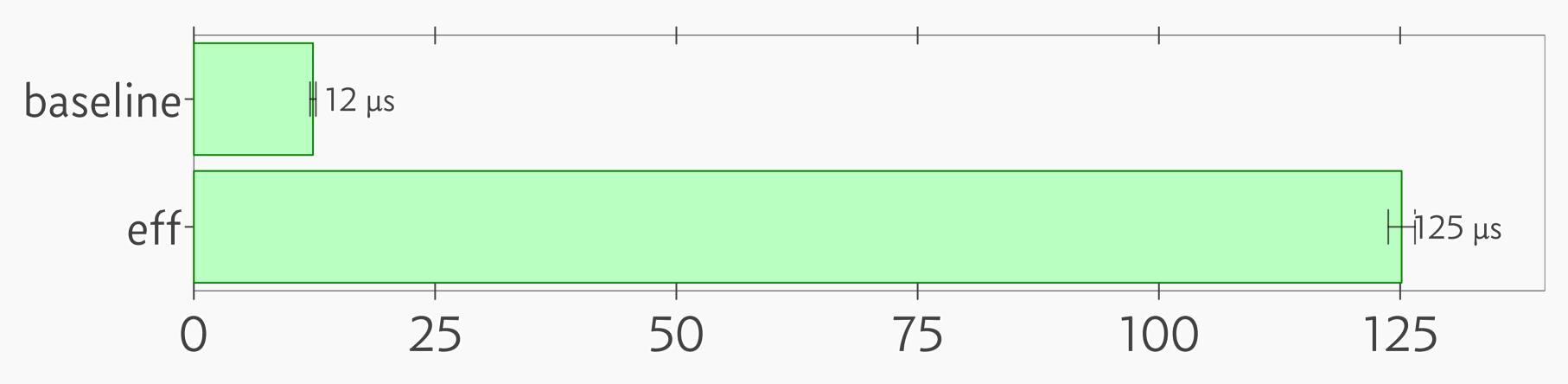




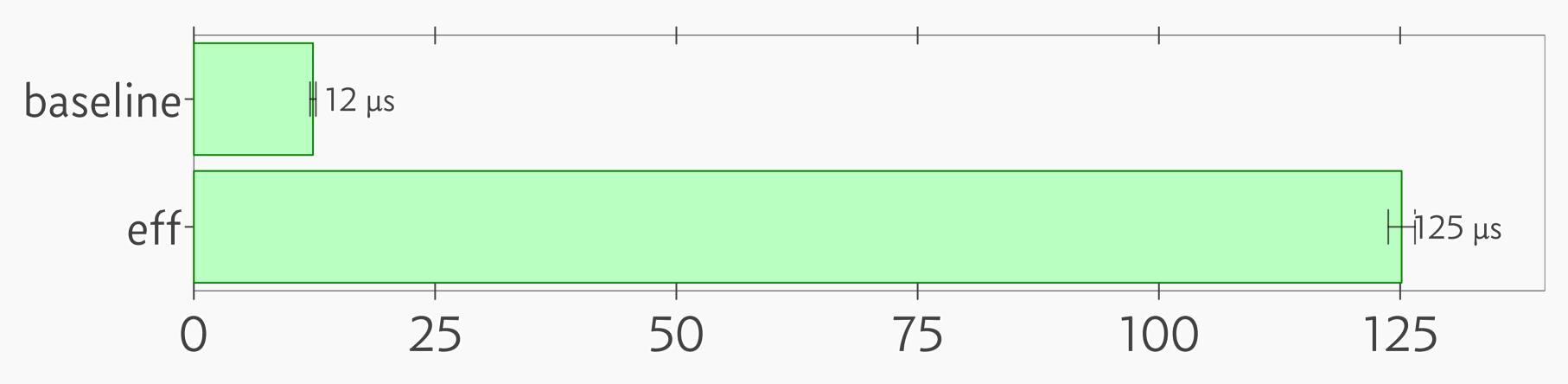


eff's performance does *not* depend on compiler optimizations!

EFF: THE NUMBERS



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Should we be worried?

