# CS-541: Artificial Intelligence Lecture 11

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#### Ingredients of a logic

**Syntax:** defines a set of valid formulas (Formulas)

Example: Rain \( \text{Wet} \)

Semantics: for each formula, specify a set of models (assignments/configurations of the

world) Example:

Wet 0 1

**Inference rules:** given f, what new formulas g can be added that are guaranteed to follow  $(\frac{f}{g})$ ?

Example: from Rain \( \text{ Wet, derive Rain} \)

# **Recap: Generating Inference Rules**

Start with KBRepeatedly apply inference rules Finally get f

#### **Recap: Generating Inference Rules**

#### **Modus Ponens**

Modus Ponens with horn clauses

Resolution

$$\frac{p,p\rightarrow q}{q}$$
 
$$e.g., \ \frac{Rain,Rain\rightarrow Wet}{Wet}$$
 
$$\frac{p1,\cdots,p_k,(p1\wedge\cdots\wedge p_k)\rightarrow q}{q}$$
 
$$\frac{Wet,Weekday,Wet\wedge Weekday\rightarrow Traffic}{Traffic}$$
 
$$\frac{f_1\vee\cdots\vee f_n\vee p,\neg p\vee g_1\vee\cdots\vee g_m}{f_1\vee\cdots\vee f_n\vee g_1\vee\cdots\vee g_m}$$
 
$$e.g., \ \frac{Rain\vee Snow,\neg Snow\vee Traffic}{Rain\vee Traffic}$$

#### **Recap: Soundness & Completeness**

**Soundness:** *nothing but the truth* 

Entailment  $(KB \models f)$ 

**Completeness:** whole truth

Derivation  $(KB \vdash f)$ 

#### **Recap: Soundness**

Is  $\frac{Rain, Rain \rightarrow Wet}{Wet}$  (Modus ponens) sound?

$$\mathcal{M}(Rain) \cap \mathcal{M}(Rain \rightarrow Wet) \subseteq \mathcal{M}(Wet)$$

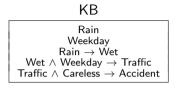






Sound!

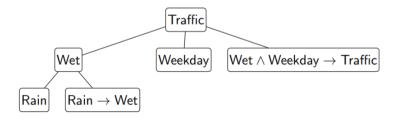
#### **Recap: Completeness**



Modus ponens with horn clauses

$$\frac{p_1,\cdots,p_k,(p_1\wedge\cdots\wedge p_k)\to q}{q}$$

$$KB \models Traffic \leftrightarrow KB \vdash Traffic$$



Alice and Bob both know arithmetic.

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AliceKnowsArithmetic \triangle BobKnowsArithmetic

Alice and Bob both know arithmetic.

AliceKnowsArithmetic \times BobKnowsArithmetic

All students know arithmetic.

Alice and Bob both know arithmetic.

AliceKnowsArithmetic \times BobKnowsArithmetic

All students know arithmetic.  $AlicelsStudent \rightarrow AliceKnowsArithmetic$  $BoblsStudent \rightarrow BobKnowsArithmetic$ . . .

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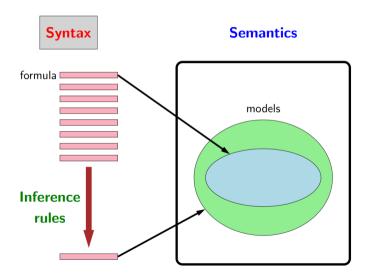
Every even integer greater than 2 is the sum of two primes. ?

All students know arithmetic.  $AlicelsStudent \rightarrow AliceKnowsArithmetic$  $BoblsStudent \rightarrow BobKnowsArithmetic$ 

Propositional logic is very clunky. What's missing?

- Objects and predicates: propositions (e.g., *AliceKnowsArithmetic*) have more internal structure (alice, Knows, arithmetic)
- Quantifiers and variables: all is a quantifier which applies to each person, don't want to enumerate them all...

# First-order logic



#### First-order logic: examples

Alice and Bob both know arithmetic.

 $Knows(alice, arithmetic) \land Knows(bob, arithmetic)$ 

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$$Knows(alice, arithmetic) \land Knows(bob, arithmetic)$$

All students know arithmetic.

$$\forall x \ Student(x) \rightarrow Knows(x, arithmetic)$$

# Syntax of first-order logic

Terms (refer to objects):

- Constant symbol (e.g., arithmetic)
- Variable (e.g., x)
- Function of terms (e.g., Sum(3, x))

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#### Terms (refer to objects):

- Constant symbol (e.g., arithmetic)
- Variable (e.g., x)
- Function of terms (e.g., Sum(3, x))

#### Formulas (refer to truth values):

- Atomic formulas (atoms): predicate applied to terms (e.g., Knows(x, arithmetic))
- Connectives applied to formulas (e.g.,  $Student(x) \rightarrow Knows(x, arithmetic)$ )
- Quantifiers applied to formulas (e.g.,  $\forall x \ Student(x) \rightarrow Knows(x, arithmetic))$

#### **Quantifiers**

#### Universal quantification ( $\forall$ ):

Think conjunction:  $\forall x \ P(x)$  is like  $P(A) \land P(B) \land \cdots$ 

#### Existential quantification $(\exists)$ :

Think disjunction:  $\exists x P(x)$  is like  $P(A) \lor P(B) \lor \cdots$ 

#### Some properties:

- $\neg \forall x P(x)$  equivalent to  $\exists x \neg P(x)$
- $\forall x \exists y \ Knows(x, y) \ different from \ \exists y \ \forall x \ Knows(x, y)$

#### Universal quantification ( $\forall$ ):

Every student knows arithmetic.

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 $\exists x \ Student(x) \land Knows(x, arithmetic)$ 

Note the different connectives!

There is some course that every student has taken.

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$$\forall x \; EvenInt(x) \land Greater(x,2) \rightarrow \exists y \; \exists z \; Equals(x,Sum(y,z)) \land Prime(y) \land Prime(z)$$

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If a student takes a course and the course covers a concept, then the student knows that concept.

$$\forall x \forall y \forall z \ (Student(x) \land Takes(x, y) \land Course(y) \land Covers(y, z)) \rightarrow Knows(x, z)$$

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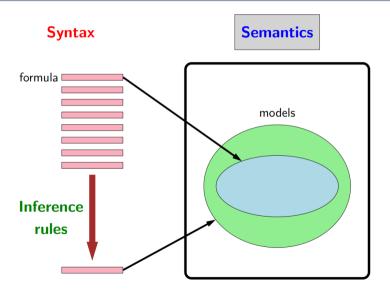
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If a student takes a course and the course covers a concept, then the student knows that concept.

$$\forall x \ \forall y \ \forall z \ (Student(x) \land Takes(x,y) \land Course(y) \land Covers(y,z)) \rightarrow Knows(x,z)$$
$$\forall x \forall y \forall z (Student(x) \land Takes(x,y) \land Course(y) \land Covers(y,z) \land Concept(z)) \rightarrow Knows(x,z)$$

# First-order logic



### Models in first-order logic

Recall a model represents a possible situation in the world.

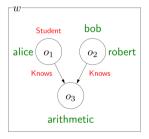
Propositional logic: Model w maps propositional symbols to truth values.

 $w = \{AliceKnowsArithmetic : 1, BobKnowsArithmetic : 0\}$ 

First-order logic: ?

#### **Graph representation of a model**

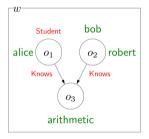
If only have unary and binary predicates, a model w can be represented as a directed graph:



• Nodes are objects, labeled with constant symbols (bob, arithemtic etc.)

#### **Graph representation of a model**

If only have unary and binary predicates, a model w can be represented as a directed graph:



- Nodes are objects, labeled with constant symbols (bob, arithemtic etc.)
- Directed edges are binary predicates, labeled with predicate symbols; unary predicates are additional node labels (Knows, Student)

### Models in first-order logic

A model w in first-order logic maps:

- constant symbols to objects  $w(alice) = o_1, w(bob) = o_2, w(arithmetic) = o_3$
- predicate symbols to tuples of objects  $w(Knows) = \{(o_1, o_3), (o_2, o_3), \dots\}$

#### A restriction on models

John and Bob are students.