COUNTDOWN: THE REST OF THE STORY

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Why so much faster?

```
program :: Int \rightarrow (Int, Int)
program n = if n \leq 0 then (n, n)
else program (n - 1)
```



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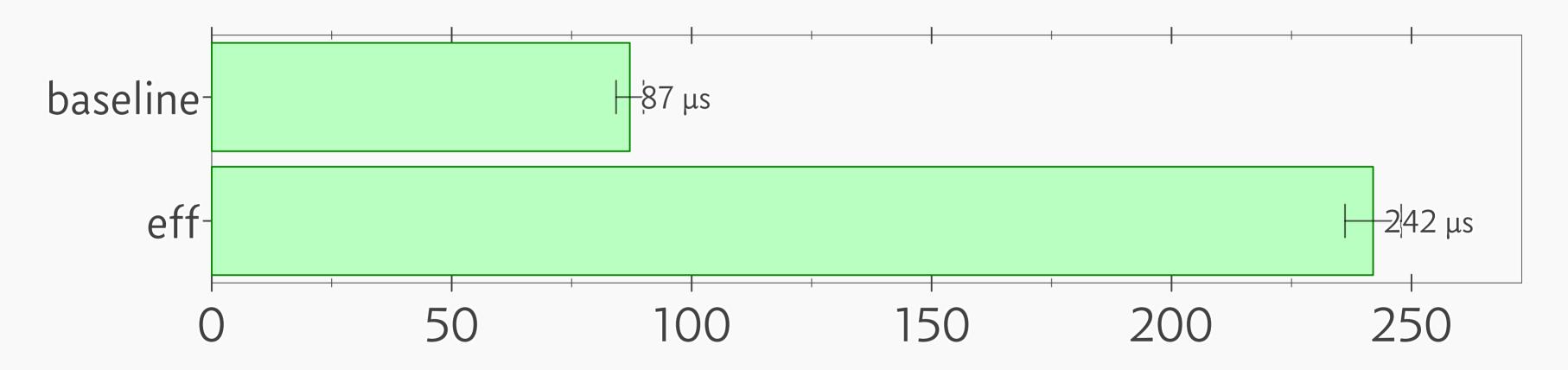
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program :: Int# \rightarrow (# Int#, Int# #)
$wprogram n = if n \leq \# 0\# then (\# n, n \#)
                            else $wprogram (n -# 1#)
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                     cmpq 856(%r13),%r12
                     ja c4aB
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                     jle _c4aw
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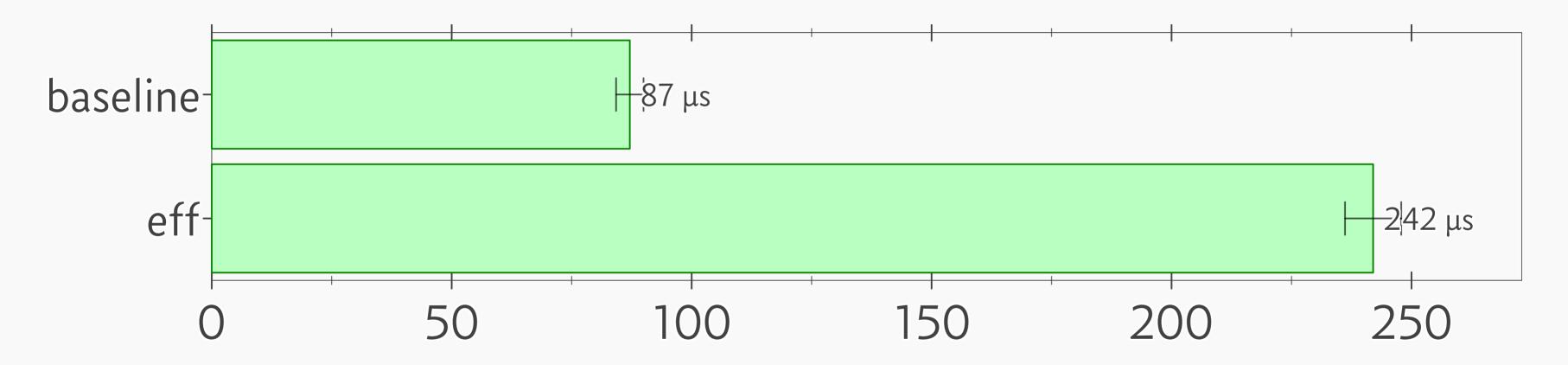


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COUNTDOWN: NO UNBOXING



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Not quite so bad, after all!

Phew.

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eff: https://github.com/hasura/eff

benchmarks: https://github.com/ocharles/effect-zoo

proposal: https://github.com/ghc-proposals/ghc-proposals/pull/313

Hasura: https://hasura.io

me: https://lexi-lambda.github.io