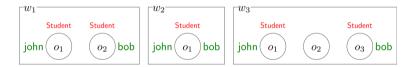
 $Student(john) \land Student(bob)$ 



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- Unique names assumption: Each object has at most one constant symbol. This rules out  $w_2$ .
- ullet Domain closure: Each object has at least one constant symbol. This rules out  $w_3$ .

## A restriction on models

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- Unique names assumption: Each object has at most one constant symbol. This rules out *w*<sub>2</sub>.
- Domain closure: Each object has at least one constant symbol.

This rules out w3.

#### Point:



## Propositionalization

If one-to-one mapping between constant symbols and objects (unique names and domain closure),

first-order logic is syntactic sugar for propositional logic:

Knowledge base in first-order logic

 $Student(alice) \land Student(bob)$   $\forall x \ Student(x) \rightarrow Person(x)$  $\exists x \ Student(x) \land Creative(x)$ 

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#### Knowledge base in propositional logic

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Studentalice \land Studentbob \\ (Studentalice \rightarrow Personalice) \land (Studentbob \rightarrow Personbob) \\ (Studentalice \land Creativealice) \lor (Studentbob \land Creativebob)
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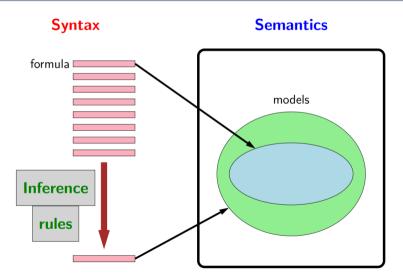
$$Student(alice) \land Student(bob)$$
  
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#### Knowledge base in propositional logic

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Studentalice \land Studentbob \\ (Studentalice \rightarrow Personalice) \land (Studentbob \rightarrow Personbob) \\ (Studentalice \land Creativealice) \lor (Studentbob \land Creativebob)
```

**Point:** use any inference algorithm for propositional logic!

## First-order logic



### **Definite clauses**

$$\forall x \, \forall y \, \forall z \, (\mathit{Takes}(x, y) \land \mathit{Covers}(y, z)) \rightarrow \mathit{Knows}(x, z)$$

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Note: if propositionalize, get one formula for each value to (x, y, z), e.g., (alice, cs541, mdp)

#### Definite clause (first-order logic)

A definite clause has the following form:

$$\forall x_1 \cdots \forall x_n (a_1 \wedge \cdots \wedge a_k) \rightarrow b$$

for variables  $x_1, \dots, x_n$  and atomic formulas  $a_1, \dots, a_k, b$  (which contain those variables)

## Modus ponens (first attempt)

$$\frac{a_1, \cdots, a_k, \quad \forall x_1 \cdots \forall x_n (a_1 \wedge \cdots \wedge a_k) \to b}{b}$$

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#### Setup:

Given P(alice) and  $\forall x P(x) \rightarrow Q(x)$ .

### **Problem:**

Can't infer Q(alice) because P(x) and P(alice) don't match!

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Problem:

Can't infer Q(alice) because P(x) and P(alice) don't match!

**Solution:** substitution and unification

## **Substitution**

$$Subst[\{x/alice\}, P(x)] = P(alice)$$

$$Subst[\{x/alice, y/z\}, P(x) \land K(x, y)] = P(alice) \land K(alice, z)$$

#### **Substitution:**

A substitution  $\theta$  is a mapping from variables to terms.

 $\mathsf{Subst}[\theta,\,f]$  returns the result of performing substitution  $\theta$  on f.

 $Unify[Knows(alice, arithmetic), Knows(x, arithmetic)] = \{x/alice\}$ 

```
\label{eq:unify} \begin{tabular}{ll} $Unify[Knows(alice, arithmetic), Knows(x, arithmetic)] = \{x/alice\} \\ $Unify[Knows(alice, y), Knows(x, z)] = \{x/alice, y/z\} \end{tabular}
```

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Unify[Knows(alice, arithmetic), Knows(x, arithmetic)] = \{x/alice\}

Unify[Knows(alice, y), Knows(x, z)] = \{x/alice, y/z\}

Unify[Knows(alice, y), Knows(bob, z)] = fail
```

Substitution can only replace variables

```
\label{eq:unify} \begin{split} &\textit{Unify}[\textit{Knows}(\textit{alice}, \textit{arithmetic}), \textit{Knows}(x, \textit{arithmetic})] = \{x/\textit{alice}\} \\ &\textit{Unify}[\textit{Knows}(\textit{alice}, y), \textit{Knows}(x, z)] = \{x/\textit{alice}, y/z\} \\ &\textit{Unify}[\textit{Knows}(\textit{alice}, y), \textit{Knows}(\textit{bob}, z)] = \textit{fail} \\ &\textit{Unify}[\textit{Knows}(\textit{alice}, y), \textit{Knows}(x, F(x))] = \{x/\textit{alice}, y/F(\textit{alice})\} \end{split}
```

```
 \begin{aligned} &\textit{Unify}[\textit{Knows}(\textit{alice}, \textit{arithmetic}), \textit{Knows}(x, \textit{arithmetic})] = \{x/\textit{alice}\} \\ &\textit{Unify}[\textit{Knows}(\textit{alice}, y), \textit{Knows}(x, z)] = \{x/\textit{alice}, y/z\} \\ &\textit{Unify}[\textit{Knows}(\textit{alice}, y), \textit{Knows}(\textit{bob}, z)] = \textit{fail} \\ &\textit{Unify}[\textit{Knows}(\textit{alice}, y), \textit{Knows}(x, F(x))] = \{x/\textit{alice}, y/F(\textit{alice})\} \end{aligned}
```

#### **Unification:**

Unification takes two formulas f and g and returns a substitution  $\theta$  which is the most general unifier:

 $Unify[f,g] = \theta$  such that  $Subst[\theta,f] = Subst[\theta,g]$  or "fail" if no such  $\theta$  exists.

## **Modus ponens**

$$\frac{a'_1, \cdots, a'_k \quad \forall x_1 \forall x_n (a_1 \wedge \cdots \wedge a_k) \to b}{b}$$

Get most general unifier  $\theta$  on premises:

- $\theta = Unify[a'_1 \wedge \cdots \wedge a'_k, a_1 \wedge \cdots \wedge a_k]$
- Apply  $\theta$  to conclusion:
- $Subst[\theta, b] = b'$

## Modus ponens example

#### Modus ponens in first-order logic

Premises:

Takes(alice, cs541)
Covers(cs541, mdp)
$$\forall x \ \forall y \ \forall z \ Takes(x, y) \land Covers(y, z) \rightarrow Knows(x, z)$$

Conclusion:

$$\theta = \{x/alice, y/cs541, z/mdp\}$$

Derive *Knows*(alice, mdp)

$$\forall x \,\forall y \,\forall z \,P(x,y,z)$$

Each application of Modus ponens produces an atomic formula.

• If no function symbols, number of atomic formulas is at most

 $(num\text{-}constant\text{-}symbols)^{(maximum\text{-}predicate\text{-}arity)}$ 

$$\forall x \, \forall y \, \forall z \, P(x,y,z)$$

Each application of Modus ponens produces an atomic formula.

• If no function symbols, number of atomic formulas is at most

• If there are function symbols (e.g., F), then infinite...

$$Q(a)$$
  $Q(F(a))$   $Q(F(F(a)))$   $Q(F(F(F(a))))$  ···

#### **Completeness:**

Modus ponens is complete for first-order logic with only Horn clauses.

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Modus ponens is complete for first-order logic with only Horn clauses.

#### Semi-decidability:

First-order logic (even restricted to only Horn clauses) is semi-decidable.

- If  $KB \models f$ , forward inference on complete inference rules will prove f in finite time.
- If  $KB \not\models f$ , no algorithm can show this in finite time.

### Resolution

Recall: First-order logic includes non-Horn clauses

$$\forall x \ Student(x) \rightarrow \exists y \ Knows(x,y)$$

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Recall: First-order logic includes non-Horn clauses

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High-level strategy (same as in propositional logic):

- Convert all formulas to CNF
- Repeatedly apply resolution rule

## Conversion to CNF

Input:

$$\forall x (\forall y \ Animal(y) \rightarrow Loves(x, y)) \rightarrow \exists y \ Loves(y, x)$$

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$$(Animal(Y(x)) \lor Loves(Z(x), x)) \land (\neg Loves(x, Y(x)) \lor Loves(Z(x), x))$$

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New to first-order logic:

- All variables (e.g., x) have universal quantifiers by default
- Introduce Skolem functions (e.g., Y(x)) to represent existential quantified variables

## Conversion to CNF (part 1)

Anyone who likes all animals is liked by someone.

#### Input:

$$\forall x (\forall y \ Animal(y) \rightarrow Loves(x, y)) \rightarrow \exists y \ Loves(y, x)$$

### Eliminate implications (old):

$$\forall x \neg (\forall y \ Animal(y) \rightarrow Loves(x, y)) \lor \exists y \ Loves(y, x)$$

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Anyone who likes all animals is liked by someone.

#### Input:

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### Eliminate implications (old):

$$\forall x \neg (\forall y \neg Animal(y) \lor Loves(x, y)) \lor \exists y \ Loves(y, x)$$

## Conversion to CNF (part 1)

Anyone who likes all animals is liked by someone.

#### Input:

$$\forall x (\forall y \ Animal(y) \rightarrow Loves(x, y)) \rightarrow \exists y \ Loves(y, x)$$

### Eliminate implications (old):

$$\forall x \neg (\forall y \neg Animal(y) \lor Loves(x, y)) \lor \exists y \ Loves(y, x)$$

### Push ¬ inwards, eliminate double negation (old):

$$\forall x (\exists y \ Animal(y) \land \neg Loves(x, y)) \lor \exists y \ Loves(y, x)$$

### Standardize variables (new):

$$\forall x (\exists y \ Animal(y) \land \neg Loves(x, y)) \lor \exists z \ Loves(z, x)$$

## Conversion to CNF (part 2)

$$\forall x \ (\exists y \ Animal(y) \land \neg Loves(x, y)) \lor \exists z \ Loves(z, x)$$

### Replace existentially quantified variables with Skolem functions (new):

$$\forall x [Animal(Y(x)) \land \neg Loves(x, Y(x))] \lor Loves(Z(x), x)$$

### Distribute $\vee$ over $\wedge$ (old):

$$\forall x [Animal(Y(x)) \lor Loves(Z(x), x)] \land [\neg Loves(x, Y(x)) \lor Loves(Z(x), x)]$$

#### Remove universal quantifiers (new):

$$[Animal(Y(x)) \lor Loves(Z(x), x)] \land [\neg Loves(x, Y(x)) \lor Loves(Z(x), x)]$$

### Resolution

#### Resolution rule (first-order logic)

$$\frac{f_1 \vee \cdots \vee f_n \vee p, \neg q \vee g_1 \vee \cdots \vee g_m}{Subst[\theta, f_1 \vee \cdots \vee f_n \vee g_1 \vee \cdots \vee g_m]}$$

where  $\theta = \textit{Unify}[p, q]$ 

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where  $\theta = Unify[p, q]$ 

### Example:

$$\frac{\textit{Animal}(Y(x)) \lor \textit{Loves}(Z(x), x), \neg \textit{Loves}(u, v) \lor \textit{Feeds}(u, v)}{\textit{Animal}(Y(x)) \lor \textit{Feeds}(Z(x), x)}$$

Substitution:  $\theta = \{u/Z(x), v/x\}$ 

## **Summary**

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#### **Propositional logic**

#### First-order logic

 $\Leftarrow$  propositionalization

modus ponens (Horn clauses) modus ponens++ (Horn clauses)

 $resolution \ (general) \\ resolution++ \ (general)$ 

++: unification and substitution

### Key idea: variables in first-order logic

Variables yield compact knowledge representations

## Recap

First-order logic
Syntax & Semantics
Inference Rules
Substitution & Unification
Modus ponens
Resolution

### References



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# The End